# Joel Kaplan

### **Red Flags**

Kaplan Has Donated To Democrats. (Center For Responsive Politics Accessed 12/14/16)

In 2016, *The Hill* Named Kaplan As One Of D.C.'s "Top Lobbyists." ("Top Lobbyists 2016 Corporate," <u>The Hill</u> 10/26/16)

According To The Senate Lobbying Disclosure Act Database, Kaplan Is Listed As A Former Registered Lobbyist For Energy Future Holdings And Facebook. (Senate Lobbying Disclosure Act Database, Accessed 12/14/16)

According To The Center For Responsive Politics, Kaplan Is Listed As Having Gone Through The Revolving Door. (Center For Responsive Politics Accessed 12/14/16)

In 2011, Kaplan Joined Facebook As Vice President Of U.S. Public Policy, A Lobbying Position. (Tony Romm, "Facebook Picks Up Former Bush Aides," *Politico*, 5/26/16)

- According To USASpending.gov, Facebook Received \$30,000 In Government Grants In 2015. (USASpending.gov Accessed 12/14/16)
- According To The Center For Responsive Politics, Facebook Had A Total Lobbying Spend Of \$6,992,000 In 2016. (Center For Responsive Politics, Accessed 12/14/16)

After Facebook Was Accused Of "Suppressing" Right-Leaning News In 2016, Kaplan Set Up Meetings Between CEO Mark Zuckerberg And Prominent Conservatives To Address Concerns. (Cecilia Kang, "Facebook Moves To Repair Its Fractured Relationship With The Right," <u>The New York Times</u>, 5/17/16)

• Kaplan Said That Claims Of Bias Against Conservatives On Facebook Was "Not The Truth." (Cecilia Kang, "Facebook Moves To Repair Its Fractured Relationship With The Right," <u>The New York Times</u>, 5/17/16)

Kaplan "Effectively" Worked As "Lobbyist In Chief" At Texas Utility Energy Future Holdings After Leaving The Bush Administration. (Peter Lattman and Rebecca Smith, "Utility Taps Power Of Bush Bigwigs," *The Wall Street Journal* 2/27/10)

Kaplan Defended A Bush-Era Immigration Bill That Allowed Undocumented Workers To Apply For A Green Card After Eight Years If They Paid A Fine, Learned English, Passed A Background Check, And Stayed Employed. (CNN s Situation Room, 5/22/07)

• The Bill Faced "An Outpouring Of Criticism From Conservatives And Others Who Decried It As A Form Of Amnesty For Lawbreakers." (Robert Pear and Carl Hulse, "Immigration Bill Fails To Survive Senate Vote," <u>The New York Times</u> 6/28/07)

# **QUESTIONS FOR JOEL KAPLAN**

## **Political Vulnerabilities**

### **Career As A Lobbyist**

Background: Following his tenure in the Bush administration, Kaplan has spent time as a registered lobbyist for both Facebook and Energy Future Holdings. In 2016, *The Hill* named Kaplan one of D.C.'s "Top Lobbyists." Kaplan has registered to lobby on issues including environmental policy, patent reform, net neutrality, and technology regulation.

- Are you willing to support and abide by a 5-year lobbying ban on officials who work within the President-elect's administration?
- Do you believe it would be necessary to recuse yourself on issues of concern to Facebook for which you lobbied?

#### Accusations Of Facebook Silencing Conservatives

Background: In May of 2016, allegations were made that Facebook had suppressed conservative views from its 'trending topics.' Facebook claimed that its internal investigation found no evidence of systematic suppression. Kaplan took a leading role in quelling the fallout from the allegations, organizing a meeting between Facebook CEO Mark Zuckerberg and prominent conservatives.

- To your knowledge, were there any active efforts by Facebook to censor campaign materials put out by the 'Trump Campaign'?
- To your knowledge, were there any active efforts by Facebook to censor 'pro-Trump messages' from Facebook users who supported the President-elect?
- Given the widespread use of the platforms owned by Facebook and other social media companies, do you believe it is appropriate for any private company to censor or edit content based on its political message on such a platform?

