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FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1256617-0

Total Deleted Page(s) - 6
Page 32 ~ b6; b7C; b7E;
Page 74 ~ b3; b6; b7C;
Page 75 ~ b3; b6; b7C;
Page 274 ~ Referral/Direct;
Page 275 ~ Referral/Direct;
Page 276 ~ Referral/Direct;

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WITH/CUT TEXT _____
BY UPJ
DATE 4/24/01

58A-NY-278417-85

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1001	
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b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/23/2001

To: New York

Attn: Supervisor
Supervisor

[Redacted]

b6
b7C

From: New York

Squad C-14

Contact: SA

[Redacted]

b6
b7C

Approved By:

[Redacted]

b6
b7C

Drafted By:

[Redacted]

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

[Redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

b3
b7E

Synopsis: Request to

[Redacted]

[Redacted]

Enclosures:

[Redacted]

b3
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Details: It is requested that the enclosed

[Redacted]

[Redacted]

pursuant

to the Federal Bureau of Investigation's guidelines.

The following information identifies

[Redacted]

[Redacted]

enclosed:

[Large Redacted Box]

b3
b6
b7C

To: New York From: New York
Re: 58A-NY-278417, 04/23/2001



b3
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Owing to the nature of this investigation, please expedite this request.

♦♦

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BY UG _____
DATE 9/24/01 _____

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b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/12/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

04/12/2001:

- Subpoena served to [redacted]
- New complainant telephonically interviewed.
- Contact made with [redacted] for service of
subpoena [redacted]
- Conf. with [redacted]
- Review new [redacted] records.

b3
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To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/12/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
- [redacted] to testify in grand jury on [redacted]
- Tentative meeting with [redacted]
- Interviews to be scheduled for [redacted]
- [redacted]
- [redacted] interview scheduled [redacted]
- Set lead to Legal London and Bern re: assistance in investigation.
- Interview [redacted]
- Telephone conf. with [redacted]
- Contact HQ re: [redacted]
- Prepare for [redacted] grand jury.

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Investigation is ongoing in New York.

04/201.sts
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WITH/OUT TEXT _____
BY UG
DATE 4/24/01

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/13/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

b6
b7C

b6
b7C

Drafted By: [redacted]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/13/2001:

- Contacted [redacted] re: interview/subpoena.
- Telconf. w/ White Plains RA.
- Processed evidence
- Subpoena served to [redacted] records.
- Reviewed new [redacted] records received.
- Review new [redacted] records.
- Prepare for [redacted] grand jury [redacted] grand jury testimony).

b3
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To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/13/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted]
● Tentative meeting with [redacted]
● Interviews to be scheduled for [redacted]
[redacted] interview scheduled [redacted]
● Set lead to Legal London and Bern re: assistance in investigation.
● Interview [redacted]
● Telephone conf. with [redacted]
● Contact HQ re: [redacted]
● Prepare for [redacted] grand jury.

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Investigation is ongoing in New York.

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WITH/OUT TEXT _____
BY UG _____
DATE 10/4/24 _____

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/16/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

b6
b7C

b6
b7C

Drafted By: [redacted]

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL; b6
CFPO - EXECUTIVE BRANCH; b7C
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

04/16/2001:

● Processed new evidence.
● Review [redacted] grand jury testimony and records
in preparation for grand jury.

[redacted]

● Review new [redacted] records.
● Telconf. with [redacted] division re: interviews.
● Review new [redacted] documents.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/16/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
- [redacted] to testify in grand jury on [redacted]
- [redacted] interview on [redacted]
- Interviews to be scheduled for [redacted]
- [redacted] interview scheduled [redacted]
- Set lead to Legal London and Bern re: assistance in investigation.
- Interview [redacted]
- Contact HQ re: [redacted]

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Investigation is ongoing in New York.

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WITH/TEXT ✓
WITH/OUT TEXT _____
BY UG
DATE 09/24/01

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/17/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- [redacted] testified before grand jury [redacted]
- Processed new evidence.
- [redacted] interview conducted.
- Review new [redacted] documents.
- Review of received materials from subpoenas for compliance.
- Received [redacted] materials.
- Contact made with [redacted]

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b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/17/2001

PROPOSED FUTURE ACTION:

- [redacted] interview scheduled
- [redacted] to testify in grand jury on [redacted]
- [redacted] interview scheduled for [redacted]
- Interviews to be scheduled for [redacted]
- [redacted]
- Set lead to Legal London and Bern re: assistance in investigation.
- Interview [redacted]

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Investigation is ongoing in New York.

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BY UP _____
DATE 4/25/01 _____

58A-NY-278417-91

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FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE

Date: 03/28/2001

To: New York

Attn: Supervisor [redacted]

b6
b7C

From: New York

C-14

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

b6
b7C

Drafted By: [redacted]

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to [redacted]

[redacted]

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Enclosures: [redacted]

[redacted]

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Details: It is requested that the enclosed [redacted]

[redacted] pursuant

to the Federal Bureau of Investigation's guidelines.

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b7E

The following information identifies [redacted]
[redacted] enclosed:

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[Large redacted box]

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To: New York From: New York
Re: 58A-NY-278417, 03/28/2001



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b7C

Owing to the nature of this investigation, please expedite this request.

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58A-NY-278417-92

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DATE 10 4/25/01

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FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE

Date: 03/29/2001

To: New York

Attn: Supervisor [redacted]

b6
b7C

From: New York

C-14

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to [redacted]

b3
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Enclosures: [redacted]

b3
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Details: It is requested that the enclosed [redacted]

[redacted] pursuant to the Federal Bureau of Investigation's guidelines.

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b7E

The following information identifies [redacted] enclosed:

[redacted]

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Owing to the nature of this investigation, please expedite this request.

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BY UP
DATE 4/25/01

58A-NY-278417

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[Redacted]	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/23/2001

To: New York

Attn: Supervisor
Supervisor

[Redacted]

b6
b7C

From: New York

Squad C-14

Contact: SA

[Redacted]

b6
b7C

Approved By:

[Redacted]

b6
b7C

Drafted By:

[Redacted]

Case ID #: ✓58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

[Redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to

[Redacted]

[Redacted]

b3
b7E

Enclosures:

[Redacted]

[Redacted]

b3

Details: It is requested that the enclosed

[Redacted]

[Redacted] pursuant
to the Federal Bureau of Investigation's guidelines.

[Redacted]

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b7E

The following information identifies

[Redacted]

[Redacted]

[Redacted]

enclosed:

b3

[Redacted]

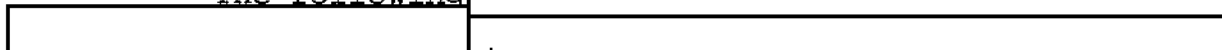
b3
b6
b7C

To: New York From: New York
Re: 58A-NY-278417, 04/23/2001



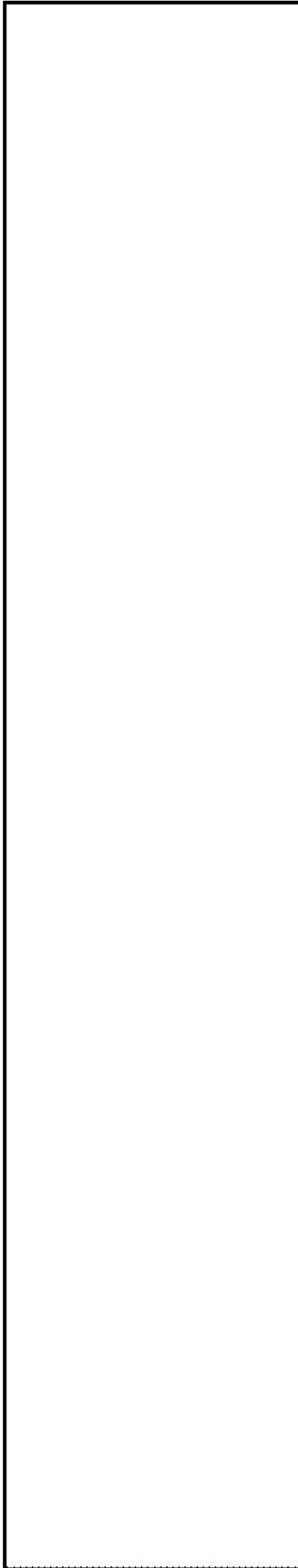
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The following



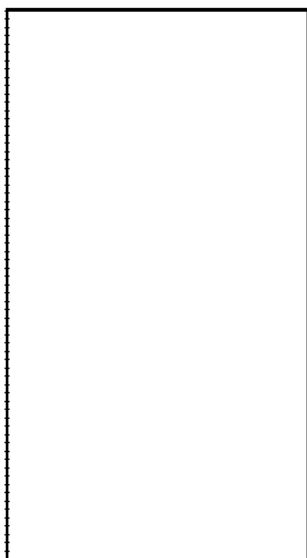
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To: New York From: New York
Re: 58A-NY-278417, 04/23/2001



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To: New York From: New York
Re: 58A-NY-278417, 04/23/2001



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BY UP _____
DATE 4/26/01 _____

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(12/31/1995)

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/06/2001

To: New York

Attn: SSA [redacted]
Squad C-14

b6
b7C

From: [redacted]

Contact: SA [redacted]

b6
b7C
b7D

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: (S) 58A-NY-278417 (Pending) (C-14)

Title: (S) CLINTON, WILLIAM, J;

[redacted]

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b7C

RICH, MARC - FUGITIVE (B); ET AL;
OO: NY

Synopsis: (S) Asset: [redacted]

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b7D

Date of Contact: [redacted]

Contacted by: SA [redacted]

Reliability: Reliable

~~(S) Derived From : G-3
Declassify On: X-1~~

Details: (S) [redacted]

b7D

[redacted] a confidential and reliable source, provided the following information:

(S) [redacted]

[Large redacted block]

b6
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To: New York
Re: ~~(S)~~ 58A-NY-278417,

From: [redacted]
04/06/2001

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(U)

~~(S)~~ [redacted]

[redacted]

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~~(S)~~ [redacted]

[redacted]

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~~(S)~~ [redacted]

[redacted]

[redacted]

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BY UG
DATE 4/26/01

58A-NY-278417-98 (X)
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/23/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/23/2001:

- Tel. interviews with [redacted] (also on [redacted] and [redacted])
- Conf. call with Legat Bern. [redacted] conducted.
- Reviewed [redacted] of MARC RICH, et al.
- Reviewed [redacted] grand jury testimony. [redacted] conducted.
- Prepare request for scanning [redacted] documents to Ft. Monmouth.
- Meeting with [redacted] and SDNY.

b3
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b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/23/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury [redacted]
[redacted] to be interviewed
- [redacted] to testify in grand jury [redacted]
[redacted] interview
scheduled [redacted]
- [redacted] interview scheduled for [redacted]
- Interviews to be scheduled for [redacted]
[redacted]
- Set lead to Legal London and Bern re: assistance in investigation.
[redacted]
- [redacted] No discussion of pardon investigation anticipated.
- Scanning of documents into [redacted] to commence 04/30/2001 at Ft.
Monmouth with White Plains RA and HQ analysts.

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Investigation is ongoing in New York.

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BY 40
DATE 4/26/01

58A-NY-27847-99 (X)

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b7c

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/23/2001

To: Investigative Services
Ft. Monmouth ITC

Attn: [Redacted]
Room [Redacted]
UC [Redacted]
AO [Redacted]

b6
b7C

From: New York
White Collar Crime/Squad C-14/Public Corruption
Contact: SA [Redacted]

b6
b7C

Approved By: [Redacted]

b6
b7C

Drafted By: [Redacted] mjm

Case ID #: 58A-NY-278417 (Pending)
58A-NY-278440 (Pending)
58A-NY-278585 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[Redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

UNSUB(S);
WILLIAM J. CLINTON, FORMER PRESIDENT;
HILLARY R. CLINTON, U.S. SENATOR;
ET AL.;
CFPO - EXECUTIVE LEVEL;
OO: NY

UNSUB(S);
ROGER CLINTON CLM, LLC;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND SHOULD BE AFFORDED APPROPRIATE CONFIDENTIALITY.**

b7E

Synopsis: To request high-speed scanning of documents into
[Redacted]

Administrative: Ref. 04/20/2001 telcall. between SA [Redacted]
[Redacted] New York, and AO [Redacted] Ft. Monmouth.

b6
b7C

To: Investigative Services From: New York
Re: 58A-NY-278417, 04/23/2001

Details: New York (including the White Plains RA) is conducting three sensitive public corruption investigations surrounding Presidential pardons granted by former President William J. Clinton. New York has been approved to utilize [redacted] [redacted] as a repository and search mechanism for these investigations. In conjunction with ISD, cabinets, drawers, and folders have been set up for all three investigations by FBI HQ personnel. At the present time, New York needs to begin high-speed scanning of original documents into [redacted]. As discussed, New York will drop off its first shipment of documents for scanning on 04/30/2001. Questions concerning the above may be brought to the attention of SA [redacted].

b6
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b7E

To: Investigative Services From: New York
Re: 58A-NY-278417, 04/23/2001

LEAD(s):

Set Lead 1: (Adm)

FORT MONMOUTH ITC

AT FORT MONMOUTH, NJ

Ft. Monmouth is requested to initiate high-speed scanning of documents into the fileroom created for the Presidential Pardons investigation. Delivery to Ft. Monmouth will be made on 04/30/2001.

Set Lead 2: (Adm)

INVESTIGATIVE SERVICES

AT WASHINGTON, DC

Read and clear.

ft_mon-req.ec

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UPLOADED
WITH/TEXT
WITHOUT TEXT _____
BY 49
DATE 4/26/01

58A-NY-278417 -

SEARCHED	INDEXED
SERIALIZED <u>49</u>	FILED <u>49</u>
1001	
[Redacted]	

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100

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b6
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/24/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- [redacted] interviewed.
- [redacted] testified in grand jury.
- [redacted] interviewed in [redacted]
- [redacted] interviewed in [redacted]
- Tel. interviews with [redacted]
- Tel. calls with Legat Bern.
- [redacted] conducted.
- [redacted] conducted.
- Background checks conducted re: [redacted]

b3
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b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/24/2001

PROPOSED FUTURE ACTION:

[redacted] to testify in grand jury [redacted]
[redacted] interview
scheduled [redacted]
● [redacted] interview scheduled for [redacted]
● Interviews to be scheduled for [redacted]

b3
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b7C
b7D
b7E

[redacted]
[redacted]
[redacted] No discussion of pardon investigation anticipated.
● Scanning of documents into [redacted] to commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.
● Resubmit request for assistance from intelligence community.

Investigation is ongoing in New York.

042401.sts
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WITHOUT TEXT _____
BY ug
DATE 4/26/01

58A-NY-278417-
SEARCHED _____ INDEXED _____
SERIALIZED ug FILED ug
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FBI - NEW YORK
[Redacted] my

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my
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my

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/25/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted]

mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/25/2001:

- Tel interviews with [redacted]
- Tel. call with Legat Bern. [redacted] conducted.
- Reviewed [redacted] production. [redacted] conducted.
- Background checks conducted re: [redacted]
- Strategy meeting with AUSAs.
- Arrangements made for scanning of documents into [redacted] at Ft. Monmouth.
- Review of subpoenaed materials for compliance.

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b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/25/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury [redacted]
- [redacted] interview scheduled [redacted]
- [redacted] interview scheduled for [redacted]
- [redacted] interview scheduled [redacted]
- [redacted] to testify at grand jury on [redacted]
- [redacted] to testify at grand jury on [redacted]
- Interviews to be scheduled for [redacted]
- [redacted]
- [redacted]

b3
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b7C
b7D
b7E

- [redacted] No discussion of pardon investigation anticipated.
- Scanning of documents into [redacted] to commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.
- Resubmit request for assistance from intelligence community.

Investigation is ongoing in New York.

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WITH/TEXT ✓
WITH/OUT TEXT _____
BY UG
DATE 0 4/27/01

58A-M-272417-

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FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE

Date: 04/20/2001

To: [redacted]

Attn: SSA [redacted]
[redacted]

b6
b7C
b7D

From: New York
C-14 Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER UNITED STATES PRESIDENT;

[redacted]

MARC RICH, FUGITIVE - (B); ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

b6
b7C

Synopsis: Request [redacted] Office to locate and interview [redacted]
[redacted] who is alleged to [redacted]
[redacted]
[redacted] captioned matter.

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Enclosures: Enclosed for [redacted] is a [redacted]
with identifying information on [redacted] including possible
[redacted]

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b7E

Details: For information of [redacted] on [redacted] a witness of
questionable credibility advised that [redacted]

[redacted]

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To: [redacted] From: New York
Re: 58A-NY-278417, (pending)

b6
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b7D



To: [redacted] From: New York
Re: 58A-NY-278417, (pending)

b6
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b7D

LEAD (s):

Set Lead 1:

[redacted]

b6
b7C
b7D

Locate and interview [redacted] to determine [redacted] association and contact with [redacted] Marc Rich, or any Rich's associates, and the nature and extent of their association. The interviewing Agents should not directly accuse

[redacted]

[redacted]

♦♦

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BY UP
DATE 0 9/27/01

58A-NY-278417-103

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FBI - NEW YORK	
[Redacted]	[Redacted]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/26/2001

To: New York

Attn: ~~Supervisor~~
Supervisor

[Redacted]

From: New York

Squad C-14

Contact: SA

[Redacted]

b6
b7C

b6
b7C

Approved By:

[Redacted]

Drafted By:

[Redacted]

jp

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

[Redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

b3
b7E

Synopsis: Request to upload

[Redacted]

[Redacted]

Enclosures:

[Redacted]

[Redacted]

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b7C
b7E

Details: It is requested that the enclosed

[Redacted]

[Redacted]

be uploaded into

[Redacted]

pursuant

to the Federal Bureau of Investigation's guidelines.

The following information identifies

[Redacted]

[Redacted]

enclosed:

[Redacted]

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b7C

[Redacted]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE

Date: 04/25/2001

To: New York

Attn: C-14 Public Corruption

SA [redacted]

b6
b7C

From: [redacted]

RA

Contact: SA [redacted]

Approved By: [redacted]

JFL/K

Drafted By: [redacted]

AD

b6
b7C
b7D

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
UNITED STATES PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE - (B); ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Completion of lead to locate and interview [redacted]

[redacted]

b6
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b7D

Administrative: Re New York Immediate EC to [redacted] dated

[redacted]

Enclosures: Enclosed for New York is the original and three
copies of one FD-302 reflecting interview of [redacted]
with accompanying 1A (enclosing interview notes and [redacted]
[redacted] and work copies of prior [redacted] interview
of [redacted]

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Details: Referenced lead was received and pursued [redacted]
[redacted] address and vehicles were identified and
located. [redacted] was located at home. However, lead agent was
directed by New York not to conduct the interview [redacted] On
[redacted] lead agent was directed to conduct the
interview. [redacted] agents attempted to locate [redacted] at his
residence and around [redacted] without
success. On [redacted] SA [redacted]
[redacted] located [redacted] at this residence and interviewed him
as reflected in the enclosed FD-302. Results were forwarded
telephonically to New York upon receipt.

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[redacted]	
SERIAL: 99	FILED: 99
MAY 9 2001	
NEW YORK	

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58A-NY-278417-104

To: New York File #: [redacted]
Re: 58A-NY-278417, 04/25/2001

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[redacted] has one outstanding lead in this matter, located at Serial 68. However, at the direction of New York, that interview has been held in abeyance. On [redacted] lead agent was advised to complete this interview. [redacted] will be located and interviewed forthwith.

b6
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Descriptive Data:

Reference

Name -
Last:
First:
Middle:
DDN:
Race:
Sex:
DOB:
DLN:
SOC:
Address -
House #:
Street Name:
Street Suffix:
City:
State:
Postal Code:
Address -
House #:
City:
State:
Postal Code:
Phone:
Miscellaneous -



b6
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b7D

To: New York File:
Re: 58A-NY-278417, 04/25/2001

b6
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LEAD (s):

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK

Read and Clear.

♦♦

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 05/14/01 Time: 08:35

Case ID: 58A-NY-278417 Serial: 105

Description of Document:

Type : FD302

Date : [REDACTED]

To : NEW YORK

From : [REDACTED]

Topic: INTERVIEW W/ [REDACTED]

b3
b6
b7C
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Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 95

Employee: [REDACTED]

b6
b7C

05/14/2001
08:05:55

Permanent Charge-Out of Serial

***** Permanent Charge-Out of Serials Summary *****

There are no leads for old Case ID 58A-NY-278417 with Serial 105 to be copied

(X)

58A-NY-278417-

SEARCHED INDEXED
SERIALIZED FILED

48

48

106

mp

FBI - NEW YORK

cc: [redacted] mp

b6
b7c

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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/24/2001

To: New York

Attn: C-14, Public Corruption

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted] *Hille*

Drafted By: [redacted] *dc*

Case ID #: *✓* 58A-NY-278417-*100* (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NEW YORK

b3
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b7C
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b6
b7C

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report the results of interview with [redacted]

Reference: 58A-NY-278417 Serial 74

Enclosure(s): One (1) original and one (1) copy of FD-302, dated April 24, 2001 of interview with [redacted] including original notes.

Details: On [redacted] was interviewed at the [redacted] Field Office. Enclosed is the results of the interview.

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b7D

To: New York From: [REDACTED]
Re: 58A-NY-278417, 04/24/2001

b3
b6
b7C
b7D

LEAD(s) :

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NEW YORK

Read and Clear.

◆◆

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 05/14/01 Time: 08:36

Case ID: 58A-NY-278417 Serial: 107

Description of Document:

Type : FD302

Date :

To : NEW YORK

From :

Topic: INTERVIEW W/

b3

b6

b7C

b7D

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 96

Employee:

b6

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05/14/2001
08:05:49

Permanent Charge-Out of Serial

***** Permanent Charge-Out of Serials Summary *****

There are no leads for old Case ID 58A-NY-278417 with Serial 107 to be copied

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 05/14/01 Time: 08:37

Case ID: 58A-NY-278417 Serial: 108

Description of Document:

Type : FD302

Date : [REDACTED]

To : NEW YORK

From : [REDACTED]

Topic: INTERVIEW W/ [REDACTED]

b3
b6
b7C
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Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 97

Employee: [REDACTED]

b6
b7C

05/14/2001
08:05:54

Permanent Charge-Out of Serial

***** Permanent Charge-Out of Serials Summary *****

There are no leads for old Case ID 58A-NY-278417 with Serial 108 to be copied

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58A-NY-278417-

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[Redacted]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/30/2001

To: New York

Attn: C-14, Public Corruption

From: [redacted]

Contact: SA [redacted]

b3
b6
b7C
b7D

Approved By: [redacted]

Drafted By: [redacted]

ics

Case ID #: 58A-NY-278417-109 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - Former U.S. Preside
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NEW YORK

b6
b7C

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report results of interviews with [redacted]

Reference: 58A-NY-278417 Serial 31

Enclosure(s): One (1) original and one (1) copy of FD-302, dated April 30, 2001 of interview with [redacted] including original notes.

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One (1) original and one (1) copy of FD-302, dated April 30, 2001 of interview with [redacted] including original notes.

Details: On [redacted] interviews were conducted with [redacted] Enclosed are the results of those interviews.

To: New York From:
Re: 58A-NY-278417, 04/30/2001

b3
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LEAD(s) :

Set Lead 1:

NEW YORK

AT NEW YORK, NEW YORK

Read and Clear.

