

Rudy Giuliani

BACKGROUND

Personal Information

Rudolph William Giuliani

[REDACTED]

[REDACTED]

Education

Manhattan College

Bronx, NY 10471

New York University School Of Law

New York, NY 10012 ("Speaker Bio Rudy Giuliani," [Washington Speakers Bureau](#) Accessed 11/19/16)

Work Experience

2001 – Present: Giuliani Partners Founder, Chairman And Chief Executive Officer ("Company Overview Of Giuliani Partners," [Bloomberg](#) Accessed 11/19/16)

2016: Greenberg Traurig Partner ("Rudolph Giuliani to Join Greenberg Traurig Law Firm," [The New York Times](#), 1/19/16)

2005 – 2016: Bracewell & Giuliani Partner (Sara Randazzo, "Bracewell & Giuliani is Losing ... Giuliani," [The Wall Street Journal](#), 1/19/16)

January 1994 – December 2001: New York City Mayor

1990 – 1993: Anderson, Kill & Olick Partner

1989 – 1990: White & Case Partner (David Selfman, "EXCLUSIVE RUDY'S NEW JOB," [New York Post](#), 11/17/01)

1983 – 1989: Department Of Justice – U.S. Attorney For The Southern District Of New York

1981 – 1983: Department Of Justice Associate Attorney General

1977 – 1981: Patterson, Belknap, Webb And Tyler Attorney

1975 – 1977: Department Of Justice Associate Deputy Attorney General And Chief Of Staff To The Deputy Attorney General

1970 – 1975: Office Of The U.S. Attorney For The Southern District Of New York Chief Of The Narcotics Unit, Executive U.S. Attorney, And Chief Of Public Corruption Cases ("Giuliani," [Giuliani Security And Safety](#) Accessed 11/19/16)

Political Affiliation

According To GOP Data Center, Rudy Giuliani Is Listed As A Registered Registered Republican Voter In New York, NY. According To Nexis, Rudy Giuliani Is Listed As A Registered Registered Republican Voter In New York, NY. (GOP Data Center and Nexis, Accessed 11/19/16)

Political Contributions

According To Open Secrets, Rudy Giuliani Has Donated To Thirteen Republican Campaigns And Committees. ([Center For Responsive Politics](#), Accessed 11/19/16)

Contributor	Occupation	Date	Amount	Recipient
GIULIANI, RUDOLPH [REDACTED]	ANDERSON KILL OLICK & OSHINSKY	5/20/92	\$1,000	Green, Bill (R)
GIULIANI, RUDOLPH [REDACTED]	ANDERSON, KILL, OLICK	10/18/91	\$1,000	Thornburgh, Dick (R)
GIULIANI, RUDOLPH [REDACTED]	WHITE & CASE	2/2/90	\$500	Molinari, Susan (R)
GIULIANI, RUDOLPH [REDACTED]		11/14/91	\$1,000	Bush, George (R)
GIULIANI, RUDOLPH HON [REDACTED]	GIULIANI PARTNERS	6/18/09	\$2,400	Guinta, Frank (R)
GIULIANI, RUDOLPH W [REDACTED]	BRACEWELL & GIULIANI	4/25/15	\$2,700	Donovan, Dan (R)
GIULIANI, RUDOLPH W [REDACTED]	GREENBERG TRAURIG	2/24/16	\$2,700	Ryan, Paul (R)
GIULIANI, RUDOLPH W MR [REDACTED]	BRACEWELL & GIULIANI	4/23/15	\$2,600	McCain, John (R)
GIULIANI, RUDOLPH HON [REDACTED]	GIULIANI PARTNERS	6/30/04	\$2,000	Mills, Howard (R)
GIULIANI, RUDOLPH W NEW YORK, NY	ANDERSON KILL OLICK & OSHINSKY	11/2/90	\$250	Koepfel, William Wilke (R)
GIULIANI, RUDOLPH W MR [REDACTED]		6/25/15	\$1,000	Republican National Cmte (R)
GIULIANI, RUDOLPH W [REDACTED]	GREENBERG TRAURIG	2/24/16	\$2,700	Ryan, Paul (R)
GIULIANI, RUDOLPH W [REDACTED]	GREENBERG TRAURIG	3/31/16	\$9,600	National Republican Congressional Cmte (R)

Lobbying

No Records Found

Your Search Results

To view the filing details, please click on a row in the search results. The filing details will open in a new browser window. You may also refine your search or perform a new search. For a description of the search results grid functionality, click here.

You searched for:

Lobbyist Name: giuliani, rudolph

Your Search Results

To view the filing details, please click on a row in the search results. The filing details will open in a new browser window. You may also refine your search or perform a new search. For a description of the search results grid functionality, click here.

You searched for:

Lobbyist Name: giuliani, rudy

Search Results

No lobbying income or spending found.

Historical List of All 15,214 Registrants for All Countries/Locations as of 11/19/2016

Historical List of All Registrants for All Countries/Locations as of 11/19/2016 = 15,214

Row text contains 'giuliani'

No data found.

Revolving Door

No Records Found

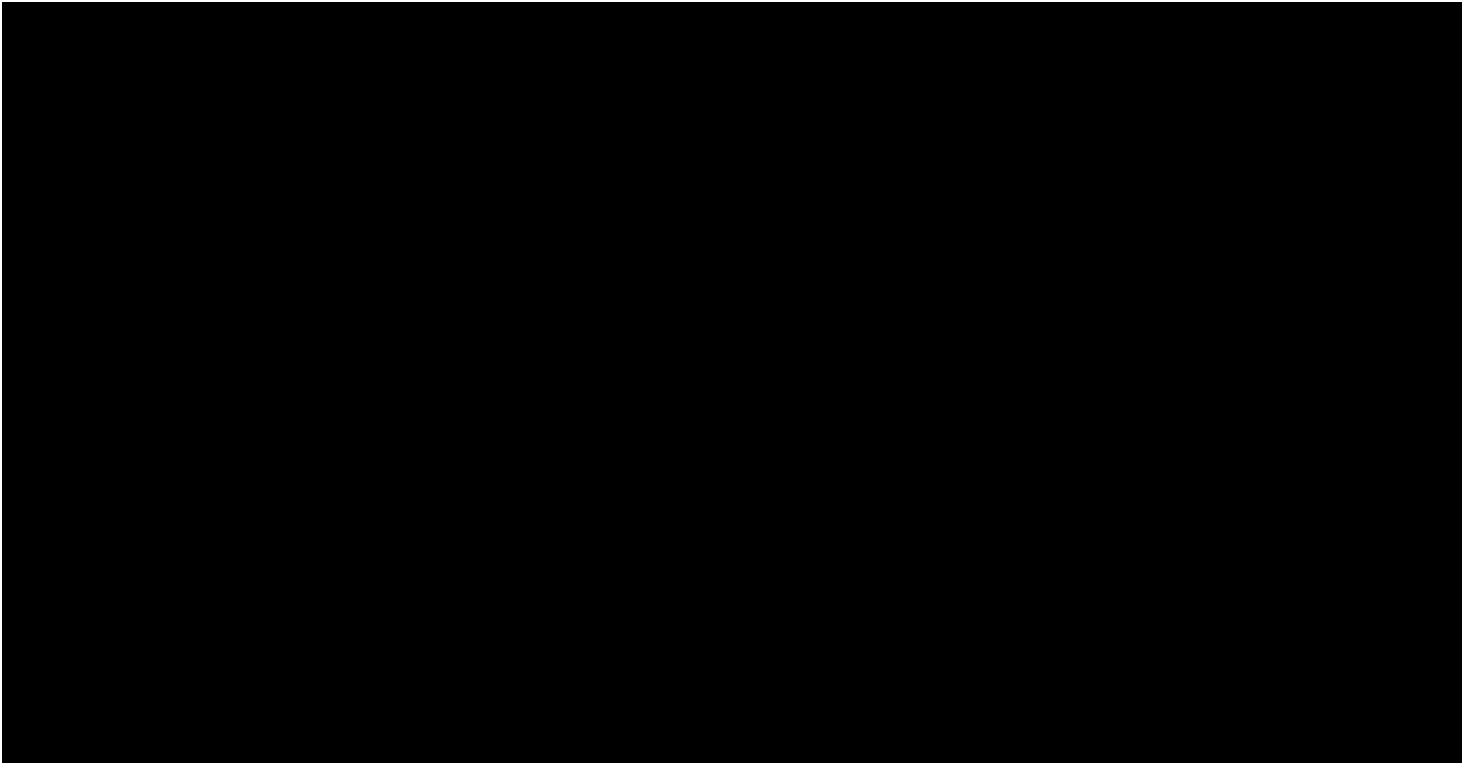
Revolving Door : Search Results

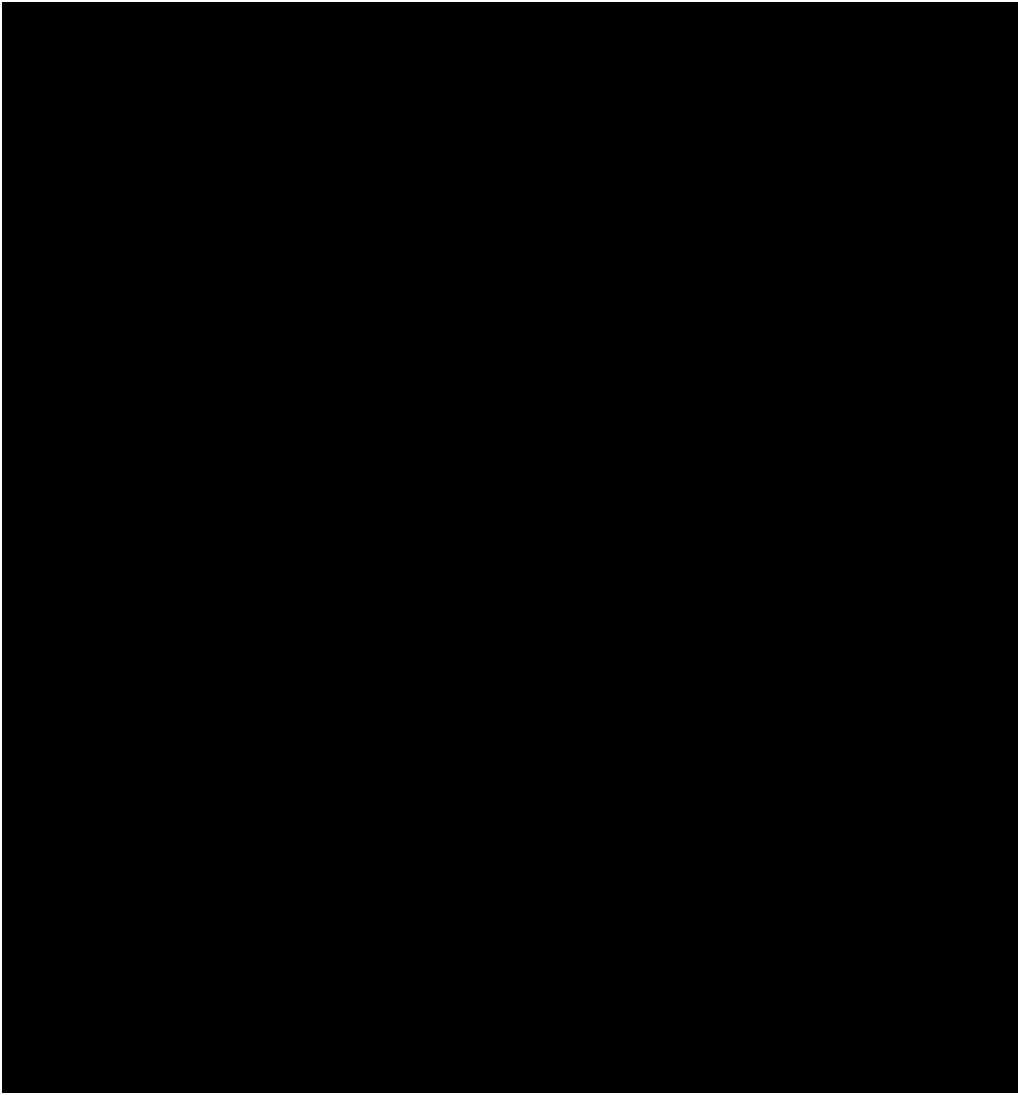
No records found. Please, go back to the [search page](#) and try again.

Bankruptcy

No Records Found

Bankruptcy Information - 0 records found





Criminal Filings

No Records Found

☐ **Criminal Filings - 0 records found**

Social Media

Facebook N/A

[Twitter](#)

[LinkedIn](#) (Run By Presidential Campaign)

Instagram N/A

QUESTIONS FOR RUDY GIULIANI

Political Vulnerabilities

Disclosure Refusals

Background: Giuliani has built a lucrative consulting, legal, and speechmaking career since leaving City Hall. His firm, Giuliani Partners, has had contracts with the government of Qatar and the Canadian company that is building the Keystone XL oil pipeline. Giuliani has given paid speeches to a variety of

groups, including an Iranian opposition group that until 2012 was on the State Department's list of foreign terrorist organizations.

- **Will you agree to privately release to the transition team a full list of every client you have served as a paid consultant for?**
 - **Will you provide the dates for when you consulted with each client?**
 - **Will you provide the amount you were paid for each consulting client?**
- **Will you agree to privately release to the campaign a full list of every paid speech you have given and who paid you to give each speech?**
 - **Will you provide the dates for each speech?**
 - **Will you provide the name of each entity that paid for a speech?**
- **Will you agree to privately release to the campaign a full list of law and legal clients since finishing your time as the Mayor?**
 - **Will you provide the dates for when your legal services were attained?**
 - **Will you provide the name of each organization and individual who acquired your legal services?**
- **Will you make any or all of information available to the President's team so that proper accountability measures can be established?**

Business Conflict of Interests

Background: Many conflict of interest allegations have been levied against Giuliani for his plethora of business connections.

- **Given overlap in previous cases between your client and the relevant government agency, how would you reassure the American people that you are not giving former clients preferential treatment?**
- **Will you agree to cease any and all ongoing business relationships during the time that you could potentially serve in the administration?**

Work With Foreign Entities

Background: Giuliani has given paid speeches to foreign entities and has an extensive array of foreign clients.

- **How would you respond to those who say that your receiving of money from foreign entities for paid speeches generates the same questions of conflict of interest that hounded Hillary Clinton?**
- **Are there any potential conflicts of interest with respect to foreign business operations or relationships that we should be aware of?**
- **Will you agree to cease any ongoing or existing relationships with non-U.S. entities?**

Eastern European Business Conflicts

Background: Many of Giuliani's law practices have done extensive work in Eastern Europe, specifically Kazakhstan and Russia.

- **Given the criticism surrounding Mr. Trump's praise of Vladimir Putin and your extensive business ties in Eastern Europe, how would you avoid being seen as in the pocket of Eastern European interests?**

Bernard Kerik

Background: Giuliani's close friend and colleague Bernard Kirk has been involved in a multitude of scandals, ranging from tax fraud to corruption in NYPD.

- **Do you see Bernard Kerik having an official role in your department, and if so how would you deal with the criticism surrounding scandals he has been involved in in the NYPD and improper dealings with foreign entities.**
- **Would your participation in the administration be contingent upon Kerik's ability to participate as well?**

[REDACTED]

[REDACTED]

[REDACTED]

Diplomatic Decorum

Background: As Mayor of New York Giuliani infamously kicked PLO leader Yasser Arafat out of a dinner and refused to invite Cuban dictator Fidel Castro to dinner, both of which were criticized as breaches of international diplomacy.

- **A significant aspect of being the lead diplomat for the United States is the preservation of decorum and respect in dealing with foreign leaders. In 1995 you had two incidents with Yasser Arafat and Fidel Castro that were perceived as breaches in decorum. How would you reconcile personal or even professional differences with foreign leaders to maintain decorum and preserve respect for the United States?**

Policy Questions

Generic Lead Diplomat

- **As lead diplomat, which diplomatic tool would you utilize most in your interactions with other states on behalf of the United States?**
- **What do you view as the biggest issues the next SOS should address on behalf of the President?**

Iran Nuclear Deal Policy

Background: Mr. Trump has criticized the Iranian Nuclear Deal on and the deal is extremely unpopular among the certain constituencies.