#### **Support For A Pathway To Citizenship**

Background: Kaplan advocated for a Bush-Era immigration bill that allowed undocumented workers to apply for a green card after eight years. The bill faced "an outpouring of criticism from conservatives and others who decried it as a form of amnesty for lawbreakers."

• Do you have any issues governing in an administration that actively works to defend and tighten U.S. borders?

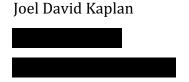
#### Past Support For Democrats

Background: Although serving the Bush administration, Kaplan was an "active Democrat" as an undergraduate and served as an alternate delegate to the Massachusetts Democrat Party's State Convention in 1990. In 2009, Kaplan made two donations to Democrat candidates for public office.

- Do you have any specific reservations to putting full support behind the President-elect's issues agenda and policy platform?
- How would you advise the President-elect achieve his desire to establish a bi-partisan culture in Washington?
- Will you agree to cease any and all political donations to Democrats during the time you serve in the administration?

### BACKGROUND

**Personal Information** 



### Education

Harvard University JD - 1998

Cambridge, MA (LinkedIn, Accessed 12/14/16)

AND

Harvard University Bachelors, Government - 1991

Cambridge, MA (LinkedIn, Accessed 12/14/16)

#### Work Experience

**2011 – Present:** Facebook Vice President For US Public Policy; Vice President Of Global Policy (LinkedIn, Accessed 12/14/16)

**2009 – 2011:** Energy Future Holdings Executive Vice President For Public Policy And External Affairs (LinkedIn, Accessed 12/14/16)

2006 – 2009: Executive Office Of The President Deputy Chief Of Staff For Policy (LinkedIn Accessed 12/14/16)

2003 – 2006: Office Of Management and Budget Deputy Director (LinkedIn Accessed 12/14/16)

**2001 – 2003:** Executive Office Of The President Special Assistant To The President (LinkedIn, Accessed 12/14/16)

Former: Bush-Cheney Presidential Campaign Policy Advisor (The White House Accessed 9/15/08)

**Former:** Supreme Court Of The United States Law Clerk For Justice Antonin Scalia (<u>The White House</u> Accessed 9/15/08)

**Former:** United States Court Of Appeals For The 4<sup>th</sup> Circuit Law Clerk For Judge J. Michael Luttig (<u>The White House</u> Accessed 9/15/08)

Former: United States Marine Corps Artillery Officer (<u>The White House</u>, Accessed 9/15/08)

### **Political Affiliation**

According To GOP Data Center, Kaplan Is A Registered Republican Voter And Classified As A Weak Republican. (GOP Data Center, Accessed 12/14/16)

VOTER INFO		
Registration Status	Registration Date	
Registered	5/31/2013	
Official Party	Observed Party	Calculated Party
Republican	N/A	2 - Weak Republican

#### **Political Contributions**

Kaplan Has Donated Almost Exclusively To Republicans, With The Exceptions Of The Democrats Below. (Center For Responsive Politics Accessed 12/14/16)

KAPLAN, JOEL D	ENERGY FUTURE HOLDINGS	12/22/09 \$1,000	Boucher, Rick (D)
KAPLAN, JOEL D	ENERGY FUTURE HOLDINGS	11/20/09 \$1,000	Dorgan, Byron L (D)

### Lobbying

According To The Senate Lobbying Disclosure Act Database, Kaplan Is Listed As Being A Registered Lobbyist For Facebook As Recently As 2015 And Being A Registered Lobbyist For Energy Future Holdings As Recently As 2011; He Has Lobbied On Issues Related To EPA Regulations, The Clean Air Act, The Clean Water Act, Patent Reform, Tech Regulation, International Regulation Of Software Companies, Net Neutrality, And The Transition Of ICANN From Commerce Department Oversight. (Senate Lobbying Disclosure Act Database, Accessed 12/14/16)