◆◆

UPLOADED

WITH/TEXT _____
WITHOUT TEXT ✓ _____
BY UJ _____
DATE 10/5/01 _____

J8A-M-278417- 110

SEARCHED	INDEXED
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FBI - NEW YORK	
<u>UJ</u>	

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UP/DATED
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WITHOUT TEXT _____
BY UJ
DATE 11/19/01

58A-NY-278417-111

SEARCHED	INDEXED
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1	1001
FBI - NEW YORK	
	<u>mp</u>

(X)
mp
UJ

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/26/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] :mjm

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] testified in grand jury.
[redacted] interview conducted.
●Tel. call with Legat Bern.

[redacted] conducted.
[redacted] conducted.

●Preparation for scanning of documents into [redacted] at Ft. Monmouth.

●Review documents from [redacted]

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b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/26/2001

PROPOSED FUTURE ACTION:

[redacted] interview scheduled for [redacted]
[redacted]

[redacted] No discussion of pardon investigation anticipated.

[redacted] interview scheduled [redacted]

[redacted] to testify at grand jury on [redacted]

[redacted] to testify at grand jury on [redacted]

[redacted] to testify at grand jury on [redacted]

●Interviews to be scheduled for [redacted]
[redacted]

●Scanning of documents into [redacted] to commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.

●Resubmit request for assistance from intelligence community.

Investigation is ongoing in New York.

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WITH/OUT TEXT _____
BY 49
DATE 0 5/9/06

58A-NY-278417-112

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SERIALIZED 49 FILED 49

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FBI NEW YORK	
[Redacted]	<u>mp</u>

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/27/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit, [redacted]
Unit [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]:mjm

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/27/2001:

- [redacted] interview conducted.
- [redacted] conducted.
- [redacted] conducted.
- Original documents delivered to Ft. Monmouth.
- Review documents from [redacted]
- Update subpoenaed log.
- Tel call. with White Plains RA.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/27/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify at grand jury on [redacted]
- [redacted] to testify at grand jury on [redacted]
- [redacted] interview scheduled [redacted]
- [redacted] to testify at grand jury on [redacted]
- Interviews to be scheduled for [redacted]
- Scanning of documents into [redacted] to commence 04/30/2001 at Ft. Monmouth with White Plains RA and HQ analysts.
- Resubmit request for assistance from intelligence community.
- [redacted] to be interviewed on [redacted]

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Investigation is ongoing in New York.

042701.sts

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UPLOADED
WITH/TEXT
WITHOUT TEXT _____
BY JG
DATE 5/18/01

58A-NY-278417-113

SEARCHED	INDEXED
SERIALIZED <u>49</u>	FILED <u>49</u>
COI	
FBI - NEW YORK	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/30/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

04/30/2001:

[redacted] interviews conducted [redacted]
[redacted] conducted.

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b7E

•Original documents delivered to Ft. Monmouth. Initial meeting with HQ personnel.

•Tel. interview with [redacted] re: new complaint.

•Prepare for [redacted] grand jury.

To: CID From: New York
Re: 58A-NY-278417 (Pending), 04/30/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify at grand jury on [redacted]
- [redacted] interview scheduled [redacted]
- [redacted] to testify at grand jury on [redacted]
- [redacted] to testify at grand jury on [redacted]
- Interviews to be scheduled for [redacted]
- [redacted]
- Scanning of documents into [redacted] to commence 05/01/2001 at Ft. Monmouth with White Plains RA and HQ analysts.
- Resubmit request for assistance from intelligence community.
- Financial analysts to provide results/direction for new leads.

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Investigation is ongoing in New York.

043001.sts

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UPLOADED
WITH/TEXT
WITHOUT TEXT _____
BY UJ
DATE 10 5/9/01

58A-NY-278417-114mp

SEARCHED	INDEXED
SERIALIZED <u>UJ</u>	FILED <u>UJ</u>
001	
FBI - NEW YORK	
	<u>mp</u>

APR

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/01/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA Michael [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] testified in grand jury.
[redacted] conducted.

b3
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b7D
b7E

- Documents scanned at Ft. Monmouth. Meeting with HQ personnel.
- Tel. interview with [redacted] re: new complaint.
- Reviewed [redacted] document production.

[redacted]

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/01/2001

PROPOSED FUTURE ACTION:

[redacted] interview cancelled [redacted]
[redacted] to testify at grand jury on [redacted]
[redacted] to testify at grand jury on [redacted]
●Interviews to be scheduled for [redacted]
[redacted] Possibly [redacted]
●Meeting with HQ analysts re: [redacted] on 05/05/2001 (9:00 am).
●Financial analysts to provide results/direction for new leads.
[redacted] to testify at grand jury on [redacted]

b3
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b7D
b7E

Investigation is ongoing in New York.

050101.sts

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY US
DATE 5/9/01

58A-NY-27847-115

SEARCHED	INDEXED
SERIALIZED <u>49</u>	FILED <u>49</u>
7001	
FBI - NEW YORK	
[Redacted]	<u>mp</u>

~~mp~~
mp

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/02/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending) b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

05/02/2001:

- Tel. interviews conducted with [redacted]
- Tel. interview with [redacted] Document review of materials
provided.
- Prepared for [redacted] grand jury.
- Tel. calls with SDNY re: [redacted] status.
- Subpoenaed materials catalogued and reviewed for compliance.
[redacted] conducted.

b3
b6
b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/02/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify at grand jury on [redacted]
- [redacted] to testify at grand jury on [redacted]
- interviews to be scheduled for [redacted]
[redacted] Possibly [redacted]
- Meeting with HQ analysts re: [redacted] on 05/05/2001 (9:00 am).
- Financial analysts to provide results/direction for new leads.
- [redacted] to testify at grand jury on [redacted]
- [redacted] to be interviewed in [redacted] week of [redacted]
- Contact [redacted] Division re: [redacted] investigation.

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b7E

Investigation is ongoing in New York.

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY 48
DATE 5/9/01

58A-NY-278417-116 *mp*

SEARCHED	<u>48</u>	INDEXED	<u>48</u>
SERIALIZED	<u>48</u>	FILED	<u>48</u>
		001	
FBI - NEW YORK			<u>mp</u>

[Redacted Box]

(X) *mp*

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/03/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] testified at grand jury.
[redacted] interview conducted.

[redacted] interview and grand jury appearance.

•Meeting will [redacted] new complainant.

[redacted] training received by investigative team from HQ personnel.

[redacted] conducted in preparation for interview.

b3
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b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/03/2001

PROPOSED FUTURE ACTION:

[redacted] to be interviewed in [redacted]

[redacted] to be interviewed in [redacted] on [redacted]

- Financial analysts to provide results/direction for new leads.
- [redacted] to testify at grand jury on [redacted]
- Contact [redacted] Division re: [redacted] investigation leads.
- Prepare revised list of interviews to be conducted.
- Continue [redacted]

b3
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b7E

Investigation is ongoing in New York.

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BY UP
DATE 10 5/1/01

58A-NY-278417-117 MP

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FBI - NEW YORK	

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PK MP

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/07/2001

To: New York

From: New York

C-14; White Collar Crime

Contact: SA [redacted]

Approved By: ASAC [redacted] SSA [redacted] 5/18/01

b6
b7C

Drafted By: [redacted] kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER US PRESIDENT;
[redacted]
[redacted] MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH
OO:NY

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Synopsis: Request permission for SA [redacted] to travel to [redacted] from [redacted]

Details: During the course of the pending investigation, several people with the Department of Justice have been identified as potential witnesses. In order to conduct a thorough and efficient series of interviews, the writer and AUSA [redacted] will travel to [redacted] to speak with six potential witnesses and review documents with [redacted]

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The estimated expense for this travel is approximately \$487.50. This estimate is based on airfare of \$93.00, and lodging, meals and miscellaneous of \$394.50.

Writer requests permission to travel to [redacted] from [redacted]

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BY UA
DATE 5/14/01

58A-NY-278417-
49 49 118
MAY 1 2001
[redacted]

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 05/14/2001

To: New York

Attn: Supv. [redacted]
Supv. [redacted]

b6
b7C

From: New York
Squad C-14
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] jp

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: Request to upload [redacted]
[redacted]

Enclosures: [redacted]
[redacted]

b3
b6
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Details: It is requested that the enclosed [redacted]
[redacted] be uploaded into [redacted] pursuant
to the Federal Bureau of Investigation's guidelines.

The following information identifies [redacted]
[redacted] enclosed [redacted]:

[redacted]
[redacted]

b3
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b7C

The following information identifies [redacted]
[redacted] enclosed:

[redacted]
[redacted]

♦♦

UPLOADED
WITH/TEXT _____
WITH/COPIES _____
BY 49
DATE 5/15/01

58A-NY-278417-119

SEARCHED	INDEXED
SERIALIZED <u>49</u>	FILED <u>49</u>
MAY 14 2001	
FBI - NEW YORK	

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WITH OFFICE _____
WITHOUT OFFICE _____
BY UP _____
DATE 5/16/01 _____

58A-NY-278417-120

(2)

SEARCHED	INDEXED
SERIALIZED	FILED
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FBI - NEW YORK	
	mp

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FEDERAL BUREAU OF INVESTIGATION

b6
b7C
b7D
b7E

Precedence: PRIORITY

Date: 05/09/2001

To: [Redacted]

Attn: [Redacted]

Attn: [Redacted]

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: SA [Redacted]

Approved By: [Redacted]

Drafted By: [Redacted] mjm

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[Redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request investigative assistance from [Redacted]

Administrative: Reference 04/20/2001 email from SA [Redacted]
[Redacted] to [Redacted] requesting assistance.

Details: New York is conducting a sensitive public corruption investigation surrounding controversial Presidential pardons granted by former U.S. President WILLIAM CLINTON. SAC, Criminal Division, New York, has requested that [Redacted]

b6
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[Redacted]

To: [redacted] From: New York
Re: 58A-NY-278417, 05/09/2001

b7D
b7E

On [redacted] and [redacted]

[redacted]

b6
b7C
b7D

Given the seriousness of the alleged threat, SAC, New York has requested [redacted]

b6
b7C
b7D
b7E

[redacted] The following known information is provided to [redacted]

NAME:
DOB:
SS#:
TELEPHONES:

HOME:

[redacted]

b7D

New York is seeking to identify where [redacted] resides and any other information about him that may be available.

To: [redacted] From: New York
Re: 58A-NY-278417, 05/09/2001

b7D
b7E

LEAD(s):

Set Lead 1:

[redacted]

[redacted] is requested to conduct [redacted]
[redacted] from available public sources.

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Set Lead 2:

INVESTIGATIVE SERVICES

AT WASHINGTON, DC

For informational purposes only.

[redacted]
d

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/07/2001

To: New York

Attn: SAC Victor M. Gonzalez

From: New York

C-14; White Collar Crime

Contact: SA [redacted]

Approved By: SAC Cordier Robert J.

ASAC [redacted]

SSA [redacted]

Handwritten signature and initials: "CJ" and "5/8"

Drafted By: [redacted] kjw

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER US PRESIDENT;

[redacted]

[redacted] MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH

OO:NY

Synopsis: Request permission for C-14 rotor to conduct a weekly upload of ACS documents into [redacted]

b7E

Details: An ACS compatible program, [redacted] is being utilized by the agents on C-14 to upgrade the searching capabilities of ACS. Agents will be more easily able to search information to find specific documents required by a set of parameters.

The bulk of the documents obtained during the course of this investigation will be scanned and uploaded by personnel on C-14 and at Ft. Monmouth, NJ. However, documents which are uploaded into ACS (ie. FD 302s, Electronic Communications, and Inserts) can be integrated into [redacted] by performing a second upload into [redacted]. The optical character recognition compatibility between the programs allows this process to be completed quickly and easily. [redacted] the Headquarters analyst for [redacted] estimated the rotor's work time to complete this function at approximately 15 minutes per week.

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Handwritten circled "X"

The writer requests permission for the C-14 rotor to conduct a weekly upload of ACS documents into [redacted]

b7E

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WITH/OUT TEXT _____
BY UP
DATE 5/17/01

58A-NY-278417
MAY 9 2001
125
Handwritten initials and signature

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/16/2001

To: New York

From: New York

White Collar Branch, Squad C-14/Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] *mjm*

Case ID #: 58A-NY-278417 (Pending)

Title: CHANGED
UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To advise of title change. *done 4/8 5/17/01*

Previous Title: Title marked "Changed" to reflect new title.
Previous title is listed as follows:

UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Details: This communication serves to change the title to the captioned investigation. C-14 rotor is requested to make the appropriate changes in ACS.

title_ch.2cc
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WITH/OUT TEXT _____
BY *ug* _____
DATE *5/17/01* _____

58A-NY-278417-122

SEARCHED	INDEXED
SERIALIZED <i>ug</i>	FILED <i>ug</i>
7001	
FBI - NEW YORK	
[redacted]	<i>mjm</i>

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UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY UP
DATE 5/19/01

58A-NY-278417-
SEARCHED _____ INDEXED _____
SERIALIZED UP FILED UP 123
7001
FBI - NEW YORK
MP

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/17/2001

To: New York

From: New York
Squad C-14/Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To update Rule 6(e) list for captioned investigation.

Details: The following is an updated list of Bureau personnel who are on the Rule 6(e) letter. This list was provided to AUSA [redacted] SDNY, via fax on 05/17/2001.

b6
b7C

NEW YORK:

Assistant Director in Charge (ADIC) Barry Mawn, New York
Special Agent in Charge (SAC) Robert J. Cordier, New York, Criminal Division

Assistant Special Agent in Charge (ASAC) [redacted] New York, White Collar Crime Branch

Coordinating Supervisory Special Agent (CSSA) [redacted] New York, White Collar Crime

Supervisory Special Agent (SSA) [redacted] New York, Public Corruption Squad

SA [redacted] Co-case agent

SA [redacted] Co-case agent

SA [redacted] C-33

SA [redacted] C-8

SA [redacted] C-14

SA [redacted] C-14

Financial Analyst [redacted] C-14

Financial Analyst [redacted] C-4

b6
b7C

To: New York From: New York
Re: 58A-NY-278417, 05/17/2001

Financial Analyst [redacted] C-8
Intelligence Research Specialist [redacted]
Intelligence Research Specialist [redacted]
[redacted] Public Corruption Squad file clerk, C-14

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WHITE PLAINS RA:

[redacted]

b6
b7C

FBI HQ:

Section Chief Robert Jordan, FBI HQ, Public Corruption Unit
Unit Chief [redacted] FBI HQ, Public Corruption Unit
Supervisory Special Agent (SSA) [redacted] FBI HQ, Public
Corruption Unit

[redacted] Analyst (re: computer database)

[redacted] Document Scanning)
(Document Scanning)

[redacted] Computer Programming
Computer Programming

[redacted] Computer Programming
(Document Scanning)

b6
b7C

[redacted] (Scanner Supervisor)

[redacted] (Scanner Coordinator)

[redacted] (Document Scanner)

[redacted] (Document Scanner)

[redacted] (Document Scanner)

[redacted] (Document Scanner)

6(e).ec



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BY yg
DATE 5/23/01

58A-NY-27847-124

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7001	
FBI — NEW YORK	
<u>mp</u>	

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mp

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/04/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] njm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
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**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/04/2001:

- [redacted] interview conducted.
- [redacted] summary prepared.
- [redacted] training received by investigative team from HQ personnel.
- [redacted] conducted in preparation for interview.
- Review [redacted] grand jury materials.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/04/2001

PROPOSED FUTURE ACTION:

• [redacted] to be interviewed in [redacted]

[redacted] to be interviewed in [redacted] on [redacted]

[redacted]

- Financial analysts to provide results/direction for new leads.
- [redacted] to testify at grand jury on [redacted]
- Contact [redacted] Division re: [redacted] investigation leads.
- Prepare revised list of interviews to be conducted.
- Continue [redacted]

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Investigation is ongoing in New York.

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58A-NY-278417-125

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FBI — NEW YORK	
<u>mp</u>	

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MK

1/23/01

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/07/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

05/07/2001:

- Preparation for DOJ interviews.
[redacted] prepared.
- Interview of [redacted] conducted.
- Preparation for [redacted] Grand Jury [redacted]

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PROPOSED FUTURE ACTION:

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/04/2001

- DOJ interviews to be conducted at DOJ in [redacted] on [redacted] to include [redacted] to testify at Grand Jury on [redacted] to testify at Grand Jury on [redacted]
- Contact [redacted] Division re: [redacted] investigation leads.
- [redacted] to testify in the Grand Jury on [redacted]
- Continue [redacted]

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Investigation is ongoing in New York.

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BY Ug
DATE 5/23/01

58A-NY-278417-126
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FBI - NEW YORK
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/08/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- Preparation for DOJ interviews.
- [redacted] testified in grand jury.
- Interview of [redacted] conducted.
- Compile list of [redacted] associates - conduct background checks for addresses.
- Received new subpoenas for service: [redacted]

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b7C
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[redacted]

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/08/2001

PROPOSED FUTURE ACTION:

- DOJ interviews to be conducted at DOJ in [redacted]
[redacted] to include [redacted]
- [redacted] to testify at Grand Jury on [redacted]
- [redacted] to testify at Grand Jury on [redacted]
- [redacted] to testify at Grand Jury on [redacted]
- Contact [redacted] Division re: [redacted] investigation leads.
- Continue [redacted]

Investigation is ongoing in New York.

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UPLOADED

WITH/TEXT
WITH/OUT TEXT _____
BY ug
DATE 5/23/01

58A-NY-27847-127

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SERIALIZED <u>ug</u>	FILED <u>ug</u>
7001	
FBI - NEW YORK	
mp	

PR

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/09/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title:

UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/09/2001:

●Interviews of [redacted]
conducted at DOJ, [redacted]
●Subpoenas served to [redacted]

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b7E

- Compile list of [redacted] associates - conduct background checks for addresses.
- Prepare for [redacted] grand jury.
- Review [redacted] records.
- Set lead to [redacted] for investigative assistance.

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/09/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify at Grand Jury on [redacted]
- [redacted] to testify at Grand Jury on [redacted]
- [redacted] to testify at Grand Jury on [redacted]
- Contact [redacted] Division re: [redacted] investigation leads.
- Continue [redacted]
- Set up status meeting with SDNY and NYO.

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Investigation is ongoing in New York.

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58A-NY-27847-128 ^{mp}

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UP

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/10/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

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b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- Interview of [redacted] conducted at DOJ, [redacted]
- Interview of [redacted] conducted in [redacted]
- Compile list of [redacted] associates - conduct background checks for addresses.
- [redacted] testified in the grand jury.
- Review [redacted] records.
- Meeting with [redacted]

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PROPOSED FUTURE ACTION:

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/09/2001

- [redacted] to testify at Grand Jury on [redacted]
- [redacted] to testify at Grand Jury on [redacted]
- Contact [redacted] Division re: [redacted] investigation leads.
- Continue [redacted]
- Set up status meeting with SDNY and NYO.

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Investigation is ongoing in New York.

050901.sts

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WITH/TEXT ✓
WITH/OUT TEXT _____
BY UG
DATE 05/23/01

58A-NY-27847-129 **(F)**

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FBI - NEW YORK	
	<u>mp</u>

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/15/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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b7C

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] testified in grand jury [redacted]

- Reviewed [redacted] records. Analysis to be conducted.
- Compiled list of [redacted] associates - conduct background checks for addresses.
- Investigative materials sent to Ft. Monmouth for scanning.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/15/2001

PROPOSED FUTURE ACTION:

- Meeting with AUSAs on 05/16/2001 to discuss outstanding subpoenas, interviews, grand jury schedule, etc.
- Contact [redacted] Division re: [redacted] investigation leads.
- Continue [redacted]
- Set up status meeting with SDNY and NYO.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [redacted]

[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

(X)

58A-NY-278417-130 mp

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WITH/OUT TEXT _____

BY UJ

DATE 10 5/23/01

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MAY 2001	
FBI — NEW YORK	

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U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to

File No. 58A-NY-278417

May 15, 2001

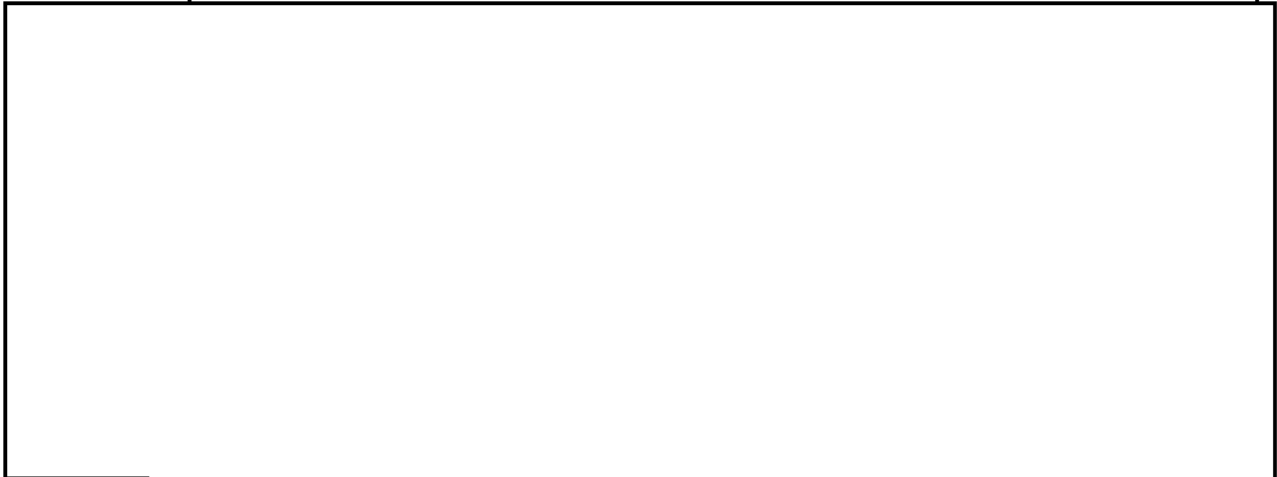
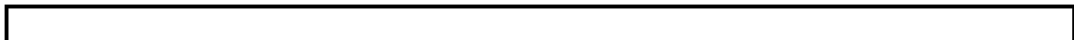
UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;



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b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

On 02/15/2001, the Federal Bureau of Investigation (FBI) initiated a preliminary inquiry concerning controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON on 01/20/2001. The New York Office of the FBI has investigated the circumstances surrounding the pardons over the course of the last 90 days and has utilized the following investigative techniques: (1) an ongoing Federal Grand Jury, (2) grand jury subpoenas, (3) extensive interviews, and (4) public source information queries. A full field investigation is now being opened into the captioned matter. This memorandum is not inclusive of all evidence obtained thus far, but a concise description of noteworthy evidence accumulated to date.



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SENSITIVE MATERIAL

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UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

SENSITIVE MATERIAL

[REDACTED]

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[REDACTED]

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[REDACTED]

Investigation also revealed that [REDACTED]

[REDACTED]

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[REDACTED]

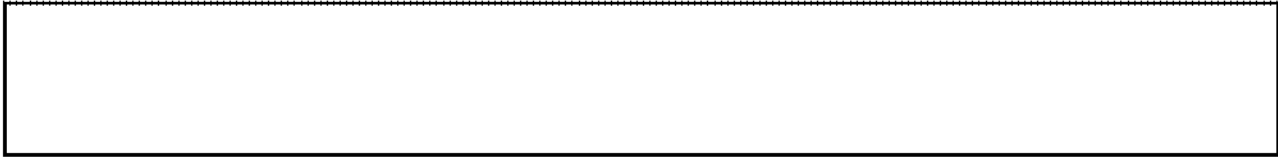
Investigation revealed that [REDACTED]

[REDACTED]

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UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

SENSITIVE MATERIAL



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Despite strong opposition to the RICH/GREEN pardons by the CLINTON White House counsel (responsible for advising the President on pardon cases), absolutely no notification to the SDNY - the prosecuting district of the original 1983 indictment, or routine pardon processing by DOJ, President CLINTON granted RICH and GREEN full and unconditional pardons on 01/20/2001, his final day in office.

