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and research of John Greenewald, Jr., creator of:

# The Black Vault



The Black Vault is the largest online Freedom of Information Act (FOIA) document clearinghouse in the world. The research efforts here are responsible for the declassification of hundreds of thousands of pages released by the U.S. Government & Military.

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April 9, 2019

MR. JOHN GREENEWALD JR.  
THE BLACK VAULT  
SUITE 1203  
27305 WEST LIVE OAK ROAD  
CASTAIC, CA 91384

FOIPA Request No.: 1432481-000  
Subject: ROCKEFELLER, LAURANCE  
SPELMAN

Dear Mr. Greenewald:

The enclosed 18 pages of records were determined to be responsive to your subject and were previously processed and released pursuant to the Freedom of Information Act (FOIA). Please see the selected paragraphs below for relevant information specific to your request as well as the enclosed FBI FOIPA Addendum for standard responses applicable to all requests.

- In an effort to provide you with responsive records as expeditiously as possible, we are releasing documents from previous requests regarding your subject. We consider your request fulfilled. Since we relied on previous results, additional records potentially responsive to your subject may exist. If this release of previously processed material does not satisfy your request, you may request an additional search for records. Submit your request by mail or fax to – Work Process Unit, 170 Marcel Drive, Winchester, VA 22602, fax number (540) 868-4997. Please cite the FOIPA Request Number in your correspondence.
- Please be advised that additional records responsive to your subject exist. If this release of previously processed material does not satisfy your request, you must advise us that you want the additional records processed. Please submit your response within thirty (30) days by mail or fax to—Work Processing Unit, 170 Marcel Drive, Winchester, VA 22602, fax number (540) 868-4997. Please cite the FOIPA Request Number in your correspondence. **If we do not receive your decision within thirty (30) days of the date of this notification, your request will be closed.**
- One or more of the enclosed records were transferred to the National Archives and Records Administration (NARA). Although we retained a version of the records previously processed pursuant to the FOIA, the original records are no longer in our possession.

If this release of the previously processed material does not satisfy your request, you may make a request to NARA at the following address:

National Archives and Records Administration  
8601 Adelphi Road  
College Park, MD 20740-6001

Reference file number 161-HQ-9217 in your correspondence.

- Records potentially responsive to your request were transferred to the National Archives and Records Administration (NARA), and they were not previously processed pursuant to the FOIA. You may file a request with NARA using the address above.

- One or more of the enclosed records were destroyed. Although we retained a version of the records previously processed pursuant to the FOIA, the original records are no longer in our possession. Record retention and disposal is carried out under supervision of the National Archives and Records Administration (NARA) , Title 44, United States Code, Section 3301 as implemented by Title 36, Code of Federal Regulations, Part 1228; Title 44, United States Code, Section 3310 as implemented by Title 36, Code of Federal Regulations, Part 1229.10.
- Records potentially responsive to your request were destroyed. Since this material could not be reviewed, it is not known if it was responsive to your request. Record retention and disposal is carried out under supervision of the National Archives and Records Administration (NARA) according to Title 44 United States Code Section 3301, Title 36 Code of Federal Regulations (CFR) Chapter 12 Sub-chapter B Part 1228, and 36 CFR 1229.10.
- Documents or information referred to other Government agencies were not included in this release.

Please refer to the enclosed FBI FOIPA Addendum for additional standard responses applicable to your request. The “**Standard Responses to Requests**” section of the Addendum applies to all requests. If the subject of your request is a person, the “**Standard Responses to Requests for Individuals**” section also applies. The “**General Information**” section includes useful information about FBI records. Also enclosed is our Explanation of Exemptions.

For questions regarding our determinations, visit the [www.fbi.gov/foia](http://www.fbi.gov/foia) website under “Contact Us.” The FOIPA Request Number listed above has been assigned to your request. Please use this number in all correspondence concerning your request.