Registrant Name	Client Name	Filing Type	Amount Reported	Date Posted	Filing Year
Energy Future Holdings	ENERGY FUTURE HOLDINGS	FOURTH QUARTER REPORT	\$850,000.00	01/20/2011	2010
Energy Future Holdings	ENERGY FUTURE HOLDINGS	FIRST QUARTER REPORT	\$1,140,000.00	04/19/2011	2011
Energy Future Holdings	ENERGY FUTURE HOLDINGS	SECOND QUARTER REPORT	\$1,530,000.00	07/19/2011	2011
Energy Future Holdings	ENERGY FUTURE HOLDINGS	SECOND QUARTER AMENDMENT	\$1,530,000.00	08/08/2011	2011
Energy Future Holdings	ENERGY FUTURE HOLDINGS	THIRD QUARTER REPORT	\$990,523.00	10/20/2010	2010
Facebook, Inc.	Facebook, Inc.	FOURTH QUARTER REPORT	\$440,000.00	01/20/2012	2011
Facebook, Inc.	Facebook, Inc.	FOURTH QUARTER REPORT	\$1,990,000.00	01/20/2015	2014
Facebook, Inc.	Facebook, Inc.	FOURTH QUARTER REPORT	\$1,480,000.00	01/21/2014	2013
Facebook, Inc.	Facebook, Inc.	FOURTH QUARTER REPORT	\$1,400,000.00	01/22/2013	2012
Facebook, Inc.	Facebook, Inc.	THIRD QUARTER	\$840,000.00	04/02/2013	2012
Facebook, Inc.	Facebook, Inc.	FIRST QUARTER REPORT	\$650,000.00	04/20/2012	2012
Facebook, Inc.	Facebook, Inc.	FIRST QUARTER REPORT	\$2,440,000.00	04/20/2015	2015
Facebook, Inc.	Facebook, Inc.	FIRST QUARTER REPORT	\$2,780,000.00	04/21/2014	2014
Facebook, Inc.	Facebook, Inc.	FIRST QUARTER REPORT	\$2,450,000.00	04/22/2013	2013
Facebook, Inc.	Facebook, Inc.	SECOND QUARTER REPORT	\$320,000.00	07/20/2011	2011
Facebook, Inc.	Facebook, Inc.	SECOND QUARTER REPORT	\$960,000.00	07/20/2012	2012
Facebook, Inc.	Facebook, Inc.	SECOND QUARTER REPORT	\$2,120,000.00	07/21/2014	2014
Facebook, Inc.	Facebook, Inc.	SECOND QUARTER REPORT	\$1,060,000.00	07/22/2013	2013
Facebook, Inc.	Facebook, Inc.	THIRD QUARTER REPORT	\$360,000.00	10/20/2011	2011
Facebook, Inc.	Facebook, Inc.	THIRD QUARTER REPORT	\$2,450,000.00	10/20/2014	2014
Facebook, Inc.	Facebook, Inc.	THIRD QUARTER REPORT	\$1,440,000.00	10/21/2013	2013
Facebook, Inc.	Facebook, Inc.	THIRD QUARTER REPORT	\$980,000.00	10/22/2012	2012

### **Revolving Door**

According To The Center For Responsive Politics, Kaplan Is Listed As Having Gone Through The Revolving Door. (Center For Responsive Politics Accessed 12/14/16)



Facebook Inc Former Deputy Chief of Staff, White House

See also: Individual Lobbying Profile

#### **Employment Timeline**

1995	2000	2005	2010	2015	2020
Facebook Inc					
Energy Future Holdings Corp					
White House					

Lobbying Firm
Private Sector
Federal Govt
State/Local Govt

### **Bankruptcy**

#### No Records Found.

Bankruptcy Information - 0 records found

#### Liens

No Records Found.

