

Kathleen Troia “KT” McFarland

Red Flags:

- **After McFarland Discovered That Her Brother, Michael, Was Dying Of AIDS, She Wrote A Letter To Her Parents Outing Him As A Homosexual Before Cutting Off Ties To Her Family.** “The GOP candidate seeking to unseat Hillary Rodham Clinton outed her gay brother dying of AIDS to her family and blamed his sexual orientation on her father before cutting off family ties, New York magazine reports. Kathleen Troia ‘KT’ McFarland, 54 who once briefly declared Clinton’s helicopters were buzzing her beach house to take pictures shot off long, angry letters to her parents shortly after she discovered her brother Michael Troia had AIDS, the magazine is reporting in its new issue. ‘Have you ever wondered why I have never had anything to do with Mike and have never let my daughters see him although we live only fifteen minutes away from each other?’ she wrote. ‘He has been a lifelong homosexual, most of his relationships brief, fleeting one-night stands.’” (Cathy Burke, “Hill Foe ‘KT’ Outed Her Dying Brother,” [New York Post](#) 6/25/06)
- **McFarland Underwent “Repressed-Memory Therapy.”** “McFarland underwent repressed-memory therapy, which she says led to her beliefs her father is to blame for her brother being gay and many other family problems, the magazine reports. The eldest of four children in Madison, Wis., where her father worked the night shift as a train dispatcher, KT went to George Washington University and Michael Troia, who lived in New York City, followed.” (Cathy Burke, “Hill Foe ‘KT’ Outed Her Dying Brother,” [New York Post](#), 6/25/06)
 - **McFarland Claimed She Was “Beaten Up” And “Whipped With A Belt” By Her Father, Saying He Also Occasionally Brandished A Gun.** “In an interview with The Associated Press earlier this week, McFarland said her father had beaten her at least twice a month from the time she was 2 years old until she was about 12. ‘I had one of the most difficult childhoods imaginable,’ McFarland said. ‘I was beaten up, I was whipped with a belt, I was kicked, I was shoved, and my father took a gun to us on a couple of occasions at a very young age.’” (Beth Fouhy, “Father Of Candidate Denies Child Abuse,” [The Associated Press](#), 6/30/06)
 - **McFarland’s Father Denied The Accusations, Offering To Take A Lie Detector Test.** “The father of Republican Senate hopeful Kathleen Troia ‘KT’ McFarland publicly denied allegations that he beat her during her childhood, the latest twist in a series of dramatic disclosures about that have rocked the campaign of the woman hoping to unseat Sen. Hillary Rodham Clinton. ‘You know darn well I never did any of that,’ Augie Troia, 80, said in Friday’s New York Post. ‘I’ll get on the lie detector test. Anytime you want, make a lie detector test.’” (Beth Fouhy, “Father Of Candidate Denies Child Abuse,” [The Associated Press](#), 6/30/06)
 - **McFarland’s Brother, Tom, Said The Allegations Were “Complete Baloney,” Accusing Her Of Either Being A Liar Or Delusional And Referring To Her As “Evil.”** “Republican Senate candidate Kathleen (KT) McFarland bitterly broke with her whole family in 1992 when she accused her father of incest - an explosive charge her brother calls ‘complete baloney.’ ‘I’m not telling people how to vote, but she’s either a liar or delusional,’ said Tom Troia, 52, who still lives in Wisconsin, where they grew up. ‘I’ve described her as evil. That’s a pretty apt description.’ At a campaign event yesterday in Brooklyn, a poised McFarland refused to discuss her brother’s stunning accusations. ‘I have five wonderful children and a very loving and supportive husband,’ she said. ‘Other than that, I have nothing else to say.’” (Helen Kennedy, “KT’s Evil & A Liar, Cries Bro Candidate Cut Off From Family After Incest Claim,” [NY Daily News](#) 7/14/06)

- **McFarland’s Biography “Emerged As An Issue In The Republican Primary.”** “Ms. McFarland’s biography has already emerged as an issue in the Republican primary. Her rival, John Spencer, a former mayor of Yonkers, has asserted that she inflated her position by claiming that she held the equivalent civilian rank of a three-star general while in the Pentagon.” (Raymond Hernandez, “Questions Arise About Resume Of Challenger To Clinton,” [The New York Times](#), 3/23/06)
 - **McFarland’s Resume Claimed That She Drafted Reagan’s “Star Wars” Speech.** “In a copy of her résumé, which was distributed to reporters, Ms. McFarland says simply: ‘Drafted President Reagan’s Star Wars Speech.’ And in a recent interview with The New York Times, Ed Rollins, one of her chief advisers, said that Ms. McFarland’s association with Mr. Reagan and work on military issues -- including helping to write the ‘Star Wars’ speech -- would make her a strong challenger to Mrs. Clinton.” (Raymond Hernandez, “Questions Arise About Resume Of Challenger To Clinton,” [The New York Times](#) 3/23/06)
 - **Her Claim Was Questioned As Reagan Advisers, Not McFarland, Wrote The “Insert,” Or The Part Of The Speech That Announced The President’s Strategic Defense Initiative.** “In a recent interview, Ms. McFarland said she had never claimed authorship for the section of the ‘Star Wars’ speech announcing the President’s Strategic Defense Initiative, a passage known among Reagan advisers as ‘the insert.’ Rather, Ms. McFarland said, she had written other sections of the speech reiterating the administration’s defense policy.” (Raymond Hernandez, “Questions Arise About Resume Of Challenger To Clinton,” [The New York Times](#) 3/23/06)
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- **While Campaigning Against Hillary Clinton, McFarland Claimed That Clinton Was So Worried About Her Candidacy That She Sent Helicopters To McFarland’s House To Take Pictures Of Her.** “A Republican challenger to Sen. Hillary Rodham Clinton is bizarrely claiming that the former first lady has been spying in her bedroom window and flying helicopters over her house in the Hamptons, witnesses told The Post yesterday. Former Reagan-era Pentagon official Kathleen ‘KT’ McFarland stunned a crowd of Suffolk County Republicans on Thursday by saying: ‘Hillary Clinton is really worried about me, and is so worried, in fact, that she had helicopters flying over my house in Southampton today taking pictures,’ according to a prominent GOP activist who was at the event. ‘She wasn’t joking, she was very, very serious, and she also claimed that Clinton’s people were taking pictures across the street from her house in Manhattan, taking pictures from an apartment across the street from her bedroom,’ added the eyewitness, who is not involved in the Senate race.” (Fredric Dicker, “Kooky KT’s Spy Tale Hill’s Helicopters Watching Me Rival,” [New York Post](#) 3/25/16)
- **McFarland “Failed To Vote In Numerous New York Elections” Including The 1984 Election Despite Working For Ronald Reagan** “The Post reported last week that she had failed to vote in

numerous New York elections and had even missed voting for President Ronald Reagan in 1984, when she claimed to be an important Reagan administration official.” (Fredric Dicker, “Kooky KT’s Spy Tale Hill’s Helicopters Watching Me Rival,” [New York Post](#) 3/25/16)