You may file an appeal by writing to the Director, Office of Information Policy (OIP), United States Department of Justice, Suite 11050, 1425 New York Avenue, NW, Washington, D.C. 20530-0001, or you may submit an appeal through OIP's FOIA online portal by creating an account on the following web site: <https://www.foiaonline.gov/foiaonline/action/public/home>. Your appeal must be postmarked or electronically transmitted within ninety (90) days from the date of this letter in order to be considered timely. If you submit your appeal by mail, both the letter and the envelope should be clearly marked “Freedom of Information Act Appeal.” Please cite the FOIPA Request Number assigned to your request so it may be easily identified.

You may seek dispute resolution services by contacting the Office of Government Information Services (OGIS) at 877-684-6448, or by emailing [ogis@nara.gov](mailto:ogis@nara.gov). Alternatively, you may contact the FBI's FOIA Public Liaison by emailing [foipaquestions@fbi.gov](mailto:foipaquestions@fbi.gov). If you submit your dispute resolution correspondence by email, the subject heading should clearly state “Dispute Resolution Services.” Please also cite the FOIPA Request Number assigned to your request so it may be easily identified.

Sincerely,



David M. Hardy  
Section Chief,  
Record/Information  
Dissemination Section  
Information Management Division

Enclosure(s)

## FBI FOIPA Addendum

As referenced in our letter, the FBI FOIPA Addendum includes information applicable to your request. Part 1 of the Addendum includes standard responses that apply to all requests. If you submitted a request regarding yourself or another person, Part 2 includes additional standard responses that apply to requests for individuals. If you have questions regarding the standard responses in Parts 1 or 2, visit the [www.fbi.gov/foia](http://www.fbi.gov/foia) website under “Contact Us.” Previously mentioned appeal and dispute resolution services are also available. Part 3 includes general information about FBI records that you may find useful.

### Part 1: Standard Responses to All Requests: See Below for all Requests

- (i) **5 U.S.C. § 552(c).** Congress excluded three categories of law enforcement and national security records from the requirements of the Freedom of Information Act (FOIA). See 5 U.S.C. § 552(c) (2006 & Supp. IV (2010)). FBI responses are limited to those records subject to the requirements of the FOIA. Additional information about the FBI and the FOIPA can be found on the [fbi.gov](http://fbi.gov) website.
- (ii) **National Security/Intelligence Records.** The FBI can neither confirm nor deny the existence of national security and foreign intelligence records pursuant to FOIA exemptions (b)(1) and (b)(3) and PA exemption (j)(2) as applicable to requests for records about individuals [5 U.S.C. §§ 552/552a (b)(1), (b)(3), and (j)(2); 50 U.S.C. § 3024(i)(1)]. The mere acknowledgment of the existence or nonexistence of such records is itself a classified fact protected by FOIA exemption (b)(1) and/or would reveal intelligence sources, methods, or activities protected by exemption (b)(3); 50 USC § 3024(i)(1). This is a standard response and should not be read to indicate that national security or foreign intelligence records do or do not exist.

### Part 2: Standard Responses to Requests for Individuals: See Below for all Requests for Individuals

- (i) **Requests for Records about any Individual—Watch Lists.** The FBI can neither confirm nor deny the existence of any individual’s name on a watch list pursuant to FOIA exemption (b)(7)(E) and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(7)(E), (j)(2)]. This is a standard response and should not be read to indicate that watch list records do or do not exist.
- (ii) **Requests for Records for Incarcerated Individuals.** The FBI can neither confirm nor deny the existence of records which could reasonably be expected to endanger the life or physical safety of any incarcerated individual pursuant to FOIA exemptions (b)(7)(E) and (b)(7)(F) and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(7)(E), (b)(7)(F), and (j)(2)]. This is a standard response and should not be read to indicate that such records do or do not exist.