The U.S. Attorney's Office, Southern District of New York, continues to commit to prosecute violations of Title 18, United States Code (U.S.C.), Sections 201 (Federal Bribery), 371 (Conspiracy), 1001 (False Statements), or 1505 (Obstruction of Proceedings Before Departments) if corroborative evidence is developed to substantiate the allegations. Additionally, violations of Federal Campaign Contribution statutes in violation of Title 2, U.S.C., Sections 437 and 441, are also expected to be investigated for prosecutive merit.

While the above information summarizes the salient issues relevant to the first 90 days of this investigation, this matter will be converted to a full field investigation at this time.

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BY USA
DATE 05/23/01

58A-NY-278417-131

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(01/26/1998)

DECLASSIFIED BY: NSICG [redacted]
ON 08-15-2015

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/15/2001

To: New York
CID

Attn: SAC Robert J. Cordier,
Division II
Attn: Corruption/Civil Rights
Section, Public Corruption
Unit,
SSA [redacted]

b6
b7C

From: New York
White Collar Branch/Squad C-14/Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] :mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To advise of conversion from preliminary investigation (PI) to full field investigation.

Preliminary Inquiry Instituted: 02/15/2001, set to expire 05/15/2001.

Administrative: It should be noted that above title has been changed to reflect additional subjects from original PI authorization.

Enclosure(s): For CID: Two copies of LHM.

Details: On 02/15/2001, a PI was initiated in the above captioned public corruption investigation concerning controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON on 01/20/2001. Squad C-14 has investigated the circumstances surrounding

~~CONFIDENTIAL~~

To: New York From: New York
Re: 58A-NY-278417, 05/15/2001

the pardons over the course of the last 90 days and has utilized the following investigative techniques: (1) an ongoing Federal Grand Jury, (2) grand jury subpoenas, (3) extensive interviews, and (4) public source information queries. Since the 90-day authorization period has expired, the previously approved PI will now be converted to a full field investigation. This communication is not inclusive of all evidence obtained thus far, but a concise description of noteworthy evidence accumulated to date.

At the outset of the investigation, [redacted]

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[redacted] was thoroughly debriefed on several occasions and testified in the grand jury. The following relevant information was obtained.

[redacted]

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~~CONFIDENTIAL~~

To: New York From: New York
Re: 58A-NY-278417, 05/15/2001

[Redacted]

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[Redacted]

Investigation also revealed that [Redacted]

[Redacted]

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Investigation revealed that [Redacted]

[Redacted]

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While the above information summarizes the salient issues relevant to the first 90 days of this investigation, this matter will be converted to a full field investigation at this time.

full_field_inv.ec

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BY 49
DATE 5/23/01

58A-NY-278417-132 ^{mm} ⊕

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FBI — NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/16/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit
Unit
SSA

From: New York
Squad C-14 -- Public Corruption

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b7C

Contact: SA

Approved By:

Drafted By:

mjm

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/16/2001:

- Analysis conducted on records.
- Conversion of PI to full field investigation communication prepared.
- Investigative status meeting held with NYO agents and SDNY AUSAs.
- Compiled list of - conduct background checks for addresses.
- Compiled new subpoena, grand jury, and interview list.
- Tel. interview with

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/16/2001

PROPOSED FUTURE ACTION:

- Contact [redacted] Division re: [redacted] investigation leads.
- Continue [redacted] Issue subpoenas for [redacted]
- Set up status meeting with SDNY and NYO.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [redacted]

[redacted]

NOTE: Above title has been changed to reflect additional new subjects.

Investigation is ongoing in New York.

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BY UJ _____
DATE 5/23/01 _____

58A NY-278417-133 ^{MP}

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NEW YORK	
<u>MP</u>	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/17/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/17/2001:

- Compiled list of [redacted] - conduct background checks for addresses.
 - Compiled new subpoena, grand jury, and interview list.
 - Tel. interview with [redacted]
- [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/17/2001

PROPOSED FUTURE ACTION:

- Contact [redacted] Division re: [redacted] investigation leads.
- Continue [redacted] Issue subpoenas for [redacted]
- Set up status meeting with SDNY and NYO.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [redacted]

[redacted]

[redacted]

- Interviews to be conducted: [redacted]

[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

58A-NY-278417-134

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2001	
FBI - NEW YORK	
	mjr

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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 05/30/2001

To: New York

Attn: SA [redacted]
Squad C-14
SSA [redacted]

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b7C
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Investigative Services

b6
b7C

From: [redacted]

Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted] eds

Case ID #: 58A-NY-278417 (Pending) - 134

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER US PRESIDENT

b6
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO-NY

Synopsis: To respond to lead set on serial 120 on captioned matter.

Reference: 58A-NY-278417 Serial 120

Administrative: Reference e-mails dated April 20, 2001, May 3, 2001 and May 25, 2001 between SA [redacted] and telcalls between [redacted]

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Details: Referenced EC requested [redacted] from available public sources.

As noted in the e-mail dated May 25, investigation through open sources have failed to locate [redacted] Unless FBI NY can specify [redacted]

[redacted]

b7D

Based on conversations with [redacted] will not contact [redacted] telephonically unless advised to the contrary by New York.

b7D

To: New York From: [redacted]
Re: 58A-NY-278417, 05/30/2001

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Lead covered at [redacted]

To: New York From:
Re: 58A-NY-278417, 05/30/2001

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b7D

LEAD(s):

Set Lead 1: (Adm)

ALL RECEIVING OFFICES

Read and clear.

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BY UJ
DATE 05/31/01

58A-NY-278417-

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2001	
NEW YORK	
[Redacted]	<u>mp</u>

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135

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/18/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/18/2001:

[redacted]

- Compiled list of [redacted] associates - conduct background checks for addresses.
- Review of [redacted]
- LHM completed for HQ.
- Tel. call. with HQ re: case status.

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b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/18/2001

PROPOSED FUTURE ACTION:

- Continue [redacted] Issue subpoenas for [redacted]
- Set up status meeting with SDNY and NYO.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [redacted]

[redacted]

[redacted]

- Interviews to be conducted: [redacted]

[redacted]

- New witnesses to be subpoenaed before GU: [redacted]

[redacted]

[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY UG
DATE 5/31/01

58A-NY-278417-1310 (X)
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2001
FBI — NEW YORK
[Redacted] mp

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b7c

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/21/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/21/2001:

[redacted]
[redacted]
[redacted] interview conducted in [redacted]

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b7E

- Compiled list of [redacted] associates - conduct background checks for addresses.
- Review of [redacted]
- Prepare significant activity chronology and supporting evidence.
- Review [redacted] records.

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/21/2001

PROPOSED FUTURE ACTION:

- [redacted] subpoena issued.
- Continue [redacted] Issue subpoenas for [redacted]
 - Set up status meeting with SDNY and NYO for mid-week of 05/28/01.
 - Inquire into intelligence community regarding request for information.
 - SDNY to submit motion [redacted]

[redacted]

- Interviews to be conducted: [redacted]

- New witnesses to be subpoenaed before GJ: [redacted]

[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY ug
DATE 5/31/01

58A-NY-27847-137 *mp*

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2001	
FBI - NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/22/2001

To: CID

Att.: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]:mjm

b6
b7C

Case ID #: 88A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/22/2001:

[redacted]

[redacted]

[redacted]

●Compiled list of [redacted] associates to interview.

●Reviewed [redacted]

●Reviewed materials from [redacted]

●Subpoenas issued to [redacted]

[redacted]

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b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/22/2001

PROPOSED FUTURE ACTION:

- Continue [redacted] Issue subpoenas for [redacted]
- Set up status meeting with SDNY and NYO for mid-week of 05/28/01.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [redacted]

[redacted]

[redacted]

- Interviews to be conducted: [redacted]

[redacted]

- New witnesses to be subpoenaed before GJ: [redacted]

[redacted]

[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY yg
DATE 5/31/01

58A-NY-278417-

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2001	
FBI — NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/23/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]:mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/23/2001:

●Review of [redacted] - prepared interview lists.

[redacted]

●Reviewed [redacted]

●Significant event chronology prepared.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/23/2001

PROPOSED FUTURE ACTION:

[redacted] interviews to be conducted - lead to be set to the field.

•Continue [redacted] Issue subpoenas for [redacted]

•Set up status meeting with SDNY and NYO for mid-week of 05/28/01.

•Inquire into intelligence community regarding request for information.

•SDNY to submit motion [redacted]

[redacted]

•Interviews to be conducted: [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY ug
DATE 5/31/01

58A-NY-278417-139 mp

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	<u>mp</u>

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/24/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

b6
b7C

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

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b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/24/2001:

- Conduct [redacted]
- Initiate new analysis of [redacted]
- Strategy meeting with AUSA re: interviews to be conducted.
- Obtained new subpoenas to be served for interviews.
- Reviewed and analyzed complaints received at SDNY:
- Conf. call with White Plains RA.
- Prepare lead to [redacted] for interview of [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/24/2001

PROPOSED FUTURE ACTION:

[redacted] interviews to be conducted - lead to be set to the field (wait for SDNY opinion).

- Continue [redacted] Issue subpoenas for [redacted]
- Set up status meeting with SDNY and NYO for mid-week of 05/28/01.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [redacted]

[redacted]

[redacted]

- Interviews to be conducted: [redacted]

[redacted]

- New witnesses to be subpoenaed before GJ: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY 49
DATE 5/31/01

58A-NY-278417-140 ~~MP~~

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7001	
FBI - NEW YORK	
	<u>MP</u>

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/25/2001

To: CID

Attn: Corruption - Civil Rights
Section, Public Corruption
Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 88A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/25/2001:

- Reviewed [redacted]
- Background checks for addresses of interviews to be conducted.
- Reviewed [redacted]
- Reviewed [redacted] records.
- [redacted] re: compliance with outstanding subpoenas.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/25/2001

PROPOSED FUTURE ACTION:

[redacted] interviews to be conducted - lead to be set to the field (wait for SDNY opinion).

[redacted] to be interviewed during week of [redacted]

•Continue [redacted] Issue subpoenas for [redacted]

•Set up status meeting with SDNY and NYO for mid-week of 05/28/01.

•Inquire into intelligence community regarding request for information.

•SDNY to submit motion [redacted]

•Interviews to be conducted: [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

Investigation is ongoing in New York.

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BY ug
DATE 5/31/01

58A-NY-278417-141 *mp*

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/29/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 ✓ (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/29/2001:

- List prepared for interviews to be conducted in New York and in the field. Background checks for addresses conducted.
- Reviewed [redacted] records.
- Lead set to [redacted] and [redacted] for interviews to be conducted

[redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/29/2001

PROPOSED FUTURE ACTION:

[redacted] interviews (7) to be conducted - lead
to be set to the field for [redacted]
[redacted] to be interviewed during week of [redacted]

•Continue [redacted] Issue subpoenas
for [redacted]

•NYO ADIC/SAC meeting 06/04/2001.

•Inquire into intelligence community regarding request for
information.

•SDNY to submit motion [redacted]

•Interviews to be conducted: [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY UJ
DATE 6/7/01

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FBI NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

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Precedence: PRIORITY

Date: 06/06/2001

To: [redacted]

Attn: Public Corruption Squad

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

b6
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Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To set lead for interview to be conducted and service of subpoena.

Enclosure(s): (1) Proposed interview questions, (2) one original and one copy of federal grand jury subpoena.

Details: The New York Office is conducting a sensitive public corruption investigation concerning controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON. New York is seeking to interview [redacted]

[redacted]

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[redacted] is requested to interview [redacted] and serve him with the enclosed copy of the grand jury subpoena. New York is specifically interested in any knowledge or insight [redacted]

[redacted] A proposed list of questions is enclosed to guide the interviewer, but not all questions listed may be applicable.

It is requested that advance notice of this interview not be given to [redacted]

To: [redacted] From: New York
Re: 58A-NY-278417, 06/06/2001

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The following information is provided regarding [redacted]

NAME:
DOB:
SS#:
ADDRESS:

[redacted]

To: [redacted] From: New York
Re: 58A-NY-278417, 06/06/2001

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LEAD(s):

Set Lead 1:

[redacted]

[redacted] is requested to conduct logical investigation to locate and interview [redacted]. [redacted] is requested to serve a copy of the enclosed federal grand jury subpoena at the conclusion of the interview.

[redacted]ld
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58A-NY-278417-144

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BY UP _____
DATE 10/21/01 _____

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/30/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

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Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/30/2001:

- List prepared for interviews to be conducted in New York and in the field. Background checks for addresses conducted.
- Reviewed [redacted] records.
- Lead set to [redacted] and [redacted] for interviews to be conducted. [redacted] to serve subpoenas on [redacted] for appearance at grand jury.

[redacted]
[redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/30/2001

PROPOSED FUTURE ACTION:

•The following interviews will be conducted of [redacted]
[redacted]
[redacted]

[redacted]

•The following interviews will be conducted of [redacted]
[redacted]

[redacted]

- Continue [redacted] Issue subpoenas for [redacted]
- NYO ADIC/SAC meeting 06/04/2001.
- Inquire into intelligence community regarding request for information.
- SDNY to submit motion [redacted]

[redacted]

•Additional interviews to be conducted: [redacted]

[redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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DATE 10/11/01

58A-NY-278417-

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1001	
FBI - NEW YORK	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/31/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

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b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

05/31/2001:

●Lead set to [redacted] and [redacted] for interviews to be conducted. [redacted] to serve subpoenas on [redacted] for appearance at grand jury.

- Prepared list of proposed questions.
- Reviewed [redacted] records.
- [redacted] prepared.
- Prepared pertinent evidence summary.
- Case agent status conference.
- ACS checks for [redacted] interviews conducted.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 05/31/2001

PROPOSED FUTURE ACTION:

•The following interviews will be conducted (subpoenas served) of

[REDACTED]

[REDACTED]

•The following interviews will be conducted (subpoenas served) of

[REDACTED]

•Continue [REDACTED] Issue subpoenas for [REDACTED]

•NYO ADIC/SAC meeting 06/01/2001.

•Inquire into intelligence community regarding request for information.

•SDNY to submit motion [REDACTED]

•New witnesses to be subpoenaed before GJ: [REDACTED]

[REDACTED]

[REDACTED] (immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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DATE 10/10/01

58A-NY-278417-146

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FBI — NEW YORK	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/01/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/01/2001:

- NVO ADIC/SAC briefing with White Plains RA.
- [redacted] interview conducted [redacted].
- Subpoena served.
- [redacted] interviews conducted.
- Review of case map/chronology.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/01/2001

PROPOSED FUTURE ACTION:

●The following interviews will be conducted (subpoenas served) of

[REDACTED]

[REDACTED]

●The following interviews will be conducted (subpoenas served) of

[REDACTED]

●Continue [REDACTED] Issue subpoenas for [REDACTED]

●Inquire into intelligence community regarding request for information.

●SDNY to submit motion [REDACTED]

●New witnesses to be subpoenaed before GJ: [REDACTED]

[REDACTED] (immunity to be determined).

Investigation is ongoing in New York.

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DATE 10/21/01

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2001	
FBI — NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/05/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] interview conducted. Subpoena served.

● Attempts to locate, interview and serve subpoena to [redacted]
[redacted] met with negative results.

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● Prepared interview questions for [redacted] witnesses.
● Tel call with [redacted] Division re: [redacted] interview.

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/05/2001

PROPOSED FUTURE ACTION:

[redacted] to testify in grand jury on [redacted]

[redacted] to meet with AUSA on [redacted] proffer.

[redacted] to testify in grand jury on [redacted]

[redacted] to testify in grand jury on [redacted]

•The following interviews will be conducted (subpoenas served) of [redacted]

[redacted]

[redacted]

[redacted]

•The following interviews will be conducted (subpoenas served) of [redacted]

[redacted]

[redacted]

•Continue [redacted] Issue subpoenas for [redacted]

•SDNY to submit motion [redacted]

[redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted]

[redacted] (immunity to be determined).

Investigation is ongoing in New York.

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FBI -- NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/04/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/04/2001:

•Tel calls with [redacted] case agents re: [redacted]
[redacted] interview conducted on [redacted]
[redacted] Subpoena served.

•Attempt to interview [redacted] met with negative results. Rescheduled for [redacted] Subpoena served.

•Prepare interview questions for witnesses.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/04/2001

PROPOSED FUTURE ACTION:

•The following interviews will be conducted (subpoenas served) of

[redacted]
[redacted]

[redacted]
[redacted]

•The following interviews will be conducted (subpoenas served) of

[redacted]
[redacted]

•Continue [redacted] Issue subpoenas for [redacted]

•Inquire into intelligence community regarding request for information.

•SDNY to submit motion [redacted]
[redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

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BY ug
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/06/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/06/2001:

- Background/internet checks conducted for interviewees.
- Preparation for interviews of [redacted]
- Subpoena request prepared for [redacted]
- Prepared lead to [redacted] to interview and serve subpoena to [redacted]
- Review subpoena list and prepare subpoena chart.

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b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/06/2001

PROPOSED FUTURE ACTION:

[redacted] to testify in grand jury on [redacted]
[redacted] to meet with AUSA on [redacted] proffer.
[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted] (tentative).
•The following interviews will be conducted (subpoenas served) of [redacted]

•The following interviews will be conducted (subpoenas served) of [redacted]

•Continue [redacted] Issue subpoenas for [redacted]
•New witnesses to be subpoenaed before GJ: [redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

060601.sts
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OTHER Sealed Pursuant to Court Order

UPLOADED

WITH/TEXT ✓
WITH/OUT TEXT _____
BY UG
DATE 0 6/12/01

58A-NY-278417-150

SEARCHED _____ INDEXED _____
SERIALIZED UG FILED UG

COI
FBI - NEW YORK
[Redacted] MP

⊗
MP

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b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/07/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]
●Attempts to interview and serve subpoena to [redacted] met with negative results. All referred to attorneys. [redacted] served with subpoena through attorney.

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[redacted] testified in grand jury.
[redacted] served with grand jury subpoena.

●Picked up subpoenas for [redacted] records.

●Reviewed new [redacted] production of records.

●Attempts made to interview and serve subpoena to [redacted]

[redacted]

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/07/2001

PROPOSED FUTURE ACTION:

[redacted] to meet with AUSA on
[redacted] proffer.
[redacted] to testify in grand jury on
[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted] (tentative).
[redacted] to be interviewed on [redacted]

b3
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b7C
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•The following interviews will be conducted (subpoenas served) of
[redacted]

[redacted]

•Continue [redacted] issue subpoenas
for [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted] (immunity to be determined).

Investigation is ongoing in New York.

060601.sts
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58A-NY-278417-151

UPLOADED
WITH/TEXT J
WITH/OUT TEXT _____
BY UG
DATE 6/12/01

SEARCHED	INDEXED
SERIALIZED	FILED
<u>UG</u>	<u>UG</u>
1	001
FBI - NEW YORK	
	<u>mp</u>

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/11/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- Meeting with [redacted]
- Subpoenas served to [redacted] interviewed. Phone calls with field offices re: interview leads.
- [redacted] obtained.
- Reviewed [redacted] production of documents.

b3
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To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/11/2001

PROPOSED FUTURE ACTION:

[redacted] to be interviewed on [redacted]
location to be determined).
• [redacted] to be interviewed on [redacted]
• [redacted] to testify in grand jury on [redacted]
• [redacted] to testify in grand jury on [redacted]
• [redacted] to testify in grand jury on [redacted] (tentative).
• [redacted] to be interviewed.
• [redacted] interview [redacted] rescheduled for end of week of [redacted]
• Continue [redacted] Issue subpoenas for [redacted]
• New witnesses to be subpoenaed before GI: [redacted]
[redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

061101.sts

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/22/2001

To: New York

Attn: White Collar Branch,
Squad C-14,
SA [redacted]

b6
b7C

From: [redacted]

RA

Contact: SA [redacted]

b6
b7C
b7D

Approved By: [redacted]

Drafted By: [redacted]

pmf

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]
MARC RICH - FUGITIVE (B);
ET AL;
CFPO - EXECUTIVE BRANCH

b6
b7C

Synopsis: Completion of lead to interview [redacted]

Reference: 58A-NY-278417 Serial 68

Enclosures: Enclosed for New York is the original and two copies of one FD-302 reflecting interview of [redacted] with accompanying 1A comprising interview notes, [redacted] license record, (negative) criminal history record, and photograph of [redacted]

b6
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b7D

Details: This lead was received, and then held in abeyance per direction of New York. Numerous attempts were made to contact [redacted]

[redacted] without success. [redacted] was finally located telephonically [redacted] requested interviewing Agent to call back at [redacted] which was done. At that time, [redacted]

[redacted]

UPLOADED

WITH/TEXT
WITH/OUT TEXT
BY
DATE

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58A-NY-278417-152

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 07 2001	

To: New York From: [redacted]
Re: 58A-NY-278417 05/22/2001

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[redacted]

In short, [redacted]

[redacted]

Descriptive Data:

Reference

Name -
Last:
First:
Middle:
DDN:
Race:
Sex:
DOB:
DLN:
SOC:
Address -
House #:
Street Name:
Street Suffix:
City:
State:
Postal Code:
Phone:
Phone:

[redacted]

b6
b7C
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To: New York From:
Re: 58A-NY-278417 05/22/2001

b6
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LEAD (s):

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NY

Read and clear.

♦♦

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/21/01 Time: 08:05

Case ID: 58A-NY-278417 Serial: 153

Description of Document:

Type : FD302
Date :
To : NEW YORK
From :
Topic: INTERVIEWED

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Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 137

Employee:

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58A-NY-278417-154

SEARCHED	INDEXED
SERIALIZED	FILED
40	40
1	2001
FBI - NEW YORK	
MP	

(X)
MP

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/12/2001

To: New York

Attn: SA [redacted]
Squad C-14

b6
b7C

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted]

NEP/KS

Drafted By: [redacted]

pjm

b3
b6
b7C
b7D

Case ID #: *✓* 58A-NY-278417-1540

Title: UNSUB(S);
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH-FUGITIVE (B);
ET AL;
CFPO-EXECUTIVE BRANCH
OO: NY

Synopsis: Results of [redacted] investigation of NY lead per serial 142.

Enclosure(s): Enclosed for NY are the following: original and two copies of FD-302 reflecting interview of [redacted] 1-A envelope containing original notes of aforementioned interview; copy of FGJ subpoena with completed service.

Details:

On [redacted]
[redacted] was interview pursuant to a lead from NY.

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It should be noted that [redacted] was inadvertently served with the original subpoena. so return of service is reflected on back of the service copy.

In that no other investigation remains in [redacted] this lead is considered covered.

To: New York From:
Re: 58A-NY-278417, 06/12/2001

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LEAD(s) :

Set Lead 1:

NEW YORK

AT NEW YORK, NY

Read and clear.