- **McFarland Reportedly Voted In Two Different Locations In New York, Violating New York State Election Law, Multiple Times.** “The Post also disclosed that McFarland had occasionally voted at both her Southampton and Manhattan addresses in violation of the state Election Law, which allows ballots to be cast from only address.” (Fredric Dicker, “Kooky KT’s Spy Tale Hill’s Helicopters Watching Me Rival,” [New York Post](#), 3/25/16)

BACKGROUND

General

Kathleen Troia McFarland

[REDACTED]

[REDACTED]

Education

Massachusetts Institute Of Technology - PhD In Nuclear And Conventional Weapons, China, Soviet Union - 1981

Cambridge, MA ([LinkedIn](#), Accessed 11/23/16)

AND

University Of Oxford Bachelor’s And Master’s In Politics, Philosophy, And Economics - 1978

Oxford, UK ([LinkedIn](#) Accessed 11/23/16)

AND

The George Washington University Bachelor’s In Chinese Studies - 1973

Washington, D.C. ([LinkedIn](#) Accessed 11/23/16)

Work Experience

2010 – Present: FOX News National Security Analyst ([LinkedIn](#) Accessed 11/23/16)

2006: U.S. Senate Candidate (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#) Accessed 11/23/16)

1981– 1984: Department Of Defense Senior Speechwriter To Secretary Of Defense Caspar Weinberger, Principal Deputy Assistant Secretary Of Defense, And Pentagon Spokesman (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#), Accessed 11/23/16)

1981: Senate Armed Services Committee Staff Member (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#) Accessed 11/23/16)

1970 – 1976: Dr. Henry Kissinger Aide (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#) Accessed 11/23/16)

American Conservative Union Senior Fellow (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#) Accessed 11/23/16)

The Jamestown Foundation Board Member (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#) Accessed 11/23/16)

Foundation For Defense of Democracies Distinguished Advisor (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#) Accessed 11/23/16)

Council on Foreign Relations Life Member (“Kathleen Troia ‘KT’ McFarland,” [The ACU Foundation](#) Accessed 11/23/16)

Background

McFarland Was A Stay At Home Mom For Twenty Years. “In 1985, she left government to pursue a family life, marrying a New York investment banker and raising their five children for the past 20 years. ‘I felt like I had done my part in public service,’ she says, ‘but then 9/11 changed everything.’” (John Avlon, “USNA Mom And Senator?,” [New York Sun](#), 3/3/06)

TRUMP-PENCE

Trump

On November 4, 2016, McFarland Wrote A Fox News Op-Ed Endorsing Donald Trump. “But if you, like me, think we need to throw the bums out, drain the swamp, revitalize our economy and reclaim the mantle of global leadership, then by all means vote for the one candidate who has shown time and again that he’s not afraid of challenging the conventional wisdom and rejecting the status quo. Only one of the candidates can seize this unique historic moment to Make America Great Again. That’s why I’ll be pulling the lever for Donald Trump on Tuesday.” (K.T. McFarland, Op Ed, “The One And Only Thing To Consider When You Vote For President,” [Fox News](#) 11/4/16)

On March 8, 2012, McFarland Asked Whether “Foreign Diplomats Voice Concern To U.S. Officials Trump?” “Foreign diplomats voicing concerns to U.S. officials over Trump?” (KT McFarland, [Twitter Feed](#), 3/8/16)



Pence

On October 4, 2012, McFarland Stated That “Kaine Seems Snarky & Keeps Interrupting While Pence Seems Like Calm Grownup. What Do Others Think?” “Kaine seems snarky & keeps interrupting while Pence seems like calm grownup. What do others think? #KaineVsPence” (KT McFarland, [Twitter Feed](#), 10/4/16)



GENERAL CONTROVERSY

In 2012, An Audio Recording Of McFarland Meeting With General David Petraeus Revealed That She Suggested He Run For President

In 2012, McFarland Relayed A Message From Roger Ailes To Then-General David Petraeus Suggesting That Petraeus Potentially Run For President Against Obama. “The Fox News chairman’s message was delivered to Petraeus by Kathleen T. McFarland, a Fox News national security analyst and former national security and Pentagon aide in three Republican administrations. She did so at the end of a 90-minute, unfiltered conversation with Petraeus that touched on the general’s future, his relationship with the media and his political aspirations or lack thereof. The Washington Post has obtained a digital recording from the meeting, which took place in Petraeus’s office in Kabul. McFarland also said that Ailes who had a decades-long career as a Republican political consultant, advising Richard M. Nixon, Ronald Reagan and George H.W. Bush might resign as head of Fox to run a Petraeus presidential campaign. At one point, McFarland and Petraeus spoke about the possibility that Rupert Murdoch, the head of News Corp., which owns Fox News, would ‘bankroll’ the campaign.” (Bob Woodward, “Fox News Chief’s Failed Attempt To Enlist Petraeus As Presidential Candidate,” [The Washington Post](#), 12/3/12)

- **The Conversation Between McFarland And Petraeus Was Recorded.** “The Washington Post has obtained a digital recording from the meeting, which took place in Petraeus’s office in Kabul.” (Bob Woodward, “Fox News Chief’s Failed Attempt To Enlist Petraeus As Presidential Candidate,” [The Washington Post](#), 12/3/12)

Ailes Said He Did Not Tell McFarland To Talk To Petraeus, Saying “It Was More Of A Joke,” And That McFarland Was “Way Out Of Line.” “In a telephone interview Monday, the wily and sharp-tongued Ailes said he did indeed ask McFarland to make the pitch to Petraeus. ‘It was more of a joke, a wiseass way I have,’ he said. ‘I thought the Republican field [in the primaries] needed to be shaken up and Petraeus might be a good candidate.’ Ailes added, ‘It sounds like she thought she was on a secret mission in the Reagan administration. . . . She was way out of line. . . . It’s someone’s fantasy to make me a kingmaker. It’s not my job.’ He said that McFarland was not an employee of Fox but a contributor paid less than \$75,000 a year.” (Bob Woodward, “Fox News Chief’s Failed Attempt To Enlist Petraeus As Presidential Candidate,” [The Washington Post](#) 12/3/12)

McFarland Was Accused Of Outing And Abandoning Her Brother, Who Was Dying Of AIDS

After McFarland Discovered That Her Brother, Michael, Was Dying Of AIDS, She Wrote A Letter To Her Parents Outing Him As A Homosexual Before Cutting Off Ties To Her Family. “The GOP candidate seeking to unseat Hillary Rodham Clinton outed her gay brother dying of AIDS to her family and blamed his sexual orientation on her father before cutting off family ties, New York magazine reports. Kathleen Troia ‘KT’ McFarland, 54 who once briefly declared Clinton’s helicopters were buzzing her beach house to take pictures shot off long, angry letters to her parents shortly after she discovered her brother Michael Troia had AIDS, the magazine is reporting in its new issue. ‘Have you ever wondered why I have never had anything to do with Mike and have never let my daughters see him although we live only fifteen minutes away from each other?’ she wrote. ‘He has been a lifelong homosexual, most of his relationships brief, fleeting one-night stands.’” (Cathy Burke, “Hill Foe ‘KT’ Outed Her Dying Brother,” [New York Post](#), 6/25/06)