### Part 3: General Information:

- (i) **Record Searches.** The Record/Information Dissemination Section (RIDS) searches for reasonably described records by searching those systems or locations where responsive records would reasonably be found. Most requests are satisfied by searching the Central Record System (CRS), an extensive system of records consisting of applicant, investigative, intelligence, personnel, administrative, and general files compiled and maintained by the FBI in the course of fulfilling its dual law enforcement and intelligence mission as well as the performance of agency administrative and personnel functions. The CRS spans the entire FBI organization and encompasses the records of FBI Headquarters (“FBIHQ”), FBI Field Offices, and FBI Legal Attaché Offices (“Legats”) worldwide. A CRS search includes Electronic Surveillance (ELSUR) records.
- (ii) **FBI Records**  
Founded in 1908, the FBI carries out a dual law enforcement and national security mission. As part of this dual mission, the FBI creates and maintains records on various subjects; however, the FBI does not maintain records on every person, subject, or entity.
- (iii) **Requests for Criminal History Records or “Rap Sheets.”** The Criminal Justice Information Services (CJIS) Division provides Identity History Summary Checks –often referred to as a criminal history record or “rap sheets.” These criminal history records are not the same as material in an investigative “FBI file.” An Identity History Summary Check is a listing of information taken from fingerprint cards and documents submitted to the FBI in connection with arrests, federal employment, naturalization, or military service. For a fee, individuals can request a copy of their Identity History Summary Check. Forms and directions can be accessed at [www.fbi.gov/about-us/cjis/identity-history-summary-checks](http://www.fbi.gov/about-us/cjis/identity-history-summary-checks). Additionally, requests can be submitted electronically at [www.edo.cjis.gov](http://www.edo.cjis.gov). For additional information, please contact CJIS directly at (304) 625-5590.
- (iv) **The National Name Check Program (NNCP).** The mission of NNCP is to analyze and report information in response to name check requests received from federal agencies, for the purpose of protecting the United States from foreign and domestic threats to national security. Please be advised that this is a service provided to other federal agencies. Private citizens cannot request a name check.

## EXPLANATION OF EXEMPTIONS

### SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information ( A ) could reasonably be expected to interfere with enforcement proceedings, ( B ) would deprive a person of a right to a fair trial or an impartial adjudication, ( C ) could reasonably be expected to constitute an unwarranted invasion of personal privacy, ( D ) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, ( E ) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or ( F ) could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

### SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

FEDERAL BUREAU OF INVESTIGATION  
COMMUNICATIONS SECTION

SEP 19 1974

TELETYPE

FD-448 (Rev. 10-16-73)

Asst. Dir.:	_____
Dep. A.D.-Inv.	_____
Admin.	_____
Comp. Syst.	_____
Ext. Affairs	_____
Files & Com.	_____
Gen. Inv.	_____
Ident.	_____
Inspection	_____
Intell.	_____
Plan. & Eval.	_____
Spec. Inv.	_____
Training	_____
Legal Coun.	_____
Telephone Rm.	_____
Director Sec'y	_____

Transmit attached by Facsimile - PLAINTEXT

Priority IMMEDIATE

To: DIRECTOR, FBI

Date: 9/19/74

From: SAC, NY - (161-2961)

Time Transmitted -

Subject:

William Aldrich Rockefeller <sup>Initials -</sup>

- Fingerprint Photo
- Fingerprint Record
- Map
- Newspaper clipping
- Photograph
- Artists Conception

Report dated 9/19/74

Special handling instructions:

DELIVER IMMEDIATELY TO

[Redacted Box]

Room 1258

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b7c

Approved:

*John E. Warren - Sep. 18*

*J*  
[Stamp]

REC-105 161-6197-388

RECORDED  
JAN 2 1975

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803 RDD/KSR

*[Handwritten Signature]*

58 JAN 14 1975

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW YORK</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>9/19/74</b>	INVESTIGATIVE PERIOD <b>9/19/74</b>
TITLE OF CASE <b>NELSON ADRICH ROCKEFELLER</b>		REPORT MADE BY <b>JOHN E. WARREN</b>	TYPED BY <b>ES</b>
		CHARACTER OF CASE <b>SPI</b>	

REFERENCE

New York report of SA JOHN E. WARREN, dated 9/18/74.