◆◆

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY YQ
DATE 10/1/01

58A-NY-278417-155 (X)

SEARCHED	INDEXED
SERIALIZED <u>YQ</u>	FILED <u>YQ</u>
2001	
FBI - NEW YORK	
	<u>mp</u>

mp
Rc

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/12/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/12/2001:

- Phone calls with [redacted] for subpoena compliance.
- Review of [redacted] 302 and contact field offices re: status of interviews.
- [redacted] obtained.
- Analysis of [redacted] records.
- Reschedule interviews with [redacted]
- [redacted] records for potential interviewees.

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b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/12/2001

PROPOSED FUTURE ACTION:

[redacted] interview, scheduled for [redacted] may be rescheduled. Date and location to be determined, but expected to be within [redacted]

[redacted] to be interviewed in [redacted]
[redacted] to testify in grand jury on [redacted]

[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted] (tentative).

[redacted] to possibly testify in grand jury.

[redacted] interview [redacted] rescheduled for end of week of [redacted]

•Submission of motion [redacted]

•Continue [redacted] Issue subpoenas for [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted] (immunity to be determined).

Investigation is ongoing in New York.

061201.sts

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OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY UP
DATE 10/01/10

58A-NY-27847-156

SEARCHED	INDEXED
SERIALIZED <u>UP</u>	FILED <u>UP</u>
2001	
FBI - NY	
	<u>MP</u>

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/13/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] *mjm*

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/13/2001:

[redacted] analysis. [redacted] associates identified and background checks conducted.

● Review of [redacted] 302 and contact field offices re: status of interviews.

● Analysis of [redacted] completed.

[redacted] records for potential interviewees.

b3
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To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/13/2001

PROPOSED FUTURE ACTION:

[redacted] interview to be rescheduled. Date and location to be determined, but expected to be within [redacted]

[redacted] to testify in grand jury on [redacted]

[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted] (tentative).

[redacted] to be interviewed in [redacted]
[redacted] to possibly testify in grand jury.

[redacted] interview [redacted] rescheduled for end of week of [redacted]

[redacted] to be interviewed and served with subpoena.

•Submission of motion [redacted]

•Continue [redacted] Issue subpoenas for [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted] (immunity to be determined).

Investigation is ongoing in New York. b3 b6

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OTHER Sealed Pursuant to Court Order

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/12/2001

To: New York

Attn: White Collar Branch
Squad C-14
SA [redacted]
SA [redacted]

b6
b7c

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted]

b3
b6
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Drafted By: [redacted]

Case ID #: 58A-NY-278417-151 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT

b6
b7c

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To cover lead set by New York.

Reference: 58A-NY-278417 Serial 142

Enclosure(s): One (1) original and one copy of a Federal Grand Jury subpoena for [redacted]

Details: On May 30, 2001, New York Division requested that the [redacted] Division serve a Federal Grand Jury subpoena on [redacted]. Per a conversation with SA [redacted] [redacted] has agreed to accept service of the subpoena. Therefore, service of the Federal Grand Jury to [redacted] is not necessary. [redacted] is returning the original subpoena to New York. This lead is considered to be covered.

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58A-NY-278417-151
SEARCHED [initials] INDEXED [initials]
SERIALIZED [initials] FILED [initials]
JUN 20 2001
FBI - NEW YORK

To: New York From:
Re: 58A-NY-278417, 06/12/2001

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LEAD(s) :

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK CITY

Lead covered. Read and Clear.

◆◆

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY UP
DATE 10/20/01

58A-NY-278417-

SEARCHED	INDEXED
SERIALIZED <u>UP</u>	FILED <u>UP</u>
2001	
	RK

[Redacted Box] imp

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134

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/14/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] testified in grand jury.

[redacted]

associates identified and background checks conducted. Proposed interview list compiled.

● Analysis of [redacted] records.

[redacted] served with grand jury subpoena in [redacted] Interview attempt met with negative results.

b3
b6
b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/14/2001

PROPOSED FUTURE ACTION:

[redacted] interview scheduled for [redacted]

[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on

[redacted] to testify in grand jury on [redacted] (tentative).

[redacted] to be interviewed in [redacted]
[redacted] to possibly testify in grand jury.

[redacted] interview [redacted] rescheduled for end of week of

[redacted] to be interviewed and served with subpoena with [redacted]

•Submission of motion [redacted]

•Continue [redacted] Issue subpoenas for [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted] (immunity to be determined).

Investigation is ongoing in New York.

061401.sts

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OTHER Sealed Pursuant to Court Order

UPLOADED

WITH/TEXT _____
WITH/OUT TEXT _____
BY UJ _____
DATE 10/20/01 _____

58A-NY-278417-159

SEARCHED	INDEXED
SERIALIZED <u>UJ</u>	FILED <u>UJ</u>
2001	
FBI NEW YORK	
	<u>mp</u>

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mp

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/15/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

●Background checks conducted for potential interviewees.

[redacted]
associates identified and background checks conducted. Proposed interview list compiled.

●Analysis of [redacted] records. Compiled list of [redacted] identified from subpoena. List of potential interviews prepared.

[redacted] interview conducted in [redacted]

b3
b6
b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/15/2001

PROPOSED FUTURE ACTION:

[redacted] interview re-scheduled for [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted] (tentative).
[redacted] to be interviewed in [redacted]
[redacted] to possibly testify in grand jury.
[redacted] to be interviewed and served with subpoena with [redacted]
[redacted]
•Submission of motion [redacted]
[redacted]
•Continue [redacted] Issue subpoenas for [redacted]
•New witnesses to be subpoenaed before GJ: [redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

061501.sts
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OTHER Sealed Pursuant to Court Order

58A-NY-278417-

(X)
1/18

SEARCHED	INDEXED
SERIALIZED 48	FILED 48
2001	
FBI NEW YORK	
[Redacted]	[Signature]

160

UPLOADED

WITH/TEXT

WITH/OUT TEXT _____

BY 48

DATE 10/20/01

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/18/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] interview conducted in [redacted]
●Proposed [redacted] associate interviews prepared.
●Preparation for [redacted] grand jury. Review of [redacted]

[redacted]

[redacted] records. Compiled list of

[redacted]

●Proposed list of [redacted] interviews prepared.
●Preparation for [redacted] interview.

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b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/18/2001

PROPOSED FUTURE ACTION:

[redacted] interview re-scheduled for [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to be interviewed in [redacted]
[redacted] to possibly testify in grand jury.
[redacted] to be interviewed and served with subpoena with [redacted]
[redacted]
●Submission of motion [redacted]
[redacted]
●Continue [redacted] Issue subpoenas for [redacted]
●New witnesses to be subpoenaed before GJ: [redacted]
[redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

061801.sts
◆◆

b3
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b7C
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b7E
OTHER Sealed Pursuant to Court Order

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/14/2001

To: New York

Attn: SA [redacted]

b6
b7C

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] *12C*

b3
b6
b7C
b7D

Case ID #: *✓* 58A-NY-278417-161 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: Covered lead to conduct interview and serve a subpoena on [redacted]

Enclosure(s): FD-302 documenting service of subpoena.

Details: Pursuant to Serial 143, a lead to serve a Grand Jury Subpoena issued from the United States District Court, Southern District of New York on [redacted] has been completed. [redacted] was served with the Subpoena on June 14, 2001 at his residence located at [redacted]

b3
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b7C
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[redacted] considers this lead covered.

♦♦

(F)
mp

58A-NY-278417-161

C-14

SEARCHED	INDEXED
SERIALIZED <i>118</i>	FILED <i>118</i>
JUN 22 2001	
FBI - NEW YORK	
[redacted]	<i>mp</i>

per [signature]

b6
b7C

165 DBC 02.01

58A-NY-278417

14

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/29/01 Time: 07:46

Case ID: 58A-NY-278417 Serial: 162

Description of Document:

Type : FD302

Date : [REDACTED]

To : NEW YORK

From : [REDACTED]

Topic: INTERVIEW W/ [REDACTED] - SERVICE OF SUBPOENA

b3
b6
b7C
b7D

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 142

Employee: [REDACTED]

b6
b7C

Date: 06/29/01 Time: 07:47

Case ID: 58A-NY-278417 Serial: 163

Description of Document:

Type : FD302

Date : [REDACTED]

To : [REDACTED]

From : [REDACTED]

Topic: GRAND JURY SUBPOENA SERVED ON [REDACTED]

b3
b6
b7C
b7D

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 143

Employee: [REDACTED]

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/29/01 Time: 07:48

Case ID: 58A-NY-278417 Serial: 164

Description of Document:

Type : FD302
Date :
To :
From :
Topic: INTERVIEW

b3
b6
b7C
b7D

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 144

Employee:

b6
b7C

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 06/29/01 Time: 07:49

Case ID: 58A-NY-278417 Serial: 166

Description of Document:

Type : FD302

Date :

To : NEW YORK

From :

Topic: INTERVIEW OF

b3
b6
b7C
b7D

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 145

Employee:

b6
b7C

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/21/2001

To: New York

Attn: SSA [redacted]
Squad C-14

b6
b7C

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted]

b3
b6
b7C
b7D

Drafted By: [redacted]

Case ID #: 58A-NY-278417 (Pending) - 167

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

THIS DOCUMENT IS SENSITIVE IN NATURE
AND SHOULD BE AFFORDED APPROPRIATE SECURITY

Synopsis: To provide results of interview of [redacted]
and to return executed subpoena for appearance of [redacted] at
FGJ, [redacted]

Reference: 58A-NY-278417 Serial 142

b3
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b7C
b7D

Enclosures: Original and one photocopy of FD-302 reflecting
interview of [redacted] 1A envelope containing interview
notes; Original executed subpoena for appearance of [redacted]

Details: On [redacted] was contacted at his
residence at which time he declined to be interviewed. [redacted]
was then served with Federal Grand Jury subpoena, requiring his
appearance on [redacted]. Arrangements were then made to
interview [redacted]

THIS DOCUMENT IS SENSITIVE IN NATURE
AND SHOULD BE AFFORDED APPROPRIATE SECURITY

58A-NY-278417 - 167

SEARCHED [redacted] SERIALIZED [redacted]

JUN 20 2001

[redacted]

♦♦

UPLOADED

WITH/TEXT _____
WITHOUT TEXT _____
BY UG _____
DATE 6/27/01 _____

58A-NY-278417-168 (X) mjr

SEARCHED	INDEXED
SERIALIZED	FILED
<u>UG</u>	<u>UG</u>
2001	
FBI NEW YORK	
[Redacted]	<u>mjr</u>

1/20/01

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/19/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] testified in grand jury.

[redacted] testified in grand jury.

[redacted]

[redacted] Analysis of [redacted] records.

●Lead prepared for interview of [redacted]

[redacted]

●Obtained subpoenas for [redacted] associates to be interviewed.

b3
b6
b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/19/2001

PROPOSED FUTURE ACTION:

[redacted] interview re-scheduled for [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to be interviewed in [redacted] on
[redacted] to possibly
testify in grand jury.
[redacted] to be interviewed and served with subpoena.
●Approximately [redacted]
associates will be interviewed in the next [redacted]
●Submission of motion [redacted]
[redacted]
●Continue [redacted] Issue subpoenas for [redacted]
[redacted]
●New witnesses to be subpoenaed before GJ: [redacted]
[redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

061901.sts
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b3
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OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY UPJ
DATE 01/27/01

58A-NY-278417-169 *mp*

SEARCHED	INDEXED
SERIALIZED <u>UPJ</u>	FILED <u>UPJ</u>
2001	
NEW YORK	
	<u>mp</u>

MP

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/20/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] interview conducted.
[redacted] associates)
interviewed.

- Analysis of [redacted] records. Preparation for [redacted] grand jury.
- Background checks conducted for new interviewees.
- Case file documents scanned into [redacted]

b3
b6
b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/20/2001

PROPOSED FUTURE ACTION:

[redacted] to testify in grand jury on [redacted] to possibly testify in grand jury.

• [redacted] to be interviewed and served with subpoena.

• Approximately [redacted] associates will be interviewed in the [redacted]

• Associates of [redacted] records) to be interviewed.

• Submission of motion [redacted]

• Continue [redacted] Issue subpoenas for [redacted]

• New witnesses to be subpoenaed before GJ: [redacted]

[redacted] (immunity to be determined).

Investigation is ongoing in New York.

062001.sts
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b7C
b7D
b7E
OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY UG
DATE 6/27/01

58A-M-27417-170

SEARCHED	INDEXED
SERIALIZED	FILED
<u>UG</u>	<u>UG</u>
JUN	2001
FBI - NEW YORK	
<u>RE</u>	

①
170

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/22/2001

To: [redacted]

Att.: [redacted]

Public Corruption
SA [redacted]

b3
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b7C
b7D

From: New York
Criminal Division, White Collar Branch, Squad C-14
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] nm

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request [redacted] to interview and serve Federal Grand Jury Subpoenas to the below listed individuals.

Reference: Telephone call between SA [redacted] and SA [redacted] advising of pending lead. Copies of subpoenas, suggested questions and witness identifying information faxed to SA [redacted] on 6/26/01.

Enclosures: For [redacted] are the original, and one copy of each Grand Jury Subpoena, and suggested interview questions.

Details: For information of [redacted] ongoing investigation has revealed that during social events attended by [redacted] and [redacted] held in [redacted] the topic of presidential pardons for Marc Rich [redacted] were discussed. Several individuals have been identified as associates of [redacted] who were present at these events.

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[redacted] is requested to interview the respective associates to ascertain their knowledge of the pardon discussions, specifically statements made by [redacted]

[redacted]

To: [redacted] From: New York
Re: 58A-NY-278417

♦♦

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b7D

To: [redacted] From: New York
Re: 58A-NY-278417

b3
b6
b7C
b7D

♦♦

LEAD (s):

Set Lead 1:

[redacted]

[redacted] is requested to interview and serve
Federal Grand Jury Subpoena's to the following individuals:

[redacted]

b3
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b7C
b7D

♦♦

(X)
mjl

58A-NY-278417-171

UPLOADED
WITH/TEXT ✓
WITH/OUT TEXT _____
BY UPJ
DATE 10/12/2001

SEARCHED	INDEXED
SERIALIZED <u>UPJ</u>	FILED <u>UPJ</u>
2001	
FBI - NEW YORK	
[Redacted]	<u>mjl</u>

158

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

b3
b6
b7C
b7D

Precedence: PRIORITY

Date: 06/26/2001

To: [Redacted]

Attn: Public Corruption Squad

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: SA [Redacted]

Approved By: [Redacted]

Drafted By: [Redacted] mjm

Case ID #: 88A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[Redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS TO BE AFFORDED APPROPRIATE SECURITY.**

GRAND JURY MATERIAL - DISSEMINATE ONLY PURSUANT TO RULE 6(e)

Synopsis: To request interview be conducted of [Redacted]
[Redacted]

b3
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b7C
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Enclosure(s): For [Redacted] one list of proposed interview questions.

Details: New York is conducting a sensitive public corruption investigation into controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON. Central to New York's investigation has been [Redacted]

[Redacted]

b3
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b7D

[Redacted]

To: [redacted] From: New York
Re: 58A-NY-278417, 06/26/2001

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[redacted]

b3
b6
b7C
b7D

Investigation has also revealed that [redacted]

[redacted]

[redacted] The enclosed list of proposed questions is submitted as a guide for the interviewer.

The following information was obtained from [redacted]

[redacted]

[redacted]

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b7E

To: [redacted] From: New York
Re: 58A-NY-278417, 06/26/2001

LEAD(s):

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b7C
b7D

Set Lead 1:

[redacted]

[redacted] is requested to conduct logical
investigation to locate and interview [redacted]



UPLOADED

WITH/TEXT ✓
WITH/OUT TEXT _____
BY CG
DATE 01/28/01

58A-NY-278417-172 ^{mp} ^(X)

SEARCHED	<u>CG</u>	INDEXED	<u>CG</u>
SERIALIZED	<u>CG</u>	FILED	<u>CG</u>
		: 2001	
FBI NEW YORK		<u>mp</u>	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/27/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] *mjm*

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/27/2001:

- Compiled list of [redacted] associate interviews to be conducted. Background checks conducted for witnesses.
- Review of [redacted] documents. Grand jury preparation.
- Review of [redacted] records.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/27/2001

PROPOSED FUTURE ACTION:

[redacted] to testify in grand jury on [redacted]
[redacted] to possibly
testify in grand jury.

●Approximately [redacted]
associates will be interviewed in the [redacted]

●Associates of [redacted] records) to be
interviewed.

●Consider subpoenaing [redacted]
records.

●Submission of motion [redacted]

[redacted]
●New witnesses to be subpoenaed before GJ: [redacted]

[redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/TEXT _____
WITH/OUT TEXT ✓
BY UJ
DATE 1/28/01

58A-NY278417-1730

SEARCHED	INDEXED
SERIALIZED	FILED
<u>UJ</u>	<u>UJ</u>
	2001
	mp

10

b6
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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/11/2001

✓ To: New York

Attn: SA [redacted]
White Collar Branch
Public Corruption
Squad C-14

b6
b7C

From: [redacted]

Contact: SA [redacted]

b3
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b7C
b7D

Approved By: [redacted] DA

Drafted By: [redacted] eaf [signature]

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH-FUGITIVE (B); ET AL;
CFPO-EXECUTIVE BRANCH;
OO:NY

~~PK~~

Synopsis: Results of the interview of [redacted] regarding his knowledge of the presidential pardons granted by former President William J. Clinton and the details concerning [redacted]

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Administrative: Reference telcal on 06/08/01 to SA [redacted] from SA [redacted] regarding the details of the interview concerning [redacted]

Enclosure(s): Enclosed for receiving New York field office: (1) one original and one copy of FD-302, dated [redacted] regarding interview of [redacted] (2) one original and one copy of FD-302, dated [redacted] regarding service of the Federal Grand Jury Subpoena on [redacted]

Details: On [redacted] Special Agent (SA) [redacted] and SA [redacted] Federal Bureau of Investigation (FBI), [redacted] Division, interviewed [redacted] regarding his knowledge of the presidential pardons granted by former President William J. Clinton and the details

To: New York From: [redacted]
Re: 58A-NY-278417, 06/11/2001

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b7D

concerning [redacted]

[redacted] The results of the interview are contained as enclosures in attached FD-302s.

This lead is considered covered and cleared.

◆◆

TD:0
LEAD/ASSIGN/COVERED
DATE 6/28/01
ASSIGN TO [Signature]
SURV [Signature]

UPLOADED

WITH/TEXT
WITH/OUT TEXT _____
BY [Signature]
DATE 6/28/01

581-MY-278417-174
48 48
JUN 28
PR @

[Signature]

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/03/2001

To: ~~Criminal Investigative~~ :
Corruption/Civil Rights
Public Corruption Unit
New York

KLM

From:

[Redacted]

Contact: SA

[Redacted]

Approved By:

[Redacted]

Drafted By:

[Redacted]

pjm

Case ID #:

[Redacted]

Title: BRIBERY OF PUBLIC OFFICIALS;
INFORMATION CONCERNING
PRESIDENTIAL PARDON AND
COMMUTATION ALLEGATIONS

Synopsis: Information being forward regarding captioned matter.

Details: Attached for receiving Divisions are copies of FD-302's reflecting interviews of [Redacted]

In summary, on

[Redacted]

interviewed

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

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SSA [Redacted]

11/11/01 b/c/lor

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To: Criminal Investigative From: [redacted]
Re: [redacted] 05/03/2001

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b7D

[redacted]

b6
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b7D

[redacted]

b6
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b7D

[redacted]

[redacted]

[redacted]

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b7D

[redacted]

[redacted]

[redacted]

b6
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b7D

To: Criminal Investigative From: [redacted]
Re: [redacted] 04/30/2001

b6
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[redacted]

According to

[redacted]

[redacted]

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b7D
b7E

[redacted]

[redacted]

b6
b7C
b7D

This information is being forwarded for whatever action is deemed appropriate.

To: Criminal Investigative From: [redacted]
Re: [redacted] 04/30/2001

b6
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b7D

LEAD (s):

Set Lead 1:

ALL RECEIVING OFFICES

Read and clear.

♦♦

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY UP
DATE 6/29/01

58A NY-27847-

SEARCHED	INDEXED
SERIALIZED	FILED
<u>UP</u>	<u>UP</u>
2001	
NEW YORK	<u>UP</u>

(X)
MP
175
PER

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b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/21/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

06/21/2001:

- Analysis of [redacted] records. Obtained [redacted]
- [redacted] Preparation for [redacted] grand jury.
- Preparation of interview list to be conducted.
- Background checks conducted for new interviewees.
- Case file documents scanned into [redacted]
- Conf. call with White Plains RA.

b3
b6
b7C
b7D
b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 06/21/2001

PROPOSED FUTURE ACTION:

[redacted] to testify in grand jury on [redacted]
[redacted] to possibly
testify in grand jury.

[redacted] to be interviewed and served with subpoena.