- **Mr. Trump has criticized the Iranian Nuclear Deal frequently, as lead diplomat what would your objectives be in establishing a new arrangement to ensure that Iran does not acquire a nuclear weapon?**
- **There has been intense pressure domestically to restore sanctions against Iran, but the lifting of sanctions has thus far been the only compelling incentive to bring Iran to the table.**

Would you utilize a different tool to achieve negotiations with Iran or would you advocate for increased sanctions?

- **Given that Iranian leaders have made hostile comments against Israel, how would you ensure that our Israeli allies are reassured that we are keeping their best interests in mind when dealing with Iran without the appearance that the United States is beholden to Israeli interests in the eyes of your Iranian counterparts?**

Syria Policy

Background: The current conflict in Syria is considered to be the most dramatic convergence point of ongoing American military engagements and Russia's foreign policy. Mr. Trump's strategy and policy towards Syria, and the greater Middle East will be vital to the sustainability of several foreign states.

- **A major point of contention between the United States and Russia with regards to objectives in Syria has been the continued leadership of Basar Al-Assad. Obama's red line policy heightened these tensions, but his failure to act made him look weak. Would you advocate to remove Assad from power as is consistent with current U.S. foreign policy or would you try to work with him to restore stability in Syria?**
- **Russia and the United States infamously were able to work together to dismantle Syria's chemical weapons. What other areas do you see such cooperation in Syria occurring between our two countries despite significant underlying issues?**
- **Do you believe that the ongoing civil war and instability in Syria is a compelling interest of United States foreign policy?**

ISIS

Background: Mr. Trump has been intentionally vague in his plan to address ISIS and as a result the SOS role may have an extremely important public facing role in the conflict.

- **As Secretary of State, what role do you see yourself having in facilitating international cooperation to combat ISIS globally?**
- **What do you see as the areas of highest risk of being a threat to ISIS, and how would you work with international partners to secure these areas?**

Russia Policy

- **The New START Treaty, which came into effect in 2011, is set to expire in 2021 which means that the groundwork for future negotiations should begin during this administration. What steps can be taken over the next four years to lay the best foundation for these talks, and what do you see as the overarching objective of these discussions?**
- **Russia has recently made aggressive moves against our NATO allies, prompting fears that the United States will not live up to its obligations under the treaty to treat an attack on any ally as an attack on itself. What diplomatic reassurances would you offer our partners, and how would you work with Russia to de-escalate tensions in Europe?**
- **Mr. Trump's relationship with Russian President Vladimir Putin has been a source of intense criticism during the election cycle. How would you ensure that the diplomatic relationship between the United States and Russia improves without ceding ground to Russia or appearing weak?**

China Policy

Background: Mr. Trump has taken a harsh stance on China as a future economic partner with the U.S. and has indicated he may ignore Asian allies in the near term. The SOS will be retooling the Clinton-Obama “asia pivot” that the American people rejected.

- **The United States recently announced that it will deploy THAAD anti-missile technology in South Korea to defend our Asian allies from North Korea. This declaration angered China, which has threatened to take countermeasures should THAAD be actively deployed. How would you effectively balance the need to protect our Asian allies with the fear that China will react adversely?**
- **China has made aggressive land-grabbing moves in the South China Sea, even going so far as to create artificial islands to claim more contested waters. International rulings have gone against China’s actions and claims, to no avail since they are not enforceable. What coalitions would you create, or negotiations would you hope to facilitate with China, to counter this threat?**
- **Mr. Trump’s threat to slap large tariffs on Chinese imports could prompt China to shut off market access and raise tariffs on American imports. How do you foresee upholding Mr. Trump’s pledge to renegotiate our trading patterns with China?**

Mexico Policy

Background: Mr. Trump has taken an aggressive stance on Mexico as both a trade partner and as a geographic neighbor with land borders. His commitment to building the wall and renegotiating NAFTA will likely involve any SOS in the administration.

- **In light of Mr. Trump’s agenda of stopping American companies from moving to Mexico and his plan to build a wall, how would you ensure good relations with Mexico?**
- **Are you willing to help Mr. Trump uphold his pledge to renegotiate NAFTA?**

Lack of Experience

Background: Giuliani has only limited to no international affairs experience.

- **How would you respond to those who say you do not have any foreign policy or international relations experience?**

TRUMP – PENCE CRITICISM

No Known Criticism Of Trump Or Pence.

GENERAL CONTROVERSY

Foreign Ties

In 2011 Giuliani Was Paid For A Speech By An Exiled Iranian Political Party Called The Mujahedin E-Khalq, Known As The MEK, Calling On State To Remove It From Its List Of Terror Organizations. “In 2011, an exiled Iranian political party called the Mujahedin e-Khalq, known as the MEK, paid Giuliani to give a speech in Washington calling on the State Department to remove the group from its list of terrorist organizations. The MEK recruited a host of other formal officials to its cause and succeeded in reversing the terrorist designation in 2012.” (Isaac Arnsdorf, “Giuliani Took Money From Qatar, Venezuela, Iranian Exiles,” [Politico](#) 11/15/16)

Giuliani Provided “Security Advice” For The Government Of Qatar. “Looking to staff up his administration, the president-elect is reportedly leaning toward nominating former New York City Mayor Rudy Giuliani as his next secretary of state. Giuliani’s ties to Qatar would be among a host of potential

conflicts of interest that would come into play should that nomination take place. ... But the The Wall Street Journal reported in 2007 that one of those clients was the government of Qatar, to which Giuliani provided 'security advice.'" (Sam Stein, Paul Blumenthal And Jason Cherkis, "Rudy Giuliani s Conflicts Of Interest Would Place Donald Trump In A Bind," [The Huffington Post](#), 11/15/16)

Giuliani's Firm Lobbied For Citgo. "The Houston-based law firm Giuliani joined as a named partner in 2005 lobbied in Texas for Citgo, the U.S. subsidiary of the Venezuelan state oil company then controlled by President Hugo Chavez, The New York Times reported in 2007." (Isaac Arnsdorf, "Giuliani Took Money From Qatar, Venezuela, Iranian Exiles," [Politico](#) 11/15/16)

MEK

Giuliani Is A Prominent Official To Advocate On Behalf Of The Controversial Mujahideen-e Khalq. "For years, Giuliani has been one of the most prominent American officials to advocate on behalf of the Mujahideen-e Khalq (MEK), a Marxist Iranian opposition group that claims to be the legitimate government of Iran and resembles a cult. A Treasury Department investigation in 2012 examined whether speaking fees paid by several MEK front groups to a long list of U.S. politicians, including Giuliani, violated laws on Americans receiving money from designated terrorist organizations. The State Department added the MEK to the list of foreign terrorist organizations in 1997 due to its involvement in the killing of U.S. citizens in Iran in the 1970s and an attack on U.S. soil in 1992. The group, which has about 3,000 members living in exile in Iraq, has not conducted a confirmed act of terrorism in more than a decade. In the aftermath of the U.S. invasion of Iraq, the United States mostly disarmed the MEK and provided its members with protection at their Iraqi base, Camp Ashraf." (Josh Rogin, "Giuliani Was Paid Advocate For Shady Iranian Dissident Group," [The Washington Post](#), 11/15/16)

Many Of Giuliani's Clients Are Foreign Entities

Giuliani Promotes The Fact He Has Foreign Consulting Contracts, Including Ones With The Government Of Mexico And TransCanada, Of Keystone XL Fame. "Since almost the minute he left office, Giuliani has been using the global fame he acquired on 9/11 and its aftermath to rake in millions of dollars from consulting with 'corporations, individuals, and governments' (as his website describes his client base) on security matters, financial audits and crisis management. ... Other prominent clients include TransCanada, the developer of the controversial Keystone XL Pipeline Project (which Obama opposes, further damaging Rudy's economic interests); Purdue Pharma, which manufacturers Oxycontin, once Rush Limbaugh's drug of choice; Nextel, the wireless services company now owned by Sprint; Shell Oil; and the Government of Mexico." (Tim Shorrock, "Giuliani s Love For His Country Is Equal To The Money He Makes," [The Nation](#), 2/25/15)

In 2015 It Was Reported That Giuliani Was Hired By "El Salvador's Business Community" To "Advise The Salvadoran Government On How To Curb Soaring Crime." "On Dec. 2, El Salvador's business community announced it had hired former New York City Mayor Rudy Giuliani to advise the Salvadoran government on how to curb soaring crime driven by lawless gangs and rampant corruption." (Laura C. Mallonee, "Rudy Giuliani Will Advise El Salvador On Security, Justice Reform," [Latin America News Dispatch](#) 1/12/15)

In 2014 Giuliani Gave A Speech In Guatemala City At A Forum Organized By The Guatemalan Development Foundation. "Former New York City Mayor Rudy Giuliani recently visited Guatemala City to speak at a forum organized by the Guatemalan Development Foundation." (Mike Allison, "The Savior Rudy Giuliani Goes To Guatemala City," [CentralAmericanPolitics.Blogspot.com](#) 10/9/14)

- **Giuliani Was Also Hired To Advise On Crime In The Country.** "Guatemala's business sector also hired Giuliani to advise on crime earlier in 2014." (Laura C. Mallonee, "Rudy Giuliani Will Advise El Salvador On Security, Justice Reform," [Latin America News Dispatch](#) 1/12/15)
- **The Group Has Been Described As A "Conservative Business Association."** "In an address last year to a conservative business association in Guatemala about crime, for example, [Giuliani] warned that 'you are not going to solve it with schools, libraries, nice neighborhoods and sports teams. You

have to emphasize law enforcement.” (Tim Shorrock, “Giuliani’s Love For His Country Is Equal To The Money He Makes,” [The Nation](#) 2/25/15)

Giuliani Advised The Brazilian Government On Crime In Advance Of The 2016 Rio Olympics.

“Giuliani is similarly advising the Brazilian government on security for the 2016 Olympic Games in Rio de Janeiro, although the games’ Security Director Luiz Fernando Corrêa has expressed some skepticism.” (Laura C. Mallonee, “Rudy Giuliani Will Advise El Salvador On Security, Justice Reform,” [Latin America News Dispatch](#) 1/12/15)

Giuliani Advised The Colombian Government On Crime Issues. “Within the last few years, though, Colombia has seen a crime uptick, prompting officials to consult with Giuliani. ‘I just got back from Colombia,’ he said on CBS This Morning last May. ‘I advise the Colombian government how to get crime down. Crime is down 23 percent in Bogota. I am very proud of that.’” (Laura C. Mallonee, “Rudy Giuliani Will Advise El Salvador On Security, Justice Reform,” [Latin America News Dispatch](#) 1/12/15)

Giuliani Consulted For The Government Of Honduras. “[Giuliani is] a consultant in Mexico, El Salvador, Honduras and Guatemala, the very countries where right-wing governments, traffickers and/or gangs are driving children and teenagers across the U.S. border.” (Wayne Barrett, “What Rudy Giuliani Knows About Love A Response To His Doesn’t Love America Critique Of Obama,” [New York Daily News](#), 2/20/15)

Giuliani Advised Chile On Crime And Helped Political Candidates In The Dominican Republic.

“Other work was through another company of his, Giuliani Security. Those contracts include work combating crime in Mexico City, El Salvador, Colombia, Chile, along with helping political candidates in Ukraine and the Dominican Republic.” (Drew Griffin, Nelli Black And Theodore Schleifer, “Giuliani Legal Work Could Complicate Path To State Department,” [CNN](#) 11/15/16)

In 2011 Giuliani Went To Peru To Campaign For The Daughter Of Former President Alberto Fujimori, Who Is Serving Time For Human Rights Abuses.

“In 2011, he went to Peru to campaign for rightist Keiko Fujimori, the daughter of the disgraced former president Alberto Fujimori, who is still serving a twenty-five-year sentence for human rights abuses.” (Tim Shorrock, “Giuliani’s Love For His Country Is Equal To The Money He Makes,” [The Nation](#), 2/25/15)

In 2008 Giuliani Advised Boxer Vitali Klitschko In His Race For Mayor Of Kiev, Ukraine.

“Who would have guessed that the next chapter in Rudolph W. Giuliani’s life would involve political consulting for a local election in Eastern Europe? Mr. Giuliani, the former mayor and former presidential candidate, called a news conference this morning in Times Square to appear beside Vitali Klitschko, a former boxing and kickboxing champion who is running for mayor of Kiev, the capital of Ukraine.” (Sewell Chan, “Giuliani Weighs In On Race For Mayor (In Ukraine),” [The New York Times](#), 5/7/08)

Giuliani’s Firm Was Hired To Advise Mexico City On Reducing Crime, And Ultimately Failed And Was Not Offered A New Contract

Giuliani, Along With Bernard Kerik, Signed A Contract To Advise Mexico City On Reducing The Epidemic Of Crime. “Former Mayor Rudolph W. Giuliani announced yesterday that his consulting firm had signed a contract to advise Mexico City’s police officials on crime-reduction techniques to deal with an epidemic of kidnappings, murders and robberies. Mr. Giuliani, who formed his consulting firm, the Giuliani Group, after leaving office, said he would draw on his experience tackling crime in New York City to help the residents of Mexico’s sprawling capital. Mr. Giuliani said he and his former police commissioner, Bernard B. Kerik, would meet with officials in Mexico City next month.” (Lydia Polgreen, “Giuliani Firm Gets Contract To Advise Mexico City Police,” [The New York Times](#), 10/11/02)

Giuliani Would Not Disclose The Amount Or Source Of The Contract. “The contract, the first international consulting deal for Mr. Giuliani’s firm, is being paid for by a group of businessmen in Mexico City; municipal officials refused to name them. They also declined to say how much the Giuliani Group would be paid.” (Lydia Polgreen, “Giuliani Firm Gets Contract To Advise Mexico City Police,” [The New York Times](#), 10/11/02)

- **“Prominent Mexican Business Interests Have Hired His Consulting Firm, Giuliani Partners, For A Cool \$4.3 Million To Teach Mexico What Real Crime-Fighting Is All About.”** (Shelley Emling, “Can Giuliani Export Crime Fighting Success?” [Austin American Statesman](#), 11/6/02)

- **Billionaire Businessman Carlos Slim Allegedly Paid The Partial Cost Of Giuliani's Consulting.** "Officials in Mexico City said privately, however, that a billionaire businessman, Carlos Slim, would most likely pay some of the costs for Mr. Giuliani's services. Mr. Slim has been working with Mexico City's mayor, Andres Manuel Lopez Obrador, to bring order to the city. Mr. Slim has said that he would form a foundation to spend \$100 million to help clean up its congested center." (Lydia Polgreen, "Giuliani Firm Gets Contract To Advise Mexico City Police," *The New York Times*, 10/11/02)

Giuliani's Efforts Failed To Clean Up Mexico City, Saw Homicide Drop Less Than 1 Percent, And Kidnapping And Extortion Were On The Rise. "Two years later, the cheering has stopped. In January 2005, Mexico City's new police chief, Joel Ortega, told local reporters, 'I am no fan of Giuliani.' Far from the 67% drop in homicides achieved during Mr. Giuliani's mayoralty in New York, which was touted in a Giuliani Partners press release announcing its Mexico City contract, the homicide rate in Mexico's capital slipped less than 1% in 2004. Kidnappings in which the victim is driven from ATM to ATM to withdraw money are on the rise, with some security firms saying Mexico is now rivaling Colombia as kidnapping capital of the world." (Daniela Gerson, "In Mexico City, Few Cheers For Giuliani," *The New York Sun*, 4/11/05)

Giuliani Not Offered A Follow Up Contract To Implement Recommendations, Mexicans Were "Sharply Critical" Of Effort. "The Giuliani team ended up being paid less than the \$4.3 million that was widely reported as the price for the work, and it was not hired for a follow-up project to implement its recommendations. In interviews with the Sun, several former Mexican police officials and current officers were sharply critical of the Giuliani effort ..." (Daniela Gerson, "In Mexico City, Few Cheers For Giuliani," *The New York Sun*, 4/11/05)

In 2006 Giuliani Worked On Behalf Of A Spanish Bank With Ties To Hugo Chavez Looking To Buy Part Of An American Bank