F B I

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803 RDD/USC

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:	
CONVIC.	FUG.	FINES	DAWNES	RECOVERIES			PENDING OVER ONE YEAR	<input type="checkbox"/> YES <input type="checkbox"/> NO
							PENDING PROSECUTION OVER SIX MONTHS	<input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED *[Signature]* SPECIAL AGENT IN CHARGE

DO NOT WRITE IN SPACES BELOW

6 - Bureau (161-5197)  
1 - New York (161-2961)

*Q/B*

Dissemination Record of Attached Report		Distribution	
Agency	2-CC, AD, 1-CC, W.A.G., 1-CC, W. House		
Report Recd.			
Date Fwd.	9-20-74		
How Fwd.			
By	<i>Q/B</i>	ENCLOSURE	161-6197-388

NY 161-2961

ADMINISTRATIVE

On September 19, 1974, [redacted]  
Accounting and Taxes for 5600 Rockefeller Center, New York,  
New York, advised that following the dissolution of Literary  
Productions, Incorporated (LPI), it was his decision that any  
losses actually sustained by LAURENCE ROCKEFELLER would not  
be taken as a business loss in any way. No losses were  
noted and/or deducted from Mr. LAURENCE ROCKEFELLER's tax  
return for 1973, sustained in the LPI transactions. The  
funding of LPI was not considered a gift, as well. [redacted]  
[redacted] advised that to his knowledge NELSON A. ROCKEFELLER  
was not aware of the business transactions involved in LPI.

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*add K6*

- B\* -

COVER PAGE



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: JOHN E. WARREN  
Date: 9/19/74

Office: New York, New York

Field Office File #: 161-2961

Source File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis:

J. RICHARDSON DILGOSH, Senior Financial Advisor, ROCKEFELLER Family, advised 9/19/74 that throughout entire transactions involving Literary Productions, Incorporated, he acted as an intermediary. He stated that checks in the amount of \$40,000 and \$25,000 were issued in connection with this corporation, and to his knowledge both checks issued from funds of LAURANCE ROCKEFELLER. [redacted] 5600 Rockefeller Center, made available file containing transactions involving VICTOR LARRY'S bank and Literary Productions, Incorporated.

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NM

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803 RDD/KSR

CWC:mmz

1.

NY 161-2961

On September 19, 1974, J. RICHARDSON DILWORTH; Senior Financial Advisor, Rockefeller Family, Room 5600, One Rockefeller Plaza, New York, New York, was interviewed at his place of employment by Special Agent [redacted]

Mr. DILWORTH advised that throughout the entire transactions involving Literary Productions, Incorporated. (LPI), a corporation formed to produce and publish a book by VICTOR LASKY, he had acted as an <sup>intermediary</sup> intermediary, along with [redacted] another [redacted] Mr. DILWORTH advised that following the decision to establish LPI, he had secured an individual to act as a financial backer upon the advice and direction of [redacted] In so doing, he had contacted his now deceased uncle's law firm in Philadelphia, Pennsylvania, Dilworth, [redacted] and [redacted], and secured [redacted] in that firm, to act in the capacity of shareholder of record for LPI.

[redacted] in turn was sent two checks, the first in the amount of \$40,000 and the second in the amount of \$25,000 to enable him, [redacted] to purchase 600 shares, the total number of shares of LPI. He stated that upon the issuance of the first check of \$40,000 in July, 1970, he had advised [redacted] of his position as the nominee, purchaser of the shares, and the fact that [redacted] was to take all his directives from himself, J. RICHARDSON DILWORTH. He stated that to his knowledge both checks issued from the funds of LAURANCE ROCKEFELLER. He stated that a subsequent communication, date unknown, was sent to [redacted] advising him that he should also act pursuant to the directives of [redacted] and [redacted] both associated with the Rockefeller Family.

GWC:mmz

2.

NY 161-2961

*Plus!*  
The letter advised [redacted] that he was to send a check in the amount of \$35,000 in payment for 350 shares of LPI.

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Mr. DILWORTH advised that upon the issuance of the second check in the amount of \$25,000, [redacted] advised [redacted] that he was to remit this amount, in payment for 250 shares of LPI, bringing the total shares to 600.

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Following the dissolution of LPI, Mr. DILWORTH advised that [redacted] had requested directions on what to do with an outstanding balance left in the original account composed of money received in the amount of \$40,000 and \$25,000 checks. Eventually, the amount of approximately \$6800 was sent to him in a check, naming Mr. DILWORTH the payee. Mr. DILWORTH advised that subsequently this check was deposited to his personal account and an additional check *written by him* in the same amount was made payable to the account of LAURANCE ROCKEFELLER, thereby transferring all remaining monies outstanding.