- Approximately [redacted] associates will be interviewed in the next [redacted]
- Associates of [redacted] records) to be interviewed.
- Submission of motion [redacted]

•Continue [redacted] Issue subpoenas for [redacted]

•New witnesses to be subpoenaed before GJ: [redacted]
[redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

062101.sts
◆◆

b3
b6
b7C
b7D
b7E
OTHER Sealed Pursuant to Court Order

58A-04-275117 - 176 (A)

UPLOADED

WITH/TEXT _____
WITH/OUT TEXT ✓ _____
BY UG _____
DATE 10/29/01 _____

UG UG 125 @

_____ (A)

Public Corruption Unit
Integrity in Government/Civil Rights Section
Criminal Investigation Division

Date: 6/22/01

<u>Room</u>	<u>Name</u>	<u>Room</u>	<u>Name</u>
_____	7176 The Director	_____	5012 Mr. []
_____	7142 Mr. []	_____	4330 Mr. []
_____	7116 Mr. []	_____	5155 Mr. []
_____	7116 Mr. []	_____	3012 _____
_____	3021 Mr. []	_____	3823 Mr. []
_____	7116 Ms. []	_____	7373 Mr. []
_____	7116 Ms. []	_____	7443 Mr. []
_____	3155 Mr. []	_____	3028 Mr. []
_____	3155 Ms. []	_____	5129 Admin. Unit
_____	3155 Ms. []	_____	5129 Ms. []
_____	3149 Ms. []	_____	5129 Budget Unit
_____	3149 Mr. []	_____	5129 Mr. []
_____	3149 Mr. []	_____	4981 Career Board
_____	3149 Mr. []	_____	6236 Correspondence Unit
_____	3149 Ms. []	_____	1B327 Mail Room
_____	3149 Ms. []	_____	6248 Reading Room
_____	3149 Ms. []	_____	5045 SIOC
_____	3149 Ms. []	_____	3117 USOU
_____	3149 Ms. []	_____	1993 Voucher Unit
_____	3149 Ms. []	_____	3117 Ms. [] Hate Crimes
_____	3149 Ms. []	_____	3043 Mr. [] Color of Law

b6
b7C

_____ Call Me
_____ See Me
_____ Per Request

_____ For Your Info
_____ Appropriate Action
_____ For Your Approval

_____ Status/Facts
_____ Prepare Response
_____ To Be Uploaded

SSA [] NYO,

[] attached is a copy of the Pardon Office, DOJ request of FBIHQ for a records check of Rich and Green. This request is dated 01/20/2001. This appears to be the only communication between the FBI and DOJ or the White House on these applicants. There apparently was no request for the FBI to conduct any other type of investigation for these pardon applications. This info comes from Unit Chief [] Special Iniquity & Background Investigation Unit, FBIHQ [] Call him if you need more info.

b6
b7C

SSA []
Public Corruption Unit

Integrity in Government/Civil Rights Section
Criminal Investigative Division

SAT JAN 20 2001 00:18:49

NL01 [REDACTED]
[REDACTED]

NO IDENTIFIABLE RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX (III) FOR NAM/RICH,MARC.DOB/19341218.SEX/M.RAC/U.SOC/496343075.PUR/C. NOTICE -- A LARGE NUMBER OF RECORDS FOR PERSONS BORN PRIOR TO 1956 ARE NOT AUTOMATED AT THE FBI. IF A SEARCH OF THE NONAUTOMATED FILES IS DESIRED, A FINGERPRINT CARD SHOULD BE SUBMITTED.
END

b6
b7c

♥-----

SAT JAN 20 2001 00:19:38

1L0 [REDACTED]
[REDACTED]

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/509 WGT/160 EYE/BR0 HAI/BLK
MNU/PP-Z30450402 SOC/496343075
OFF/FRAUD
DOW/19830919 OCA/92I2NY031
MIS/MAIL & WIRE FRAUD/ARMS TRADING/TAX EVASION/CUSTOMS WILL EXTR
24HRS CALL
MIS/407-975-1740/SDNY WN-83CR579/CN-NY06SR94NY0020
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK [REDACTED]
AKA [REDACTED] EYAL
MNU/PI-182540351
NIC/W318412622 DTE/19830920 0000 EDT
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/RICH,MARC SEX/M RAC/W POB/BG DOB/19341218
HGT/510 WGT/180 EYE/XXX HAI/XXX
SOC/496343075
OFF/FRAUD - BY WIRE
DOW/19830919 OCA/KFBC5851
MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774 [REDACTED] C12
ORI IS FBI NEW YORK [REDACTED]
NIC/W321531799 DTE/19831031 0000 EST
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

B
6/12
173

12:05
am

SAT JAN 20 2001 00:13:25

NLO [REDACTED]

[REDACTED]

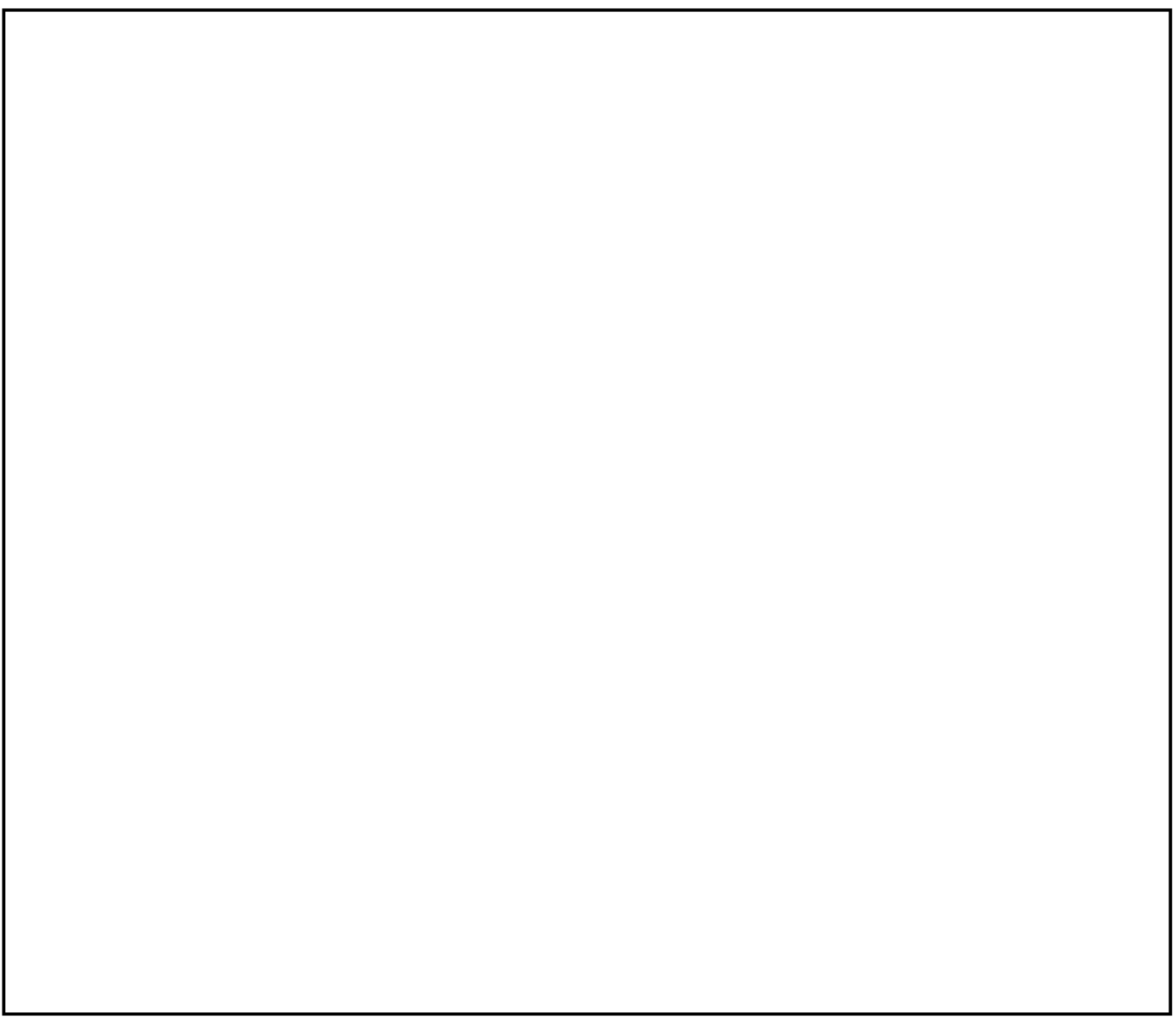
NO IDENTIFIABLE RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX
(III) FOR NAM/GREEN,PINCUS.DOB [REDACTED] SEX/M.RAC/U.SOC [REDACTED]
PUR/C.

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NOTICE -- A LARGE NUMBER OF RECORDS FOR PERSONS BORN PRIOR TO 1956
ARE
NOT AUTOMATED AT THE FBI. IF A SEARCH OF THE NONAUTOMATED FILES IS
DESIRED, A FINGERPRINT CARD SHOULD BE SUBMITTED.
END

b6
b7c

♥-----



B 6119
275



b6
b7C

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/20/2001

To: SAC ROBERT J. CORDIER ✓ Attn: Evidence Control Unit

From: SA [redacted]
White Collar Branch, Squad C-14, Public Corruption
Contact: SA [redacted]

Approved By: [redacted] #

b6
b7C

Drafted By: [redacted] kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

b6
b7C

Synopsis: Late submission of evidence.

Details: Evidence collected on 04/03/01 is being submitted late as it was required for extended review by the Case Agent.

♦♦

UPLOADED
WITH/TEXT ✓
WITHOUT TEXT _____
BY cg
DATE 7/3/01

58A-NY-278417-177
SEARCHED _____ INDEXED _____
SERIALIZED cg FILED cg
JUN 2 - 2001
FBI - NEW YORK
kw

(see
1B-128)
1B-129

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/21/2001

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *JSK*

b6
b7C

Drafted By: [redacted]:k]w

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 05/31/01 is being submitted late as it was required for extended review by the Case Agent.

♦♦

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY lp
DATE: 6/21/01

58A-NY-278417-178
SEARCHED _____ INDEXED _____
SERIALIZED 49 FILED 49
JUN 27 2001
FBI - NEW YORK
kew

See 1B139+1B140

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/21/2001

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *MH*

Drafted By: [redacted] kjw

Case ID #: 58A-NY-278417 (Pending)

b6
b7c

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/01/01 is being submitted late as it was required for extended review by the Case Agent.

♦♦

UPLOADED
WITH/TEXT J
WITH/OUT TEXT _____
BY Ug
DATE 7/3/01

58A-NY-278417-179
Ug JUN 20 01 Ug
KW

(See 1B141)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/21/2001

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *RSV*

Drafted By: [redacted] *kjw*

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/07/01 is being submitted late as it was required for extended review by the Case Agent.

♦♦

UPLOADED
WITH/TEXT J
WITH/OUT TEXT _____
BY UG
DATE 7/3/01

58A-NY-278417 - 180

SEARCHED	INDEXED
SERIALIZED <u>UG</u>	FILED <u>UG</u>
JUN 2 - 2001	
KW	

(see 1B142)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/21/2001

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

kjw

b6
b7c

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/11/01 is being submitted late as it was required for extended review by the Case Agent.

◆◆

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY ug
DATE 6/21/01

58A-NY-278417-181

SEARCHED	INDEXED
SERIALIZED <u>ug</u>	FILED <u>ug</u>
JUN 27 2001	
FBI — NEW YORK	

RW

(See 1B143 + 1B144)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/03/2001

b6
b7C

To: New York

Attn: Squad C-14
SA [redacted]

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

jad

b3
b6
b7C
b7D

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT

[redacted]

b6
b7C

MARC RICH-FUGITIVE (B)
CFPO-EXECUTIVE BRANCH;

Synopsis: Federal Grand Jury Subpoena for [redacted]

Administrative: Information in this EC was provided
telephonically to NY Case Agent 06/11/2001.

Enclosure(s): Executed copy of subpoena for [redacted]

b3
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Details: [redacted]

[redacted]

On 06/11/2001, [redacted]

[redacted] that the Grand Jury appearance would be worked out
between the U.S. Attorney's Office, Southern District of New York
and [redacted]

[redacted]

♦♦

b6
b7C

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 4 2001	
FBI - NEW YORK	
[redacted]	[redacted]

(X)
mp

58A-NY-278417-182

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/11/2001

To: CID

Att.: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *RK*

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- [redacted] interviews conducted.
- [redacted] interview conducted
- Continuing arrangements made to interview [redacted] associates.
- Continuing ACS research/background checks on [redacted] associates and preparation for interviews.
- Continued preparation for [redacted] grand jury including review of [redacted]
- Preparation of significant evidence flow chart.

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UPLOADED
WITH/TEXT ✓
WITH/CUT TEXT _____
BY CA
DATE 07/13/01

58A-NY-278417 - 184

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 07 2001	
FBI - NEW YORK	

(X)

To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/02/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury [redacted]
- Approximately [redacted] associates will be interviewed in the next [redacted]
 - Associates of [redacted] records) to be located and interviewed.
 - Subpoena's for [redacted] records to be prepared.
 - Submission of motion [redacted]
 - New witnesses to be subpoenaed before CJ: [redacted]
 - [redacted] (immunity to be determined).
 - Preparation for SDNY status meeting.

Investigation is ongoing in New York.

070201.sts
◆◆

b3
b6
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b7D
OTHER Sealed Pursuant to Court Order

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 07/09/2001

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *kg*

b6
b7C

Drafted By: [redacted]

kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Q

Synopsis: Late submission of evidence.

Details: Evidence collected on 06/26/01 is being submitted late as it was required for extended review by the Case Agent.

♦♦

UPLOADED

WITH/TEXT
WITH/OUT TEXT _____
BY *kg*
DATE *7/13/01*

58A-NY-278417-185

SEARCHED	INDEXED
SERIALIZED <i>kg</i>	FILED <i>kg</i>
JUL 13 2001	

kg

(see 1B149)

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 07/20/01 Time: 09:41

Case ID: 58A-NY-278417 Serial: 186

Description of Document:

Type : FD302
Date :
To : NEW YORK
From :
Topic: INTERVIEW W/

b3
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b7C
b7D

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 150

Employee:

b6
b7C

07/20/2001
09:07:47

Permanent Charge-Out of Serial

***** Permanent Charge-Out of Serials Summary *****

There are no leads for old Case ID 58A-NY-278417 with Serial 186 to be copie

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 07/16/2001

To: New York

Attn: C-14 Public Corruption
SA [redacted]

b6
b7C

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted] *RES/S*

b3
b6
b7C
b7D

Drafted By: [redacted] *acs*

Case ID #: 58-NY-278417-187 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH;
OO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report the results of interview with [redacted]

Enclosure(s): One (1) original and one (1) copy of FD-302, dated July 16, 2001 of interview with [redacted] including original notes;

b3
b6
b7C
b7D

One (1) original Federal Grand Jury subpoena dated, June 22, 2001 for [redacted]

Details: On [redacted] was interviewed telephonically from [redacted]. Enclosed is the result of the interview. Also enclosed is the original Grand Jury subpoena. This subpoena was not served as [redacted] was cooperative. Interviews with [redacted]

[redacted]

58A-NY-278417-187

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 18 2001	
- NEW YORK	

Handwritten initials and marks are present on the stamp.

To: New York From:
Re: 58-NY-278417, 07/16/2001

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LEAD(s) :

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NEW YORK

To read and clear.

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UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY UG
DATE 07/18/01

58A-NY-278417-189 X

SEARCHED	INDEXED
SERIALIZED <u>UG</u>	FILED <u>UG</u>
2001	
NEW YORK	

[Handwritten initials and marks]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/02/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]:mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

07/02/2001:

- Review of [redacted] records.
- Arrangements made to interview [redacted] associates.
- ACS research/background checks on [redacted] associates.
- Tel. call with White Plains RA on case status.
- Preparation of significant evidence flow chart.

b3
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b7C
b7D

To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/02/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury (date unknown).
- Approximately [redacted] associates will be interviewed in the next [redacted]
- Associates of [redacted] records) to be interviewed.
- Consider subpoenaing [redacted] records.
- Submission of motion [redacted]
- [redacted]
- New witnesses to be subpoenaed before GU: [redacted]
- [redacted]
- [redacted] (immunity to be determined).

Investigation is ongoing in New York.

070201.sts

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b7D
OTHER Sealed Pursuant to Court Order

UPLOADED

WITH/TEXT ✓
WITH/OUT TEXT _____
BY ug
DATE 1/18/01

58A-NY-278417-190 X
SEARCHED _____ INDEXED _____
SERIALIZED ug FILED ug
2001
FBI - NEW YORK
mp

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/13/2001

To: CID

Att.: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]:mjm

Case ID #: ✓58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

07/13/2001:

- Continuing arrangements made to interview [redacted] associates.
- Continuing ACS research/background checks on [redacted] associates and preparation for interviews.
- Initiated background checks into MARC RICH companies and [redacted] role in those companies.
- Grand Jury preparation for [redacted]
- Conference with AUSA discussing immunity [redacted]

[redacted]

b3
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To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/13/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
- Approximately [redacted] associates will be interviewed in the next [redacted]
- Associates of [redacted] records) to be located and interviewed.
- Grand jury subpoena to be issued to [redacted] records.
- Submission of motion [redacted]
- [redacted] Several judges to hear motion have been conflicted out delaying the hearing.
- New witnesses to be subpoenaed before GJ: [redacted]
- [redacted] (immunity to be determined).

Investigation is ongoing in New York.

071301.sts

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OTHER Sealed Pursuant to Court Order

UPLOADED
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WITH/OUT TEXT _____
BY Ug _____
DATE 1/18/01 _____

58A-NY-278417-1911

SEARCHED	INDEXED
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2001	
NEW YORK	
	<u>mp</u>

(X)
mp

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/16/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]:mjm *mjm*

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- [redacted] (associate) interview conducted.
- Continuing arrangements made to interview [redacted] associates.
- Continuing ACS research/background checks on [redacted] associates and preparation for interviews.
- Internet background checks for [redacted] and MARC RICH FOUNDATION.
- Grand Jury preparation for [redacted] Review [redacted] grand jury testimony.
- Check status of outstanding subpoenas.

b3
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b7C
b7D

To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/16/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
[redacted] interview scheduled for [redacted]
- Additional [redacted] to be reviewed in [redacted]
 - Approximately [redacted] associates will be interviewed in the next [redacted]
 - Associates of [redacted] records) to be located and interviewed.
 - Grand jury subpoena to be issued to [redacted] records.
 - Submission of motion [redacted]
- [redacted] Several judges to hear motion have been conflicted out delaying the hearing.
- New witnesses to be subpoenaed before GJ: [redacted]
- [redacted] (immunity to be determined).

Investigation is ongoing in New York.

071601.sts

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b7C
b7D
OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY ug
DATE 0 11/8/01

58A-NY-278417-192

SEARCHED	INDEXED
SERIALIZED <u>ug</u>	FILED <u>ug</u>
2001	
FBI - NEW YORK	

[Redacted] *[Signature]*

⊗
[Signature]

b6
b7c

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/17/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] (associate) interview conducted.

[redacted] testified before grand jury.

•Tel call with [redacted] regarding possible interview of [redacted]

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•Continuing arrangements made to interview [redacted] associates.

•Internet background checks for MARC RICH FOUNDATION and [redacted]

[redacted]

•Preparation for [redacted] interview.

To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/17/2001

PROPOSED FUTURE ACTION:

[redacted] interview scheduled for [redacted]
[redacted] to be interviewed

•Additional [redacted] to be reviewed in [redacted]

•Approximately [redacted]
associates will be interviewed in the next [redacted]

•Associates of [redacted] records) to be located and
interviewed.

•Grand jury subpoena to be issued to [redacted]
records.

•Submission of motion [redacted]
[redacted] Several judges to hear motion have been
conflicted out delaying the hearing.

•New witnesses to be subpoenaed before GJ: [redacted]

[redacted]
[redacted] (immunity to be determined).

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/18/2001

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To: [redacted]

Att.: SSA [redacted]

From: New York

Criminal Division, White Collar Branch, Squad C-14

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] nm

Case ID #: 58A-NY-278417 (Pending) - 194

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT; ET AL;
CFPO-EXECUTIVE BRANCH
00:NY

Synopsis: Request authority to travel to [redacted] to
conduct a review of documents maintained at [redacted]
[redacted]

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Details: For information of [redacted] SA [redacted] and AUSA
[redacted] Southern District of New York (SDNY) will travel
to [redacted] to conduct a review of
documents at [redacted]. It is anticipated that this
review will be accomplished [redacted] and SA [redacted] and AUSA
[redacted] will return to New York on [redacted]. No assistance from
[redacted] is required. SAC Robert J. Cordier, New York Criminal
Division, concurs with this travel.

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[Handwritten signature and date]
7/27/01

58A NY 278417 - 194 (*)

SEARCHED	INDEXED
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JUL 2 2001	
FBI - NEW YORK.	

[Handwritten initials]

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____
BY W.A. ✓
DATE 8/1/01

58A-NY-278417-195 (X)
SEARCHED _____ INDEXED _____
SERIALIZED W.A. FILED W.A.
: 2001
NEW YORK
MP

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/26/2001

To: ~~CRD~~ *Criminal Investigative* Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: *✓* 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

[redacted]

- Reviewed documents at [redacted]
- Subpoenas served to [redacted] records,
and various [redacted]
- Background checks conducted to identify MARC RICH [redacted]
associates.
- Review of [redacted] records.
- Review of records for subpoena compliance.

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b7C

To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/26/2001

PROPOSED FUTURE ACTION:

- [redacted] to be interviewed
- [redacted] to be interviewed on [redacted]
- Request permission to interview [redacted] through [redacted]
- Submission of motion [redacted] Several judges to hear motion have been conflicted out delaying the hearing. Motion may be heard during [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed.

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

✓
E: ✓
DATE: 10/1/01

58A-NY-278417-196 X
SEARCHED INDEXED
SERIALIZED YES FILED YES
2001
FBI - NEW YORK
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/27/2001

To: Criminal Investigative

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

07/27/2001:

- Background checks conducted to identify MARC RICH [redacted] associates and companies.
- Review of [redacted] records.
- Review of records for subpoena compliance.
- Preliminary review of original MARC RICH case file in ACS. Attempt to retrieve file from HQ.
- Initiate summary of [redacted] in New York.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/27/2001

PROPOSED FUTURE ACTION:

[redacted] to be interviewed
[redacted] to be interviewed
on [redacted]
•Request permission to interview [redacted] through [redacted]
[redacted]
•Submission of motion [redacted]
[redacted] Several judges to hear motion have been
conflicted out delaying the hearing.
•Additional witnesses to be subpoenaed before grand jury to include
[redacted]
• [redacted] to
be interviewed.


Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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✓
BY: WJ
DATE: 8/1/01

58A-MY-278417-1917 

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File - [redacted] <u>WJ</u>	

WJ

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/30/2001

To: ~~CRB~~ *Criminal Investigative*

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] *mjm*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

- [redacted] interview conducted.
- Status/strategy meeting with case agents and AUSAs.
- Background checks on MARC RICH companies.
- Subpoenaed [redacted] contacted for compliance.
- Contact with FBI HO (OGC) for return of original RICH case file.
- Analysis of [redacted]

[redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/30/2001

PROPOSED FUTURE ACTION:

• [redacted] to be interviewed
on [redacted]

• Request permission to interview [redacted] through [redacted]

• Submission of motion [redacted]

[redacted]

• Additional witnesses to be subpoenaed before grand jury to include

[redacted].

[redacted] to

be interviewed. Immunity to be granted.

• Analysis of [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

SBA-NY-278417-1986 X

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NEW YORK	

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 BY: *ms*
 DATE: *9/1/01*

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/31/2001

To: *Criminal Investigative*
CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: *✓* 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

07/30/2001:

- Served [redacted]
- Review of [redacted]
- Background checks on MARC RICH companies.
- Analysis of [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 07/31/2001

PROPOSED FUTURE ACTION:

- [redacted] to be interviewed on [redacted]
- Attempt to obtain [redacted]
- Request permission to interview [redacted] through [redacted]
- Submission of motion [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed. Immunity to be granted.
- Analysis of [redacted]

Investigation is ongoing in New York.

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8/16/01

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/25/2001

To: New York

From: New York

Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: Cordier Robert J

[redacted]

Drafted By: [redacted] gpc ^{gpc}

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b7C

Case ID #: [redacted] (Pending) - 44
58A-NY-278417 (Pending)

Titles: UNSUB(S);

[redacted]

FOREIGN CORRUPT PRACTICES ACT
OO:NY

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UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
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MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH
OO:NY

Synopsis: Request for authorization to travel on [redacted] in connection with investigation of captioned matters.

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Details:

[redacted]

Captioned matter [redacted] relates to [redacted]

[redacted]

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Interview of [redacted] in November 2000, subsequent investigation, and review of publicly available information established the following:

In 1997-98, [redacted] several American investors [redacted] formed to purchase privatization vouchers issued by the government of Azerbaijan, a former Soviet republic.

To: New York From: New York
Re: [redacted] 07/25/2001

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Investors participated with the expectation that [redacted] enough vouchers to acquire Azerbaijan's most valuable state-owned asset: the state-owned oil company.

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[redacted] resided in [redacted] in 1997-98. He frequently discussed [redacted] and at other social events [redacted] ultimately secured multi-million dollar investments from several [redacted] residents. Other participating investors were OMEGA ADVISORS, the \$4 billion New York-based hedge fund (invested \$180 million), New York-based insurance giant AIG (\$15 million), Columbia University (\$15 million), and [redacted]

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Azerbaijan never privatized its oil company, and the vouchers purchased [redacted] have never been used to acquire any assets in that country's privatization.

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In 1999 and 2000, OMEGA and AIG filed suit [redacted] in London, the Bahamas, the British Virgin Islands, and the U.S. District Court in Denver, Colorado. OMEGA and AIG alleged that [redacted] had been purchasing vouchers for under \$1, fraudulently advising OMEGA and AIG that the going rate was \$25, and keeping the difference -- \$141 million -- for himself.

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[redacted] answer in those suits has been to allege that the \$141 million difference was used to pay off Azeri officials with influence over privatization decisions (including the president), and that his fellow investors knew of and authorized those payments. On September 25, 2000, the U.S. District Court proceeding was stayed pending resolution of the London litigation.