Judgments/Liens - 0 records found

UCC Liens - 0 records found

#### **COMMENTS ON TRUMP-PENCE**

Trump

No Known Statements.

**Pence** 

No Known Statements.

### **GENERAL CONTROVERSY**

### **Criminal Filings**

No Records Found.

Criminal Filings - 0 records found

### Accusations Of Facebook Silencing Conservatives

After Facebook Was Accused Of "Suppressing" Right-Leaning News In 2016, Kaplan Set Up Meetings Between CEO Mark Zuckerberg And Prominent Conservatives To Address Concerns. "Hours after the publication of a news report last week accusing Facebook of anti-conservative bias, the company's top Republican executive moved into damage-control mode. Joel Kaplan, Facebook's vice president of global public policy and a former senior adviser to George W. Bush, sent a message to Barry Bennett, a Republican campaign strategist. Mr. Kaplan disputed the article by the technology site Gizmodo that said Facebook was suppressing right-wing stories and asked Mr. Bennett, a friend from the 2000 presidential election and a campaign coordinator for Donald J. Trump, for advice on how to repair the social network's image with the right. Mr. Bennett said he suggested Facebook meet with prominent Republican politicians and pundits. Within days, Mr. Kaplan organized a meeting at the company's headquarters in Silicon Valley. Mr. Kaplan will preside over that meeting on Wednesday with Mark Zuckerberg, Facebook's chief executive, and nearly a dozen conservatives, including Glenn Beck of TheBlaze television network and Jim DeMint, a former South Carolina senator and a leading figure in the Tea Party movement." (Cecilia Kang. "Facebook Moves To Repair Its Fractured Relationship With The Right," *The New York Times* 5/17/16)

• Kaplan Said That Claims Of Bias Against Conservatives On Facebook Was 'Not The Truth." "Mr. Kaplan, 47, declined requests for an interview. In a Facebook post over the weekend, he wrote, 'I have heard the claims of bias from friends and colleagues. But that is not the truth of Facebook.' He added that conservative groups were thriving on the network." (Cecilia Kang, "Facebook Moves To Repair Its Fractured Relationship With The Right," <u>The New York Times</u> 5/17/16)

### Facebook's Struggle To Combat Violent Rhetoric In The Middle East

**In September, Facebook Sent Senior Officials, Including Kaplan To Meet With Officials From Israel.** "After Israel threatened legislation, Facebook sent senior officials, including Joel Kaplan, a former aide to President George W. Bush, to meet with Israeli officials in September, and they agreed to coordinate better." (Peter Baker, "Facebook Struggles To Put Out Online Fires In Israeli Palestinian Conflict," *The New York Times*, 12/8/16)

This Fall, The Company Was Sued By The Founder Of The Shurat HaDin Israel Law Center, For \$1 Billion For Allegedly Providing "Material Aid To Hamas." "Facebook has to take responsibility," said Nitsana Darshan-Leitner, the founder of the Shurat HaDin Israel Law Center, who collected those examples and others. 'They cannot sit in their ivory tower in Palo Alto and let the blood spill here in the streets of Jerusalem.' Ms. Darshan-Leitner filed a \$1 billion lawsuit against Facebook in federal court in New York this fall, claiming that it provides material aid to Hamas. The suit combined a previous claim on behalf of 20,000 Israelis blaming incitement on Facebook for the recent violence with another claim by relatives of several Americans killed in terrorist attacks in Israel." (Peter Baker, "Facebook Struggles To Put Out Online Fires In Israeli Palestinian Conflict," *The New York Times*, 12/8/16)

• "'Facebook Has Zero Tolerance For Terrorism,' The Company Said In A Brief." (Peter Baker, "Facebook Struggles To Put Out Online Fires In Israeli Palestinian Conflict," *The New York Times*, 12/8/16)