In 2006 Giuliani Worked On Behalf Of Banco Santander, A Spanish Bank, When They Were "Attempting To Buy About 20 Percent Of Sovereign Bancorp Of Philadelphia." "Giuliani typically has not advertised his involvement in Bracewell & Giuliani cases. An exception was his work in February 2006 on behalf of a Spanish bank, Banco Santander, for what the law firm termed an 'independent review' of Santander's compliance with state and federal regulations in attempting to buy about 20 percent of Sovereign Bancorp of Philadelphia." (Andrew Zajac, "Giuliani Speaking Fees Draw Scrutiny," [Chicago Tribune](#), 2/7/07)

Santander's Investment Was Opposed By A Group Of Sovereign Investors, But Supported By Jay Sidhu, The CEO Of Sovereign. "The investment was bitterly opposed by a group of Sovereign shareholders but welcomed by Sovereign CEO Jay Sidhu, who wanted to use the infusion of money from Santander to buy another bank." (Andrew Zajac, "Giuliani Speaking Fees Draw Scrutiny," [Chicago Tribune](#), 2/7/07)

NOTE: Santander Had Donated To Hugo Chavez's Campaigns And Has Connections To Iran And Cuba. "As a result, says this source, Bracewell ignored dubious dealings by Santander, such as its donations to Venezuelan president Hugo Chávez's political campaigns and its connections to Cuba and Iran (Santander was fined by the U.S. in 2004 for doing business with Cuba and has come under scrutiny for trading with an Iranian bank blacklisted by the U.S. for links to terrorism), not to mention the fact that its C.E.O. had been investigated for corruption. 'I questioned why Rudy stuck his neck out like that,' the insider says. 'Obviously it was for a fee, but with his long-term aspirations there didn't seem like a lot of upside.' (Bracewell says that the firm was hired by Santander to assess the proposed investment and found that the bank 'had fully and completely complied with all laws relevant to the transaction.')" (Michael Shnayerson, "Risky Business A Tale Of Two Giulianis," *Vanity Fair*, 1/08)

NOTE: In November 2006 Giuliani "Delivered A Speech At The Jay S. Sidhu School Of Business And Leadership At Wilkes University In Wilkes Barre, Pa." "Sovereign CEO Sidhu, meanwhile, resigned under pressure in October, taking with him a \$43 million golden parachute. The next month, Giuliani delivered a speech at the Jay S. Sidhu School of Business and Leadership at Wilkes University in Wilkes Barre, Pa." (Andrew Zajac, "Giuliani Speaking Fees Draw Scrutiny," [Chicago Tribune](#), 2/7/07)

Giuliani Has Worked On More Than One Occasion For The Spanish Bank Santander

“He Has Worked On ‘Three Or Four’ Cases, He Says. One Was For Banco Santander Central Hispano SA, Spain’s Biggest Bank, Which Wanted To Buy 20 Percent Of Sovereign Bancorp Inc.” (Thom Weidlich, “Giuliani Law Firm Offers Texas Foothold as 2008 Decision Looms,” *Bloomberg News*, 1/30/07)

Bracewell Giuliani Was Registered To Lobby For Banco Santander Starting In 2006. ([Senate Office Of Public Records](#) Accessed 11/16/16)

Giuliani Capital Advisors Listed Sarna Kunststoff, A Swiss Company As Their Client



Sarna Kunststoff Is Headquartered In Switzerland. ([Bloomberg](#) Accessed 11/16/16)

Giuliani Capital Advisors Listed Meyn, A Company Based In The Netherlands As Their Client



Meyn Is Headquartered In The Netherlands. ([Bloomberg](#) Accessed 11/16/16)

Giuliani Made Over \$11 Million For Paid Speeches In The Year Before Running For President And An Additional \$8 Million In 2002

Giuliani Made “More Than \$11 Million” Giving Paid Speeches In The “13 Months Directly Prior To Kicking Off His Republican Presidential Campaign In February 2007.” “In the 13 months directly prior to kicking off his Republican presidential campaign in February 2007, Rudy Giuliani earned more than \$11 million giving paid speeches.” (Eric Boehlert, “When GOP Hopeful Rudy Giuliani Earned \$11 Million in Speaking Fees And D.C. Press Didn’t Care,” [The Huffington Post](#), 7/22/14)

Giuliani Made Between \$100,000 And \$300,000 Per Speech And Spoke 120 Times. “The former New York City mayor, who was thrust into the national and international spotlight after the terror attacks of September 11, 2001, typically charged between \$100,000 and \$300,000 for his speeches and spoke more than 120 times.” (Eric Boehlert, “When GOP Hopeful Rudy Giuliani Earned \$11 Million in Speaking Fees And D.C. Press Didn’t Care,” [The Huffington Post](#) 7/22/14)

Giuliani Made \$8 Million Giving Speeches In 2002. “Note that along with the \$11 million in speaking fees Giuliani pocketed in 2006, he also earned \$8 million on the speech circuit in 2002.” (Eric Boehlert, “When GOP Hopeful Rudy Giuliani Earned \$11 Million in Speaking Fees And D.C. Press Didn’t Care,” [The Huffington Post](#) 7/22/14)

Giuliani Was Known For Traveling In Style To His Paid Speaking Engagements

In A Speech To Oklahoma State University In 2006, Giuliani Requested Travel On A “Private Gulfstream Jet That Cost The School \$47,000 To Operate.” “In one speech last year at Oklahoma State University, Giuliani requested and received travel on a private Gulfstream jet that cost the school \$47,000 to operate.” (Andrew Zajac, “Giuliani Speaking Fees Draw Scrutiny,” [Chicago Tribune](#), 2/7/07)

Giuliani’s Speaking Contract “Calls For Up To Five Hotel Rooms For His Entourage, Including His Own Two-Bedroom Suite With A Preferred Balcony View And King-Size Bed.” “Like other high-priced speakers in the private sector, Giuliani routinely travels in style. Besides the Gulfstream, which is a standard perk on the big-time speakers circuit, his contract calls for up to five hotel rooms for his entourage, including his own two-bedroom suite with a preferred balcony view and king-size bed, in the event of an overnight stay.” (Andrew Zajac, “Giuliani Speaking Fees Draw Scrutiny,” [Chicago Tribune](#), 2/7/07)

Giuliani’s Business Dealings Show Overlapping And Controversial Ties

Giuliani Partners Worked With Merrill Lynch On Conflict Of Interest Charges

Giuliani Advised Merrill Lynch Amid Accusations Of Conflict Of Interest And Misleading Practices. “In one of his first private business ventures after leaving office, Giuliani in 2002 advised Merrill Lynch & Co. in settlement negotiations with then-New York Atty. Gen. Eliot Spitzer when Spitzer investigated accusations of conflicts of interest and misleading advice by the firm. Merrill Lynch wound up agreeing to pay a \$100 million fine and change some practices, but it did not admit wrongdoing.” (Andrew Zajac, “Giuliani Speaking Fees Draw Scrutiny,” [Chicago Tribune](#), 2/7/07)

Giuliani Advised Applied DNA Sciences In 2004, A Company “Backed By Investors With A History Of Securities-Related Charges”

Giuliani Advised Applied DNA Sciences In 2004, Which Was Run By A Convicted Criminal. “In August 2004, Giuliani announced a consulting agreement between another of his firms, Giuliani Partners, and Applied DNA Sciences, which markets a technology that embeds genetic material from plants into consumer goods such as DVDs, clothing and pharmaceuticals to thwart counterfeiters. Applied DNA was backed at the time by Richard Langley Jr., who pleaded guilty to conspiracy to commit wire fraud in 1999. A year later, Langley was barred by the Securities and Exchange Commission from participating in penny stock offerings because of his involvement in an alleged stock scam.” (Andrew Zajac, “Giuliani Speaking Fees Draw Scrutiny,” [Chicago Tribune](#), 2/7/07)

Giuliani Received \$2 Million And Ownership For Advising Applied DNA Sciences. “Under a one-year agreement signed Aug. 6, Giuliani Partners will receive \$2 million in fees over 12 months to advise Applied on marketing strategies for security and homeland defense products. Giuliani’s firm also stands to receive 21 million applied shares, which would make it the largest shareholder of Applied. The shares were worth \$10 million when the agreement was signed.” (Edward Iwata, “Giuliani Firm’s Deal To Advise Company Raises Questions,” [USA Today](#), 3/18/05)

Giuliani Helped We The People, Do-It-Yourself Legal Group Sued By Many, Including DOJ, And Fined \$286,000 By FTC

A Giuliani Partners Advised Company Was Sued At Least 10 Times For Deceptive Practices, And Forced To Pay \$286,000 FTC Fine. “Giuliani Partners also has advised We the People USA, of Santa Barbara, Calif., a seller of do-it-yourself kits for bankruptcies, divorces and other legal documents. Since late 2003, We the People has been sued by U.S. trustees at least 10 times for alleged deceptive practices and in December 2004 paid \$286,000 to settle Federal Trade Commission charges that it hid the lawsuits from potential franchisees.” (Andrew Zajac, “Giuliani Speaking Fees Draw Scrutiny,” *Chicago Tribune*, 2/7/07)

Giuliani Companies Failed To Disclose Its Work On Both Sides Of A Case, Creating A Potential Conflict Of Interest

Giuliani Companies Represented Both The Debtor And Creditor In A Bankruptcy Case, “A Potential Conflict Of Interest That Wasn't Disclosed To The Federal Judge Overseeing The Case.” “A pair of companies owned by Rudy Giuliani represented both a debtor and a creditor in a recently concluded bankruptcy proceeding, a potential conflict of interest that wasn't disclosed to the federal judge overseeing the case, records show. The matter could heighten pressures on Mr. Giuliani's presidential campaign to be more forthcoming about the candidate's stable of businesses, their clients and the services they provide. In September 2005, a subsidiary of closely held Giuliani Partners LLC signed a consulting agreement with Delta Air Lines Inc. to provide restructuring advice during the carrier's bankruptcy reorganization, drawing more than \$5 million in fees for the service. Then, in January 2006, a separate Giuliani Partners division Giuliani Security & Safety signed a \$2 million consulting deal with Command Security Corp., a tiny provider of security-guard services that had been left with \$1 million in unpaid bills when Delta and Northwest Airlines Corp. filed for bankruptcy-court protection in September 2005. As an adviser to Delta, one Giuliani Partners unit Giuliani Capital Advisors would be in a position to recommend that the airline give Command business and perhaps even suggest contract terms.” (Christopher Cooper, “Giuliani Firms Had Potential Conflict Of Interest,” *The Wall Street Journal*, 5/7/07)

Giuliani Contributor Richard Chwatt Provided An “Introduction” Between Giuliani And Command Security, And Made \$470,000 For This Role. “Mr. Giuliani's firm wasn't the only one that made money on the Command contract. The deal was assisted by Richard Chwatt, a contributor to Mr. Giuliani's presidential campaign. According to Command's SEC filings, Mr. Chwatt's firm, Jericho State Capital Consulting LLC, provided the ‘introduction’ that led to the signing of Command's contract with the Giuliani company. In February 2006, Command agreed to give Jericho \$90,000 cash and 350,000 stock options, which are currently valued at about \$380,000.” (Christopher Cooper, “Giuliani Firms Had Potential Conflict Of Interest,” *The Wall Street Journal*, 5/7/07)

Giuliani Was Hired By Purdue Pharma To Consult On OxyContin Security While He Was Under Contract With The DEA To Combat It

“Purdue Pharma ... Announced That It Is Working With Giuliani Partners, LLC On Various Management Initiatives Including A Program To Combat Prescription Drug Abuse And Diversion.” (Giuliani Partners, “Purdue Pharma Engages Giuliani Partners As External Advisor,” Press Release, 5/28/02)

- **“Purdue Pharma, The Maker Of The Painkiller Oxycontin ... Was Facing Stricter Federal Regulation After Reports That Its Misuse As A Narcotic Had Led To More Than 400 Deaths. ... Soon After, Purdue Retained Giuliani Partners.”** (Michael Crowley, “Profit Sharing,” *The New Republic*, 9/6/04)

While Working For Purdue, Giuliani Won A Contract From DOJ To Investigate OxyContin Abuse. “About 10 months after Mr. Giuliani's firm began its work for Purdue, it also won a \$1.1 million contract from the Department of Justice to look for ways to improve the effectiveness of the Organized Crime Drug Enforcement Task Force. The force's duties included helping investigate OxyContin abuse, and Purdue officials had appealed to its director, Karen Tandy, for help, a Justice Department official said.” (Eric Lipton, “Giuliani, Selling His Public Image, Branches Out For Private Profit,” *The New York Times*, 2/22/04)

Giuliani Partners Formed Strategic Alliance With Nextel While Consulting FCC On Crisis Communications

Giuliani Partners Joined Nextel In A Strategic Alliance To Resolve Communication System Interference Issues. “Nextel Communications Inc., a leading provider of fully integrated wireless communications services, and Giuliani Partners LLC today announced a strategic alliance to significantly improve public safety communications across the United States. The public safety community is facing two critical communications challenges – the need to resolve interference to their communications systems and to allow for instant, rapid, secure communications capabilities between public safety authorities across multiple jurisdictions. Giuliani Partners will contribute expert advice with regard to the communication needs of public safety authorities and key members of the Giuliani Partners team will provide guidance on the implementation of the solution.” (Giuliani Partners, “Nextel And Giuliani Partners Announce Strategic Alliance To Improve Public Safety Communications,” Press Release, 5/2/02)

Giuliani Did Not Register As A Lobbyist, Saying Activities Did Not Constitute Lobbying. “Though he is working for the company, Giuliani does not plan to register as a lobbyist. Giuliani ... believes that the government relations help he provides to Nextel and his other corporate clients does not constitute lobbying.” (Brody Mullins and Michael E. Grass, “Rainmakers,” *Roll Call*, 3/1/04)

Giuliani Profited From This Partnership With Nextel While Advising The FCC On Crisis Communications Issues. “And Nextel Communications, the wireless phone carrier based in Reston, Va., has offered [Giuliani Partners] compensation worth at least \$15 million, in part to help its pursuit of an important reshuffling of the radio spectrum from the Federal Communications Commission. At the same time, Mr. Giuliani and his staff have also served as unpaid advisers to the F.C.C., spending more than a year helping prepare a report about how local governments can best communicate with the public during catastrophic events, an assignment that was brokered by Nextel's chief regulatory counsel.” (Eric Lipton, “Giuliani, Selling His Public Image, Branches Out For Private Profit,” *The New York Times*, 2/22/04)

Bracewell & Giuliani Defended Former Enron Counsel James Derrick

Bracewell & Giuliani Defended Former Enron General Counsel James V.Derrick In Lawsuits Brought By Beneficiaries Of The Enron Retirement Plan. (*Newby v. Enron Corp.*, 2002 U.S. Dist. LEXIS 3949 *Chao v. Enron Corp.*, 2006 U.S. Dist. LEXIS 70081)

During The Early 1990s, Giuliani Was A Partner At Anderson Kill Olick & Oshinsky

Giuliani Earned \$294,000, And Shared “In All Fees From...Clients.” “...Giuliani, [is] a \$ 294,000-a-year partner who shares in all fees from Anderson Kill clients...” (Kevin Flynn, “Rudy s Screen Test,” *Newsday*, 9/27/93)

- **Anderson Represented “A Number Of Controversial Clients.”** “The last time he ran for mayor, Rudolph Giuliani quit a major Manhattan law firm when controversy erupted over the firm's representation of the government of Panamanian Gen. Manuel Noriega. Four years later, as he presses forward with his second campaign against David Dinkins, Giuliani is again aligned with a major law firm - Anderson Kill Olick & Oshinsky - and it too represents a number of controversial clients.” (Kevin Flynn, “Rudy s Screen Test,” *Newsday*, 9/27/93)

Companies Suspected Of Aiding Iraq In Acquiring Chemical Weapons Were Clients Of Anderson Kill Olick & Oshinsky

Carl Zeiss And Preussag AG, Two German Companies Suspected Of Aiding Iraq In Its Chemical Weapons Production, Were Identified In An Internal Memo Circulated One Year After Giuliani Joined Firm. “In the case of two German companies suspected of aiding Iraq in its chemical weapons production - Carl Zeiss and Preussag AG - Anderson Kill partners apparently were given a memo naming these incoming clients in the fall of 1991, about a year after Giuliani joined the firm and months after Operation Desert Storm, according to lawyers at the firm. The memo was circulated as the firm debated whether to accept a new partner, David Toren, and his \$ 4-million-a-year ‘intellectual property’ practice

into the firm. Anderson Kill lawyers were asked to review Toren's client list for possible conflicts with the firm's existing clients." (Kevin Flynn, "Rudy's Screen Test," *Newsday*, 9/27/93)