Captioned matter [redacted] features investigation of (1) possible violations of the mail and wire fraud statutes, consistent with above investors' allegations, and (2) possible violations of the Foreign Corrupt Practices Act, consistent with [redacted] counter-allegations.

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Investigation so far completed has included: thirty-two (32) interviews of prospective investors and/or [redacted] residents with knowledge of relevant events; service of eleven (11) subpoenas; receipt and ongoing review of twelve (12) boxes of documents produced pursuant to subpoena.

Authorization to travel to [redacted] [redacted] is requested in connection with the following investigative activities:

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To: New York From: New York
Re: [redacted] 07/25/2001

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- Interview of [redacted]
[redacted]

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- Interview of [redacted]
[redacted]

- Interview of [redacted]
[redacted]

- Interview of [redacted]
[redacted]

- Interview of [redacted]
[redacted]

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- Interview of [redacted]
[redacted]

- Service of subpoena for document production on [redacted]
[redacted]

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Need for above travel by author agent to complete above investigation arises from factual complexity of captioned matter [redacted] broad factual variety presented by different topics of inquiry intended for above prospective interviewees, and need to examine documents received and reviewed by author agent with above interviewees.

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To: New York From: New York
Re: [redacted] 07/25/2001

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AUSA [redacted] SDNY, concurs with need for above travel. SA [redacted] RA, was notified of above investigative plans on 07/23/2001.

58A-NY-278417

Captioned matter 58A-NY-278417 relates to investigation in connection with pardon by former President WILLIAM J. CLINTON of MARC RICH.

Authorization for above travel is also requested in connection with interview of [redacted] identified above as prospective interviewee in captioned matter [redacted]

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During [redacted]

[redacted]

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The following are the costs associated with this travel:

Air Fare (round trip)	\$503.00
Lodging	439.00
M & IE	247.00
Rental Car	291.41
Miscellaneous	<u>100.00</u>
Total	\$1,580.41

[NOTE 1: Tentative plans for return travel on [redacted] [redacted] are based on the need to accommodate both (a) possible [redacted] interviews, two of which have been confirmed for above time period but--at interviewees' request--cannot be specifically scheduled until further telephone conversations on [redacted] and (b) four-hour rental car transit between [redacted] a cheaper alternative (by \$256) to the additional airfare otherwise required (\$431 more in airfare, less \$46 for additional day of car rental, \$43 for additional day of M & IE, and \$86 for additional night of hotel stay.

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NOTE 2: Miscellaneous expenses of \$100 represent (a) the excess of \$21 between the least expensive currently available hotel room [redacted] (\$110 per night) and the

To: New York From: New York
Re: [redacted] 07/25/2001

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official \$89 hotel allowance for [redacted] for
each of three nights of hotel stay [redacted] and (b) taxes on
hotel charges.]

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BY UP
DATE 8/8/01

58A-NY-27847-200

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/02/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/02/2001:

- Reviewed [redacted]
- Contacted [redacted] for concurrence for interview of [redacted]
- Analysis of [redacted] records.
- Preparation of immunity order paperwork on witnesses with AUSA.
- Review of [redacted] records for complaine.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/02/2001

PROPOSED FUTURE ACTION:

[redacted] to be interviewed
on [redacted]

- Attempt to obtain [redacted]
- Request permission to interview [redacted] through [redacted]

[redacted]
• Submission of motion [redacted]

- Additional witnesses to be subpoenaed before grand jury to include [redacted]

[redacted] to
be interviewed. Immunity to be granted.

- Interviews to be conducted: [redacted]

[redacted]
• Analysis of [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY GA
DATE 10/28/01

58A-NY-278417

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/06/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/06/2001:

- Contact made with [redacted] associates for interviews.
- Analysis of [redacted] records.
- Preparation of immunity order paperwork on witnesses with AUSA.
- Preparation of final analysis of [redacted] records. Provide to AUSA.
- [redacted] records.
- Background checks into MARC RICH companies.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/06/2001

PROPOSED FUTURE ACTION:

- [redacted] to
testify in grand jury on [redacted]
- Attempt to obtain [redacted]
 - Request permission to interview [redacted] through [redacted]
 - Submission of motion [redacted]
 - Additional witnesses to be subpoenaed before grand jury to include [redacted]
 - [redacted] to be interviewed. Immunity to be granted.
 - Interviews to be conducted: [redacted]
 - Analysis of persons [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 08/22/01 Time: 08:47

Case ID: 58A-NY-278417 Serial: 202

Description of Document:

Type : FD302

Date : [REDACTED]

To : NEW YORK

From : [REDACTED]

Topic: INTERVIEW W/ [REDACTED]

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Reason for Permanent Charge-Out:

belongs in another sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 162

Employee: [REDACTED]

b6
b7C

08/22/2001

08:08:22

Permanent Charge-Out of Serial

***** Permanent Charge-Out of Serials Summary *****

There are no leads for old Case ID 58A-NY-278417 with Serial 202 to be copied

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 08/22/01 Time: 08:48

Case ID: 58A-NY-278417 Serial: 203

Description of Document:

Type : FD302

Date : [REDACTED]

To : NEW YORK

From : [REDACTED]

Topic: INTERVIEW W/ [REDACTED]

b3

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Reason for Permanent Charge-Out:

belongs in a different sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 163

Employee: [REDACTED]

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08/22/2001
08:08:16

Permanent Charge-Out of Serial

***** Permanent Charge-Out of Serials Summary *****

There are no leads for old Case ID 58A-NY-278417 with Serial 203 to be copied

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AUG 1 1968
A

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 08/07/2001

To: New York

Attn: C-14, Public Corruption
SA [redacted]

b6
b7C

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted] *RES/DS*

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b7D

Drafted By: [redacted] *DS*

Case ID #: 58-NY-278417 *204* (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;

[redacted]

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b7C

MARC RICH - FUGITIVE (B), ET AL;
CFPO-EXECUTIVE BRANCH;
OO: NEW YORK

GRAND JURY MATERIAL - DISSEMINATE PURSUANT TO RULE 6(e)

Synopsis: To report the results of interviews with [redacted]

[redacted]

Enclosure(s): One (1) original and one (1) copy of FD-302, dated July 31, 2001 of interview with [redacted] including original notes;

One (1) original and one (1) copy of FD-302, dated August 2, 2001 of interview with [redacted] including original notes;

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One (1) original Federal Grand Jury subpoena dated, June 22, 2001 for [redacted]

One (1) original Federal Grand Jury subpoena dated, June 22, 2001, for [redacted]

Details: On [redacted] was interviewed at [redacted] On [redacted] [redacted] was interviewed at the offices of [redacted] Enclosed are the results of those

To: New York From: [redacted]
Re: 58-NY-278417, 08/07/2001

interviews. Also enclosed are the original Grand Jury subpoenas. These subpoenas were not served as the witnesses were cooperative. An interviews with [redacted] has been scheduled for [redacted]

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To: New York From:
Re: 58-NY-278417, 08/07/2001

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LEAD(s) :

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NEW YORK

To read and clear.

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BY UCS
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58A-NY-278427-205 (X)
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Aug 8 2001
NEW YORK
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/07/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

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Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm *mjm*

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

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[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

[redacted]

- Analysis of [redacted] records.
- Telephone interview of [redacted]
- [redacted] records.
- Background checks into MARC RICH companies.
- Review of [redacted] Preparation for grand jury appearance.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/07/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
- [redacted] to be interviewed under grant of immunity on [redacted]
- Attempt to obtain [redacted]
- Request permission to interview [redacted] through [redacted]
- Submission of motion [redacted]
- [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed. Immunity to be granted.
- Interviews to be conducted: [redacted]
- Analysis of persons [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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WITH/TEXT
WITH/OUT TEXT
BY
DATE 8/13/01

58A NY-278417-206

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FBI NEW YORK	
	<u> </u>

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/08/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

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b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

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b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/08/2001:

- Prepare telephone subpoena request for [redacted]
- Background searches for [redacted] records.
- Background checks into MARC RICH companies.
- Review of [redacted] records for [redacted] references.
- Background checks for [redacted]
- Contact with [redacted] for future interview.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/08/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
[redacted] to testify in grand jury on [redacted]
[redacted] to be interviewed under grant of immunity on [redacted] Possibly will testify in grand jury on [redacted]
- Attempt to obtain [redacted]
 - Request permission to interview [redacted] through [redacted]
 - Submission of motion [redacted]
- [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed. Immunity to be granted.
 - Interviews to be conducted: [redacted]
 - Analysis of persons [redacted]

Investigation is ongoing in New York.

080701.sts

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OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY LO
DATE 8/13/01

58A-NY-27847-207 *mp*

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8/13/2001	
FBI — NEW YORK	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/06/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

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b7C

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title:

UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

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[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/06/2001:

- Contact made with [redacted] associates for interviews.
- Analysis of [redacted] records.
- Preparation of immunity order paperwork on witnesses with AUSA.
- Preparation of final analysis of [redacted] records. Provide to AUSA.
- [redacted] records.
- Background checks into MARC RICH companies.
- Attempts to locate and interview [redacted] contacts) met with negative results.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/06/2001

PROPOSED FUTURE ACTION:

[redacted] to
testify in grand jury on [redacted]

•Attempt to obtain [redacted]
•Request permission to interview [redacted] through [redacted]

•Submission of motion [redacted]

•Additional witnesses to be subpoenaed before grand jury to include [redacted]

[redacted] to
be interviewed. Immunity to be granted [redacted]

•Interviews to be conducted: [redacted]

•Analysis of persons [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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58A-NY-278417-208

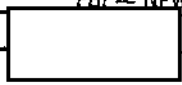
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BY CFJ

DATE 8/17/01

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Aug 13 2001 FBI - NEW YORK	
	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/09/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

b6
b7C

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

[redacted]

[redacted] testified in grand jury.

[redacted] records.

[redacted] subpoenaed records received.

● Review of subpoenaed [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/09/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
[redacted] to be interviewed under grant of immunity on [redacted] Possibly will testify in grand jury on [redacted]
[redacted] to be received late in [redacted]
- Request permission to interview [redacted] through [redacted]
 - Submission of motion [redacted]
 - Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed [redacted] Immunity to be granted.
 - Interviews to be conducted: [redacted]
 - Analysis of persons [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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WITH/TEXT _____
WITH/OUT TEXT _____
BY ca _____
DATE 10/17/01 _____

58A-NY-278417-209

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 15 2001	
FBI - NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/13/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
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From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/13/2001:

●Prepared for [redacted] interview/grand jury examination.

[redacted] records.

●Background checks for [redacted]

●Review of subpoenaed [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/13/2001

PROPOSED FUTURE ACTION:

- [redacted] to testify in grand jury on [redacted]
[redacted] to be interviewed under grant of immunity on [redacted] Possibly will testify in grand jury on [redacted]
[redacted] to be received late in [redacted]
- Request permission to interview [redacted] through [redacted]
 - Submission of motion [redacted]
 - Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed [redacted] Immunity to be granted.
 - Interviews to be conducted: [redacted]
 - Analysis of persons [redacted]

Investigation is ongoing in New York.

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BY UP
DATE 8/17/01

58A-NY-278417-210

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FBI NEW YORK	

[Redacted] [Signature]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/16/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

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Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: V58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted]

testified in grand jury. Testimony completed.

[redacted]

interviewed and testified in grand jury on [redacted]

●Meeting with AUSA re: [redacted] records.

●Review of items received from [redacted]

[redacted]

●Review of subpoenaed [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/16/2001

PROPOSED FUTURE ACTION:

[redacted] to be received late in [redacted]

•Request permission to interview [redacted] through [redacted]

•Submission of motion [redacted]

•Additional witnesses to be subpoenaed before grand jury to include

[redacted] to be interviewed [redacted]

[redacted] Immunity to be granted.

•Interviews to be conducted: [redacted]

•Analysis of persons [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

58A-NY-278417-211

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FBI - NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/21/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

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Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

- **Details:** In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/21/2001:

- Reviewed original MARC RICH investigative case file. Contacted case agent SA [redacted] re: original investigation.
- Prepared updated list of outstanding interviews to be conducted.
- Review of subpoenaed [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/21/2001

PROPOSED FUTURE ACTION:

- [redacted] to be re-subpoenaed for additional records.
[redacted] to be received late in [redacted]
- Request permission to interview [redacted] through [redacted]
- Submission of motion [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed [redacted] Immunity to be granted.
- Interviews to be conducted: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY LD
DATE 8/24/07

58A-NY-278417-2124

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FBI — NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/20/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

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Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

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[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

08/17/2001:

- Meeting with AUSA re: [redacted] records. Conference call with [redacted] Broader subpoenas to be issued. Additional subpoena's to be issued to [redacted]
- Review of items received from [redacted]
- Review of subpoenaed [redacted]

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PROPOSED FUTURE ACTION:

To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/16/2001

[redacted] to be received late in week [redacted]

•Request permission to interview [redacted] through [redacted]

•Submission of motion [redacted]

•Additional witnesses to be subpoenaed before grand jury to include

[redacted] to be interviewed [redacted]

[redacted] Immunity to be granted.

•Interviews to be conducted: [redacted]

•Analysis of persons [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

UPLOADED
WITH/TEXT
WITH/OUT TEXT
BY UG
DATE 8/21/01

58A-NY-778417-213 ⊕

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SERIALIZED <u>UG</u>	FILED <u>UG</u>
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FBI - NEW YORK	
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/27/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
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[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/27/2001:

- Briefed AUSA re: status of pending assignments.
- Tel call. with FBI HQ re: status of outstanding lead.
- Updated list of subpoenaed [redacted] prepared and discussed with AUSA.
- Review of original MARC RICH case file for corporate information. [redacted] completed.

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To: CID From: I York
Re: 58A-NY-278417 (Pending), 08/27/2001

PROPOSED FUTURE ACTION:

- [redacted] to be re-subpoenaed for additional records.
- Request permission to interview [redacted] through [redacted]
- Submission of motion [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed [redacted]
- [redacted] Immunity to be granted
- Interviews to be conducted: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/28/2001

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To: [redacted]

Att.: SSA [redacted]
[redacted]

From: New York
Criminal Division, White Collar Branch, Squad C-14
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S. PRESIDENT;

[redacted]

b6
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MARC RICH - FUGITIVE (B); ET AL;
[redacted]
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authority of SAC [redacted] to travel to [redacted] to conduct interview in ongoing investigation.

Reference: Telcall with SA [redacted] on 8/31/01 to advise of interview.

Administrative: A review of ACS revealed a hit on [redacted] SA [redacted] was contacted on 8/30/01, and advised that there was no conflict in conducting aforementioned interview.

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Details: For information of [redacted] ongoing investigation has revealed that from [redacted]

[redacted]

requests authority to interview [redacted] in order to determine the

[redacted] SA's [redacted]

[redacted] are expected to conduct the interview on [redacted] No assistance is required from the [redacted] office.

UPLOADED
WITH/TEXT
WITHOUT TEXT _____
BY UG
DATE 9/4/01

58A-NY-278417-214 (7)

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AUG 30 2001	
FBI - NEW YORK	

TO: [redacted] From: New York
Re: 58A-NY-278417 08/28/2001

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UPLOADED
WITH/TEXT
WITH/OUT TEXT _____
BY UG
DATE 9/4/07

58A-NY-278417-215

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SERIALIZED	FILED
SEP 5 2007	
FBI - NEW YORK	

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(X)
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/28/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/28/2001:

• [redacted]

• Updated list of subpoenaed [redacted] prepared and discussed with AUSA.

• Continued conversations with [redacted] Division re: interview to be conducted (also relating to [redacted] case).

• Review of MARC RICH corporate information. Assigned to analyst.

b3
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b7E

To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/28/2001

PROPOSED FUTURE ACTION:

[redacted] to be subpoenaed before the grand jury.

[redacted] to be re-subpoenaed for additional records.

•Request permission to interview [redacted] through [redacted]

[redacted]
•Submission of motion [redacted]

•Additional witnesses to be subpoenaed before grand jury to include

[redacted] to
be interviewed [redacted]

[redacted] Immunity to be granted.

•Interviews to be conducted: [redacted]

Investigation is ongoing in New York.

082801.sts

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OTHER Sealed Pursuant to Court Order

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WITH/TEXT _____
WITH/OUT TEXT _____
BY UG
DATE 9/4/07

58A-NY-288417-216 (X)

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/29/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
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Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

08/29/2001:

[redacted]

[redacted]

•Updated list of subpoenaed [redacted]
[redacted] prepared.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/29/2001

PROPOSED FUTURE ACTION:

[redacted] to be interviewed and subpoenaed before the grand jury.

[redacted] to be re-subpoenaed for additional records.

•Request permission to interview [redacted] through [redacted]

[redacted]

•Submission of motion [redacted]

[redacted]

•Additional witnesses to be subpoenaed before grand jury to include

[redacted]

[redacted] to be interviewed [redacted]

[redacted] Immunity to be granted.

•Interviews to be conducted: [redacted]

[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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BY: UCJ _____
DATE: 9/4/01 _____

58A-NY-278417-27

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/30/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

b6
b7C

From: New York
Squad C-14 -- Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE
AND IS NOT TO BE VIEWED BY ANYONE
OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

08/30/2001:

• Set lead to [redacted] for interview/service of subpoena to [redacted]
[redacted]

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• Updated list of subpoenaed [redacted]
[redacted] prepared. Subpoenas to be issued to [redacted]

• Contact with [redacted] Division re: possible overlap in
investigations.

• Contact HQ re: status of national security lead.

•

To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/30/2001

PROPOSED FUTURE ACTION:

[redacted] to be re-subpoenaed for additional records.

•Request permission to interview [redacted] through [redacted]

•Submission of motion [redacted]

•Additional witnesses to be subpoenaed before grand jury to include [redacted]

[redacted] to be interviewed [redacted]

[redacted] Immunity to be granted.

•Interviews to be conducted: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

UPLOADED

WITH/TEXT ✓
WITH/OUT TEXT _____
BY WJG
DATE 8/14/01

58A-NY-278417-218 **(X)**
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FBI — NEW YORK
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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY b3
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b7D **Date:** 08/30/2001
To: [redacted] **Attn:** Public Corruption Squad

From: New York
White Collar Crime/Squad C-14/Public Corruption
Contact: SA [redacted]

Approved By: [redacted]
Drafted By: [redacted] njm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S); b6
b7C
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE AFFORDED APPROPRIATE SECURITY.

Synopsis: To request interview be conducted and service of subpoena.

Enclosures: For [redacted] (1) One original and one copy of Federal grand jury subpoena issued to [redacted] (2) one list of proposed interview questions.

Details: New York is conducting a sensitive public corruption investigation in conjunction with Presidential pardons issued by former U.S. President WILLIAM J. CLINTON. A Federal Grand Jury subpoena returnable on 09/11/2001 has been issued in the Southern District of New York for [redacted] Social Security number [redacted] residing at [redacted]

[redacted] is requested to conduct an interview of [redacted] and then serve a copy of the enclosed subpoena. The enclosed list of proposed questions is submitted as a guide for the interviewer.

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To: [redacted] From: New York
Re: 58A-NY-278417, 08/30/2001

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LEAD (s):

Set Lead 1:

[redacted]

AT [redacted]

[redacted] is requested to conduct logical investigation to locate and interview [redacted]. Upon completion of the interview, [redacted] is requested to personally serve a copy of the enclosed subpoena on [redacted] complete return, and return original subpoena to New York. The most current address available for [redacted]

[redacted]

[redacted]d
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58A-NY-278417-2A

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WITH/TEXT

WITH/OUT TEXT

BY UC

DATE 9/4/01

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/31/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

08/31/2001:

- Tel. call to [redacted] re: [redacted] interview lead.
[redacted]
- Updated list of subpoenaed [redacted] prepared. Analysis of [redacted]
- Obtain subpoenas for additional [redacted] records and interview of [redacted] associates.
- Subpoena issued to [redacted] for additional records.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 08/31/2001

PROPOSED FUTURE ACTION:

- Interviews during week of [redacted] associates in New York, [redacted]
- Request permission to interview [redacted] through [redacted]
- Submission of motion [redacted]
- Additional witnesses to be subpoenaed before grand jury to include [redacted] to be interviewed [redacted] Immunity to be granted.
- Interviews to be conducted: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/23/2001

To: New York

Attn: Squad C-14,
Public Corruption

From: [redacted]

Contact: SA [redacted]

b3
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b7C
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Approved By: [redacted]

Drafted By: [redacted]

mrs MMD

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO- EXECUTIVE BRANCH;
OO: NY

Synopsis: Interview of [redacted] completed.

Reference: 58A-NY-278417 Serial 171

*- see ser 174 -
sub 302*

Enclosure(s): Enclosed for New York is the original and one copy of an FD-302 reflecting the interview of [redacted] and an FD-340 containing interview notes.

Details: [redacted]

[redacted] was interviewed on [redacted]

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As the interview requested in referenced serial has been completed, [redacted] considers the lead covered.

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BY *upg*
DATE *0 9/6/01*

58A-NY-278417-220 *(X)*

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FBI - NEW YORK	

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58A-NY-278417-220

UPLOADED
WITH/TEXT
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BY UP
DATE 9/7/01

58A-NY-278417-221

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SERIALIZED	FILED
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FBI - NEW YORK	

[Redacted]

(X)
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

09/04/2001:

- Prepare for financial presentation to AUSA.
 - Prepare for interviews of [redacted]
- [redacted]

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- Tel. call with [redacted] re: [redacted] interview.
- Subpoenas obtained for additional [redacted] records.

To: CID From: New York
Re: 58A-NY-278417 (Pending), 09/04/2001

PROPOSED FUTURE ACTION:

- Request permission to interview [redacted] through [redacted]
[redacted]
- Submission of motion [redacted]
[redacted]
- Additional witnesses to be subpoenaed before grand jury to include
[redacted] to
be interviewed [redacted]
[redacted] Immunity to be granted.
- Interviews to be conducted: [redacted]
[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

UPLOADED

WITH/TEXT
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BY 49
DATE 9/7/01

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[Redacted] mya

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/05/2001

To: CID

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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Synopsis: To provide status report in above captioned matter to
Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status
report on the captioned investigation, New York provides the
following current information:

[redacted]

- Interview of [redacted] conducted.
- Interview of [redacted] conducted. Subpoena served.
- Tel call. with Legat [redacted]
- Served additional [redacted] subpoenas.
- [redacted] served with subpoena for [redacted] records.
- Background searches conducted for MARC RICH companies/foundation.

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 09/05/2001

PROPOSED FUTURE ACTION:

•Meet with SDNY to discuss financial analysis.

•Request permission to interview [redacted] through [redacted]

•Submission of motion [redacted]

•Additional witnesses to be subpoenaed before grand jury to include

[redacted] to
be interviewed [redacted]

[redacted] Immunity to be granted.

•Interviews to be conducted: [redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY b6 Date: 09/07/2001
b7C
To: [redacted] b7D Attn: SSA [redacted]

From: New York
Squad C-14 Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] nm b6
b7C

Case ID #: 58A-NY-278417

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S..
PRESIDENT; ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request authority to travel to [redacted]
[redacted] (RA) to conduct interview of an FBI
cooperating witness (CW) currently [redacted]

Reference: Telephone calls with SA [redacted] RA, on
9/7/01, to request permission to interview CW, and to review
status of information provided.