**Israel Has Pushed For A Stronger Crackdown On Provocation, While Palestinians Consider That Tool Of Repression.** "Israel has pushed to combat online provocation that it links to bloodshed. Palestinians consider a crackdown on Facebook posts just another tool of repression by an occupying power." (Peter Baker, "Facebook Struggles To Put Out Online Fires In Israeli Palestinian Conflict," *The New York Times*, 12/8/16)

At The Beginning Of December, Facebook And Other Social Media Platforms Announced They Would Do More To Track And Reduce Online Terrorists Propaganda. "Facebook and other social media companies announced this week that they would team up to better track and reduce online terrorist propaganda." (Peter Baker, "Facebook Struggles To Put Out Online Fires In Israeli Palestinian Conflict," *The New York Times*, 12/8/16)

The Company Faced Criticism From European Leaders Who Argue That The Company And Other Social Media Companies "Have Been Slow To Review And Take Down Hateful Posts, And They

**Pressed For More Action."** "Facebook and other social media companies announced this week that they would team up to better track and reduce online terrorist propaganda. But European leaders were unsatisfied, arguing that the companies have been slow to review and take down hateful posts, and they pressed for more action." (Peter Baker, "Facebook Struggles To Put Out Online Fires In Israeli Palestinian Conflict," *The New York Times*, 12/8/16)

### Kaplan Has Been Named One Of D.C.'s "Top Lobbyists"

**In 2016**, *The Hill* **Named Kaplan As One Of D.C.'s "Top Lobbyists."** "Joel Kaplan, Facebook Inc.; Kaplan was promoted two years ago to lead policy worldwide for the social network; more recently, the former GOP official helped navigate the company through charges of bias in its 'trending' feature." ("Top Lobbyists 2016 Corporate," *The Hill* 10/26/16)

### While In College, Kaplan Was A Democrat



**In 1990, Kaplan Was Elected As An Alternate Delegate To The Massachusetts Democrat Party's State Convention.** "In Ward 8, which contains Eliot, Kirkland, Quincy, Winthrop, and Lowell Houses and the Yard Dorms, two Harvard students, Joel D. Kaplan '91 of Eliot House and Terri E. Gerstein '90 of Quincy House were elected as alternate delegates for Evelyn Murphy." (John G. Knepper, "Seven Elected State Delegates," *The Harvard Crimson* 2/5/90)

## **POLICY STANCES/STATEMENTS**

### As Facebook's Head Of U.S. Policy, Kaplan Supported CISPA, Legislation That Was Criticized By Conservative Organizations For Expanding Federal Powers

In His Work At Facebook, Kaplan Touted The Company's Support Of The Cyber Intelligence Sharing And Protection Act (CISPA). "Facebook, an advocate of CISPA, released a statement Friday afternoon explaining that the company backs the bill because it allows it to receive information about cyber threats. Kaplan said the company would not use CISPA to share private information about its users to the government. 'The concern is that companies will share sensitive personal information with the government in the name of protecting cybersecurity,' wrote Joel Kaplan, vice president of U.S. public policy at Facebook. 'Facebook has no intention of doing this and it is unrelated to the things we liked about HR 3523 in the first place -- the additional information it would provide us about specific cyber threats to our systems and users.'" (Alex Fitzpatricck, "New CISPA Draft Narrows Cybersecurity Language AS Protests Loom," Mashable, 4/14/12)

• In February 2012, Kaplan Wrote A Letter To Then House Intelligence Committee Chair Mike Rogers To "Commend" Him And Ranking Member Dutch Ruppersberger On CISPA. "I am writing on behalf of Facebook to commend you on your legislation, the 'Cyber Intelligence Sharing and Protection Act of 2011,' which addresses critical needs in cyber security. Your thoughtful, bipartisan approach will enhance the ability of companies like Facebook to address cyber threats." (Joel Kaplan, Letter To Reps. Mike Rogers And Dutch Ruppersberger 2/6/12)