- **Giuliani Denied Seeing The Memo.** "[Denny] Young said Giuliani never saw such a memo and had 'nothing to do with the review or selection process' of Toren." (Kevin Flynn, "Rudy's Screen Test," *Newsday*, 9/27/93)
- **U.S. Senate Staff Found "Conclusive Evidence" That Zeiss Supplied The Iraqi Military Program.** "Senate Foreign Affairs Committee Chairman Claiborne Pell (D-R.I.) said at the end of the hearing that committee staff members who have visited Iraq have brought back conclusive evidence that three major German industrial corporations -- Fried. Krupp GMBH, Carl Zeiss and Man Aktiengesellschaft -- had supplied high-tech equipment to the Iraqi military program." (Thomas W. Lippman, "International Banks Said To Be Financial Supporters Of Iraqi Nuclear Program," *The Washington Post*, 10/18/91)
- **Zeiss Also Reportedly Sold To Iran.** "Iran, which may be trying to develop nuclear weapons, bought technology with potential military use from U.S. companies in recent years, documents show. The U.S. government approved the exports even though the State Department steadily has labeled Iran a supporter of international terrorism... Government licenses, granted by the Commerce Department, are required to export such dual-use technology... Other companies selling equipment to both Iran and Iraq were... Carl Zeiss Inc., \$ 85,000" (Marcy Gordon, "Companies Sold Technology to Both Iran and Iraq, Documents Show," *Associated Press*, 5/14/92)
- **Preussag Ag Was Suspected Of Supplying Weapons Facilities In Iraq And Libya.** "Preussag Ag: German manufacturer suspected of having supplied equipment used in chemical weapons plants in Libya and Iraq, according to a report by the U.S. House Committee on Foreign Affairs. In February, 1991, the company acknowledged that some of its deliveries to Iraq were used for 'purposes other than the declared purposes and were used in the production of armaments,' according to a Reuter dispatch. But it denied any prior knowledge." (Kevin Flynn, "Rudy's Screen Test," *Newsday*, 9/27/93)
- **Gulf War Vets Sued Preussag Ag.** "About 2,000 US Gulf war veterans are suing German companies which they allege helped the Iraqi leader, Saddam Hussein, to build up a poison gas arsenal, a German magazine reported yesterday. They have filed a civil suit claiming \$ 1 billion (pounds 670 million) in damages for alleged exposure to chemical weapons which they say left them with "Gulf war syndrome", characterised by fatigue, painful limbs, headaches, loss of balance, rashes and diarrhoea, Stern magazine said. The suit alleges that 20 defendants, including the German companies Thyssen AG, Preussag AG, Degussa AG, Sigma GmbH and Heberger Bau, sold Iraq "substances and equipment" which it used to create chemical weapons." ("U.S. 'Gulf War Syndrome' Victims Sue German Firms For \$1BN," *The Guardian* (London), 1/4/95)

Anderson's Client Directory Listed Four Other Companies Accused Of Aiding Hussein Regime. "Anderson Kill's client directory also lists four other firms that have been accused in some way of helping the Iraqi military buildup. But it is not clear that Giuliani or the firm could have easily found out about them because the reports were only carried in foreign magazines or specialized journals." (Kevin Flynn, "Rudy's Screen Test," *Newsday*, 9/27/93)

- **One Company Was Operated By The Family Of Nazi War Criminal.** "One of the other companies listed last year as a Toren client on Anderson Kill's 'Matter File Listing' is German farm-equipment manufacturer Karl Mengele & Sons, the family firm of Nazi war criminal Josef Mengele. The U.S. Justice Department has said that the Mengele firm, founded by the father of the sadistic death-camp doctor, supplied economic support to the 'Angel of Death' during the decades when he was a fugitive." (Kevin Flynn, "Rudy's Screen Test," *Newsday*, 9/27/93)
- **Anderson Denied That It Represented Mengele Company.** "But Kill said the Mengele company was never a client of Anderson Kill and had not paid his firm 'a red cent' in legal fees. He said the Mengele firm is a former client of Toren's that was included on Anderson Kill's client list just in case a potential conflict arose in years to come. In addition, Kill identified Toren as a Jew who himself escaped from Nazi

Germany during the Holocaust. He stressed that neither he nor the firm was aware of the history of the Mengele firm.” (Kevin Flynn, “Rudy’s Screen Test,” *Newsday*, 9/27/93)

Notable Giuliani Partners And Other Clients

In 2008 Ranbaxy, India’s Largest Pharmaceutical Firm, “Retained The Services” Of Giuliani Partners. “India’s largest pharmaceuticals firm Ranbaxy Laboratories Ltd, which derives almost 25 percent of its annual revenues from the United States market, faces the strong possibility of taking a hit on earnings as a result of the US Food and Drug Administration blocking the sale of 30 generic medicines from a portfolio of 130 that it retails in the world’s largest drug market. The pharma company’s stocks crashed following the FDA’s decision. From Rs 485 (about \$10.5), the Ranbaxy scrip slipped to Rs 365 (\$7.9), losing over 20 percent, within four trading sessions. Analysts estimate the loss of business on account of the 30 drugs at \$40 million at the least. Ranbaxy did not provide a breakup of its US revenue stream, although news agency Reuters quoted a J P Morgan estimate that the blocked drugs would account for 13 to 15 percent of the company’s sales. In the first half of 2008, Ranbaxy recorded revenues of \$206 million from the US market, a 14 percent growth rate over the corresponding period last year. The company is expected to earn around \$412 million from the US, about 24 percent of its projected annualized revenue for the whole year. ‘The import alert possibly means loss of sales for Ranbaxy in the North American market and, more importantly, given the string of exclusivity launches in the US, we believe this is clearly negative,’ J PMorgan analysts wrote in a note. Ranbaxy, meanwhile, announced that it has retained the services of former New York mayor, Rudy Giuliani and his Giuliani Partners to provide advice and review compliance issues related to the FDA import advisory.” (“FDA Bans 30 Ranbaxy Drugs Company Hires Giuliani,” *India Abroad*, 9/26/08)

- **Ranbaxy Hired Giuliani Partners After The FDA Banned 30 Of Its Drugs.** “‘India’s largest pharmaceuticals firm Ranbaxy Laboratories Ltd, which derives almost 25 percent of its annual revenues from the United States market, faces the strong possibility of taking a hit on earnings as a result of the US Food and Drug Administration blocking the sale of 30 generic medicines from a portfolio of 130 that it retails in the world’s largest drug market.’” (“FDA Bans 30 Ranbaxy Drugs Company Hires Giuliani,” *India Abroad*, 9/26/08)

In December 2009 It Was Reported That Giuliani Was In Dubai On Business. “Ex-Mayor Rudy Giuliani was in Dubai recently on business, friends say. Like many other consulting ventures, his Giuliani Partners’ business is reportedly slower than it once was. Insider guesses vary on whether he and his wife have the desire for him to make a statewide run in 2010.” (Dan Janison, “SPIN CYCLE Nassau GOP Hopes To Get Lucky In 2009,” *Newsday*, 12/29/08)

In 2009, Officials Named Giuliani Partners’ International Clients As India, Japan And Qatar. “Officials said their international clients are in India, Japan and Qatar and that the security and safety division of the firm has grown.” (Maggie Haberman and David Seifman, “Rudy’s Firm In Decline Losing Jobs, Clients,” *The New York Post*, 4/4/09)

In 2009, Lend America Hired Giuliani Partners To Fight Fraud Charges Brought On By The Department Of Housing And Urban Development. “Giuliani, whose law and consultant firms were hired by the lender last month to fight fraud and other charges, met with the U.S. Department of Housing and Urban Development in Washington, D.C., said Michael D. Hess, vice chairman of Giuliani Partners consultants. To keep the business open, he said, Lend America promised to eventually exit the loan-making business, focus on servicing loans, cover any loans made against HUD rules and agree to monitoring. Also, its executive vice president, Michael Ashley, who in 1993 pleaded guilty to mortgage wire fraud, would quit the firm.” (Ellen Yan, “Lender’s Woes Were Too Much Giuliani’s Concessions Fail To Convince Fed Officials Potential Homeowners Left Without Mortgages,” *Newsday*, 12/7/09)

In 2010, New York City Restaurateur Giuseppe Cipriani Worked With Giuliani Partners To “Iron Out Immigration Issues.” “Restaurateur Giuseppe Cipriani has been turning to his paisan Rudy Giuliani for advice. A source close to Cipriani, who, with his father, Arrigo, pleaded guilty in 2007 to evading taxes,

confirms he's been working with the former crime-stopper's firm, Giuliani Partners, to iron out immigration issues. Word is Rudy was most useful to the Ciprianis during the Bush years, but that he remains of counsel." (George Rush And Joanna Molloy, "Here s Johnny s Dark Side," *The New York Daily News*, 1/24/10)

In November 2003, Giuliani And Kerik Were Paid An Undisclosed Sum By Trinidad's Colonial Life Insurance Co. To Speak To Officials About the Country's Rise In Crime. "Giuliani acknowledged he knew few specifics about crime in Trinidad, focusing his hourlong speech mostly on the techniques his administration used to combat crime. He addressed kidnappings only after an audience member asked. He was joined at the event by Bernard Kerik, former New York police commissioner under Giuliani, who described his rise from narcotics detective to police commissioner. The two were invited by Trinidad-based Colonial Life Insurance Co. Ltd. Company officials declined to say how much they were paid for the appearances." (Michael Smith, "Visiting Trinidad, Former New York Mayor Giuliani Says Not Negotiating With Kidnappers Is Key," *The Associated Press*, 11/8/03)

In 2014, Giuliani Took Credit For "Decreasing Crime In Bogota, Colombia," Saying "I Advise The Colombia Government How To Get Crime Down." "Former Mayor Rudy Giuliani, who presided over plummeting crime levels in New York City in the 1990's, is now pounding his chest over decreasing crime in Bogota, Colombia. 'I just got back from Colombia. I advise the Colombian government how to get crime down,' Mr. Giuliani said today on CBS This Morning when asked about his future political plans. 'Crime is down 23 percent in Bogota. I am very proud of that. So that's what I'm into right now.'" (Ross Barkan, "Rudy Giuliani Takes Credit For Crime Drop In Bogota, Colombia," *New York Observer*, 5/15/14)

In 2004, TriGlobal Strategic Ventures Arranged For Giuliani To Visit Russia And Meet With Foreign Minister Sergey Lavrov, Russian Billionaire Viktor Rashnikov, And Other Russian "Politicians And Business Executives." "In 2004, for instance, the company arranged to have Mr. Giuliani come to Moscow to meet with the foreign minister, Sergey V. Lavrov, as well as other prominent Russian politicians and business executives. That year, Mr. Giuliani visited Magnitogorsk, Russia, 'for a series of meetings with Viktor Rashnikov,' a Russian billionaire who is the chairman of the Magnitogorsk Iron and Steel Works." (Mark Landler, "Rudolph Giuliani s Business Ties Viewed As Red Flag For Secretary Of State Job," *The New York Times* 11/15/16)

- **In 2015, Giuliani Met With The Mayor Of Kiev, Vitali Klitschko, At TriGlobal's "Behest."** "In 2015, also at the company's behest, Mr. Giuliani agreed to advise the mayor of Kiev, Vitali Klitschko, who has called for more Western support of the Ukrainian government's efforts to combat Russian separatists." (Mark Landler, "Rudolph Giuliani s Business Ties Viewed As Red Flag For Secretary Of State Job," *The New York Times* 11/15/16)
- **In 2008, Giuliani Partners Advised Klitschko's Campaign For Mayor.** "Mr. Giuliani said Mr. Klitschko's campaign had approached his company "several weeks ago," seeking advice on policies to combat corruption. Neither Mr. Giuliani nor Mr. Klitschko would disclose how much the Ukrainian candidate's campaign is paying the former mayor or his company, Giuliani Partners, but Mr. Giuliani said that his staff members would be traveling to Kiev for three days next week and would release a report about what lessons in anticorruption Kiev can draw from the experience of other cities, including New York." (Sewell Chan, "Giuliani Weighs In On Race For Mayor (In Ukraine)," *The New York Times*, 5/7/08)

In 2016, Giuliani Served As "Security Advisor" For The Dominican Republic's Opposition Presidential Candidate Luis Abinader. "Santo Domingo.- Former New York City mayor Rudolph Giuliani, who's the security advisor for opposition candidate Luis Abinader's presidential campaign, on Monday said until corruption is fought at the upper echelons of power, Dominican Republic's crime won't decrease. Giuliani said there should be zero tolerance on government corruption, because that's reflected in the actions of law enforcement. He said the issue of security requires large investment but noted that the money spent is quickly recovered, because safe cities attract more foreign investment. Abinader today presented the former New York mayor as his adviser on security at the headquarters of the opposition Modern Revolutionary Party" ("Until Dominican Republic Fights Corruption Crime Will Continue Giuliani," *Dominican Today* 2/29/16)

Notable Giuliani Security And Safety (GSS) Dealings

In 2006, GSS Signed A \$2 Million Consulting Deal With Command Security Corp., A Provider Of Security Guard Services. “Then, in January 2006, a separate Giuliani Partners division – Giuliani Security & Safety – signed a \$2 million consulting deal with Command Security Corp., a tiny provider of security-guard services that had been left with \$1 million in unpaid bills when Delta and Northwest Airlines Corp. filed for bankruptcy-court protection in September 2005. As an adviser to Delta, one Giuliani Partners unit – Giuliani Capital Advisors – would be in a position to recommend that the airline give Command business and perhaps even suggest contract terms.” (Christopher Cooper, “Giuliani Firms Had Potential Conflict Of Interest,” [The Wall Street Journal](#) 5/7/07)

- **GSS's Dealings With Command Were Later Criticized As A Potential Conflict Of Interest, When It Was Revealed That Giuliani Partners Had Signed A Consulting Agreement With Delta Airlines, A Debtor To Command Security.** “A pair of companies owned by Rudy Giuliani represented both a debtor and a creditor in a recently concluded bankruptcy proceeding, a potential conflict of interest that wasn't disclosed to the federal judge overseeing the case, records show. The matter could heighten pressures on Mr. Giuliani's presidential campaign to be more forthcoming about the candidate's stable of businesses, their clients and the services they provide. In September 2005, a subsidiary of closely held Giuliani Partners LLC signed a consulting agreement with Delta Air Lines Inc. to provide restructuring advice during the carrier's bankruptcy reorganization, drawing more than \$5 million in fees for the service. Then, in January 2006, a separate Giuliani Partners division -- Giuliani Security & Safety -- signed a \$2 million consulting deal with Command Security Corp., a tiny provider of security-guard services that had been left with \$1 million in unpaid bills when Delta and Northwest Airlines Corp. filed for bankruptcy-court protection in September 2005.” (Christopher Cooper, “Giuliani Firms Had Potential Conflict Of Interest,” [The Wall Street Journal](#) 5/7/07)

In 2007, It Was Reported That GSS Was Paid \$131,000 By Giuliani's Presidential Campaign For Candidate Protection. “Giuliani Security also recently received \$131,212 from Giuliani's presidential campaign to protect the candidate. Peter Powers, the new chairman of Giuliani Partners, tells NEWSWEEK Giuliani has now given up an active role in his business and that “almost all” of the firm's clients have already been mentioned in media accounts. But he declined to provide a full list or say how much the company is getting paid.” (Michael Isikoff, “The Qatari Connection,” [Newsweek](#), 12/1/07)

In 2004, A&P Stores Contracted With Giuliani-Kerik After Being “Strong-Armed” By Westchester County DA Jeanine Pirro. “According to the New York Post, in 2004 Pirro strong-armed the Great Atlantic & Pacific Tea Co.'s A&P stores to hire Giuliani-Kerik to settle allegations of the chain's selling alcohol to minors. The contract with Giuliani/Kerik was entered into by A & P, the supermarket chain, as part of deal with Pirro's office to allow the company to settle a criminal charge resulting from the illegal sale of alcoholic beverages to minors.” (Nathan Vardi, “The Company He Keeps,” [Forbes](#) 11/13/06)