New York Magazine Published Excerpts Of The Letter, Which Showed McFarland Blaming Her Family For Her Brother Michael’s “Troubles.” “The series of allegations and denials began Monday, when New York Magazine published excerpts of a letter McFarland sent to her parents in 1992, blaming them in part for her brother’s troubles.” (Beth Fouhy, “Father Of Candidate Denies Child Abuse,” [The Associated Press](#), 6/30/06)

McFarland Accused Her Father Of Abusing Her And Her Siblings, A Charge Her Father And Brother Denied

McFarland Underwent “Repressed-Memory Therapy.” “McFarland underwent repressed-memory therapy, which she says led to her beliefs her father is to blame for her brother being gay and many other family problems, the magazine reports. The eldest of four children in Madison, Wis., where her father worked the night shift as a train dispatcher, KT went to George Washington University and Michael Troia, who lived in New York City, followed.” (Cathy Burke, “Hill Foe ‘KT’ Outed Her Dying Brother,” [New York Post](#), 6/25/06)

In 1992 Letters, McFarland Said The Therapy Led To “Flashbacks” Of Her Father Molesting Her And Her Siblings As Children. “In a shockingly venomous 1992 letter given to the Daily News by Troia in a bid to scuttle his sister's candidacy, McFarland - who said she was in psychotherapy at the time - told her father she recently had ‘flashbacks’ that he had molested her, her sister and two brothers. ‘These repressed memories are now clearly in my mind,’ she wrote. ‘You preyed on your young.’ While acknowledging that Augie Troia had been ‘a model grandfather to my children,’ McFarland told him he would never see them - or her - again. ‘You are now old and increasingly infirm,’ she wrote. ‘If you need help of any sort, we will not acknowledge you. If you die, we don’t care.’” (Helen Kennedy, “KT’s Evil & A Liar, Cries Bro Candidate Cut Off From Family After Incest Claim,” [NY Daily News](#), 7/14/06)

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Tom McFarland Said Both He And Their Mother Thought That KT Was Trying To Drive Their Father To Commit Suicide. “In the letter, McFarland threatened to bring criminal charges against her father and to evict him from the home she had bought for her parents. ‘Both me and my mother had the same reaction: that it was an attempt to kill him, or have him kill himself,’ Troia said. ‘My dad had had a heart attack. He’s never read it. All this has been kept from him by my mother - even now.’” (Helen Kennedy, “KT’s Evil & A Liar, Cries Bro Candidate Cut Off From Family After Incest Claim,” [NY Daily News](#), 7/14/06)

McFarland Was Accused Of Being “A Tool Of The Left” In Her 2006 Senate Campaign And Aiding Hillary Clinton In Her Reelection

K.T. McFarland Was Accused Of Being “A Tool Of The Left” In The 2006 NY Senate Campaign. “John Spencer’s campaign has come up with the most intriguing [sic] conspiracy theory of the weekend: that K.T. McFarland, self-proclaimed Reagan Republican, is actually a tool of the left. The evidence a list of McFarland donors who have given money in the past to Hillary Clinton and other Democrats has prompted the Spencer folks to ask darkly whether McFarland’s entire candidacy isn’t just ‘another Clinton dirty trick.’ Given Clinton’s nearly 2-1 advantage over Spencer in a prospective match-up, I’m having a little trouble finding the motive for such a fiendish plot.” (Josh Benson, “Spencer s Vast Left Wing Conspiracy,” [New York Observer](#), 6/12/06)

The Accusations Stemmed From Major Donors To McFarland Being Major Donors To Clinton. “Yesterday the Spencer for Senate campaign released a review of Kathleen McFarland’s campaign reports filed with the Federal Election Commission revealing a very troubling fact. A clear pattern has emerged whereby donors to Ms. McFarland are also big supporters of Senator Clinton, the DNC and other related leftwing entities.” (Josh Benson, “Spencer s Vast Left Wing Conspiracy,” [New York Observer](#), 6/12/06)

- **Major Donors To McFarland Also Were Donating To Hillary Clinton, The DNC, Chuck Schumer, The DSCC, Howard Dean And John Kerry.** “The fact is that twenty big donors to McFarland who gave her a total of \$25,200 also gave \$343,150 to the Democratic National Committee, \$6,000 to Chuck Schumer and Democratic Senate Campaign Committee, \$9,900 to Howard Dean, \$4,000 to John Kerry and \$6,910 to Hillary Clinton. Is this a REAL Republican campaign?” (Josh Benson, “Spencer s Vast Left Wing Conspiracy,” [New York Observer](#), 6/12/06)

McFarland Refused To Drop Out Of The Race Until Three Weeks Before The Election, Despite Not Having The Republican Party’s Backing And Trailing In The Polls. “Kathleen Troia ‘KT’ McFarland, who has been in a bitter primary fight against former Yonkers mayor John Spencer, has canceled her upcoming events with barely three weeks left before the Sept. 12 election, her spokesman William O’Reilly said...McFarland, a former Pentagon official under President Reagan, and Spencer, the party’s designee, both trail Clinton substantially in polls and fundraising.” (“Clinton Opponent Suspends Senate Bid After Daughter Is Arrested,” [The Associated Press](#), 8/21/06)

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McFarland Was Known In 2006 As “Pretty Green,” And Was Said To Be Running For Senate “Only Because She Already Believed She Was Going To Lose Her Bid For A Congressional Seat.” “Yet Ms. McFarland, known as K. T., is pretty green: She has been a stay-at-home mother since 1985, and was drawn to the Senate race only because she already believed she was going to lose her bid for a Congressional seat on the Upper East Side of Manhattan.” (Patrick Healy, “Clinton Challenger Pulled From Reagan Era Hat,” [The New York Times](#) 3/3/06)

Alfonse D’Amato, Former Republican Senator From New York, Called McFarland’s Campaign “A Joke.” “Earlier this week, former Republican U.S. Sen. Alfonse D’Amato called McFarland’s campaign ‘a joke’ and ‘a candidate in her own mind.’” (Fredric Dicker, “Kooky KT s Spy Tale Hill s Helicopters Watching Me Rival,” [New York Post](#) 3/25/16)

McFarland Faced Criticism For Embellishing Her Resume And Credentials

McFarland's Biography "Emerges As An Issue In The Republican Primary." "Ms. McFarland's biography has already emerged as an issue in the Republican primary. Her rival, John Spencer, a former mayor of Yonkers, has asserted that she inflated her position by claiming that she held the equivalent civilian rank of a three-star general while in the Pentagon." (Raymond Hernandez, "Questions Arise About Resume Of Challenger To Clinton," [The New York Times](#) 3/23/06)