**Conservative Groups Including The Competitive Enterprise Institute, FreedomWorks, The American Conservative Union, And Americans For Limited Government Raised Concerns About The Bill For Expanding Government Powers.** "However, the Cyber Intelligence Sharing and Protection Act of 2011 ("CISPA"), even as modified by the April 19 bill version, risks unduly expanding federal power, undermining freedom of contract, and harming U.S. competitiveness in the technology sector. To remedy these serious concerns, we urge CISPA's sponsors to amend the bill to address the following recommendations." (Ryan C. Radia, Et. Al., Letter To Reps. Mike Rogers And C.A. Dutch Ruppersberger 4/21/12)

**In 2013, Facebook Backed Away From Its Support Of CISPA.** "Now Facebook has changed its tune. The social-networking company appeared in a previous list of corporate supporters that CISPA's authors published last year. It's nowhere to be seen in the current one on the House Intelligence Committee's Web site, which lists AT&T, IBM, Intel, and other companies as supporters." (Declan McCullagh, "Facebook Unfriends CISPA Cybersecurity Bill Over 'Privacy," <u>CNET</u> 3/14/13)

### Kaplan Defended Bush's Immigration Plan That Was Attacked As Amnesty

**Kaplan: "Immigration Is...An Emotional Issue."** DEPUTY WHITE HOUSE CHIEF OF STAFF FOR POLICY JOEL KAPLAN: "Wolf, immigration is -- it's an emotional issue. It's a difficult issue. The president is very much aware of that, and has been for years. Again, this is a group of bipartisan senators across the ideological spectrum that took a good, hard look at this problem over a span of many weeks and months, and came up with a comprehensive solution." (CNN s Situation Room, 5/22/07)

As Deputy Chief Of Staff For Policy In 2007, Kaplan Defended Bush's Immigration Plan That Called For A Temporary-Worker Program. DEPUTY WHITE HOUSE CHIEF OF STAFF FOR POLICY JOEL KAPLAN: "Well, first of all, Wolf, it's good to be with you. Thanks for having me. The temporary-worker program is a critical part of a comprehensive solution to the problem that we face, both in terms of making our economy -- making our economy stronger, and making our borders more secure. What we learned from the 1986 act is that you have got to remove the magnet for illegal immigration. And to do that, you have to have a legal way for workers to come into this country and fill jobs that Americans aren't doing. That's the important aspect of the temporary- worker program." (CNN s Situation Room, 5/22/07)

Kaplan Also Defended An Immigration Bill That Required Current Undocumented Workers To Pay A Fine, Learn English, Pass A Background Check, Stay Employed And After Eight Years Could Apply For A Green Card. DEPUTY WHITE HOUSE CHIEF OF STAFF FOR POLICY JOEL KAPLAN: "Again, this is a group of bipartisan senators across the ideological spectrum that took a good, hard look at this problem over a span of many weeks and months, and came up with a comprehensive solution. Let's look at what the bill does. This is not amnesty. It requires -- it requires the current undocumented workers to pay a -to pay a fine, to learn English, to have a background check, to keep a clean criminal record, to stay employed. And, then, eight years down the road, if they want to become -- if they want to apply for a green card, they have to pay an additional fine. They have to leave the country..." CNN'S WOLF BLITZER: "All right." KAPLAN: "... go to their home country to apply. This is a good, strong bill." (CNN s Situation Room, 5/22/07)

**The Bill Faced "An Outpouring Of Criticism From Conservatives And Others Who Decried It As A Form Of Amnesty For Lawbreakers."** "The bill called for the biggest changes in immigration law in more than 20 years. The vote followed an outpouring of criticism from conservatives and others who decried it as a form of amnesty for lawbreakers. The outcome was a bitter disappointment for Mr. Bush and other supporters of a comprehensive approach, including Hispanic and church groups, and employers who had been seeking greater access to foreign workers." (Robert Pear and Carl Hulse, "Immigration Bill Fails To Survive Senate Vote," *The New York Times*, 6/28/07)