- **After Being Charged With Selling Alcohol To Minors, A&P Entered Into An Agreement With The DA's Office To Drop The Charges If The Company Hired An “Independent Private-Sector Inspector General.** “The company, fearing a conviction could endanger its right to sell alcoholic beverages in other states, agreed to a plea bargain. The charge would eventually be dropped if A & P hired an independent private-sector inspector general, or IPSIG, to monitor its policies for restricting sales to minors.” (Fredric U. Dicker, “Pirro Steered D.A. Contract To Kerik She ‘Worked For Favors As Aide Fretted Over Ethics,” [New York Post](#) 10/5/06)
- **Pirro Insisted That Giuliani Kerik Get The Contract, Despite Suggestions From A&P About Having An Open Bidding Process.** “Pirro directed that Kerik was the one who must be hired for the job, the source told The Post. But during talks over the selection of the inspector general, one of the lawyers representing A & P, Kathleen Plunkett O'Connor, objected that the firm was very expensive, the source said. The cost of the contract with Giuliani/Kerik could not be learned last night. The

source said that because of the expense of Giuliani/Kerik, the lawyer for A & P wanted to know if the supermarket giant could hire another firm to do the work or if the contract could be put out to bid. But Pirro would have none of it - and again demanded that Kerik get the contract, saying otherwise there would be no deal, the source explained.” (Fredric U. Dicker, “Pirro Steered D.A. Contract To Kerik She ‘Worked For Favors As Aide Fretted Over Ethics,” [New York Post](#), 10/5/06)

In 2006, It Was Reported That Westchester County DA Jeanine Pirro Was Recorded Talking With Kerik About “Bugging Her Husband’s Boat To Expose An Affair.” “Nearly two years after leaving Giuliani Partners, Bernie Kerik is still embarrassing his old boss. The contretemps involves former Westchester County district attorney Jeanine Pirro, recorded by the police talking with Kerik last year about bugging her husband’s boat to expose an affair.” (Nathan Vardi, “The Company He Keeps,” [Forbes](#) 11/13/06)

- **“Kerik Called An Employee Of Giuliani Security & Safety To Get A Recording Device.”** (Nathan Vardi, “The Company He Keeps,” [Forbes](#), 11/13/06)
- **A Spokesperson For Giuliani Said That Kerik Was Doing “Freelance” Work, And That Pirro Was “Not A Client” Of GSS.** “Pirro insists the boat was never bugged but reportedly had her husband followed by Giuliani Security employees. ‘It was, for lack of a better term, ‘freelance’ work,’ says Sunny Mindel, Rudy Giuliani’s spokesperson. ‘Jeanine Pirro was not a client.’ (Nathan Vardi, “The Company He Keeps,” [Forbes](#), 11/13/06)

In May 2009, Giuliani Announced That GSS Would Form A “Strategic Relationship” With The Investigative Management Group, “One Of The Better Known Provider’s [Sic] Of Corporate Security.” The former mayor announced Monday that his security firm, Giuliani Security & Safety, is forming a ‘strategic relationship’ with the Investigative Management Group, one of the better known provider's of corporate security. ‘Given the world's growing security challenges, as well as the many financial vulnerabilities identified during the current economic crisis, I think it is the perfect time to join the remarkable resources and experience offered by these two proven companies,’ Giuliani said in a press release.” (David Saltonstall, “Rudy Giuliani s Company, Giuliani Security & Safety, Moving Into Corporate Security Field,” [\[New York\] Daily News](#), 5/11/09)

- **IMG’s CEO Is Robert Strang, A Former DEA Agent And Advisor To Giuliani’s 2008 Presidential Campaign.** “IMG's chief executive officer is Robert Strang, a former Drug Enforcement Agency agent who has known Giuliani for years, serving most recently as an advisor on anti-terrorism to Giuliani's failed presidential bid.” (David Saltonstall, “Rudy Giuliani s Company, Giuliani Security & Safety, Moving Into Corporate Security Field,” [\[New York\] Daily News](#) 5/11/09)

In December 2014, El Salvador’s Business Community Hired Giuliani To Advise The Salvadorian Government “On How To Curb Soaring Crime.” “On Dec. 2, El Salvador’s business community announced it had hired former New York City Mayor Rudy Giuliani to advise the Salvadoran government on how to curb soaring crime driven by lawless gangs and rampant corruption.” (Laura C. Mallonnee, “Rudy Giuliani Will Advise El Salvador On Security, Justice Reform,” [Latin America News Dispatch](#) 1/12/15)

- **The Contract Was Reportedly Worth \$500,000.** “Giuliani and GSS have also built profitable relationships in El Salvador, Latin America’s most violent country. Last December, GSS secured a contract, reportedly worth around \$500,000, with a Salvadoran business group known as ANEP to consult on public safety issues. Huvane says the group approached GSS after attending a presentation Giuliani gave in Guatemala.” (Mike LaSusa, “Giuliani In Rio,” [Jacobin](#), 10/23/15)

In December 2009, Rio De Janeiro Officials Announced That Giuliani And GSS Had Been Hired To Advise On The City’s Security For The 2016 Olympic Games. “Further proof that Rudy Giuliani is less than focused on running for office has emerged in an unlikely spot - Rio de Janeiro. Brazilian officials, joined by Giuliani in sunny Rio, announced Thursday they would hire the former mayor as a long-term security advisor for the 2016 Olympic Games in the city. ‘He's going to help us in day-to-day security and, especially, with an eye toward ... the Olympic Games,’ said Sergio Cabral, the governor of the state of Rio de Janeiro, after eating lunch with Giuliani. Giuliani's continuing efforts to boost his bottom line - and that of his security firm, Giuliani Security & Safety, which technically holds the Rio contract - would not

preclude him from running for U.S. Senate, as some suggest the mayor is considering.” (David Saltonstall, “Going For Gold Rudy Giuliani Takes Job As Security Consultant For Rio 2016 Olympic Games,” [New York Daily News](#), 12/4/09)

- **As Of November 2013, Giuliani Was Still Acting As A “Security Consultant” To Rio Ahead Of The Games.** “Known for implementing the ‘zero tolerance’ policy in New York, which considerably reduced crime rates in the city, Giuliani currently works as Security Consultant. According to Luiz Fernando Corrêa, Giuliani’s interest in Brazil is proof of the Games’ importance to the whole world.” (Press Release, “Rudolph Giuliani Visits Rio 2016 Committee And Praises The Games Project ‘I’m Very Impressed,” [Rio 2016](#) 11/9/13)

In 2009, The Town Of Colts Neck, New Jersey Paid GSS \$50,000 To Act As A Consultant In A Lawsuit Against The U.S. Navy. “Former New York City Mayor Rudy Giuliani’s security firm has been hired by the Township Committee to help make a case against the Navy, which plans to open up base housing to civilians. Giuliani Security and Safety LLC will be paid up to \$50,000 as consultant on a lawsuit filed by the township in U.S. District Court seeking injunctive relief against the Navy and a private developer, Laurelwood Homes. Colts Neck is suing to stop the Navy from proceeding with its plans to allow civilians to occupy a 300-unit housing development at Naval Weapons Station Earle. The Giuliani firm will have the job of showing why it is unsafe to build a 1.4-mile public access road from Route 34 to the housing area on the munitions base, Mayor Ben Forester said on Thursday.” (Bob Jordan, “Colts Neck Hires Giuliani’s Security Firm To Fight Navy,” *Asbury Park [New Jersey] Press*, 10/15/09)

Notable Giuliani Security And Safety Asia (GSSA) Dealings

The Tokyo Metropolitan Government Was A Client Of GSSA, Working To Develop “A High-Level Academic Security And Crisis Management System For Tokyo Metropolitan University.” In my role at GSSA, I worked with a number of clients in Japan, including the Tokyo Metropolitan government. Working together with the government, we helped to develop a high-level academic security and crisis management system for Tokyo Metropolitan University, one of the largest public schools in Japan. My relationship with Giuliani Partners continued for several years. It gave me a good opportunity to travel throughout Asia, meeting with both heads of state and senior government officials in several countries to discuss security issues.” (William Saito, *An Unprogrammed Life: Adventures Of An Incurable Entrepreneur*, 2012, pg. 195-196)

Notable Bracewell & Giuliani Clients

Bracewell Giuliani’s Clients Included AOL Time Warner, Apple Computer, Inc., Bank of America, BC Hydro, Bechtel Corporation, BJ Services Middle East, Calpine Corporation, ChevronTexaco Corp., Coral Energy, Dynegy Inc., Eaton Corporation, FPL Energy, General Electric Company, Guaranty Bank, Houston Community College System, Houston Livestock Show and Rodeo, Kinder Morgan Energy Partners, L.P., Kinder Morgan Management, L.L.C., Kinder Morgan, Inc., KPMG, Louisiana-Pacific Corporation, PG&E Transmission, Reliant Energy Inc., San Antonio Spurs, Service Corporation International, Société Générale Group, Southwest Airlines, Sysco Corporation, Tampa Electric/Peoples Gas System, Temple-Inland Inc., The Goodyear Tire & Rubber Company, USAA, Valero Energy Corporation. (“Representative Clients,” [Bracewell Giuliani](#) Accessed 2/24/07)

Giuliani And The Firm Refused To Publicly Name All Clients. “That profile will only get bigger as Mr. Giuliani pursues the presidency. What is less clear is whether all that attention will pay off financially. While Mr. Giuliani has attracted lawyers to the office and used his celebrity and connections to get the firm meetings it might not otherwise have, his rainmaking abilities are harder to assess. The firm won’t identify new clients Mr. Giuliani has brought in.” (Nathan Koppel, “Can Giuliani’s Name Turn Buzz Into Money?” *The Wall Street Journal*, 3/20/07)

Giuliani Brought Hedge Funds And Private Equity Firms To Bracewell And Giuliani New York Office. “Lawyers joining the New York office of Giuliani’s firm are bringing clients that include hedge funds and private-equity firms such as New York-based D.E. Shaw, with \$26 billion under management; Chicago-based Citadel Investment Group LLC, with \$13.4 billion; and New York-based MatlinPatterson Global Advisers LLC, with \$3.8 billion.” (Thom Weidlich, “Giuliani Law Firm Offers Texas Foothold as 2008 Decision Looms,” *Bloomberg News*, 1/30/07)

- **Giuliani ... Has Assembled A Manhattan Practice Of 32 Corporate Lawyers And Litigators. They've Helped Bring Clients That Include Hedge Funds Such As D.E. Shaw & Co. And Investment Banks Such As Bank Of New York Co. -- A Widening Wall Street Base That May Also Hold Political Value.** (Thom Weidlich, "Giuliani Law Firm Offers Texas Foothold as 2008 Decision Looms," *Bloomberg News*, 1/30/07)

The Associated Press: Giuliani's Law Firm Worked On Behalf Of Saudi Arabian Oil Ministry. "In addition to its lobbying clients, Bracewell & Giuliani ... also is working for Saudi Arabia. In March, the firm filed papers in a Texas court case on behalf of Saudi Arabia's oil ministry taking sides with another international energy giant, Citgo, which is controlled by Venezuelan President Hugo Chavez, a rival of the Bush administration. One month after the 2001 terrorist attacks, then-Mayor Giuliani famously rejected a \$10 million check from a Saudi prince to help terrorism victims. Giuliani spokeswoman Comella declined to comment on the firm's Saudi connection." (Sharon Theimer, "Giuliani Firm Lobbied Government," *The Associated Press*, 5/14/07)

Giuliani Brokered A Meeting Between Bracewell Giuliani And Royal Dutch Shell. "In the summer of 2005, Mr. Oxford says, he traveled to London with Mr. Giuliani to meet top executives of what is now Royal Dutch Shell PLC. Mr. Oxford says the firm could not have scored the high-level meeting without Mr. Giuliani: 'Leaders around the world admire Rudy and want to talk to him.' The meeting didn't bring in any new business, but Mr. Oxford says he believes that Bracewell solidified its stature with the energy company. The company confirmed the meeting but declined to elaborate." (Nathan Koppel, "Can Giuliani's Name Turn Buzz Into Money?" *The Wall Street Journal*, 3/20/07)

In 2008, It Was Reported That The Firm's Clients Included Saudi Arabia's Oil Ministry, The Scooter Store, News Corp., And UST Public Affairs Inc. "Bracewell & Giuliani: The mayor's Texas-based law firm. Income reported by Giuliani for 2006: \$1,200,901. The firm's legal and lobbying clients have included: Saudi Arabia's oil ministry - The firm is representing Saudi Arabia's oil ministry in a Texas lawsuit on the same side as Citgo, which is controlled by Venezuelan strongman Hugo Chavez - another former Bracewell client. The Scooter Store - The firm lobbied the feds on Medicare coverage of power scooters. The store later agreed to pay \$4 million in fines and give up \$43 million in Medicare reimbursements over Justice Department allegations the company made false advertising claims to wheelchair patients. News Corp. - The firm helped Rupert Murdoch's News Corp. lobby against the Indecent and Gratuitous and Excessively Violent Programming Control Act, a bill aimed at keeping graphic sex and violence off TV. UST Public Affairs Inc. - The world's leading producer of smokeless tobacco, including marquee brands Copenhagen and Skoal. Giuliani's firm lobbied against a bill that would prevent tobacco firms from selling products through the mail." (David Saltonstall, "His Silver Tongue May Haunt Rudy. Pockets \$100G Per Speech, But If He Wins, What Will They Want?" *Daily News*, 1/20/08)

Bracewell & Giuliani Helped Rewrite The Tax Code In Kazakhstan "To Lure Foreign Investment." "Overseas, Bracewell & Giuliani operates a satellite office in oil-rich Kazakhstan. The firm has helped rewrite the country's tax code to lure foreign investment, officials said. The U.S. State Department last year described the ruling regime's human rights record as "poor," with reports of arbitrary arrests, torture, press censorship and other abuses." (Bob Drogin, "Campaign '08 A Rocky Road To Riches With Giuliani's Soaring Worth After 9/11 Came Private Sector Missteps," *Los Angeles Times*, 1/25/08)

Bracewell & Giuliani Served As Counsel To Ukrainian Private Equity Group Sigmableyzer In A Merger. "Bracewell & Giuliani LLP served as counsel to the private equity group SigmaBleyzer in connection with the merger of Ukrainian cable businesses, including Volia Cable, that closed on July 28 in Cyprus. Two of SigmaBleyzer's funds partially own various Ukrainian cable operations held through Aquorn Holding Limited Oisiw Limited. The two companies have been merged to form Ukraine's pre-eminent cable provider with operations in more than 15 of Ukraine's largest cities. The combined company will provide television service as well as high-speed Internet access to more than 2.5 million Ukrainian households. The deal was approved by the Anti-monopoly Committee of Ukraine." ("Firm Represents Ukraine's Sigmableyzer In Merger With Volia Cable," [Bracewell & Giuliani](#) 8/7/08)

In 2008, The Firm Represented KazMunayGas In A Bond Offering. “Bracewell & Giuliani LLP announced today that JSC National Company KazMunayGas’ (“KMG”) \$3 billion debut offering of notes was successfully completed today. This bond offering is the biggest ever in the Central and Eastern Europe market and one of the largest deals ever completed out of any emerging market.” (“Firm Advises One Of The Largest Ever Emerging Market Corporate Bond Offerings,” [Bracewell & Giuliani](#) 7/2/08)

- **KazMunayGas Is Kazakhstan’s State-Owned Oil And Gas Company.** “Chevron owns 50 percent of the affiliate, Tengizchevroil, while Irving, Texas-based Exxon Mobil Corp. owns 25 percent, Kazakhstan's state-owned oil and gas company KazMunayGas has 20 percent, and Russia-based Lukoil subsidiary LukArco owns 5 percent.” (Olivia Pulsinelli, “Chevron, Exxon, Others Move Forward On \$37B Kazakhstan Project,” *Houston Business Journal*, 7/5/16)