Details: For information of [redacted] SA's [redacted]
[redacted] will travel to [redacted]
[redacted] to interview [redacted] CW relative to information
s/he has provided in regards to the pardon of Marc Rich by former
President William J. Clinton. Due to the sensitivity of the
information, and the exigent circumstances surrounding the
release of the information to the press, New York requests
authority to conduct the aforementioned interview.

SAC Robert J. Cordier, New York, concurs for the need
of SA's [redacted] to travel to [redacted]

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BY UG
DATE 9/18/01

58A-NY-278417-223
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58A-NY-278417-201

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FBI - NEW YORK

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/06/2001

To: ~~CID~~ *Criminal Investigative*

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit.
Unit Chief [redacted]
SSA [redacted]

From: New York

Squad C-14 -- Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

09/06/2001:

- Tel. contact with [redacted] regarding Bureau source reports and contacts.
- Review of documents received from [redacted]
- Review of [redacted] records received re: [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 09/06/2001

PROPOSED FUTURE ACTION:

- Meet with SDNY to discuss financial analysis.
- Request permission to interview [redacted] through [redacted]
[redacted]
- Submission of motion [redacted]
[redacted]
- Additional witnesses to be subpoenaed before grand jury to include
[redacted] to
be interviewed [redacted]
[redacted] Immunity to be granted.
- Interviews to be conducted: [redacted]
[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/07/2001

To: ~~CIB~~ *Criminal Investigative*

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit,
Unit Chief [redacted]
SSA [redacted]

From: New York
Squad C-14 -- Public Corruption
Contact: SA [redacted]

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Approved By: [redacted]

Drafted By: [redacted] :mjm

Case ID #: ✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

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[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OF: NY

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OTHER THAN FOR WHOM IT IS INTENDED.**

Synopsis: To provide status report in above captioned matter to Public Corruption Unit (PCU).

Details: In an effort to provide the PCU with an updated status report on the captioned investigation, New York provides the following current information:

09/07/2001:

- Tel. contact with [redacted] and HQ regarding Bureau source reports and contacts.
- Make travel arrangements for trip to [redacted]
- Review of documents received from [redacted]
- Review of [redacted] records received re: [redacted]

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To: CID From: New York
Re: 58A-NY-278417 (Pending), 09/07/2001

PROPOSED FUTURE ACTION:

- Interview [redacted] source on [redacted] to be conducted.
- Meet with SDNY to discuss financial analysis.
- Request permission to interview [redacted] through [redacted]
[redacted]
- Submission of motion [redacted]
[redacted]
- Additional witnesses to be subpoenaed before grand jury to include
[redacted]
[redacted] to
be interviewed [redacted]
[redacted] Immunity to be granted.
- Interviews to be conducted: [redacted]
[redacted]

Investigation is ongoing in New York.

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OTHER Sealed Pursuant to Court Order

FEDERAL BUREAU OF INVESTIGATION
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Page 151 ~ Duplicate;
Page 155 ~ Duplicate;
Page 156 ~ Duplicate;
Page 157 ~ Duplicate;
Page 158 ~ Duplicate;
Page 159 ~ Duplicate;
Page 160 ~ Duplicate;

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58A-NY-278417-296 2/3

SEARCHED	INDEXED
SERIALIZED	FILED
2001	
MAR 2001	

mp

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 11/01/2001

To: New York

Attn: SA
SA
SA

From: [redacted]

Resident Agency

Contact: SA [redacted]

Approved By: [redacted]

RL

Drafted By: [redacted]

Case ID #: 58A-NY-278417 (Pending)
[redacted] (Pending)

b7D

Title: UNSUB(S),
WILLIAM J. CLINTON - FORMER PRESIDENT,
[redacted]
ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

b6
b7C

[redacted] b7D

Synopsis: Forwarding of information received by Special Agent (SA) [redacted] Federal Bureau of Investigation (FBI), [redacted] Resident Agency [redacted] to New York and [redacted]

b6
b7C
b7D

Enclosure(s): Enclosed for New York and [redacted] are the following:

1. [redacted]
2. A copy of a letter from [redacted]
3. A copy of a letter from [redacted]
4. [redacted]

b6
b7C
b7D

Details: For information of NY and [redacted] SA [redacted]

[redacted]

b6
b7C
b7D

To: New York From: [redacted]
Re: 58A-NY-278417, 11/01/2001

b6
b7C
b7D

It was previously explained [redacted]

[redacted]

b6
b7C
b7D

The first time that the FBI [redacted] was aware of any information about Marc Rich was when [redacted] SA [redacted] FBI [redacted] and SA [redacted]

b6
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b7D

Enclosed with [redacted] was a copy of a letter which [redacted] sent to [redacted] As New York is aware, [redacted] has full knowledge that [redacted]

[redacted]

b6
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b7D

On [redacted]

[redacted]

b3
b6
b7C
b7D

[redacted]

b6
b7C
b7D

A third letter, dated [redacted] from [redacted] and turned over to SA [redacted] In the letter, [redacted]

[redacted]

b6
b7C
b7D

To: New York From: [redacted]
Re: 58A-NY-278417, 11/01/2001

b6
b7C
b7D

With regard to the operational use of [redacted]
[redacted] all of the information about the alleged corrupt activities
of [redacted] has been
forwarded to [redacted]
[redacted] the Department of Justice (DOJ) Office of Inspector
General (OIG). The information which [redacted]
[redacted] regarding the alleged ongoing criminal activities of
[redacted] will be forwarded to New York's Organized Crime
Section at a later date.

b6
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It should be noted that, [redacted]

[redacted]

b6
b7C
b7D

To: New York From: [REDACTED]
Re: 58A-NY-278417, 11/01/2001

b6
b7C
b7D

LEAD(s) :

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK, NY

FYI. Read and clear.

Set Lead 2: (Adm)

[REDACTED]

[REDACTED]

FYI. Read and clear.

305dcc03.ec

◆◆

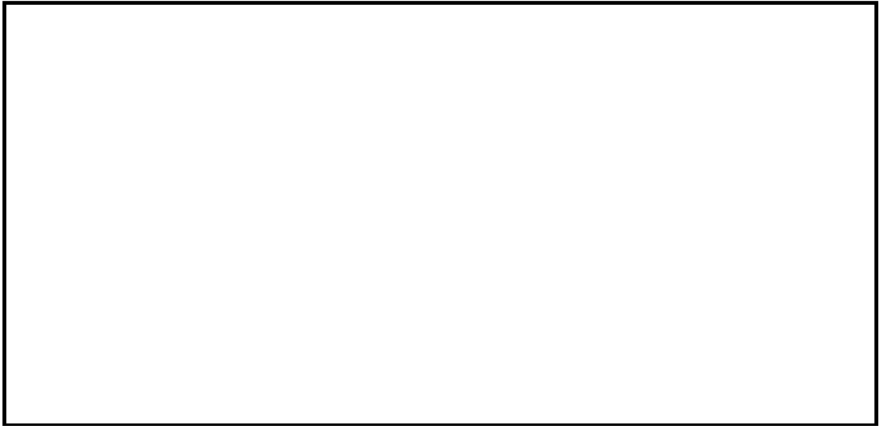
UCI-17-01 07:52 212-304-212 212-304-212



b6
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A



COPIED

BY: *C. S. R.*

DATE: 10/16/01

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WITNESS _____
WITNESS _____
BY CG
DATE 12/18/01

58A-NY-278417-227

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SERIALIZED <u>CG</u>	FILED <u>CG</u>
DEC 17 2001	
FBI - NEW YORK	

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58A-NY-278417-228

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mj

INDEXED
SERIALIZED
FILED
1001
1/4/08

SEARCHED	INDEXED
SERIALIZED	FILED
1	1001

b6
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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 12/19/2001

To: New York

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: Cordier Robert J

[redacted]

b6
b7C

Drafted By: [redacted]:mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request authority to travel to [redacted] for
investigative interview of [redacted]

b6
b7C
b7D
b7E

Details: Authority is requested to be obtained for SA [redacted]
[redacted] Squad C-14, to travel to [redacted]
to participate in an investigative interview of [redacted]

[redacted]
will be interviewed for information related to the investigation of
President WILLIAM J. CLINTON's last minute pardons of MARC RICH and
PINCUS GREEN.

Due to the sensitive nature of this investigation and the
position of [redacted] it is
requested that SA [redacted] be authorized to personally conduct
this interview. A telephonic interview of [redacted] is in
appropriate under these circumstances. The U.S. Attorney's Office,
SDNY, has requested that SA [redacted] travel with AUSA [redacted]
[redacted] to conduct the interview.

b6
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Arrangements have been made by the U.S. Attorney's Office,
SDNY, to interview [redacted] attorney's office,
[redacted]

To: New York From: New York
Re: 58A-NY-278417, 12/19/2001

[redacted] The interview is expected to not exceed two hours. SA [redacted] will return to New York immediately after the interview.

b6
b7C
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Also expected to be in attendance during the interview are agents from the White Plains RA and a second AUSA who will question [redacted] on a similar pardon investigation.

b6
b7C
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The round trip flight to [redacted] will cost \$87.00 and additional taxi/parking costs are also expected to be incurred. SA [redacted] and AUSA [redacted] are tentatively planning on taking a 7:00 am U.S. Air flight to [redacted]

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[redacted] ec
♦♦

Automated Serial Permanent Charge-Out
FD-5a (1-5-94)

Date: 01/16/02 Time: 09:46

Case ID: 58A-NY-278417 Serial: 230

Description of Document:

Type : EC
Date : 12/27/01
To : NEW YORK
From : NEW YORK
Topic: REQUEST AUTHORITY FOR PAYMENT OF CHASE CREDIT CARD

Reason for Permanent Charge-Out:

filed in error

Employee:

b6
b7c

01/16/2002
09:01:50

Permanent Charge-Out of Serial

***** Permanent Charge-Out of Serials Summary *****

There are no leads for 58A-NY-278417 with Serial 230 to be discontinued

UNLOADED
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BY g
DATE 1/16/02

58A-NY-278417-

SEARCHED	INDEXED
SERIALIZED <u>g</u>	FILED <u>g</u>
JAN 15 2002	
FBI - NEW YORK	
	<u>mp</u>

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231
MP

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b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 01/15/2002

To: New York

Attn: C-14 Rotor

From: New York

Squad C-14

Contact: SA [redacted]

Approved By: [redacted]

b6

Drafted By: [redacted] *mjm*

b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6

b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request restriction of access to captioned investigation.

Reference: [redacted] serial 176

Details: Per EC dated 12/21/2001 from CID to all field offices, 58 matters may now be restricted in ACS to those having a role in the investigation. As such, it is requested that the above captioned investigation be restricted to the following individuals:

ADIC Barry Mawn
SAC Robert J. Cordier, Criminal Division

A/ASAC [redacted] WCC
SSA [redacted] Squad C-14
SA [redacted] C-14
SA [redacted] C-14

b6

b7C

[redacted] C-14 Rotor
Unit Chief [redacted] FBI HQ, Public Corruption Unit
SSA [redacted] FBI HQ, Public Corruption Unit

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 01/15/2002

To: New York

Attn: A/ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

b6

b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6

b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request Intelligence Research Specialist (IRS) be assigned on a full-time/as needed basis to captioned investigation.

Details: Captioned investigation was initiated to investigate possible corruption into controversial Presidential pardons granted by former President WILLIAM CLINTON on his final day in office. To date, many [redacted] have been subpoenaed and a significant amount of [redacted] has been undertaken by IRS analysts. Since 09/11/2001, however, both IRS analysts have been reassigned to the PENTTBOMB investigation.

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A large amount of [redacted] and uploading utilizing newly purchased software will be required in the upcoming months. A major portion of this investigation involves the precise analysis of [redacted]. It is requested that an IRS be assigned to this investigation to assist case agents with all [redacted] analysis.

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irs_request.ec

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BY UJL
DATE 1/15/02

58A-NY-278417-232

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JAN 15 2002	
FBI - NEW YORK	

[redacted] mjm

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584-M-27847-

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JAN 14 2002	
FBI - NEW YORK	
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 BY UP
 DATE 1/17/02

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 01/11/2002

To: [redacted]

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Attn: [redacted]

Public Corruption

From: New York
Squad C-14, Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted] nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
UNITED STATES PRESIDENT, ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request [redacted] to locate and interview [redacted] in captioned matter.

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Enclosures: For [redacted] are the originals and one copy each of Federal Grand Jury subpoenas, Southern District of New York, for the personal appearances of [redacted]

Details: For information of [redacted]
[redacted]
[redacted] Marc Rich's
company, The Marc Rich Holding Group. [redacted]
[redacted] of Marc Rich. [redacted] According to
[redacted] was arranged by Marc
Rich. [redacted] is requested to interview [redacted] regarding

b3
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[redacted]

Although subpoenas have been enclosed, [redacted] are to be served only in the event that they are not cooperative or do not want to be interviewed. Furthermore, the interviewing Agents may determine that an interview of [redacted] is not necessary based on [redacted] statements.

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To: [redacted] From: New York
Re: 58A-NY-278417 (Pending)

LEAD (s):

Set Lead 1:

[redacted]

Locate and interview [redacted]

[redacted]

[redacted] believed to be
residing at [redacted]
may have a [redacted] and has
a post office box of: [redacted]

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58A-NY-278417-237

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BY UG
DATE 1/25/02

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JAN 23 2002	
FBI - NEW YORK	
[Redacted]	[Redacted]

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 01/23/2002

To: New York

From: New York

White Collar Crime/Squad C-14/Public Corruption

Contact: SA [redacted]

Approved By: Cordier Robert J

[redacted]

b6
b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
MARC RICH - FUGITIVE (B);

[redacted]

b6
b7C

ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request approval for travel to [redacted] for review and collection of records [redacted]

[redacted]

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Details: On [redacted] has scheduled a meeting at their [redacted] office for the FBI and the U.S. Attorney's Office (SDNY) to review and collect a large volume of records relating to the above captioned pardon investigation. The records were obtained from [redacted]

[redacted] and are being made available for investigators to review prior to copies being made for presentation to the grand jury.

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Due to the sensitive nature of this investigation, it is requested that SA [redacted] be permitted to travel to [redacted] and conduct the review of these documents. In addition, AUSA [redacted] SDNY, has requested that SA [redacted] travel to [redacted] with him on [redacted] to review the large volume of materials. The review and collection of documents is expected to not exceed more than one business day.

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Authority is requested for SA [redacted] to travel to [redacted] on the morning of [redacted] SA [redacted] is expected to return to the NYC on the evening of [redacted]. The

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To: New York From: New York
Re: 58A-NY-278417, 01/23/2002

cost of this travel, including airfare, parking, and taxi service,
is not expected to exceed \$150.00.

 ravel.ec
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BY ug
DATE 10/21/02

58A-NY-278417-

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OCT 2002	
FBI - NEW YORK	
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239

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/01/2002

To: Criminal Investigative

Attn: Integrity in Government/
Civil Rights Section, Public
Corruption Unit
Unit Chief [redacted]
SSA [redacted]

b6
b7C

New York

Attn: ADIC Barry Mawn
SAC Robert J. Cordier
A/ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

b6
b7C

Approved By: [redacted]

Drafted By: [redacted]:mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6
b7C

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED
BY ONLY THOSE FOR WHOM IT IS INTENDED.**

Synopsis: To provide weekly status report of captioned investigation.

Details: In an effort to provide the Public Corruption Unit (PCU) and NYO management with an updated status report on the captioned investigation, New York provides the following current information:

WEEK OF JANUARY 28, 2002

●Records and files reviewed [redacted]
[redacted] records reviewed and analyzed.
[redacted]
[redacted]

b3
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To: Criminal Investigative From: New York
Re: 58A-NY-278417, 02/01/2002

- Review of [redacted] in preparation for interviews to be conducted in [redacted] Preparation for travel to [redacted] for interview.
- Review of [redacted]

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PROPOSED FUTURE ACTION:

●Privileged records to be received beginning 02/04/2002. MARC RICH attorneys anticipated to be re-interviewed.

●Schedule interviews for: [redacted] List of additional interviews prepared.

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●Additional [redacted] for [redacted] and [redacted]

●Travel to [redacted] during week of [redacted] to conduct interviews of witnesses.

Investigation is ongoing in New York.

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BY UP
DATE 3/4/02

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MAR 2 2002
FBI - NEW YORK
APL

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 02/27/2002

To: Legat Berlin
Sacramento

Attn: SA [redacted]
SA [redacted]
Tahoe City RA

From: New York
Squad C-14 Public Corruption

Contact: SA [redacted]

b6
b7c

Approved By: [redacted]

Drafted By: [redacted] nm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER U.S.
PRESIDENT, ET AL;
CFPO-EXECUTIVE BRANCH
OO:NY

Synopsis: Request Legat Berlin, Germany, to conduct investigation into possible suicide of FBI fugitive Glen Box.

Reference: Telephone call with [redacted] on 2/27/02.

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Details: For information of Legat Berlin, Glen Box (Glen) is a fugitive from FBI case 196D-SC-19906. Glen came into contact with investigators in the above captioned matter in March 2001, when he contacted the United States Attorney's Office, Southern District of New York (SDNY), and attempted to provide false information regarding the pardon of Marc Rich. Through Glen's conversations with New York, it became clear that Glen had emotional and substance abuse problems. Agents from Sacramento also advised New York of those issues. In their subsequent conversations with Glen, New York attempted to convince him to return to the U.S. in order to get the necessary help. As part of that process, [redacted] was contacted and interviewed. [redacted] has continued to keep New York updated as to her conversations with Glen and his status as a fugitive.

On 2/27/02, [redacted] stated that she had been advised by [redacted] that Glen had committed suicide during the weekend of 2/23 or 2/24/02. [redacted] told [redacted] that she was contacted by [redacted] from the Swiss/American Embassy, telephone number [redacted] who told her that Glen had committed suicide by jumping in front of a train in Darmstadt,

b6
b7c

To: Legat Berlin From: New York
Re: 58A-NY-278417 (pending)

Germany. [redacted] told [redacted] that the Swiss/American embassy was notified by [redacted] of the U.S. Embassy in Frankfurt, Germany. [redacted] told [redacted] that Glen's body was being held at the Waldfriedhof Funeral Home, telephone number 00496151897790, in Waldfriedhof, Germany. [redacted] also told [redacted] that the "authorities" had positively identified Glen through his passport which was on him, as well as his wedding ring, and that due to the poor condition of the body, no further identification would be needed.

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b7c

To: Legat Berlin From: New York
Re: 58A-NY-278417 (pending)

LEAD (s):

Set Lead 1:

LEGAT BERLIN, GERMANY

AT DARMSTADT, GERMANY

Legat Berlin is request to conduct logical investigation into the purported suicide of fugitive Glen Box, in order to positively confirm Box's death. Box is described as follows (at the time of his arrest in 1994):

Sex: Male
DOB: 6/16/51
Hair: Brown
Eyes: Blue
Weight: 220 lbs

Set Lead 2: (Adm)

SACRAMENTO

AT TAHOE CITY RESIDENT AGENCY

For information of SA Read and Clear.

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♦♦

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MAR 5 2002
BY 48
DATE 3/4/02

58A-WY-078417

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MAR 5 2002	
FBI - NY	

243
AKS
D

(see 1B151)

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 01/23/2002

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [REDACTED]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [REDACTED]

Approved By: [REDACTED]

b6
b7c

Drafted By: [REDACTED]

kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[REDACTED]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

QKW

Synopsis: Late submission of evidence.

Details: Evidence collected on 04/26/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys.

♦♦

late 101.wpd

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 FILED _____
 MAR 4 2002
 FBI - NEW YORK

SSA-NY-278417-244
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 MAR 4 2002
 FBI - NEW YORK

(see 1B152)

(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 01/23/2002

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [REDACTED]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED] kjw

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[REDACTED]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

QKN

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/17/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

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BY YJ

DATE 3/4/02

58A-NY-278417-245

SEARCHED	INDEXED
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<u>YJ</u>	<u>YJ</u>
MAR 15 2002	
FBI - NEW YORK	

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(see 1B153)

188
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(12/31/1995)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 01/23/2002

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [REDACTED]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [REDACTED]

Approved By: [REDACTED]

b6
b7c

Drafted By: [REDACTED] kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

Qxw

[REDACTED]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/15/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

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BY UP
DATE 3/4/02

88A-NY-278417-246

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 4 2002	
FBI - NEW YORK	

116

(see 1B154)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 01/23/2002

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted] kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

(X) PWN

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/09/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

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WITHOUT TEXT _____

BY lg

DATE 3/4/02

58A-NY-278417

SEARCHED	INDEXED
SERIALIZED <u>lg</u>	FILED <u>lg</u>
MAR 7 2002	
FBI - NY	

247

lg

(see 13155)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 01/23/2002

To: SAC Robert J. Cordier

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

b6
b7c

Drafted By: [redacted] kjw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

(Handwritten initials)

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 11/15/01 is being submitted on 01/24/02 as it was required for extended review by the Case Agents and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

◆◆

late 105.wpd

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BY UG _____
DATE 0 3/4/02 _____

58A-NY-27847-248

SEARCHED	INDEXED
SERIALIZED <u>UG</u>	FILED <u>UG</u>
FEB 5 2002	
FBI - No. _____	

WJW
(see 13156)

late 106.wpd

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WITHOUT TEXT _____
BY yg
DATE 3/4/02

58A-NY-278417-249
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FEB 15 2002
FBI - New York
KAN
(see 1B157)

late 107. upd

SSA NY-27847-250

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 BY UP _____
 DATE 3/4/02 _____

SEARCHED	INDEXED
SERIALIZED <u>UP</u>	FILED <u>UP</u>
FEB 15 2002	
FBI - N.Y.	
<u>AN</u>	

118

(see 1B158)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/5/2002

To: New York

Attn: Squad C-14, Public Corruption ^{b6}
SA [redacted] ^{b7c}

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted]

b3
b6
b7C
b7D

Drafted By: [redacted]

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON, FORMER
UNITED STATES PRESIDENT, ET AL;
CFPO - EXECUTIVE BRANCH
OO:NY

Synopsis: To provide New York with results of attempts to locate and interview [redacted] and [redacted]

b3
b6
b7C
b7D

Reference: 58A-NY-278417 Serial 233

Enclosure(s): One (1) original and one (1) copy of a Federal Grand Jury subpoena for [redacted] and one (1) original and one (1) copy of a Federal Grand Jury subpoena for [redacted]

Details: [redacted] has made attempts to locate and interview [redacted] and [redacted]. One of the addresses provided for [redacted] and [redacted] This address is [redacted]. Attempts to contact [redacted] have been unsuccessful. Investigation also identified another possible address of [redacted]. On [redacted] contact was made with a resident at that location. It was discovered that [redacted]. On [redacted] SA [redacted] was contacted by [redacted] telephone [redacted] indicated that [redacted] He stated that [redacted]

b3
b6
b7C
b7D

[redacted] this lead to be covered.

58A-NY-278417-251
UP @
[redacted]

UPLOADED BY pm
DATE 3/6/02 - Kola live

To: New York From:
Re: 58A-NY-278417, 03/5/2002

b3
b6
b7C
b7D

LEAD(s):

Set Lead 1:

NEW YORK

AT NEW YORK CITY

Read and Clear.

◆◆

5811-N-27412-252

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 05 2002	
FBI - NEW YORK	

SEARCHED _____
 INDEXED _____
 SERIALIZED _____
 FILED _____
 MAR 05 2002
 FBI - NEW YORK

①

②



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Squad C-14
26 Federal Plaza
New York, New York 10278
February 26, 2002

[Redacted]
Special Agent
U.S. Customs
601 W. 26th Street
Suite 820
New York, New York 10001
(646) 230-3200

b6
b7C

Dear Special Agent [Redacted]

The Federal Bureau of Investigation (FBI) is currently conducting a high priority, sensitive, criminal investigation involving potential violations of Federal Bribery and Conspiracy.