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b7C

Mr. DILWORTH advised that to his knowledge NELSON ROCKEFELLER was not in any way involved in LPI, nor did he have knowledge of the funding or contents of the book.

Mr. DILWORTH advised that on the evening of September 18, 1974, he had contacted LAURANCE ROCKEFELLER, at which time LAURANCE ROCKEFELLER had advised him that he had "zero recollection of this matter".

CWC:mmz  
1.

NY 161-2961

On September 19, 1974, [redacted] for 5600 Rockefeller Center, New York, New York, advised that he had succeeded to [redacted] position in June, 1971. [redacted] made available a file concerning the transactions involving VICTOR LASKY's book and Literary Productions, Incorporated (LPI), which contained a number of memoranda.

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The following memoranda and their general contents as noted below, were contained in the portfolio:

A memorandum dated July 29, 1970, from J. RICHARDSON DILWORTH to [redacted] advising [redacted] of an enclosed check in the amount of \$40,000 and advising [redacted] that he was to be the nominee, shareholder of record of all the shares to be issued by LPI. The memorandum noted that [redacted] was to take all of his directives from J. RICHARDSON DILWORTH.

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A memorandum dated July 30, 1970, from [redacted] to [redacted] (of the Accounting Department) advising [redacted] to charge LAURANCE S. ROCKEFELLER's account for the amount of \$40,000 and to issue an official check for that amount, payable to [redacted].

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A memorandum dated August 28, 1970, from [redacted] again to the Accounting Department, advising them to charge the amount of \$25,000 to LAURANCE S. ROCKEFELLER's account and to issue an official check for that amount payable to [redacted].

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A memorandum dated July 31, 1970, from [redacted] to [redacted] noting the payment of \$35,000 for 350 shares of stock of LPI.

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CWC:umz  
2.

NY 161-2961

A memorandum dated July 30, 1970, from J. RICHARDSON DILLMORTH to [redacted] advising that [redacted] should also accept the directives of [redacted] and [redacted]

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A memorandum dated August 7, 1970, covering stock certificates, the Articles of Incorporation, noting their incorporation under a "1244 Plan" noting the director of the aforementioned corporation to be [redacted] and noting the hand delivery of the aforementioned certificates and articles.

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[redacted] advised that he was not familiar with [redacted]

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A memorandum dated August 5, 1971, from [redacted] of Rogers and Wells Law Firm, advising that they sought to discontinue the existence of the corporation and terminate all transactions thereof.

A memorandum dated February 2, 1972, whereby [redacted] advised that it could be possible that losses sustained in the LPI transactions could be a deductible item, not noting for whom.

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A memorandum dated May 9, 1973, from [redacted] to [redacted] advising him to send the balance of the amount, some \$6800, in a check payable to J. RICHARDSON DILLMORTH.

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A memorandum dated <sup>May 21</sup> ~~April 27~~, 1973, from [redacted] noting that the check in the amount of \$6827.26 had been sent to J. RICHARDSON DILLMORTH.

A memorandum dated June 21, 1973, noting that the amount of \$6827.26 had been credited to LAURANCE ROCKEFELLER'S account by check made payable to such account by J. RICHARDSON DILLMORTH. The conclusion of the memorandum noted a comment of [redacted] to the 5600, that "no attempt will be made to write off the balance from a tax standpoint"

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3.

NY 161-2961

A memorandum dated July 19, 1973, from [redacted] that all losses sustained would be considered personal and non-deductible.

[redacted] advised that to his knowledge all monies sent to [redacted] had come from the account of LAURANCE ROCKEFELLER. It was his belief [redacted] that Mr. NELSON A. ROCKEFELLER was not familiar with the exchange of monies, nor the purchase of the shares by [redacted] or knew about any of the transactions involving [redacted].