On Behalf Of The Office Of The Chairman Of The Agency Of The Republic Of Kazakhstan On Regulation Of The Regional Financial Centre Of Almaty City, Bracewell & Giuliani Wrote New Rules For The Kazakhstan Stock Exchange. “The Kazakhstan Stock Exchange has finalized its ‘Requirements for Listing on the Special Trading Floor of the Regional Financial Centre of Almaty City.’ The new rules were written by London-based Bracewell & Giuliani associate, Conor D. Funston, in conjunction with the office of the Chairman of the Agency of the Republic of Kazakhstan on Regulation of the Regional Financial Centre of Almaty City (RFCA). Conor prior to coming to Bracewell & Giuliani was seconded to the United Kingdom Listing Authority the government agency that approves prospectus for listing on the London Stock Exchange.” (“Kazakhstan Stock Exchange Finalizes Trading Rules,” [Bracewell & Giuliani](#) 3/24/08)

The Firm Served As Project And Finance Counsel To The Spanish Transportation Company Cintra Concesiones De Infraestructuras De Transporte, S.A. “Bracewell & Giuliani LLP served as project and finance counsel to Spanish transportation company Cintra Concesiones de Infraestructuras de Transporte, S.A. in its consortium with Zachry, helping bring to financial close a \$1.36 billion funding package for construction and operation of the final two segments of SH 130 between Austin and Seguin.” (“Firm Assists Cintra In Deal TO Finance Texas SH 130 Extension,” [Bracewell & Giuliani](#) 3/10/08)

Bracewell & Giuliani Represented UHY LLP, An Accounting Firm That Was Sued For Negligence. “Bracewell & Giuliani LLP successfully defended Mann Frankfort Stein & Lipp, CPAs, LLP (now known as UHY LLP) against claims of negligence by Plaintiffs, Gary Ervin, Timothy Ervin, and Robert Ervin...The Ervin brothers sued Mann Frankfort for negligence, gross negligence, negligent misrepresentation, and sought punitive damages based on the claim of gross negligence. The case went to trial on July 14, 2008 before Judge Karen Pozza, 407th District Court Judge of Bexar County, Texas.” (“Firm Records Victory On Behalf Of UHY LLP,” [Bracewell & Giuliani](#) 8/22/08)

Bracewell & Giuliani Represented Shell In The Company’s 2009 Arrangement With Russian Oil And Gas Company Gazprom. “Bracewell & Giuliani LLP served as special counsel for Shell U.S. in connection with regulatory matters associated with Royal Dutch Shell Group's recent gas supply arrangement with Gazprom. The parties have agreed to purchase liquefied natural gas (LNG) from the Sakhalin Energy Investment Company over 20 years. Gazprom is a Russian oil and gas company, and the world's largest extractor of LNG.” (“Firm Represents Shell In Significant Gas Supply Agreement,” [Bracewell & Giuliani](#) 4/21/09)

The Firm Advised The Eurasian Development Bank, Which Is Headquartered In Almaty, Kazakhstan. “Bracewell & Giuliani (UK) LLP advised the Eurasian Development Bank (EDB), headquartered in Almaty, Kazakhstan, in its US\$500 million debut offering of five-year maturity notes. The notes, which were successfully placed with institutional investors, are listed for trading on the London Stock Exchange.” (“Bracewell & Giuliani Represents Eurasian Development Bank In Successful Emerging Markey Corporate Bond Offering,” [Bracewell & Giuliani](#) 11/13/09)

The Firm Advised Kazatomprom, The National Atomic Company Of Kazakhstan. “Bracewell & Giuliani LLP announced the successful completion by JSC National Company Kazatomprom of a USD\$500 million inaugural offering of notes. This offering marked the first direct issuance by a Kazakhstan issuer, resulting in a dual listing on the London Stock Exchange and on the Kazakhstan Stock Exchange. Bracewell

represented Kazatomprom in this offering. The notes were placed with institutional investors in the United States, Europe and Asia. Kazatomprom is the national atomic company of Kazakhstan and is responsible for mining uranium in, and exporting uranium and its compounds from, Kazakhstan. Kazatomprom's uranium reserves account for approximately 16 percent of the world's reserves, according to a recent third party report.” (“Bracewell & Giuliani Advises Kazatomprom On Inagural Note Offering,” [Bracewell & Giuliani](#), 6/2/10)

The Firm Advised Apache Corporation In Its Acquisition Of BP Assets. “Bracewell & Giuliani LLP is representing Apache Corporation in its acquisition of BP assets in Texas, New Mexico, Egypt, and Canada. The firm is advising Apache on multiple facets of the transaction, including contractual, financial, environmental, restructuring, regulatory, and tax matters. Apache has agreed to pay \$7 billion for all of BP's oil and gas operations, acreage and infrastructure the Permian Basin of West Texas and New Mexico and in Egypt's Western Desert, as well as BP's upstream natural gas business in western Alberta and British Columbia.” (“Bracewell Represents Apache Corp. In \$7 Billion Acquisition Of BP Assets And Public Offering Of Common And Convertible Preferred Shares,” [Bracewell & Giuliani](#), 7/22/10)

The Firm Represented Netherlands-Based Global Maritime Shipping Company Marco Polo Seatrade BV In Bankruptcy Court. “Bracewell & Giuliani LLP announced today it won a major bankruptcy verdict on behalf of Netherlands-based global maritime shipping company Marco Polo Seatrade BV and three of its affiliates in the U.S. Bankruptcy Court for the Southern District of New York. The decision rendered by U.S. Bankruptcy Judge James M. Peck rejects motions by Marco Polo's secured banks seeking dismissal on jurisdictional and other grounds of the Chapter 11 filings.” (“Bracewell & Giuliani LLP Secure Major Chapter 11 Victory For Marco Polo Seatrade BV,” [Bracewell & Giuliani](#), 10/25/11)

The Firm Advised Senior Lenders Of Australia-Based Centro Properties Group In Its Restructuring. “Bracewell & Giuliani LLP announced today it lead an international legal and financial advisory team representing the Senior Lenders of Australia-based Centro Properties Group's (CNP) in finalizing CNP's global restructuring efforts, widely recognized as one of the most complex and innovative restructurings in Australian history. The restructuring, finalized after four years of discussions and interim arrangements, combines a novel debt-for-equity swap with an aggregation of assets into a new real estate investment trust called Centro Retail Australia (CRF), integrating U.S.-style pre-packaged plan concepts into the existing Australian restructuring regime.” (“Bracewell & Giuliani Leads International Team In Groundbreaking Restructuring Of Australia Based Centro Properties,” [Bracewell & Giuliani](#), 12/20/11)

The Firm Represented Wells Fargo In A \$9.5 Billion Acquisition. “Bracewell & Giuliani LLP is representing Wells Fargo Bank in its purchase of the North American reserve-based lending unit of BNP Paribas. Wells Fargo acquired \$9.5 billion of total loan commitments, including \$3.9 billion in funded balances. Around 90 percent of the reserve- based and related diversified energy lending portfolio is U.S.-based, with the remainder primarily located in Canada.” (“Bracewell Represents Wells Fargo In Acquisition Of BNP Paribas North American Reserve Based Lending Unit,” [Bracewell & Giuliani](#), 2/28/12)

The Firm Represented ConocoPhillips. “Bracewell & Giuliani LLP represented ConocoPhillips in the spin-off of Phillips 66, which is now an independent downstream energy company with industry-leading businesses in refining and marketing, midstream, and chemicals. The representation included an offering by Phillips 66 of \$5.8 billion of senior unsecured notes, a \$5 billion bridge loan facility, a \$2.8 billion term loan facility, a \$4 billion revolving credit facility, a \$4 billion commercial paper facility, a \$1.2 billion receivables securitization and other securities, regulatory and commercial matters.” (“Bracewell Represents ConocoPhillips In Phillips 66 Spin Off,” [Bracewell & Giuliani](#), 5/7/12)

The Firm Represented Citigroup In A \$225 Million Term Loan. “Bracewell & Giuliani LLP is representing Citigroup, as lead arranger and administrative agent, in a \$225 million term loan acquisition financing to a joint venture between Boardwalk Pipeline Partners and its general partner Loews Corporation, in connection with the acquisition of PL Midstream LLC from PetroLogistics. PL Midstream provides salt-dome storage, pipeline transportation, fractionation and brine supply services for producers and consumers of natural gas liquids, natural gas, and petrochemical through southern

Louisiana.” (“Bracewell Represents Citigroup in Financing Connected With Boardwalk Pipeline Partners Purchase Of PL Midstream LLC,” [Bracewell & Giuliani](#), 8/21/12)

The Firm Represented Evercore Partners In An \$480 Million Acquisition. “Bracewell & Giuliani LLP is representing Evercore Partners, advisor to the Conflicts Committee of the Board of Directors of Cheniere Energy Partners, L.P., in the partnership’s \$480 million acquisition of Cheniere Creole Trail Pipeline, L.P. from Cheniere Energy, Inc. and in the sale of \$500 million newly issued Class B PIK Units to Cheniere Energy, Inc.” (“Bracewell Represents Evercore Partners In Cheniere Energy Partners Acquisition Of Cheniere Creole Trail Pipeline And \$500 Million Issuance Of Units,” [Bracewell & Giuliani](#) 5/16/12)

The Firm Represented Sysco Corp. “Bracewell & Giuliani LLP represented Sysco Corporation in its offering of \$300 million in senior notes due 2015 and \$450 million in senior notes due 2022. Sysco issued the notes under its shelf registration statement filed in February 2012. The book-running managers were Goldman, Sachs & Co., BofA Merrill Lynch, and J.P. Morgan, and the senior co-managers were TD Securities and Wells Fargo Securities.” (“Bracewell & Giuliani Represent Sysco Corp. In \$750 Million Offering Of Notes,” [Bracewell & Giuliani](#), 6/12/12)

The Firm Represented Citibank In A \$11 Billion Deal. “Bracewell & Giuliani LLP is representing Citibank, as administrative agent and arranger, in \$2.45 billion of debt financing for an \$11 billion deal that would create one of the largest master limited partnerships (MLP) in the United States. The midstream joint venture MLP will include Houston-based CenterPoint Energy Inc., ArcLight Capital Partners and Oklahoma City-based OGE Energy Corp. The deal is expected to close during the second or third quarter of 2013, with an IPO of the MLP’s units to follow.” (“Bracewell Represents Citibank In Financings Connected With Centerpoint s Plans For \$11 Billion Midstream MLP,” [Bracewell & Giuliani](#) 3/15/13)

The Firm Represented Impact Oil & Gas, A Company Based In The UK, In Its Acquisition Of An African Focused Company. “Bracewell & Giuliani (UK) LLP represented Impact Oil & Gas, an independent exploration and production company based in the UK, in the acquisition of Black Star Petroleum Plc., an African focused oil exploration company. Black Star’s assets include an exploration license offshore of Guinea Bissau and a signed purchase and sale agreement to acquire another exploration license in West Africa.” (“Bracewell & Giuliani Represents Impact Oil & Gas In Acquisition Of Black Star Petroleum,” [Bracewell & Giuliani](#), 1/28/14)

The Firm Represented Caracal Energy Inc., A Canadian Company. “Bracewell & Giuliani LLP advised Caracal Energy Inc., a Canadian based international oil exploration and development company (LSE:CRCL), on the first reserve based lending (RBL) in Chad. Caracal closed a \$140 million Reserve Based Senior Secured Facility with a syndicate of 5 lenders led by Natixis and Société Generale Corporate & Investment Banking as Initial Mandated Lead Arrangers. The Facility is supported by two of the Company’s Petroleum fields in Chad and subject to further commitments being received, Caracal has an option to increase the Facility by up to an additional US\$110 million through an accordion feature.” (“Bracewell Represents Caracal In First RBL In Chad,” [Bracewell & Giuliani](#), 4/15/14)

The Firm Represented Barclays. “Bracewell & Giuliani LLP represented Barclays Bank PLC, as administrative agent, in a \$150 million senior secured revolving credit facility to Tallgrass Equity, LLC in connection with the initial public offering of Tallgrass Energy GP, LP (NYSE: TEGP), the year’s largest initial public offering. After the offering, TEGP owns membership interests in Tallgrass Equity, LLC, which owns a 100 percent membership interest in Tallgrass MLP GP, LLC (TEP GP) and 20 million common units of Tallgrass Energy Partners, LP (TEP).” (“Bracewell Represents Barclays In Connection With MLP Holdco Financing For Tallgrass Energy,” [Bracewell & Giuliani](#), 5/14/15)

The Firm Represented Italian Energy Company ENI S.P.A. In A Dispute With An Oil Concession From The Government Of Togo. “Bracewell & Giuliani LLP successfully defended Italian energy giant Eni S.p.A. in a \$6.4 billion dispute with Brenham Oil & Gas over an oil concession from the Government of Togo. On July 30, 2015, the First District Court of Appeals completely affirmed in a fifty-six page opinion the dismissal of the case by the 189th Judicial District Court of Harris County, Texas.” (“Bracewell Wins \$6.4 Billion Case for Eni S.p.A,” [Bracewell & Giuliani](#) 7/31/15)

The Firm Advised Energy Transfer Partners In A Joint Venture To Construct A Pipeline. “Bracewell & Giuliani LLP advised Energy Transfer Partners LP (ETP) regarding the shipper agreements, open season and related regulatory work for a joint venture to construct a crude oil pipeline with Sunoco Logistics Partners L.P. and Phillips 66.” (“Bracewell Advised Energy Transfer Partners in Connection with Joint Venture to Construct a Pipeline,” [Bracewell & Giuliani](#) 8/6/15)

Bernard Kerik

“If Former Mayor Rudolph W. Giuliani Was A Symbol Of New York’s Resilience After The Terrorist Attacks Of Sept. 11, 2001, Kerik, 49, Became His Shadow And Trusted Right-Hand Man.” (Michael Powell and Dan Eggen, “A Tough Cop Tempered By 9/11 And Iraq,” *The Washington Post*, 12/4/04)

Kerik Did Not Cooperate With A Tax Fraud And Wiretap Conspiracy Investigation

Kerik Declined To Plead Guilty To Tax Fraud And Wiretap Conspiracy Charges, Prolonging Investigation. “Federal investigators offered to end their criminal investigation of Bernard B. Kerik, the city’s former police and correction commissioner, in exchange for his pleading guilty to charges that he engaged in federal tax fraud and wiretap conspiracy, but he refused, according to Mr. Kerik’s lawyer and a person briefed on the case.” (Russ Buettner and William K. Rashbaum, “Kerik Is Said To Refuse A Plea That Calls For Prison Time,” *The New York Times*, 3/14/07)

Investigators Looking Into Allegations Kerik Planted Listening Devices To Spy On Husband Of District Attorney Jeannine Pirro. “Federal investigators in recent months have explored a range of allegations about Mr. Kerik, a leading official under Mayor Rudolph W. Giuliani, including accusations he conspired to help the former Westchester County district attorney, Jeanine F. Pirro, plant listening devices to catch her husband in an extramarital affair. Mr. Kerik and Ms. Pirro were captured on a state wiretap discussing such a plan.” (Russ Buettner and William K. Rashbaum, “Kerik Is Said To Refuse A Plea That Calls For Prison Time,” *The New York Times*, 3/14/07)

Investigators Looking Into Tax Issues Related To Free Renovations On Kerik Home Performed By Interstate Industrial Corp. “Investigators have also been reviewing the circumstances under which Mr. Kerik accepted \$165,000 in free renovations to his Bronx apartment in 1999 from Interstate Industrial Corporation, a New Jersey contractor, or a subsidiary. Last summer in State Supreme Court in the Bronx, Mr. Kerik pleaded guilty to two misdemeanor counts and admitted accepting the free work.” (Russ Buettner and William K. Rashbaum, “Kerik Is Said To Refuse A Plea That Calls For Prison Time,” *The New York Times*, 3/14/07)

“Last Week, Kerik Abruptly Resigned From Giuliani’s Consulting Firm, Apologizing For A Recent String Of Embarrassing Disclosures About His Finances And Private Life.” (Brad Hamilton and Rich Calder, “Kerik’s Dept. Of Corruption Ex City Insider Tells Of Threats & Missing \$\$,” *New York Post*, 12/26/04)

- **Kerik:** “The events surrounding my withdrawal have become an unfair and unnecessary distraction to the firm and the important work being done there ... I am confident that I will be vindicated from any allegation of wrongdoing.” (Brad Hamilton and Rich Calder, “Kerik’s Dept. Of Corruption Ex City Insider Tells Of Threats & Missing \$\$,” *New York Post*, 12/26/04)