## **BUSINESSES/ASSOCIATIONS**

### Facebook

**In 2011, Kaplan Joined Facebook As Vice President Of U.S. Public Policy.** "Facebook has friended two top former aides to former President George W. Bush, as the burgeoning social network continues to ramp up its lobbying and public policy work in Washington. Former Bush deputy chief of staff Joel Kaplan

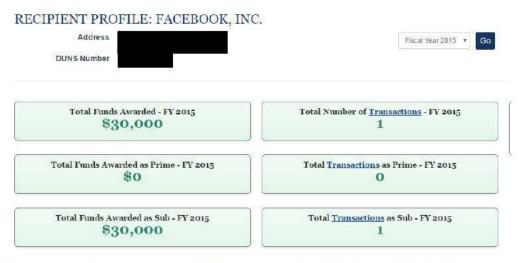
will join Facebook in June to become its vice president of U.S. public policy." (Tony Romm, "Facebook Picks Up Former Bush Aides," *Politico*, 5/26/16)

**In 2014, Kaplan Became Facebook's Vice President Of Global Policy.** "Facebook announced Monday that Joel Kaplan, who is based out of the firm's growing D.C. office, will become the social network's Vice President of Global Policy, a position created to manage growing interest from foreign and domestic lawmakers over privacy, copyright and security issues." (Hayley Tsukayama, "Facebook Taps D.C. Office Head To Manage Global Policy," *The Washington Post*, 10/6/14)

Kaplan Was Hired By Facebook To "Counterbalance Facebook's Left-Leaning Perception," And Has "Increased The Number Of Republicans" In The Company's D.C. Office. "Mr. Kaplan, who is based in Washington, was hired five years ago to counterbalance Facebook's left-leaning perception. Since joining, he has increased the number of Republicans in the company's 100-member Washington office, which include Kevin Martin, a former chairman of the Federal Communications Commission. He also formed Facebook's political action committee and designed its donations to be evenly split between Republican and Democratic candidates." (Cecilia Kang, "Facebook Moves To Repair Its Fractured Relationship With The Right," <u>The New York Times</u> 5/17/16)

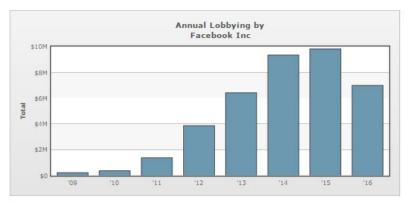
• Kaplan "Has Strengthened Facebook's Ties With Important Republican Leaders." "Mr. Kaplan has strengthened Facebook's ties with important Republican leaders. He has hosted House Speaker Paul Ryan of Wisconsin, Senators Marco Rubio of Florida and Rand Paul of Kentucky and former House Speaker Newt Gingrich at the company's headquarters in Menlo Park, Calif." (Cecilia Kang, "Facebook Moves To Repair Its Fractured Relationship With The Right," <u>The New York Times</u>, 5/17/16)

According To USASpending.gov, Facebook Received \$30,000 In Government Grants In 2015. (USASpending.gov Accessed 12/14/16)



According To The Center For Responsive Politics, Facebook Had A Total Lobbying Spend Of 6,992,000 In 2016. (Center For Responsive Politics Accessed 12/14/16)





#### Client Profile: Summary, 2016

Year:	2016	•

A special interest's lobbying activity may go up or down over time, depending on how much attention the federal government is giving their issues. Particularly active clients often retain multiple lobbying firms, each with a team of lobbyists, to press their case for them.