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- **McFarland Said She Never Claimed To Have Written The Insert.** "I don't think I ever claimed I authored the insert,' she said. As for her assertion that she was the highest-ranking woman in the Reagan Pentagon, Ms. McFarland said that she was told that that was the case upon her hiring and that she never had reason to question it. Furthermore, she said that her job -- unlike the positions the two other women held -- put her at the core of the defense secretary's policy team. William Howard Taft 4th, a former deputy defense secretary in the Reagan administration, buttressed that assertion in an interview." (Raymond Hernandez, "Questions Arise About Resume Of Challenger To Clinton," [The New York Times](#) 3/23/06)

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Undersecretary Of Defense For Policy, Fred Ikle: “I Don’t Think She Was A Central Person That Everybody Had An Opinion About.” “‘I don’t think she was a central person that everybody had an opinion about,’ an undersecretary of defense for policy in the Reagan administration, Fred Ikle, told The New York Sun yesterday.” (Meghan Clyne, “Reagan Aides Try To Remember KT McFarland,” [The Sun](#), 3/7/06)

Multiple Undersecretaries For Defense Had No Recollection Of McFarland. “Before she can successfully associate her campaign with President Reagan, however, Mrs. McFarland may have to overcome a name-recognition problem with former Reagan administration colleagues. When asked if he recalled a ‘KT McFarland’ from his time in the Pentagon between 1981 and 1988, a former deputy undersecretary for defense, Stephen Bryen, said he didn’t know anyone by the name, and responded: ‘What color hair did she have?’ Mr. Ikle, too, had no recollection of a KT McFarland.” (Meghan Clyne, “Reagan Aides Try To Remember KT McFarland,” [The Sun](#), 3/7/06)

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- **The Host Of The Fundraiser Confirmed McFarland’s Comments.** “Suffolk County Republican Chairman Harry Withers, who hosted the reception in East Islip, confirmed McFarland’s paranoid statements. ‘Yes, she said that,’ Withers told The Post.” (Fredric Dicker, “Kooky KT’s Spy Tale: Hill’s Helicopters Watching Me Rival,” [New York Post](#), 3/25/16)

McFarland’s Spokesman Insisted It Was A Joke. “McFarland spokesman William O’Reilly responded that the GOP hopeful was just kidding around with her far-fetched claims. ‘It was a joke, and people laughed,’ O’Reilly insisted.” (Fredric Dicker, “Kooky KT’s Spy Tale: Hill’s Helicopters Watching Me Rival,” [New York Post](#), 3/25/16)

Three People In Attendance Said That No One In The Audience Even “Cracked A Smile.” “But three witnesses who were present said nobody in the audience cracked a smile. ‘The whole room sort of went silent when she said it,’ one person said. ‘You could see peoples’ jaws drop after she said it. A guy next to me just turned to me and said, ‘I guess she didn’t take her Xanax today,’ the witness added.” (Fredric Dicker, “Kooky KT’s Spy Tale: Hill’s Helicopters Watching Me Rival,” [New York Post](#), 3/25/16)

Voting Records Showed McFarland Failed To Vote In A Number Of Elections And Violated New York State Election Law Repeatedly

McFarland “Failed To Vote In Numerous New York Elections” Including The 1984 Election Despite Working For Ronald Reagan “The Post reported last week that she had failed to vote in numerous New York elections and had even missed voting for President Ronald Reagan in 1984, when she claimed to be an important Reagan administration official.” (Fredric Dicker, “Kooky KT’s Spy Tale Hill’s Helicopters Watching Me Rival,” [New York Post](#), 3/25/16)

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Criminal Filings

No Records Found. (Nexis, Accessed 11/23/2016)

☐ **Criminal Filings - 0 records found**

CONTROVERSIAL POLICY STANCES/STATEMENTS

A Leaked 2005 Campaign Memo Showed McFarland Privately Describing Herself As Socially Liberal On A Number Of Issues

In A 2005 Confidential Campaign Memo, McFarland Described Herself As A Pro-Abortion, Pro-Gay Rights, Pro-Stem-Cell Research, “Social Liberal” Who Is Not A Member Of An “Evangelical” Church. “The newly announced Republican challenger to Sen. Hillary Rodham Clinton wrote a confidential memo last summer describing herself as a pro-abortion, pro-gay rights, pro-stem-cell research, ‘social liberal’ who is not a member of an ‘evangelical’ church.” (Fredric U. Dicker, “Goper’s Liberal Dose Hillary’s Foe ‘Left In Lurch By Revealing Note,” [New York Post](#) 3/7/06)

- **McFarland Specifically Wrote “I Believe In A Woman’s Right To Choose, Stem-Cell Research And Full Civil Rights For Gays. Our Family Worships In The Episcopal Church, But We Are Not Evangelical.”** “‘I believe in a woman’s right to choose, stem-cell research and full civil rights for gays. Our family worships in the Episcopal Church, but we are not evangelical,’ wrote McFarland, who announced yesterday that she would challenge former Yonkers Mayor John Spencer, an anti-abortion social conservative, in the GOP primary for the right to take on Clinton.” (Fredric U. Dicker, “Goper’s Liberal Dose Hillary’s Foe ‘Left In Lurch By Revealing Note,” [New York Post](#), 3/7/06)
- **Michael Long, The Leader Of The New York Conservative Party, Said The Memo Disqualified McFarland From Getting The Group’s Backing.** “State Conservative Party Chairman Michael Long said the memo, written when McFarland was considering taking on Democratic Rep. Carolyn Maloney (she decided not to) kills any chance McFarland may have had to win the backing of his small, but influential party.” (Fredric U. Dicker, “Goper’s Liberal Dose Hillary’s Foe ‘Left In Lurch By Revealing Note,” [New York Post](#), 3/7/06)

McFarland’s Primary Opponent Called Her An “Elitist Liberal” After The Release Of The Memo For Her Positions On Social Issues And Her Wealthy Family. “Spencer has branded McFarland an ‘elitist liberal.’ McFarland, a political neophyte who lives in Manhattan and in Southampton with her investment-banker husband, Alan McFarland the managing partner of McFarland, Dewey & Co.

bragged in the memo that she could raise large sums of campaign cash from a 'wide circle' of wealthy friends and from 'bulging Rolodexes' of potential supporters. 'Our family ZIP code, 10021, contributes more personal money to both Democratic and Republican candidates than any other ZIP code in the country,' she wrote." (Fredric U. Dicker, "Goper s Liberal Dose Hillary s Foe 'Left In Lurch By Revealing Note," [New York Post](#), 3/7/06)

John McLaughlin, The Man Who Distributed The Controversial Memo, Accused McFarland Of "Patently Dishonest" Behavior For Taking Advice From Him Under The Guise Of Her Running For The House Of Representatives And Then Running Against Spencer, Who McLaughlin Worked For.