When Being Vetted For DHS By The Bush White House, Kerik Withheld Information In His Background Check

Kerik Hid Information Showing He Employed Illegal Immigrant As Housekeeper And Nanny When Being Vetted By The Bush White House For DHS Nomination. “Kerik explained to the White House that while he was preparing documents for his Senate confirmation hearings, he uncovered information ‘that now leads me,’ he wrote, ‘to question the immigration status’ of someone he had been employing as a housekeeper and nanny.” (Mark Hosenball, “Why Did Bernard Kerik Really Bow Out?” *Newsweek*, 12/19/04)

- **Kerik Failed To Pay Required Tax Payments For Housekeeper / Nanny.** “For a period of time, Kerik reported, ‘required tax payments and related filings had not been made.’ According to a Kerik associate, having this kind of nanny problem would have been untenable for the head of the

Homeland Security department, which oversees the government's immigration agencies." (Mark Hosenball, "Why Did Bernard Kerik Really Bow Out?" *Newsweek*, 12/19/04)

- **The White House Said Kerik Repeatedly Failed To Disclose Legal Problems When Vetting His Nomination.** "White House officials yesterday blamed Bernard B. Kerik for repeatedly failing to disclose potential legal problems to administration lawyers vetting his nomination to be homeland security secretary, as President Bush prepared to quickly name a replacement and try to put the controversy over the former New York police commissioner's background behind him." (Jim VandeHei and Mike Allen, "White House Puts Blame On Kerik," *The Washington Post*, 12/12/04)
- **Kerik Was Asked "Numerous Times" About Employing Illegal Immigrant And Failing To Pay Taxes, Issued "Firm Denials" Each Time.** "Kerik, who withdrew his own nomination Friday and apologized yesterday for embarrassing Bush, was asked numerous times by White House lawyers if he had employed an illegal immigrant or failed to pay taxes on domestic help, the sources said. Kerik was told he would humiliate his family, himself and the president if he lied on either account, the officials said. He responded with firm denials. After digging deeper, however, Kerik said he discovered last week that he might have a problem on both accounts and withdrew his name. (Jim VandeHei and Mike Allen, "White House Puts Blame On Kerik," *The Washington Post*, 12/12/04)

Kerik Improperly Screened Iraqi Troops And Quit Six Months Into His Assignment

Kerik Was Criticized For Improper Screening Of Iraqi Troops And Allowing Insurgents To Join.

"Appointed by President Bush to train a new Iraqi police force in 2003, Kerik came under criticism for inadequate screening of recruits as U.S. authorities rushed to deploy the force. It has been plagued by desertions and by allegations that insurgents have infiltrated the ranks." (Michael Powell and Dan Eggen, "A Tough Cop Tempered By 9/11 And Iraq," *The Washington Post*, 12/4/04)

- **Kerik Was Sent To Iraq To Rebuild Police, And Came Back After Only 3 Months As Insurgency "Starting To Explode."** "After the invasion of Iraq the Bush administration tapped Kerik to go to Baghdad to begin rebuilding the local police force. As he left, Kerik vowed that he'd be gone for six months or until he'd finished the job. But he came home after a little more than three months, just as the insurgency was starting to explode." (Evan Thomas and Mark Hosenball, "Enter The 'Mayhem Magnet,'" *Newsweek*, 12/13/04)
- **"Kerik Quit Four Months Into His Six-Month Tenure In Iraq, Telling New York Reporters Later That He Needed A Vacation."** (Michael Powell and Dan Eggen, "A Tough Cop Tempered By 9/11 And Iraq," *The Washington Post*, 12/4/04)

Kerik Earned Millions From A Company After He Pushed The Company's Products On Police Departments

Kerik Earned \$6.2 Million From Taser International, Largely From Stock Surge After He Pushed Products To Police Departments. "But it is the relationship Mr. Kerik has had since the spring of 2002 with Taser International, a Scottsdale, Ariz., manufacturer of stun guns, that has by far been the biggest source of his newfound wealth. That relationship has earned him more than \$6.2 million in pretax profits through stock options he was granted and then sold, mostly in the last month. Mr. Kerik benefited largely because the company's stock has surged extraordinarily. Stock options that were worth little when they were granted became extremely valuable, in part because of the sales pitch that Mr. Kerik made on the company's behalf to other police departments." (Eric Lipton, "Security Post Would Put Kerik Atop Field That Enriched Him," *The New York Times*, 12/10/04)

- **Kerik Profited From Stock Options In Taser International Without Investing His Own Money.** "Kerik was also coming under close scrutiny for his windfall profit from stock options in Taser International, a company that makes high-voltage stun guns. He netted more than \$6 million on the options, without ever having invested any of his own money." (Mark Hosenball, "Withdrawn," *Newsweek*, 12/19/04)

Kerik Rented An Apartment Intended For Ground Zero Workers To Rest And Used It To Have Two Extramarital Affairs

Kerik Called A Real Estate Owner To Get An Apartment Overlooking Ground Zero For “Workers To Rest During Breaks.” “Rescue workers were combing through the World Trade Center rubble around the clock when Mr. Kerik called Anthony Bergamo, a well-connected vice chairman of the Milstein family real estate company and a police buff, and asked for help finding a place for the workers to rest during breaks, the executive said. The family owned Liberty View, a 28-story yellow brick tower two blocks southwest of the trade center at the corner of West Street and Third Place. According to the executive, who knows Mr. Bergamo, the vice chairman arranged for Mr. Kerik to have the use of an apartment there.” (Charles V. Bagli, “Apartment Said To Have Been Scene Of A Kerik Affair,” *The New York Times*, 12/15/04)

- **Kerik Rented The Apartment For Himself Instead, Using It For Extramarital Affairs.** “It is unclear exactly who used the apartment and for how long, but after the cleanup of the site settled into a routine, the executive said Mr. Kerik ‘said he wanted to rent the apartment.’ Mr. Bergamo rented it to him. Mr. Kerik paid for use of the apartment, but the amount was not clear. ... After taking the apartment, Mr. Kerik, who is married with two children and lived at the time in Riverdale, the Bronx, began to meet there with Ms. Regan, said the person who spoke to Mr. Kerik about the matter.” (Charles V. Bagli, “Apartment Said To Have Been Scene Of A Kerik Affair,” *The New York Times*, 12/15/04)

“Kerik’s Affair With Pinero Is At The Center Of Two Lawsuits Against The City, Both Brought By Correction Employees Who Claimed Kerik Retaliated After They Crossed Her.” (Russ Buettner, “Now His Double Affair Laid Bare,” *[New York] Daily News*, 12/13/04)

Kerik’s Business Dealings With Foreign Entities Were Fraught With Conflicts Of Interest

“[Kerik] Was Briefly A Well-Paid Security Coordinator For The Saudi Royal-Family Hospitals.” (Evan Thomas and Mark Hosenball, “Enter The ‘Mayhem Magnet,’” *Newsweek*, 12/13/04)

Kerik Testified Against Drug Re-Importation From Canada Without Disclosing Payment From Trade Group. “Bernard Kerik, the former police commissioner who now runs a consulting firm with former New York mayor Rudolph W. Giuliani (R), said allowing Americans to buy lower-cost drugs from countries such as Canada could invite terrorists to launch a biological attack under the guise of a legal purchase. ‘We are very concerned if wholesale importing is permitted, it will make this country’s medicine supply extremely vulnerable to terrorist intervention,’ said Kerik, who said in an interview later that he has been hired by the Pharmaceutical Research and Manufacturers of America to investigate the safety of drug imports.” (Ceci Connolly, “Drug Importation Foes Speak Out,” *The Washington Post*, 4/15/04)

Kerik’s Tenure At NYPD Was Controversial

“When Giuliani Took Office, He Appointed Kerik As The Number Two Man In The Corrections Department. A Few Years Later, Kerik Became Commissioner.” (Michael Powell and Dan Eggen, “A Tough Cop Tempered By 9/11 And Iraq,” *The Washington Post*, 12/4/04)

- **“The Two Men Became Friends And Giuliani Has Looked Out For Kerik Ever Since, Making Him Boss Of The Department Of Corrections And Later Police Commissioner.”** (Evan Thomas and Mark Hosenball, “Enter The ‘Mayhem Magnet,’” *Newsweek*, 12/13/04)

Kerik Was Criticized For The Lack Of A Chain Of Command On 9/11 While At NYPD. “But when the city commissioned McKinsey & Co. to examine New York’s response to the attacks, and later when the Sept. 11 commission held hearings, Kerik heard sharp criticism of the fire and police departments, particularly of the failure to establish a clear line of command.” (Michael Powell and Dan Eggen, “A Tough Cop Tempered By 9/11 And Iraq,” *The Washington Post*, 12/4/04)

Kerik Plead Guilty To Accepting Tens Of Thousands In Improper Gifts From A Mob Firm While At NYPD, And Paid \$221,000 Fine To Avoid Jail Time. “More than 18 months after his Homeland Security nomination sank over ethics questions, former police commissioner Bernard Kerik pleaded guilty Friday

to accepting tens of thousands of dollars in gifts from a New Jersey firm with alleged mob connections. Kerik pleaded guilty to a pair of misdemeanors in state Supreme Court in the Bronx in a deal that spared him any jail time. Kerik was instead ordered to pay a total of \$221,000 in fines at the 10-minute hearing.” (“Kerik Pleads Guilty To Accepting Gifts In Corruption Probe,” *[New York] Daily News*, 6/30/06)

NYC Paid \$310,000 To Settle Lawsuits Against Kerik And Corrections Department For Abuse Of Power. “The city will pay \$310,000 to settle two lawsuits claiming that the Correction Department trumped up disciplinary charges to protect insiders and squelch critics. The federal suits are among several claiming abuses by former Commissioners Bernard Kerik and William Fraser.” (Frank Lombardi and Russ Buettner, “City Settles 2 Jailhouse Worker Suits For 310g,” *[New York] Daily News*, 5/30/03)

NYC Paid \$60,000 To Settle With An Employee Who Was Fired After Speaking To A Newspaper. “In a deal announced yesterday, the city will pay \$60,000 to Dane Martin, a jail supervisor who charged he was demoted last year because he told a newspaper that proposed budget cuts would jeopardize jail safety. Martin received consistently positive performance reviews during his 16 years on the job. But his marks were lowered and he was bucked from his supervisory position down to his old job after the article appeared. ... Under the settlement, Martin has been returned to the higher rank and given back pay.” (Frank Lombardi and Russ Buettner, “City Settles 2 Jailhouse Worker Suits For 310g,” *[New York] Daily News*, 5/30/03)

NYC Paid \$250,000 To Settle With An Employee Claiming Kerik Fired Him After He Criticized Kerik’s Girlfriend. “In another settlement, the city quietly agreed to pay \$250,000 to Herbert Reed, a 16-year veteran who claimed that the department filed bogus disciplinary and sexual harassment actions against him after he wrote up a pal of Kerik’s girlfriend for insubordination. City attorneys demanded a confidentiality agreement as part of the April 15 settlement.” (Frank Lombardi and Russ Buettner, “City Settles 2 Jailhouse Worker Suits For 310g,” *[New York] Daily News*, 5/30/03)

Kerik Was Sued For Forcing Prison Guards To Work For GOP During Off Hours, The City Settled For \$300,000 Before Kerik’s Protégé Indicted. “[I]n 1999, he was named in a civil lawsuit as the architect of a system to force prison guards to work for Republicans in their off-hours. The suit, by a Democratic warden who claimed he was punished for his political views, claimed that Kerik would ‘hunt down’ anyone deemed ‘disloyal.’ The suit was settled; the plaintiff got \$300,000 and a promotion. Though a Kerik protege was later indicted, Kerik himself was never accused of criminal wrongdoing.” (Evan Thomas and Mark Hosenball, “Enter The ‘Mayhem Magnet,’” *Newsweek*, 12/13/04)

While Correction Commissioner, Kerik Intervened On Behalf Of Friend Helping Company With Mob Ties. “While serving as New York City correction commissioner in the late 1990’s, Bernard B. Kerik spoke to the city’s Trade Waste Commission on behalf of a close friend who was helping a company suspected of mob connections try to get a license from the city ...” (William K. Rashbaum and Kevin Flynn, “Beyond the Disclosure About Kerik’s Nanny, More Questions Were Lurking,” *The New York Times*, 12/13/04)

The Business Integrity Commission Outlined Close Ties Between Interstate And The Gambino Crime Family. “According to a memorandum issued in January by the Business Integrity Commission, the successor to the Trade Waste Commission, Interstate paid more than \$1 million in 1996 to buy a debris transfer station in Staten Island from a company controlled by a captain and a soldier in the Gambino crime family, and it then employed organized crime figures at the station and did business with trucking companies owned by crime figures. The memorandum, which recommended denying the company a transfer station license, said the owners of Interstate associated with crime figures and had a cavalier attitude about the integrity of their employees.” (William K. Rashbaum and Kevin Flynn, “Beyond the Disclosure About Kerik’s Nanny, More Questions Were Lurking,” *The New York Times*, 12/13/04)

- **Kerik Intervened With The Business Integrity Commission On Behalf Of Interstate.** “As the commission was looking into Interstate in 1999, Mr. Kerik spoke to Mr. Casey, then the agency’s deputy commissioner for enforcement, about the man Interstate had hired to help with its licensing problems, Lawrence Ray. Mr. Casey said in an interview that Mr. Kerik had told him that he ‘thought Ray was a good, honest person with a security background that could help the commission alleviate the concerns with Interstate. And that Ray was someone we could work

with.” (William K. Rashbaum and Kevin Flynn, “Beyond the Disclosure About Kerik’s Nanny, More Questions Were Lurking,” *The New York Times*, 12/13/04)

Kerik Accepted Thousands In Cash And Gifts Without Proper Disclosure. “Former New York City Police Commissioner Bernard Kerik accepted thousands of dollars in cash and gifts without making proper public disclosures, a Daily News investigation has revealed. Kerik failed to report the gifts on financial disclosure forms he was required to file with the city as head of the both the NYPD and, before that, the Department of Correction.” (Russ Buettner, “News Finds Kerik In Cash Conflict Got Thousands, Didn’t Report It,” *[New York] Daily News*, 12/12/04)

Kerik Received Gifts After Recommending That A Firm With Mob Ties Hire Friend, Improperly Accepted While Under Contract With NYC. “At the time of the gifts, Ray was working for Interstate Industrial, then a major city contractor. City ethics rules bar officials from accepting gifts worth more than \$50 from anyone doing business with the city. The company hired Ray based on a recommendation from Kerik, according to a sworn deposition by Interstate’s owner Frank DiTomasso.” (Russ Buettner, “News Finds Kerik In Cash Conflict Got Thousands, Didn’t Report It,” *[New York] Daily News*, 12/12/04)

“But Until His Last Year In Public Office, Kerik Had Money Problems. He Filed For Bankruptcy In 1987 As A Rookie City Cop, When He Earned \$25,000 A Year And Had \$11,782 In Debt.” (Russ Buettner, “News Finds Kerik In Cash Conflict Got Thousands, Didn’t Report It,” *[New York] Daily News*, 12/12/04)

“Eyes Rolled In The NYPD When Kerik Reportedly Used \$3,000 Of Police Foundation Funds To Order Up 30 Busts Of His Own Likeness, Complete With Bristling Mustache.” (Evan Thomas and Mark Hosenball, “Enter The ‘Mayhem Magnet,’” *Newsweek*, 12/13/04)

Kerik Spent \$200,000 On Security Doors That Went Unused, Later Advised Door Distribution Company Until Company President Indicted For Fraud. “While he was police commissioner, the NYPD bought four \$50,000 security doors for police headquarters. They turned out to be too heavy for the floor to support. One of them was used by the Department of Corrections, and the other three are in storage. A police department investigation found irregularities in the bidding process. After leaving the NYPD, Kerik became an adviser to a company distributing the doors, though he renounced his deal after the door-maker’s president was indicted for defrauding the city.” (Evan Thomas and Mark Hosenball, “Enter The ‘Mayhem Magnet,’” *Newsweek*, 12/13/04)