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b7C

*add here*

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

## Copy to:

Report of: JOHN E. WARREN  
Date: 9/19/74

Office: New York, New York

Field Office File #: 161-2961

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis: J. RICHARDSON DILWORTH, Senior Financial Advisor, ROCKEFELLER Family, advised 9/19/74, that throughout entire transactions involving Literary Productions, Incorporated, he acted as an intermediary. He stated that checks in the amount of \$40,000 and \$25,000 were issued in connection with this corporation, and to his knowledge both checks issued from funds of LAURANCE ROCKEFELLER. [REDACTED] 5600 Rockefeller Center, made available file containing transactions involving VICTOR LASKY'S book and Literary Productions, Incorporated.

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- P -

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803 RDD/ICSR

CWC:mmz

1.

NY 161-2961

On September 19, 1974, J. RICHARDSON DILWORTH, Senior Financial Advisor, Rockefeller Family, Room 5600, One Rockefeller Plaza, New York, New York, was interviewed at his place of employment by Special Agent [REDACTED]

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b7C

Mr. DILWORTH advised that throughout the entire transactions involving Literary Productions, Incorporated (LPI), a corporation formed to produce and publish a book by VICTOR LASKY, he had acted as an intermediary, along with [REDACTED], another [REDACTED]. Mr. DILWORTH advised that following the decision to establish LPI, he had secured an individual to act as a financial backer upon the advice and direction of [REDACTED]. In so doing, he had contacted his now deceased uncle's law firm in Philadelphia, Pennsylvania, Dilworth, [REDACTED] and [REDACTED] and secured Mr. [REDACTED] a partner in that firm, to act in the capacity of shareholder of record for LPI.

b6  
b7C

[REDACTED] in turn was sent two checks, the first in the amount of \$40,000 and the second in the amount of \$25,000 to enable him, [REDACTED] to purchase 600 shares, the total number of shares of LPI. He stated that upon the issuance of the first check of \$40,000 in July, 1970, he had advised [REDACTED] of his position as the nominee, purchaser of the shares, and the fact that [REDACTED] was to take all his directives from him, J. RICHARDSON DILWORTH. He stated that to his knowledge both checks were issued from the funds of LAURANCE ROCKEFELLER. He stated that a subsequent communication, date unknown, was sent to [REDACTED] advising him that he should also act pursuant to the directives of [REDACTED] and [REDACTED] both associated with the Rockefeller Family.

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b7C



CWC:mmz

2.

NY 161-2961

This letter advised [redacted] that he was to send a check in the amount of \$35,000 in payment for 350 shares of LPI.

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Mr. DILWORTH advised that upon the issuance of the second check in the amount of \$25,000, [redacted] advised [redacted] that he was to remit this amount, in payment for 250 shares of LPI, bringing the total shares to 600.

b6  
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Mr. DILWORTH advised that following the dissolution of LPI, [redacted] had requested directions on what to do with an outstanding balance left in the original account composed of money received in the amount of \$40,000 and \$25,000 checks. Eventually, the amount of approximately \$6800 was sent to him in a check, naming Mr. DILWORTH the payee. Mr. DILWORTH advised that subsequently this check was deposited to his personal account and an additional check written by him in the same amount was made payable to the account of LAURANCE ROCKEFELLER, thereby transferring all remaining monies outstanding.

Mr. DILWORTH advised that to his knowledge NELSON ROCKEFELLER was not in any way involved in LPI, nor did he have knowledge of the funding or contents of the book.

Mr. DILWORTH advised that on the evening of September 18, 1974, he had contacted LAURANCE ROCKEFELLER, at which time LAURANCE ROCKEFELLER had advised him that he had "zero recollection of this matter".

CWC:mmz

1.

NY 161-2961

On September 19, 1974, [redacted] for 5600 Rockefeller Center, New York, New York, advised that he had succeeded to [redacted] position in June, 1971. [redacted] made available a file concerning the transactions involving VICTOR LASKY's book and Literary Productions, Incorporated (LPI), which contained a number of memoranda.

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The following memoranda and their general contents as noted below, were contained in the portfolio:

A memorandum dated July 29, 1970, from J. RICHARDSON DILWORTH to [redacted] advising [redacted] of an enclosed check in the amount of \$40,000 and advising [redacted] that he was to be the nominee, shareholder of record of all the shares to be issued by LPI. The memorandum noted that [redacted] was to take all of his directives from J. RICHARDSON DILWORTH.