"The Post obtained the memo from nationally known Republican strategist John McLaughlin, who said McFarland had given it to his firm as it worked to prepare her planned congressional run against Maloney. McLaughlin, who now represents Spencer, accused McFarland of engaging in 'patently dishonest' behavior by taking his advice for a congressional contest and then deciding to run against his candidate for Senate. 'Never in 25 years of this kind of work have I had something this dishonest happen to me. She wanted to run for Congress as a Manhattan liberal and now she's going to run for the Senate trying to get Conservative Party support,' McLaughlin told The Post." (Fredric U. Dicker, "Goper s Liberal Dose Hillary s Foe 'Left In Lurch By Revealing Note," [New York Post](#), 3/7/06)

In 2006, McFarland Described Herself As Favoring Abortion Rights, With Some Limitations

In 2006, McFarland Said That She Favored Abortion Rights With Some Limitations. "McFarland, 54, a Pentagon spokeswoman during Ronald Reagan's presidency, said she, like the conservative icon, favors tax cuts, smaller government and a strong defense. But she also made it clear she favors abortion rights, with some limitations." (Glenn Blain, "New Senate Candidate A 'Reagan Republican,'" [The Journal News](#), 3/8/06)

McFarland Said That She Thought Her Position Was "Where The Majority Of The Electorate Is And The Majority Of The Republican Party As Well."

"'I think I am where the majority of the electorate is and the majority of the Republican Party as well,' McFarland, who is looking to unseat Democratic Sen. Hillary Rodham Clinton, said in a telephone interview with The Journal News." (Glenn Blain, "New Senate Candidate A 'Reagan Republican,'" [The Journal News](#), 3/8/06)

McFarland Supports Parental Notification Laws And A Prohibition Of Partial-Birth Abortion.

"McFarland said she supported parental notification laws and a prohibition of what critics call 'partial-birth abortion.' But she struggled to define what sort of exceptions she would allow in a ban, a crucial legal issue in the debate." (Glenn Blain, "New Senate Candidate A 'Reagan Republican,'" [The Journal News](#), 3/8/06)

McFarland Initially Said She Would Allow Abortion To Protect The Health Of The Mother, But Walked It Back And Said She Would Support "Whatever The Legal Language Allows."

"Initially in the interview, McFarland said she would allow the procedure in order to protect the health of the mother, a less stringent exception than the one favored by many conservatives that requires the life of the mother to be at stake. But McFarland quickly backed off her statement and eventually said she supported whatever the legal language allows." (Glenn Blain, "New Senate Candidate A 'Reagan Republican,'" [The Journal News](#), 3/8/06)

- **McFarland's Spokesman Later Said She Supported An Exception To Protect The Health Of The Mother, Which McFarland Confirmed.** "Later, her spokesman, William O'Reilly, said she supported an exception to protect the health of the mother. In a follow-up interview, McFarland confirmed she supported the health exception." (Glenn Blain, "New Senate Candidate A 'Reagan Republican,'" [The Journal News](#), 3/8/06)

In 2006, McFarland Described Herself As A Moderate Republican And Part Of “The Sensible Center”

McFarland Initially Described Herself As A “Moderate Republican” And Later Said She Was Actually A “Reagan Republican.” “Perhaps reflecting her inexperience in the political world, Ms. McFarland declined to talk at length about issues, saying she was still studying the details. She described herself first as a ‘moderate Republican’ and then as a ‘Reagan Republican.’ She refused to say how she would have voted on the Iraq war, but added that she believed more American troops should have been deployed.” (Patrick Healy, “Clinton Challenger Pulled From Reagan Era Hat,” [The New York Times](#) 3/3/06)

McFarland Said She Was A Part Of “The Sensible Center.” “‘I am in the sensible center’ she says, referring to Republicans like Mayor Giuliani and Senator McCain as contemporary political heroes, showing why some party leaders feel she would be able to build the broadest coalition in a campaign.” (John Avlon, “USNA Mom And Senator?,” [New York Sun](#), 3/3/06)

In 2015, McFarland Wrote An Opinion Piece Claiming There Were “No-Go Zones” In Europe

In A Fox Opinion Piece, McFarland Said That There Are Certain Muslim Neighborhoods In Europe Called “No-Go Zones” Which Are Governed By Sharia Law. “There are large neighborhoods in the Paris suburbs called No-Go Zones, where non-Muslims dare not enter. There are similar areas in cities throughout Europe. They are microstates within states, governed by Shariah law, where young people go to madrassas instead of public schools.” (K.T. McFarland, Opinion, “Europe, US Must Confront Homegrown Islamic Terror Threat Before More Die,” [Fox News](#) 1/9/15)

In 2006, McFarland Dodged On Whether She Would Have Voted For The Iraq War

In 2006, When Asked If She Would Have Supported The Iraq War Authorization, McFarland Dodged And Said “Let Historians Debate Whether We Were Right Or Wrong.” MSNBC’S CHRIS MATTHEWS: “He ran three times before he won. He was a courageous guy. You may have to run a few times too to get elected. Let me ask you about a tough one. Hillary Clinton supported the authorization to go to war in 2002, would you have done that?” K.T. MCFARLAND: “Look, I wasn’t there at the time, I didn’t see the intelligence reports. I certainly look at something like any commitment of force overseas and I try to judge it by something that I was involved with in the Reagan administration.” MATTHEWS: “Well, do you think -- let me ask you this, because you’re an expert on Ronald Reagan. Do you think Ronald Reagan would have invaded Iraq? I don’t think so. That’s my opinion.” MCFARLAND: “Well, I don’t...” MATTHEWS: “As an observer of watching him for a long time. Do you think Ronald Reagan would have invaded Iraq? Do you think Bill Clinton would have invaded Iraq? Do you think Dwight Eisenhower, John Kennedy would have invaded Iraq? You’re an expert on foreign policy. I’m just asking you to use your expertise. Would any other president besides this one and Dick Cheney have taken that step? That’s all I’m asking?” MCFARLAND: “I don’t know. My point is -- let historians debate whether we were right or wrong. Let go forward.” (MSNBC’s “Hardball With Chris Matthews,” 3/6/06)

In 2006, McFarland Said That Enhanced Interrogation Techniques Were “One Of The Reasons” The U.S. Had Not Seen Another Terrorist Attack