Kerik Sent Homicide Detectives To Recover His Mistress’s Cell Phone, Rousing Her Colleagues For Fingerprints In The Middle Of The Night. “[K]erik (or a deputy) sent homicide detectives to investigate how Regan had lost her cell phone and possibly some jewelry. In the middle of the night, the cops roused and fingerprinted some Fox network employees on Regan’s (since canceled) cable-TV talk show.” (Evan Thomas and Mark Hosenball, “Enter The ‘Mayhem Magnet,’” *Newsweek*, 12/13/04)

Kerik Fined By Conflict Of Interest Board For Sending Police Officers To Research Memoir, Intervene In Investigation. “The city’s Conflicts of Interest Board fined him \$2,500 for sending two police officers to Ohio to help research his best-selling 2001 memoir, ‘The Lost Son.’ And when his publisher and friend Judith Regan reported her cell phone stolen after a visit to a Fox Television studio, detectives showed up at the homes of Fox employees who had been on the set at the time.” (Michael Powell and Dan Eggen, “A Tough Cop Tempered By 9/11 And Iraq,” *The Washington Post*, 12/4/04)

Report Said \$800,000 Still Missing From Kerik-Led Correction Foundation Despite \$142,000 Found After Frederick Patrick Pled Guilty To Creating Phone Sex Line With Funds. “Skinner’s report, delivered to Attorney General John Ashcroft’s office in Washington on Thursday, alleges ... That more than \$800,000 is still missing from the scandal-scarred Correction Foundation, a little-known outfit headed by Kerik and funded with \$1 million in rebates on cigarettes the city bought for Rikers Island inmates from tobacco firms. It’s been documented that \$142,000 was skimmed by foundation treasurer Frederick Patrick, who is now serving a year in jail after he used the money to arrange collect phone-sex calls by inmates to his home.” (Brad Hamilton and Rich Calder, “Kerik’s Dept. Of Corruption – Ex City Insider Tells Of Threats & Missing \$\$,” *New York Post*, 12/26/04)

Skinner Said Kerik Told Employees He Would "Hunt Down" Anyone Disloyal To Him. "Skinner details a saber-rattling speech that Kerik, whose career soared after pal Rudy Giuliani took office in 1994, gave after he was appointed first deputy commissioner. The disturbing speech focused on some recent negative publicity, which Kerik said he would not tolerate." (Brad Hamilton and Rich Calder, "Kerik s Dept. Of Corruption Ex City Insider Tells Of Threats & Missing \$\$," *New York Post*, 12/26/04)

Skinner's Report Said Kerik Ordered Strip Searches For Misdemeanor Suspects Despite Federal Court Order Preventing Them. "Skinner's report, delivered to Attorney General John Ashcroft's office in Washington on Thursday, alleges ... Kerik ordered correction officers to strip-search suspects held on misdemeanor charges - a violation of a federal court order that led to a \$50 million fine against the city. A federal judge had earlier banned such searches, but Kerik - then deputy commissioner - told his men to do them anyway, Skinner claims. Skinner said he was present when Kerik issued the order to Correction Department brass in a TEAMs meeting - the department's stat-crunching equivalent to the NYPD's computerized crime-fighting Compstat system." (Brad Hamilton and Rich Calder, "Kerik s Dept. Of Corruption Ex City Insider Tells Of Threats & Missing \$\$," *New York Post*, 12/26/04)

Kerik's Team Attacked Skinner With False Charges Of Raping A Corrections Officer. "Kerik's lawyer, Joseph Tacopina, ripped Skinner, saying, 'He was alleged to have raped a Corrections Department officer, who ended up shooting herself and is now a quadriplegic.' Said department spokesman Tom Antenen of Skinner: 'He's a bad guy. He's been trying to sell this for years.'" (Brad Hamilton and Rich Calder, "Kerik s Dept. Of Corruption Ex City Insider Tells Of Threats & Missing \$\$," *New York Post*, 12/26/04)

[REDACTED]

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[REDACTED]

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CONTROVERSIAL POLICY STANCES/STATEMENTS

Comments On Obama

In February 2015, Giuliani Said That Obama Does Not Love America. “I do not believe, and I know this is a horrible thing to say, but I do not believe that the president loves America,’ Giuliani said during the dinner at the 21 Club, a former Prohibition-era speakeasy in midtown Manhattan. ‘He doesn’t love you. And he doesn’t love me. He wasn’t brought up the way you were brought up and I was brought up through love of this country.’” (Darren Samuelsohn, “Giuliani Obama Doesn t Love America,” [Politico](#) 2/18/15)

In March 2015, Giuliani Said That Obama Should Speak “About The Intersection Of Race And Law Enforcement Like Bill Cosby.” “Former New York City Mayor Rudy Giuliani said Thursday that President Barack Obama could leave a unique legacy if he spoke about the intersection of race and law enforcement like Bill Cosby. ‘[Obama] could explain that yes, there are bad police and yes, there are police that act improperly and yes, there are more contacts between the police and blacks and we’re doing everything we can,’ said Giuliani on John Gambling’s New York radio show on AM970. ‘If an African-American president stood up and said I hate to mention it because of what happened afterwards the kind of stuff that Bill Cosby used to say.’” (Alex Rogers, “Rudy Giuliani Wants Barack Obama To Be More Like Bill Cosby,” [TIME](#) 3/13/15)

Comments On Russia Hacking

In July 2016, Giuliani Said That “The Reality Of Politics Is That Foreign Powers Do” Intervene In Elections. “I don’t think foreign powers should be intervening in U.S. elections. Now, the reality of politics is that foreign powers do, and sometimes we intervene in their elections. So, in an idealistic world, the reality is that foreign powers should stay the heck out of our election. We should stay the heck out of their elections,’ he said. ‘But, gosh knows, President Obama was doing everything he could to unseat [Israeli Prime Minister] Bibi Netanyahu. His aides were over there, his pollsters were over there. His fundraisers were giving money to the opposition. America intervened directly in the Israeli election to defeat Bibi Netanyahu.’ He added: ‘So it’s a little bit disingenuous for us to get upset that a foreign power is intervening in our election, when President Obama interfered in the election of Bibi Netanyahu.’” (Igor Bobic, “Rudy Giuliani Russia Shouldn t Intervene In Our Election,” [The Huffington Post](#) 7/27/16)

Comments On Black Lives Matter

In June 2016, Giuliani Said The Phrase “Black Lives Matter” Is “Inherently Racist.” “Giuliani said the phrase ‘Black Lives Matter,’ which has been the key slogan for those advocating against police brutality and for criminal justice reform, is ‘inherently racist.’ ‘Of course black lives matter, and they matter greatly,’ he said. ‘But when you focus in on 1 percent of less than 1 percent of the murder that’s going on in America and you make it a national thing, and all of you in the media make it much bigger than the black kid that’s getting killed in Chicago every 14 hours, you create a disproportion.’” (Emily Schultheis, “Giuliani Blacks Must Say ‘What They’re Doing Among Themselves About The Crime Problem,’” [CBS News](#), 6/10/16)

In November 2014, Giuliani Said It Was “Disappointing That [The Media] Is Not Discussing The Fact That 93 Percent Of Blacks In America Are Killed By Other Blacks,” Stoking Controversy. “Giuliani had his own concerns, largely ignoring the importance of local law enforcement helping reflect the diversity of the communities they serve. ‘[T]he fact is, I find it very disappointing that you are not discussing the fact that 93 percent of blacks in America are killed by other blacks,’ the former Republican mayor said. ‘We’re talking about the exception here [in Ferguson].’” (Steve Benen, “Giuliani Stokes Controversy With Comments On Race,” [MSNBC](#) 11/24/14)

Yasser Arafat

In 1995, Giuliani Ejected Palestinian Leader Yasser Arafat From A Concert At The Lincoln Center, Which Bill Clinton Called An “Embarrassing Breach Of International Diplomacy.” “On Monday October 23, 1995, Mayor Rudy Giuliani noisily ejected PLO leader Yasser Arafat from a concert at Lincoln Center that had been scheduled to entertain world leaders in town for the 50th anniversary of the United Nations. President Clinton condemned Mr. Giuliani’s ‘embarrassing breach of international diplomacy’ and former Mayors Dinkins and Koch joined the chorus of those embarrassed that the mayor, who had personally selected Beethoven’s 9th Symphony, had injected politics into the celebration.” (Ken Kurson, “When Rudy Giuliani Booted Yasser Arafat,” [Observer](#) 10/20/15)

Fidel Castro

In 1995 Giuliani Refused To Invite Cuban Dictator Fidel Castro To A Gala Dinner. “Sitting at a polished oval conference table at The New York Times yesterday, Fidel Castro broke from his characteristic political discourse to make a pronouncement. ‘I would not vote for the Mayor,’ he said of Mayor Rudolph W. Giuliani. Yet, despite the glittery galas and concerts that Mr. Castro missed at Mr. Giuliani’s behest, it is something more profoundly prosaic that galls him about the Mayor’s tenure. It’s not just because he didn’t invite me to dinner,’ the Cuban President said with a grin, ‘but because on my way into town from the airport there were such enormous potholes.’” (Lizette Alvarez, “Giuliani? He Wouldn’t Get Castro’s Vote,” [The New York Times](#) 10/26/95)

Brooklyn Museum Of Art

In 1999, Giuliani Threatened To Terminate The Brooklyn Museum Of Art’s Lease With New York City If It Did Not Cancel A British Exhibition That Featured “A Portrait Of The Virgin Mary Stained With Elephant Dung.” “Mayor Rudolph W. Giuliani stepped up his attack on the Brooklyn Museum of Art Thursday, threatening to terminate its lease with the city and possibly even seize control of the museum unless it cancels a British exhibition that features a portrait of the Virgin Mary stained with elephant dung.” (Abby Goodnough, “Giuliani Threatens To Evict Museum Over Art Exhibit,” [The New York Times](#), 9/24/99)

BUSINESSES/ASSOCIATIONS

Leeds Weld Equity Partners

Giuliani Served As Chairman Of The Board Of Advisers Of Leeds Weld Equity Partners. “Former New York City Mayor Rudolph Giuliani was named chairman of the board of advisers of Leeds Weld Equity Partners, an education-focused private-equity firm.” (“Giuliani to Head Adviser Board At Leeds Weld Equity Partners,” [The Wall Street Journal](#), 5/7/2002)

Giuliani Partners

Giuliani Advised Merrill Lynch Regarding An Investigation Done In 2002 By The New York Attorney General's Office Looking Into "Conflicts Of Interests By Wall Street Analysts." "Merrill Lynch has hired former New York City Mayor Rudy Giuliani to advise the firm in its dealings with the state's attorney general over his investigation of alleged conflicts of interest by Wall Street analysts. Giuliani's firm, Giuliani Partners, is helping Merrill Lynch negotiate a settlement with New York Attorney General Eliot Spitzer, who claims that some of the brokerage company's analysts promoted stocks publicly while expressing doubts in e-mail messages about the same companies' shares." ("Giuliani Helping Merrill Lynch Fight Criminal Charges," [USA Today](#), 4/24/02)

Giuliani Capital Advisors

In 2004, Giuliani Partners Acquired The Investment Arm Of Ernst & Young To Form A New Division Called Giuliani Capital Advisors, LLC. "Dec. 1, 2004--Rudolph W. Giuliani and Giuliani Partners LLC today announced the formation of Giuliani Capital Advisors LLC, a firm that will provide investment banking solutions and independent advice to leaders dealing with complex business challenges, strategic transactions or financial distress. 'Giuliani Capital Advisors enables us to provide key financial advisory solutions to the business community complementing our other services,' said Mr. Giuliani, who is Chairman and CEO of Giuliani Partners LLC, a management consulting firm, and parent company of Giuliani Capital Advisors. 'At Giuliani Partners, we advise companies dealing with high-level strategic challenges. Often the solution involves a combination of changes including one or more transactions. These transactions may involve the sale of all or a part of the business, an acquisition to accelerate growth, or the restructuring of debt or equity.'" ("Giuliani Partners Forms Giuliani Capital Advisors and Makes Strategic Acquisition Firm Provides Investment Banking Solutions and Independent Advice to Business Leaders," [BusinessWire](#) 12/1/04)

The Investment Banking Division Of Giuliani Partners Was Sold In 2007 In Anticipation Of Giuliani's Upcoming Presidential Bid. "Giuliani Capital Advisors, the investment banking arm of former New York City mayor Rudolph W. Giuliani's consulting business, will be acquired by Macquarie Group of Australia, Macquarie said early Monday. The sale, which was expected, comes as Mr. Giuliani embarks on a run for the presidency, raising questions about the fate of his various business endeavors." ("Giuliani s Investment Bank Is Sold," [The New York Times](#) 3/5/07)

Greenberg Traurig

Rudy Giuliani Has Served As A Senior Advisor To Greenberg Traurig. "Rudolph W. Giuliani serves as a Senior Advisor to Greenberg Traurig's Executive Chairman and as the Chair of the Cybersecurity, Privacy and Crisis Management Practice. Throughout his decades of public service, in the private sector as a practicing attorney and as a businessman, Rudy has demonstrated many skills and talents. In addition to his work with Greenberg Traurig, Rudy is the founder of Giuliani Partners, a leading professional services firm in emergency preparedness, public safety, leadership during crises, and financial management." ("Biography Rudolph Giuliani," [Greenberg Traurig](#), Accessed 11/19/16)

Giuliani Took A Leave Of Absence From Greenberg Traurig In October Of 2016 To Support Donald Trump Full Time. "In a stunning development just 34 days before Election Day, the law firm Greenberg Traurig has announced that its highest-profile partner, Rudy Giuliani, will be taking a leave of absence because of his activities on behalf of the Trump campaign." (Ken Kurson, "EXCLUSIVE Rudy Giuliani Takes Break From Law Firm To Support Donald Trump," [New York Observer](#), 10/05/16)

Bracewell & Giuliani

Giuliani Became A Partner At Then Bracewell & Giuliani In 2005. "Rudolph W. Giuliani's empire is expanding with a high-profile new venture: Mr. Giuliani, the former mayor of New York, is becoming a partner in a politically connected Texas law firm and will open its Manhattan office in May. The Houston law firm, Bracewell & Patterson, employs several prominent Republicans and former members of the Bush administration and has a roster of oil, gas and banking clients that once included Enron. It will be

renamed Bracewell & Giuliani this week and open a 25,000-square-foot Midtown office in May with an initial complement of 20 lawyers, said the firm's managing partner, Patrick C. Oxford. The Midtown site has not been chosen, he said." (Patrick Healy, "Giuliani To Be Partner In Texas Law Firm," [New York Times](#), 3/30/05)

Giuliani Left The Firm In 2006 To Join Greenberg Traurig. "A decade after going into private practice, former New York City Mayor Rudy Giuliani is leaving the Texas law firm that put his name on the door, Bracewell & Giuliani LLP, to join the law firm Greenberg Traurig LLP. Mr. Giuliani will take the reins as global head of Greenberg's cybersecurity and crisis management practice and also serve as senior adviser to the firm's executive chairman, Richard Rosenbaum, the firm announced Tuesday." (Sara Randazzo, "Bracewell & Giuliani is Losing ... Giuliani," [The Wall Street Journal](#), 1/19/16)

Giuliani Compliance Japan

Giuliani Partners Formed An Overseas Consulting Arm In Japan Called "Giuliani Compliance Japan." "Giuliani Partners also is to expand its business consulting work through Giuliani Compliance Japan that is to offer specialized consulting services in the fields of corporate governance and internal control." ("JETRO Head Says Japan to Continue Welcoming Foreign Investments," [Global Atlanta](#))

Giuliani Security And Safety

Giuliani Formed A Security Group That Included A Specialized Branch In Japan. "The firm also created several security divisions over the years. The first, Giuliani-Kerik, advised companies on matters as diverse as making buildings more secure and marketing security products. In 2005, after Kerik left, it was renamed Giuliani Security & Safety. Giuliani Partners also created an overseas security arm called Giuliani Security & Safety Asia, which has done business in Japan similar to what the firm's U.S. entities do, and an overseas consulting unit called Giuliani Compliance Japan." (John Solomon and Matthew Most, "In Private Sector, Giuliani Parlayed Fame Into Wealth," [The Washington Post](#), 5/13/07)