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A memorandum dated July 30, 1970, from [redacted] to [redacted] (of the Accounting Department) advising [redacted] to charge LAURANCE S. ROCKEFELLER's account for the amount of \$40,000 and to issue an official check for that amount, payable to [redacted]

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A memorandum dated August 28, 1970, from [redacted] again to the Accounting Department, advising them to charge the amount of \$25,000 to LAURANCE S. ROCKEFELLER's account and to issue an official check for that amount payable to [redacted]

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A memorandum dated July 31, 1970, from [redacted] to [redacted] noting the payment of \$35,000 for 350 shares of stock of LPI.

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CWC:mmz  
2.

NY 161-2961

A memorandum dated July 30, 1970, from J. RICHARDSON DILWORTH to [redacted] advising that [redacted] should also accept the directives of [redacted] and [redacted]

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A memorandum dated August 7, 1970, covering stock certificates, the Articles of Incorporation, noting their incorporation under a "1244 Plan" noting the director of the aforementioned corporation to be [redacted] and noting the hand delivery of the aforementioned certificates and articles.

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[redacted] advised that he was not familiar with [redacted]

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A memorandum dated August 5, 1971, from [redacted] of Rogers and Wells Law Firm, advising that they sought to discontinue the existence of the corporation and terminate all transactions thereof.

A memorandum dated February 2, 1972, whereby [redacted] advised that it could be possible that losses sustained in the LPI transactions could be a deductible item, not noting for whom.

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A memorandum dated May 9, 1973, from [redacted] to [redacted] advising him to send the balance of the amount, some \$6800, in a check payable to J. RICHARDSON DILWORTH.

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A memorandum dated May 21, 1973, from [redacted] noting that the check in the amount of \$6827.26 had been sent to J. RICHARDSON DILWORTH.

A memorandum dated June 21, 1973, noting that the amount of \$6827.26 had been credited to LAURANCE ROCKEFELLER's account by check made payable to such account by J. RICHARDSON DILWORTH. The conclusion of the memorandum noted a comment of [redacted] to the 5600, that "no attempt will be made to write off the balance from a tax standpoint."

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CWC:mmz

3.

NY 161-2961

A memorandum dated July 19, 1973, from [redacted] [redacted] that all losses sustained would be considered personal and non-deductible.

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[redacted] advised that to his knowledge all monies sent to [redacted] had come from the account of LAURANCE ROCKEFELLER. It was his, [redacted], belief that Mr. NELSON A. ROCKEFELLER was not familiar with the exchange of monies, nor the purchase of the shares by [redacted] [redacted] or knew about any of the transactions involving LPI.

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On September 19, 1974, [redacted] of Accounting and Taxes for 5600 Rockefeller Center, New York, New York, advised that following the dissolution of Literary Productions, Incorporated (LPI), it was his decision that any losses actually sustained by LAURANCE ROCKEFELLER would not be taken as a business loss in any way. No losses were noted and/or deducted from Mr. LAURANCE ROCKEFELLER's tax return for 1973, sustained in the LPI transactions. The funding of LPI was not considered a gift, as well. [redacted] [redacted] advised that to his knowledge NELSON A. ROCKEFELLER was not aware of the business transactions involved in LPI.

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N/A  
9-27  
2

FEDERAL BUREAU OF INVESTIGATION  
U. S. DEPARTMENT OF JUSTICE  
COMMUNICATIONS SECTION

SEP 27 1966

TELETYPE

Mr. Tolson	_____
Mr. DeLoach	_____
Mr. Mohr	_____
Mr. Wick	_____
Mr. Casper	_____
Mr. Callahan	_____
Mr. Conrad	_____
Mr. Felt	_____
Mr. Gale	_____
Mr. Rosen	_____
Mr. Sullivan	_____
Mr. Tavel	_____
Mr. Trotter	_____
Tele. Room	_____
Miss Holmes	_____
Miss Gandy	_____

FBI WASH DC

FBI DENVER

358 PM URGENT 9-27-66 JS

TO DIRECTOR, NEW YORK CITY

FROM DENVER 62-2251 (P) 3P

*8 men*  
*(mmm)*

UNSUB; MURDER OF VALERIE PERCY, KENILWORTH, ILLINOIS, SEPTEMBER EIGHTEEN LAST; POLICE COOPERATION.