In 2006, When Asked If She Was “Happy With Things Like Waterboarding” McFarland Dodged The Issue, But Said Enhanced Interrogation May Be The Reason The U.S. Had Not Seen A Terrorist Attack Since 2001. MSNBC’S CHRIS MATTHEWS: “Why is this president forever led around by the civilians, the ideologues on issues like this and not by the military people who have to fight the wars? Why does he always lean over in that direction to the ideologues and the tough guys against people like McCain and people like Warner and Lindsey Graham who have had experience with the military,

especially in the legal area?" K.T. MCFARLAND: "I think that that's a bit unfair." MATTHEWS: "It is?" MCFARLAND: "You know, the president's responsibility is to keep the United States and the American people safe. And after the attacks five years ago, it put us in a whole different world. We have a different adversary. We don't know who is adversary is. You know, in the Cold War, we knew who our enemy was. We knew what he looked like. We knew what kind of weapons he had. We knew how he would fight us. Well, all of those things have changed, so I give the president enormous credit for having adjusted to this new world and taken decisive action which none of his predecessors were willing to do." MATTHEWS: "So you are happy with things like waterboarding?" MCFARLAND: "I didn't say that. I said ..." MATTHEWS: "Well, in effect you did. You said his methods are fine with you." MCFARLAND: "I think that the compromise that they've agreed to is very important, and I think it's very useful going forward. The problem that we had is after September the 11, we had no idea who terrorists were. We had no idea where they were from. All we knew -- and if you'll remember, five years ago we were all very convinced that there would be another terrorist attack almost immediately. Well, there hasn't been, and I think one of the reasons there hasn't been is that we've taken decisive action in interrogating prisoners." (MSNBC's "Hardball With Chris Matthews," 9/21/06)

In 2006, McFarland Said The U.S. Should Exhaust "Diplomatic Avenues" Before Taking Military Action Against Iran

In 2006, When Asked If The U.S. Should Take Military Action On Iran Developing Nuclear Weapons, McFarland Said That The U.S. Should Exhaust "Diplomatic Avenues" First. CNBC'S CHRIS MATTHEWS: "You're a delightful candidate, you'll probably do well in this uphill battle as the underdog. I have to ask you this question. You must answer this one. You can't foul this one off. We have a situation if Iran right now where the Iranian government for reasons of national pride or zealotry or Islamic whatever, wants to have some kind of nuclear capability, for whatever purpose. We could only read their minds, they probably want it for a bad purpose. The president won't even let them develop for peaceful reasons working with the Russians to keep them honest. He doesn't trust that deal. Do you think we should take any military action to stop that?" K.T. MCFARLAND: "Well, you clearly don't want to put anything off of the table right now. Iran to me and the potential nuclearization of Iran is probably the single biggest national security problem we face going forward, because if Iran develops nuclear weapons, I think it's highly likely that Saudi Arabia will seek to develop its own nuclear weapons and before you know it, we could have a nuclear arms race in the Middle East, which threatens Israel, which the Middle East, which threatens us and the world, so that's something that we need to address very carefully, very soberly, and with a full range of options before us. Now, I don't think that we've even begun to exhaust the diplomatic avenues that we could take. This is the Iranian nuclear situation, it's something the United Nations is currently considering. I think that if -- whatever we do in the Middle East, with regard to Iran and nuclear weapons, we need to do it in concert with allies and with other powers who have influence over Iran. But I would agree with you, that it is probably going forward, the single most difficult to solve problem that the United States will face." (MSNBC's "Hardball With Chris Matthews," 3/6/06)

In 2006, McFarland Said Law Enforcement Should Have The Ability To Monitor U.S. Citizens

McFarland's 2006 Senate Campaign Website Said That "Law Enforcement Officials" Should Have The "Right To Monitor Suspects, Both Foreign And Domestic." "KT McFarland understands that, ultimately, our best safeguard is preventing terrorists from entering or operating in the United States in the first place. Diligent law enforcement personnel no doubt already have prevented unknown numbers of al Qaeda operatives from entering the U. S. in recent years, and they have broken up al Qaeda and Hezbollah cells operating in several American cities. In an age of dirty bombs and bio-technology, KT will insist that these law enforcement officials must be given every tool available to safeguard American

citizens from attack. That includes the right to monitor suspects, both foreign and domestic.” ([KT McFarland For US Senate](#) Accessed 11/23/16)

Energy Policy In Relation To US Foreign Policy

McFarland’s 2006 Senate Campaign Website Said “Relief From Dependence On Foreign Energy And National Security Are One And The Same” To Keep America Safe. “To KT McFarland, relief from dependence on foreign energy and national security are one and the same. America is made vulnerable by its reliance on fuels from other nations, which could cripple our economy with the turn of a valve. KT will be a strong supporter of alternative energy technologies to make America self-sufficient, environmentally cleaner and more secure from foreign influence.” ([KT McFarland For US Senate](#), Accessed 11/23/16)

In 2006, McFarland Supported Stricter Lobbying Rules

McFarland’s 2006 Senate Campaign Website Said That She Would “Support Stricter Lobbying Rules” And Would “Lead This Area By Example.” “KT McFarland believes that serving in public office is the highest privilege an American can be given. And as such, public office holders have a solemn obligation to the people who elected them to conduct themselves with the highest degree of integrity and honor. KT McFarland will support stricter lobbying rules in Washington, but more importantly, she will lead in this area by example. There are those in Washington constantly seeking loopholes in laws governing conduct.” ([KT McFarland For US Senate](#), Accessed 11/23/16)

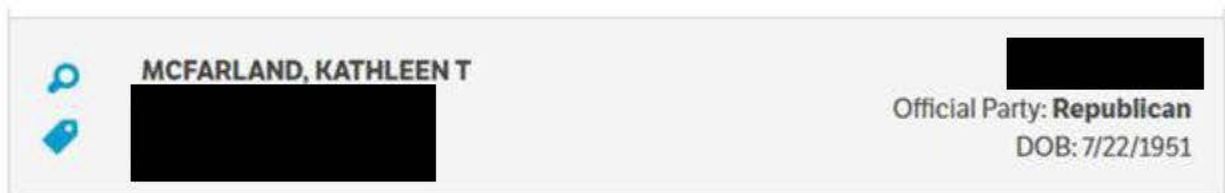
In 2006, McFarland Supported Lower Taxes And Restraining Spending

McFarland’s 2006 Senate Campaign Website Said She Opposed The Death Tax, Supported Simplifying The Tax Code, And Supported Pay-As-You Go Federal Spending. “KT McFarland is a fiscal conservative who believes the federal government must make hard choices about resource allocation and must stop piling mountains of debt on our children and grandchildren. KT believes in smart, fair and targeted tax cuts to spur the economy and create jobs. She supports simplification of the overly-complex federal tax code and elimination of the dreaded ‘death tax’, which hits New York families disproportionately hard. KT is a strong proponent of pay-as-you-go federal spending. She believes we must stop mortgaging our nation’s future.” ([KT McFarland For US Senate](#) Accessed 11/23/16)

POLITICAL ACTIVITY

Political Affiliation

According To GOP Data Center, Kathleen McFarland Is Listed As A Registered Republican Voter In Suffolk County, New York. According To Nexis, Kathleen McFarland Is Listed As A Registered Republican Voter In Suffolk County, New York. ([GOP Data Center](#), Accessed 11/23/16 Nexis, Accessed 11/23/2016)



The image shows a voter registration record for Kathleen T. McFarland. On the left, there are two blue location pin icons. The name "MCFARLAND, KATHLEEN T" is displayed in bold black text. Below the name is a large black redaction box. To the right of the name is another black redaction box. Below the redaction boxes, the text "Official Party: Republican" and "DOB: 7/22/1951" is visible.