#### Total Lobbying Expenditures: \$6,992,000 Subtotal for Parent Facebook Inc: \$6,992,000

Subtotal for Parent Pacebook Inc. \$6,552,000

Facebook Inc Lobbying by Industry	
Industry	Total
Internet	\$6,992,000

#### **Energy Future Holdings**

**After Kaplan Left The Bush Administration, He Joined Texas Utility Energy Future Holdings.** "When Mr. Kaplan left the Bush administration, he took a job working for private equity firms at Energy Future Holdings. In 2007, at the market peak, a group including Kohlberg Kravis Roberts & Company, TPG Capital and Goldman Sachs paid \$44 billion for the company. Today, those private equity firms are sitting on billions of dollars of losses. Energy Future Holdings has struggled with persistently low natural gas prices and a heavy debt burden. The promise of an initial public offering for the company has been put off indefinitely. As the staid Texas utility has suffered, Facebook, arguably the hottest company in America, has grown at a breakneck pace, and an I.P.O. is expected next year." (Evelyn Rusli and Peter Lattman, "Facebook Taps Joel Kaplan To Head Washington Office," *The New York Times'* Dealbook 5/26/11)

- Kaplan "Effectively" Worked As "Lobbyist In Chief" At The Utility, Which Was Previously Known As TXU Corp. "Another de facto Bush White House reunion is taking place at Energy Future Holdings Corp., a debt-heavy Texas utility once known as TXU Corp. that is controlled by a trio of the world's largest private-equity funds. Joel Kaplan, former deputy chief of staff under President George W. Bush, joined the company in October as head of public policy and external affairs. Based in Washington, Mr. Kaplan effectively serves as EFH's lobbyist in chief." (Peter Lattman and Rebecca Smith, "Utility Taps Power Of Bush Bigwigs," *The Wall Street Journal* 2/27/10)
- Kaplan Joined Five Other Former Bush Associates When He Was Hired At EFH. "With Mr. Kaplan's addition, there are at least half a dozen former Bush bigwigs helping EFH. The list

includes: Donald Evans, former Commerce secretary; James A. Baker III, who held positions in the Reagan and both Bush administrations; Ken Mehlman, campaign chairman for Mr. Bush's 2004 presidential campaign and former head of the Republican National Committee; and Dan Bartlett, former counselor to Mr. Bush." (Peter Lattman and Rebecca Smith, "Utility Taps Power Of Bush Bigwigs," <u>The Wall Street Journal</u>, 2/27/10)

According To SEC Filings, Kaplan Was Paid An Annual Base Salary Of \$450,000, With The Potential For Bonuses Of 200% Of His Salary And Other Benefits. "On October 19, 2009, Joel D. Kaplan was hired as the new Executive Vice President for Public Policy and External Affairs of Energy Future Holdings Corp. (the "Company"). In connection with his employment, the Company entered into an employment arrangement with Mr. Kaplan. As compensation for his services, Mr. Kaplan will be paid an annual base salary equal to \$450,000 with the ability to earn an annual cash bonus equal to 70% of his base salary if he achieves certain annual performance targets established by the Board of Directors of the Company (the "Board"). Such annual cash bonus may be increased to an amount equal to 200% of his base salary based on achievement of certain superior annual performance targets established by the Board. The Company will also pay Mr. Kaplan a commuting allowance of \$8,333 per month for the first two years of his employment with the Company. The employment arrangement also entitles Mr. Kaplan to receive other forms of customary compensation such as certain health and welfare benefits, certain perquisites and reimbursement of certain business expenses." ("Employment Arrangement With Joel D. Kaplan," Securities And Exchange Commission Accessed 12/14/16)

• Kaplan Was Also Given Stock Options. "In addition, Mr. Kaplan received a grant of stock options under the 2007 Stock Incentive Plan for Key Employees of Energy Future Holdings Corp. and Affiliates (Stock Option Plan) at a strike price of \$3.50 per share. 1,125,000 of Mr. Kaplan's new stock options are time-vested options that will vest 20% per year over a five-year period beginning October 29, 2009 and 375,000 are performance vesting options, which vest in 20% increments on each of the first five anniversaries of December 31, 2009, subject to the Company's achievement of the annual management EBITDA target for the given fiscal year (or certain cumulative performance targets) as detailed in the stock option agreements." ("Employment Arrangement With Joel D. Kaplan," Securities And Exchange Commission, Accessed 12/14/16)