*sp*

*ST*

REBUTEL TO ALL OFFICES SEPTEMBER TWENTYONE LAST, AND CHICAGO TELETYPE TO BUREAU AND DENVER, SEPTEMBER TWENTYSEVEN INSTANT.

[ ] CHIEF RANGER, TETON NATIONAL PARK, JACKSON, WYOMING, ADVISED SA [ ] SEPTEMBER TWENTYSEVEN INSTANT, LAWRENCE ROCKEFELLER NOT KNOWN AT THAT ADDRESS. OWNER OF THE JY RANCH IS LAURANCE S. ROCKEFELLER, PROMINENT NEW YORK BANKER AND BROTHER OF GOVERNOR NELSON A. ROCKEFELLER, NEW YORK STATE. [ ]

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*Wyo do*

EX-102 REC 38-95-132604-154  
SEP 28 1966

[ ] STATED ROCKEFELLER WAS AT THE JY RANCH APPROXIMATELY [ ] SIX LAST AND DEPARTED FOR NEW YORK CITY SOMETIME AROUND SEPT. TEN LAST. HE DID NOT KNOW PRESENT WHEREABOUTS OF ROCKEFELLER, BUT STATED ROCKEFELLER COULD BE LOCATED THROUGH [ ] OR [ ]

*Friedman*

[ ] REPRESENTATIVES OF THE ROCKEFELLER

34 8 8 OCT 7 1966

PAGE TWO

FAMILY, TELEPHONE NUMBER TWO ONE TWO DASH CIRCLE SEVEN DASH THIRTYSEVEN ZERO ZERO, NEW YORK CITY, NEW YORK. TO HIS KNOWLEDGE, ROCKEFELLER'S LOCATION IS ALWAYS KNOWN TO THESE INDIVIDUALS. HE STATED THAT NELSON ROCKEFELLER, WESTCHESTER COUNTY, NEW YORK, WOULD UNDOUBTEDLY HAVE INFORMATION AS TO LAURANCE ROCKEFELLER'S LOCATION.

FOR INFO NEW YORK CITY, REFERENCED CHICAGO TEL STATED THAT A SOURCE CLOSE TO PERCY FAMILY HAD ADVISED LAWRENCE ROCKEFELLER, JY RANCH, MORAN, WYOMING, WAS ASSOCIATED WITH VICTIM, DEGREE OF ASSOCIATION NOT KNOWN.

FOR CONFIDENTIAL INFO INTERVIEWS OF AT LEAST TWO MALES IN THIS MATTER INDICATE PROBABLE PROMISCUITY OF VICTIM.

CHICAGO REQUESTED INVESTIGATION BE CONDUCTED TO LOCATE AND INTERVIEW LAWRENCE ROCKEFELLER CONCERNING HIS WHEREABOUTS DURING PERTINENT PERIOD AND FOR INVESTIGATIVE LEADS. IF HE CANNOT BE ELIMINATED AS SUSPECT, MAJOR CASE PRINTS SHOULD BE OBTAINED AND SUBMITTED TO FBI LAB IF FEASIBLE AND ADVISABLE, AND IF SUCH CAN BE DONE WITHOUT EMBARRASSMENT TO BUREAU.

PAGE THREE

BUREAU INSTRUCTS THAT IN CONNECTION WITH ALL INTERVIEWS,  
PARTICULARLY THOSE OF PROMINENCE, INSURE GOOD JUDGMENT EXERCISED  
TO PRECLUDE EMBARRASSMENT TO BUREAU.

NEW YORK CITY HANDLE USACB.

CHICAGO ADVISED AIR MAIL.

UNKNOWN SUBJECT ARMED AND DANGEROUS.

END

JXM

FBI WASH DC

TU