4: New York Voter Registration	
Registrant Information	
Name:	MC FARLAND, KATHLEEN T
Residential Address:	[REDACTED]
SSN:	[REDACTED]
Date of Birth:	7/1951
Gender:	Female
Voter Information	
Registration Date:	10/2/1996
Last Vote Date:	11/4/2014
Party Affiliation:	REPUBLICAN
Active Status:	ACTIVE

POLITICAL DONATIONS

Republican Donations

According To The Center For Responsive Politics, McFarland Donated A Total Of \$4,300 To John McCain In 2008. ([Center For Responsive Politics](#), Accessed 11/23/2016)

Contributor	Occupation	Date	Amount	Recipient
MCFARLAND, KATHLEEN TROIA MS [REDACTED]	COLUMNIST	6/30/08	\$2,000	McCain, John (R)
MCFARLAND, KATHLEEN T MS [REDACTED]	MEDIA COMMENTATOR COLUMNIST	3/6/08	\$4,600	McCain, John (R)
MCFARLAND, KATHLEEN T MS [REDACTED]	MEDIA COMMENTATOR COLUMNIST	3/6/08	\$-2,300	McCain, John (R)
MCFARLAND, KATHLEEN T MS [REDACTED]	MEDIA COMMENTATOR COLUMNIST	3/6/08	\$2,300	McCain, John (R)
MCFARLAND, KATHLEEN T [REDACTED]		4/28/08	\$-2,300	McCain, John (R)

McFarland Donated A Total Of \$375 To Sue Kelly (R-NY19) In 2006. ([Center For Responsive Politics](#), Accessed 11/23/2016)

MCFARLAND, KATHLEEN TROIA MS [REDACTED]	NOT EMPLOYED	4/1/06	\$375	Kelly, Sue (R)
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Democrat Donations

No Relevant Records Found. ([Center For Responsive Politics](#), Accessed 11/22/16)

BUSINESSES/ASSOCIATIONS

Lobbying

No Records Found. ([Center For Responsive Politics](#), Accessed 11/22/16)

You searched for:

Lobbyist Name: McFarland, Kathleen

No Records Found

Please [refine your search](#) or [perform a new search](#).

Revolving Door

No Records Found. (Center For Responsive Politics, Accessed 11/22/16)

Revolving Door : Search Results

No records found. Please, go back to the [search page](#) and try again.

Bankruptcy

No Records Found. (Lexis, Accessed 11/23/16)

Bankruptcy Information - 0 records found

Liens

No Records Found. (Lexis, Accessed 11/23/16)

Judgments/Liens - 0 records found

UCC Liens - 0 records found

McFarland Was Described As A "Protégé" Of Henry Kissinger, But That Claim Has Been Disputed

McFarland Served As An Aide To Kissinger On The NSC Staff From 1970 – 1976. "Ms. McFarland held national security posts in the Nixon, Ford and Reagan Administrations: as an aide to Dr. Henry Kissinger on the NSC Staff (1970-76);" ("KT McFarland," [Young America's Foundation](#), Accessed 11/23/16)

During Her Run For Senate In 2006, McFarland Was Described As "A Protégée Of Henry A. Kissinger. "Those Republican critics are now coalescing around a late entry: Kathleen Troia McFarland, 54, a protégée of Henry A. Kissinger who has not been in public service since working as a Pentagon spokeswoman under President Ronald Reagan." (Patrick Healy, "Clinton Challenger Pulled From Reagan Era Hat," [The New York Times](#) 3/3/06)

McFarland Has Praised Kissinger, Calling Him "Brilliant" In An Op-Ed Written For Fox News. "Nixon had won the Presidency in 1968 as the anti-war candidate - the man who had a plan to end the Vietnam War. But Nixon, and his brilliant National Security Adviser Dr. Henry Kissinger, knew that to do so they would have to cut off North Vietnam's supply chain, which came from the Soviet Union through China." (KT McFarland, Op Ed, "Memories Of Nixon 1969 And Beyond," [Fox News](#) 7/16/09)

The New Yorker Disputed McFarland’s Claim That She Was A Member Of Kissinger’s “Influential” NSC Staff, Saying “In Large Part, She Kept The Man’s Files.” “KT could type fast. She was hired as a secretary on the night shift in Henry Kissinger’s office. That she typed the president’s confidential daily briefing mightily impressed Erie County Republican Party chairman Bob Davis, who blustered at the state convention, ‘Folks, this is one serious lady!’ Kissinger was making secret overtures to the Chinese; intrigued, KT majored in Chinese studies. Her campaign literature peddles her as ‘a member of Henry Kissinger’s influential National Security Council staff’ early on, the New York Times was calling her Kissinger’s ‘protégée.’ But in large part, she kept the man’s files.” (Phoebe Eaton, “Grand Old Class War,” [The New Yorker](#), 7/3/07)

McFarland Is A Client Of Keppler Speakers

McFarland Is Listed As A Client For Keppler Speakers On Their Website. “Why KT McFarland? High profile media contributor, appearing regularly on FOX News and FOX Business News. Her vision for an ‘American Awakening’ serves as a powerful call to action regarding national ingenuity, entrepreneurship and greater domestic opportunities. National security expert who discusses critical issues facing the U.S., including cybersecurity threats, global unrest and nuclear proliferation.” (“Speaker KT McFarland,” [Keppler Speakers](#), Accessed 11/23/16)

According To Her Website, Keppler Handles “Conference, Speeches, And Personal Appearances” For Her. “For conferences, speeches and personal appearances: Dustin L. Jones / Vice President, Keppler Speakers” ([KTMcFarland](#) Accessed 11/23/16)

Foundation For Defense Of Democracies

McFarland Is A Distinguished Advisor To The Foundation For Defense Of Democracies. “She is a board member of The Jamestown Foundation, a Distinguished Advisor to the Foundation for Defense of Democracies, and a life member of the Council on Foreign Relations.” ([The Jamestown Foundation](#), Accessed 11/23/16)

Jamestown Foundation

McFarland Is On The Board Of The Jamestown Foundation. “She is a board member of The Jamestown Foundation, a Distinguished Advisor to the Foundation for Defense of Democracies, and a life member of the Council on Foreign Relations.” ([The Jamestown Foundation](#), Accessed 11/23/16)

Council On Foreign Relations

McFarland Is A Lifetime Member Of The Council On Foreign Relations. “She is a board member of The Jamestown Foundation, a Distinguished Advisor to the Foundation for Defense of Democracies, and a life member of the Council on Foreign Relations.” ([The Jamestown Foundation](#) Accessed 11/23/16)

American Conservative Union

McFarland Is A Senior Fellow Of The American Conservative Union. “Ms. McFarland Is A Senior Fellow Of The American Conservative Union.” (“KT McFarland,” [American Conservative Union](#) Accessed 11/23/16)

- **The ACU, Including Members Of The Board, Clashed With Trump Previously During Negotiations Over Trump’s Proposed Speech To CPAC.** “Amid criticism of the relationship with CPAC’s organizers, Trump also bristled at their insistence that he answer questions from a journalist of their choosing onstage after his speech, according to sources familiar with the planning. They said that internal discussions focused on CNN’s chief political correspondent Dana Bash, who was scheduled to interview Trump’s rival Marco Rubio after his Saturday afternoon speech (a CNN source said neither Bash nor the network was approached about interviewing

Trump). Trump’s campaign ‘refused to accept the terms and they asked us to change the terms to allow him to give longer remarks” said Matt Schlapp, chairman of the American Conservative Union, the group that sponsors CPAC. ‘And we said no to that.’ An ACU board member added that Trump ‘didn’t want to answer questions, and he decided he was the frontrunner so he didn’t need to answer them, or even to show up.’” (Kenneth Vogel, “Behind Trump’s CPAC Deal Gone Bad,” [Politico](#), 3/4/16)

Off The Record Lecture Series

According To Her Website, McFarland Is A Board Member For The Off The Record Lecture Series. “She is a board member of The Jamestown Foundation, the Off the Record Lecture Series, a Distinguished Advisor to the Foundation for Defense of Democracies, and a life member of the Council on Foreign Relations.” ([KTMcFarland](#) Accessed 11/23/16)

McFarland’s Husband Is A Founding Member Of The Investment Group McFarland Dewey & Co.

K.T.’s Husband, Alan McFarland, Is A Founding Member Of McFarland Dewey & Co. “She is married to Alan Roberts McFarland, founding member of McFarland Dewey & Co.” (“Board Of Directors,” [The Jamestown Foundation](#), Accessed 11/23/16)

McFarland Dewey & Co. Was An Investment Banking And Advisory Firm That Worked With A Range Of Corporations And Government Agencies. “McFarland Dewey & Co. offers investment banking and financial advisory services to public and private companies, multinational corporations, and government agencies. The company provides corporate transitions, merger and acquisitions, corporate finance, divesture, debt and equity financing, fairness opinions, and valuation advisory services. It focuses on healthcare, consulting, Internet, industrial and consumer products, office products, telecommunication, media, and technology companies.” (“Board Of Directors,” [The Jamestown Foundation](#) Accessed 11/23/16)

According To The Financial Industry Regulatory Authority, McFarland Dewey & Co. Is No Longer A Licensed Operating Investment Firm. (“McFarland Dewey Securities Co., LLC,” [FINRA](#) Accessed 11/23/16)

MCFARLAND DEWEY SECURITIES CO., LLC (CRD# 25768 / SEC# 8-41974)

[Detailed report \(PDF\)](#)

- Not Licensed
- 0 Disclosure(s)
- This firm was formed in Delaware on 11/15/1989. This firm is classified as a Limited Liability Company.
- This brokerage firm is no longer registered with FINRA or a national securities exchange.

Alan McFarland Is On The Board Of Directors At A Firm That Has Been Accused Of Human Rights Violations

Since 2006, Alan McFarland Has Been A Member Of The Board Of Directors At Excellon Resources. (Alan R. McFarland, [Bloomberg](#), Accessed 11/23/16)

In 2013, Excellon Resources Was Accused Of Human Rights Violations And Breaches Of Contracts At A Silver-Lead-Zinc Mine In Mexico, A Charge The Company Denied. “Accusations of human rights violations and breaches of contract at Toronto-based Excellon Resources’ (TSX: EXN) La Platosa silver-

lead-zinc mine in Durango state, Mexico, are 'absolutely baseless and unsubstantiated,' CEO Brendan Cahill told BNamericas. The company was accused of 'systematic violations of human rights' of members of the local landowners group, Ejido La Sierrita, and of breaking clauses of land access agreements, according to a press release from local NGO Prodesc. Members of the ejido are also planning to attend Excellon's AGM in Toronto on April 30, Prodesc added, to 'reveal bad practices' at La Platosa and put forward three demands to the company. The demands are that Excellon provide information on all meetings with government officials, including the reason for and outcome of the meetings, and that it appoints an external, impartial official with knowledge of human rights to the board to monitor the situation. The ejido also called on Excellon to 'guarantee' the separation of the company's board and management. But the allegations of human rights abuses 'don't point to any specifics,' Cahill said, and are 'absolutely baseless and unsubstantiated.' Excellon is also 'fully in compliance' with contractual agreements, he added." ("Human Rights Accusations At La Platosa Are 'Absolutely Baseless' Excellon CEO", [Business News Americas](#) 4/8/13)

Exellon Was Accused Of "Illegally Occupying Lands, Affecting The Water Supply And Denying Workers The Right To Unionize." "Known as the 'Extractive Sector Corporate Social Responsibility Counsellor,' its role, as an institution reporting to the Department of Foreign Affairs and International Trade, is 'to communicate the Government of Canada's expectations regarding corporate conduct, assist companies and stakeholders in the resolution of disputes related to the corporate conduct of Canadian extractive companies (mining, oil and gas) abroad, and assist with the implementation of CSR performance standards.' The office has a \$650,000 annual budget. Unfortunately, when it comes to dispute resolution, the counsellor's hands are tied. Marketa Evans, the first director of the Munk Centre for International Studies - built at the University of Toronto and maintained with \$41 million in grants from Barrick Gold founder Peter Munk - was appointed CSR Counsellor in 2010. But the government's mandate only gives it the power to investigate complaints as long as the mining company in question chooses to cooperate. So far, two out of three files the counsellor has opened pertain to mining operations in Latin America, and in both cases, investigations came to an abrupt end when the company refused to participate. The first involved an Excellon Resources mine in Durango, Mexico, where the company was accused of illegally occupying lands, affecting the water supply and denying workers the right to unionize." (Catherine Solyom, "Conflicts Surrounding Canadian Mines A Serious Problem", [The Montreal Gazette](#), 12/17/12)

Alan McFarland Served As A Director At Placer Dome, Inc. When The Firm Was Investigated By The SEC

From 1987 To 2006 Alan McFarland Served As Director of Placer Dome Inc. "He served as a Director of Placer Dome Inc. from 1987 to 2006." (Alan R. McFarland, [Bloomberg](#), Accessed 11/23/16)

In October 2006, The SEC investigated A Possible Insider Trading Scheme When Barrick Gold Corp. Made An Unsolicited Bid Of \$9.2 Billion For Place Dome Inc. "The Securities and Exchange Commission is investigating whether insiders had confidential information when they bought Placer Dome Inc. securities days before Barrick Gold Corp. made an unsolicited \$9.2-billion bid for the company." ("Briefly," [Bloomberg](#), 11/03/16)

After The Merger Between Placer Dome And Barrick Gold Corp., Alan McFarland Was Among The Directors That Resigned From The Firm. "Those resigning included Bernard Coulombe, Graham Farquharson, David Karpin, Alan McFarland, E.A. Marcoux, Clive Mather, Vernon Taylor and William Wilson." ("Placer s Top Execs Take Hike," [Elko \[Nevada\] Daily Free Press](#), 1/20/06)