It is respectfully requested that [Redacted] of which more detailed information is enclosed:

b6
b7C
b7E

[Redacted]

These individuals are not believed to be transporting narcotics or other contraband.

It is requested that Special Agents [Redacted] telephone number [Redacted] or pager number [Redacted] or [Redacted] telephone number [Redacted] or pager [Redacted] be contacted and informed of the facts [Redacted]

Please direct any questions or requests for additional information to either SA [redacted] or SA [redacted]

[redacted]

Supervisory Special Agent

b6
b7c

SEARCHED _____
INDEXED _____
SERIALIZED _____
FILED _____
MAR 07 2002
FBI - [unclear]

58A-M-278117-253

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 07 2002	
FBI - [unclear]	

②

SEARCHED _____
SERIALIZED _____
INDEXED _____
FILED _____
MAR 05 2002
FBI - [unclear]

581-11-27817-254

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 05 2002	
FBI - [unclear]	

(P)

88A-M-27817-255

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 05 2002	

UNCLASSIFIED

WITNESS _____

WITNESS _____

BY UP _____

DATE 3/7/02 _____

INDEXED

WARRANT _____
WITH/OUT RETURN ✓ _____
BY UG _____
DATE 3/7/02 _____

58A-M-278417 - 256

SEARCHED	INDEXED
SERIALIZED <u>UG</u>	FILED <u>UG</u>
MAR 05 2002	
<u>2</u>	

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *AW*

Drafted By: [redacted] *kjw AW*

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/30/02 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys.

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AW

58A-NY-278417 - 259

COPIES
SEARCHED _____
SERIALIZED _____
INDEXED _____
FILED _____
BY *YJ*
DATE *Rev'd 3/22/02*

YJ *YJ*
MAR 18 2002

AW

late 11.1.wpd

[redacted]

(see 1B/69)

b6
b7C

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *AP*

Drafted By: [redacted] *kjw/KW*

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/24/02 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys.

♦♦

(X)

58A-NY-278417-260

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48 48
10/2002
AP

SEARCHED _____
SERIALIZED _____
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FILED _____
BY *48*
DATE *3/22/02*

[redacted]

(see 1B 170)

late112.wpd

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *RW*

Drafted By: [redacted] *kjw/kw*

b6
b7c

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 02/10/02 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys.

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58A-NY-278417-261

SEARCHED
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BY *cg*
3/1/02

cg
cg
RW

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[redacted]
(see TB 171)

late113.wpd

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *WJW*

b6
b7C

Drafted By: [redacted]

kjw/IAN

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/10/02 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys.

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58A-NY-278417-262

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49 49
3/18/02

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WITH/OUT _____

WITH/OUT _____

BY *49* _____

DATE *3/18/02* _____

late 114.wpd

[redacted]

(see 1B 172)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] kjw/kw

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 07/09/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. During this time several of the agents working on this case were reassigned to work additional matters in the New York office.

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Dyson

58A-NY-278417 - 213

SEARCHED
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BY *yg*
DATE *3/27/02*

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b7C
yg yg

late 115.wpd

[redacted]
(see 1B 173)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *HW*

b6
b7C

Drafted By: [redacted] *kjw*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 09/04/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

b7E

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late 116.wpd

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FILED
3/22/02

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(K) 116
58A-NY-278417 - *264*
MAR 18 2002
[redacted]
(see 1B 174)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *ky*

Drafted By: [redacted] *kjw*

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/09/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

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(V) 1/1

58A-NY-278417-265

UNCLASSIFIED
WITH/OUT [redacted]
BY *ug*
DATE *DEC 18 2002*

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MAR 18 2002

[redacted]

(see 1B 175)

late 117. wpd

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption
Contact: SA [redacted]

Approved By: [redacted] *KY*

Drafted By: [redacted] *kjw/kw*

Case ID #: 58A-NY-278417 (Pending)

b6
b7c

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;
[redacted]
MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/11/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

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58A-NY-278417

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MAR 18 2002	
[redacted]	

266
(see 1B176)

10/11/01

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BY *48*
DATE *rec'd 3/22/02*

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late 118.wpd

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

kjw/km

b6
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/15/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

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58A-NY-278417-

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BY [redacted]
DATE Rec'd 3/22/02

48 48 2671
MAR 18 2002

[redacted]

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(see 1B17)

late.119.wpd

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] *kjw/kw*

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/18/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

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(K) [initials]

58A-NY-278417-

270

SEARCHED _____
SERIALIZED _____
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BY *49*
DATE *3/22/02*

MAR 18 2002
[redacted]

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b7C

late 122.wpd

(see 1B180)

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] kjw/kw

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S); WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 10/25/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

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58A-NY-278417-

SERIALIZED	49	49	271
MAR 18 2002			
[redacted]	[redacted]	[redacted]	[redacted]

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b7C

(see 1B181)

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 BY 49
 DATE 3/22/02

late 123.wpd

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *HW*

Drafted By: [redacted] *kjw/KW*

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 12/10/01 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

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58A-NY-278417-272

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BY *48*
DATE *3/22/02*

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MAR 10 2002 *48*

[redacted]

(see 1B182)

late 124.wpd

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/01/2002

To: A/SAC [redacted]

Attn: Evidence Control Unit

From: SA [redacted]

White Collar Branch, Squad C-14, Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] kjw/kw

Case ID #: 58A-NY-278417 (Pending)

b6
b7C

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO:NY

Synopsis: Late submission of evidence.

Details: Evidence collected on 01/18/02 is being submitted on 03/01/02 as it was required for extended review by the Case Agents, Financial Analysts, and Assistant United States Attorneys. Additionally, information was uploaded into [redacted] for analysis.

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INDEXED _____
MAR 13 2002

58A-NY-278417-
SEARCHED _____ INDEXED _____
MAR 18 2002
[redacted]
(see 1B189)

ⓧ

Forward to SA [redacted]

03/25/02

1:00 pm

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 40 3/26/02

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58A-NY-278417-280

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SERIALIZED FILED

40 40

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 [redacted]
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FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 03/25/2002

To: [redacted]

b6
b7C
b7D

Attn: [redacted] RA
SA [redacted]

From: New York
White Collar Crime/Squad C-14 - Public Corruption
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;
CFPO - EXECUTIVE BRANCH;
OO: NY

Synopsis: To request interview be conducted of [redacted]

[redacted]

Enclosure(s): Enclosed for [redacted] RA is one photograph of [redacted]

b6
b7C
b7D

Details: New York is conducting a sensitive public corruption investigation concerning controversial Presidential pardons granted by former U.S. President WILLIAM CLINTON, specifically pardons granted to MARC RICH and PINCUS GREEN. [redacted]

[redacted] is a major Democratic party contributor and [redacted]

b6
b7C

Investigation has revealed that between approximately [redacted] through [redacted] and [redacted]

[redacted] travelled to [redacted] Also present in [redacted] during a portion of this time period was [redacted]

b3
b6
b7C
b7D

[redacted] during that time period.

On or about [redacted]

[redacted]

b3
b6
b7C
b7D

To: [redacted] From: New York
Re: 58A-NY-278417, 03/25/2002

b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted]

b3
b6
b7C
b7D

[redacted] full name and identity was recently found during interviews conducted in New York. [redacted]

[redacted]

b6
b7C
b7D

[redacted]

b6
b7C
b7D
b7E

To: [redacted] From: New York
Re: 58A-NY-278417, 03/25/2002

b6
b7C
b7D
b7E

[redacted] New York anticipates [redacted] will return to [redacted]
[redacted] RA is requested to immediately conduct logical investigation [redacted] to locate and interview [redacted] Since New York is uncertain on how long [redacted] it is requested that this lead be afforded priority status.

New York is interested in the following information: (1)

- [redacted] (2) [redacted]
- [redacted] (3) [redacted]
- [redacted] (4) [redacted]
- [redacted] (5) [redacted]
- [redacted]
- [redacted]

b6
b7C
b7D

To: [redacted] From: New York
Re: 58A-NY-278417, 03/25/2002

b6
b7C
b7D

LEAD(s):

Set Lead 1:

[redacted]

[redacted]

[redacted] RA, is requested to conduct
logical investigation to locate and interview [redacted]

[redacted]

[redacted] ec

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b6
b7C
b7D

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) - 40
Page 17 ~ Referral/Direct;
Page 23 ~ Referral/Direct;
Page 24 ~ Referral/Direct;
Page 25 ~ Referral/Direct;
Page 26 ~ Referral/Direct;
Page 27 ~ Referral/Direct;
Page 28 ~ Referral/Direct;
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P 251549Z MAY 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 588-25

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS SECTION 1 OF 5

MARC RICH-FUGITIVE; PINCHUS GREEN-FUGITIVE; ET AL; RICO; FBW; MF; TAX
EVASION; TRADING WITH THE ENEMY, OO: NY.

NEW YORK ADVISED BY TELETYPE DATED 5/24/84 AS FOLLOWS:

RENYTELS TO BUREAU, DATED MAY 8, 1984, AND MAY 18, 1974, AND
NEW YORK SUPV. [REDACTED] TELCALLS TO FBIHQ SUPV. [REDACTED] MAY 22, 1984, MAY
23, 1984, AND MAY 24, 1984.

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THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

THE PURPOSE OF THIS COMMUNICATION IS TO PROVIDE BUREAU AND
RECEIVING OFFICES WITH A SUMMARY OF DEVELOPMENTS IN CAPTIONED
MATTER. IN ADDITION, AUTHORITY IS BEING REQUESTED FOR ADDITIONAL
NECESSARY [REDACTED] NEW YORK (NY) SPECIAL AGENT (SA) [REDACTED]

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[REDACTED] AND NEW HAVEN SA [REDACTED]

[REDACTED]

LARRY J
T6/10

183D-54-68
SEARCHED
SERIALIZED
MAY 23 1984
[Signature]

PAGE TWO DE HQ 0065 UNCLAS

REFERENCED MAY 8, 1984, TELETYPE NOTED

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

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BOJEAU AGENTS AND

[REDACTED]

SOURCE WERE

[REDACTED]

[REDACTED]

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[Redacted]

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[Redacted]

[Redacted]

BASED ON

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[Redacted]

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#0065

DWQV

P 251549Z MAY 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 589R-28

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS SECTION 2 OF 5

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET-AL; RICO; FBW; MF; TAX
EVASION; TRADING WITH THE ENEMY, OO: NY.

DURING PERIOD OF

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PRIOR TO

[REDACTED]

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DURING

[REDACTED]

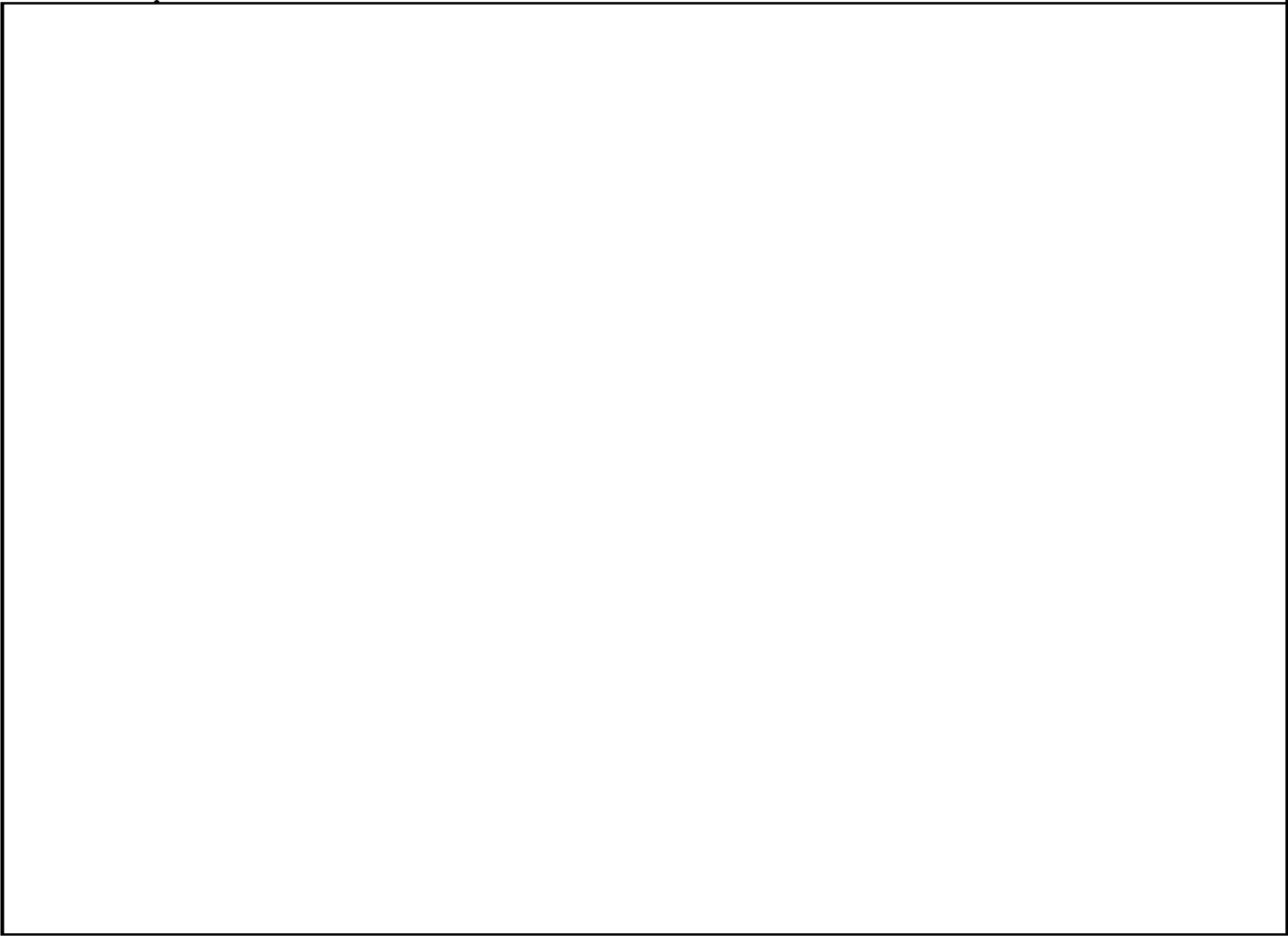
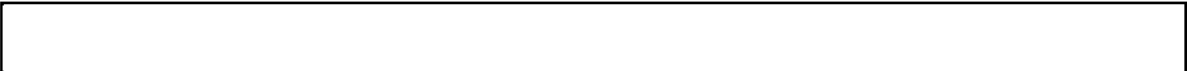
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#0066

P 251549Z MAY 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 590-25

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS SECTION 3 OF 5

MARC RICH-FUGITIVE; PINCHUS GREEN-FUGITIVE; ET AL; RICO; FRM; MF; TAX
EVASION; TRADING WITH THE ENEMY, OO: NY.

[REDACTED]

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LEGAT, BONN, WAS TELEPHONICALLY PROVIDED WITH THIS INFORMATION
ON MAY 23, 1984.

IN REGARD TO

[REDACTED]

[REDACTED]

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[REDACTED]

PAGE TWO DE HQ 0067 UNCLAS

ON

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THE FOLLOWING IS A SUMMARY OF AREAS

DISCUSSED.

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[Redacted]

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[Redacted]

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[Redacted]

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P 251549Z MAY 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 592-25

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS SECTION 4 OF 5

MARC RICH-FUGITIVE; PINCHUS GREEN-FUGITIVE; ET AL; RICO; FBW; MF; TAX
EVASION; TRADING WITH THE ENEMY, OO: NY.

[REDACTED]

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[REDACTED]

[REDACTED]

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PAGE TWO DE HQ 0088 UNCLAS

[Redacted]

[Redacted]

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[Redacted]

[Redacted]

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PAGE THREE DE HQ 0088 UNCLAS

IN REGARD TO

[REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

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RJIMM

P 251549Z MAY 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 593-25

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS SECTION 5 OF 5

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; RICO; FBW; MF; TAX
EVASION; TRADING WITH THE ENEMY, OO: NY.

DURING ALL

[REDACTED]

[REDACTED]

[REDACTED]

UPON

[REDACTED]

[REDACTED]

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REQUEST OF THE BUREAU

PAGE TWO HQ DE 0089 UNCLAS

IN VIEW OF THE ABOVE, BUREAU IS REQUESTED TO [REDACTED]

[REDACTED]

[REDACTED]

AS PREVIOUSLY REQUESTED AND

[REDACTED]

IN REGARD TO SA [REDACTED] BUREAU IS REQUESTED TO [REDACTED]

[REDACTED]

AS HE WILL BE IN PHYSICAL DANGER IF HIS IDENTITY IS DIVULGED.

~~C BY G-3; DECL: OADR.~~

BT

#0089

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P 091056Z JUN 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 649-09
LEGAL ATTACHE BONN PRIORITY
BT

~~SECRET~~

MARC RICH - FUGITIVE; PINCHUS GREEN - FUGITIVE; ET AL: RICO: FBW; MF;
TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK

NEW YORK OFFICE (196A-1744) ADVISED BY TELETYPE JUNE 9, 1984, AS
FOLLOWS:

THIS TELETYPE IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

RENYTEL, DATED MAY 24, 1984; NYTELCALS TO LEGAT BONN, DATED
JUNE 7, 1984.

FOR INFORMATION OF BUREAU, LEGAT BONN AND NEW HAVEN, A [redacted]

SOURCE HAS [redacted]

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REFERENCED TELETYPE NOTED [redacted]

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183D-54-70
[redacted]
JUN 1 1984
[redacted]

PAGE TWO DE HQ 0039 ~~SECRET~~

[REDACTED]

ON

[REDACTED]

[REDACTED]

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BRING THE

[REDACTED]

[REDACTED]

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PAGE THREE DE HQ 0038 ~~S E C R E T~~

[REDACTED]

ALSO DURING THIS

[REDACTED]

[REDACTED]

FOR INFORMATION OF RECEIVING OFFICES, THIS

[REDACTED]

[REDACTED]

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[REDACTED]

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ON JUNE 7, 1984, SOURCE LEARNED

[REDACTED]

[REDACTED]

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PAGE FIVE DE HQ 0038 ~~SECRET~~

IN REFERENCED NEW YORK TELEPHONE CALLS TO LEGAT, BONN, NEW YORK
WAS ADVISED THAT [REDACTED]

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BASED ON ABOVE, IT IS PROBABLE THAT EITHER A [REDACTED]

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[REDACTED] ALSO, IN EITHER EVENT, IT WILL BE

NECESSARY FOR [REDACTED]

[REDACTED]
LEGAT BONN IS REQUESTED TO MAKE PRELIMINARY CONTACTS WITH [REDACTED]

[REDACTED] DISCUSSED IN REFERENCED TELEPHONE CALLS.

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[REDACTED] SOURCE IS [REDACTED] SOURCE'S IDENTITY MUST
BE PROTECTED AS SOURCE WILL BE IN PHYSICAL DANGER IF HIS IDENTITY IS
DIVULGED.

~~C BY G-3; DFCL: OADR.~~

RT

#0038

Mercredi 26 septembre 1984

Tribune de Genève

35

Affaire Marc Rich

La Suisse refuse l'extradition

Berne: ATS

L'Office fédéral de la police (OFP), au Département fédéral de justice et police (DFJP), refuse de donner suite à la demande des Etats-Unis portant sur l'extradition de Marc Rich et de Pinkus Green. C'est ce que l'OFP a communiqué à l'ambassade des Etats-Unis à Berne. En même temps, la Suisse a transmis une note diplomatique aux autorités américaines par l'intermédiaire de l'ambassade de Suisse à Washington. Elle y maintient la réponse que contenait sa note du 13 juillet 1984 au sujet de l'affaire Marc Rich, dont la société est domiciliée à Zoug, et qui est impliquée dans des affaires fiscales aux Etats-Unis.

Motifs pas valables

L'OFP explique qu'il ne lui est pas possible d'agréer à la demande des autorités américaines du 14 août 1984 parce que les faits sur lesquels celle-ci repose constituent, selon le droit suisse, des infractions aux prescriptions en matière monétaire et commerciale ou en ce qui touche certaines mesures relevant de la politique économique, ou encore des délits fiscaux. Or la Convention du 14 mai 1900 régissant l'extradition entre la Suisse et les Etats-Unis d'Amérique n'inclut pas ces motifs.

Dans la note remise aujourd'hui à Washington, le Conseil fédéral insiste sur la réponse déjà formulée le 13 juillet dernier. La Suisse s'était alors déclarée prête, en principe, à donner suite à une demande d'entraide judiciaire pour autant que les Etats-Unis s'engagent expressément à renoncer à des sanctions portant effet sur le territoire helvétique et à défendre également ce point de vue devant le juge compétent. Le Conseil fédéral voit dans l'avis alors exprimé, suivant lequel des sanctions extraterritoriales et des prestations d'entraide judiciaire s'excluaient réciproquement, une question de principe susceptible d'avoir des incidences sur les relations des deux Etats en matière d'entraide judiciaire. Il demande par conséquent aux autorités américaines de faire connaître leur position face à ce problème.

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183D-54-87

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SEP 28 1984	

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Q 1233327 OCT 84

FM DIRECTOR FBI

TO LEGAL ATTACHE ROMM PRIORITY

LEGAL ATTACHE BERN PRIORITY [REDACTED]

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BT

~~SECRET~~ SECTION ONE OF TWO

MARC RICH - FUGITIVE; PINCHUS GREEN - FUGITIVE; ET AL; FRM; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK

BY TELETYPE DATED 10/11/84 FBI NEW YORK ADVISED:

THIS COMMUNICATION IS CLASSIFIED ~~"SECRET"~~ IN ITS ENTIRETY.

REFERENCE NEW YORK (NY) TELETYPES TO DIRECTOR, FEBRUARY 3,
1984, FEBRUARY 23, 1984, MAY 24, 1984, JULY 31, 1984 AND SEPTEMBER
11, 1984, CAPTIONED ABOVE, AND MY TELEPHONE CALLS TO LEGAT, ROMM AND
NEW HAVEN SA [REDACTED] OCTOBER 4, 1984.

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183 D-54-88

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OCT 12 1984	

[REDACTED]

PAGE TWO DE HQ 2205 ~~S E C R E T~~

THE PURPOSE OF THIS TELETYPE IS TO UPDATE RECEIVING OFFICES ON
RECENT DEVELOPMENTS IN CAPTIONED MATTER. IN ADDITION, THIS TELETYPE
IS BEING SUBMITTED AS A FOUNDATION FOR [REDACTED]

[REDACTED] BY SAC [REDACTED] AND [REDACTED]

[REDACTED]

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REFERENCED TELETYPES, DATED FEBRUARY 3, 1984 AND FEBRUARY 23,
1984, PROVIDE DETAILS OF A [REDACTED]

[REDACTED]

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VC

REFERENCED BY TELETYPE, DATED MAY 24, 1984, DOCUMENTS [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

THIS TELETYPE SETS FORTH THE

NECESSITY FOR

[REDACTED]

SAS

[REDACTED]

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REFERENCED BY TELETYPES, DATED JULY 31, 1984 AND SEPTEMBER 11, 1984, PROVIDE INFORMATION REFERENCE

[REDACTED]

[REDACTED]

[REDACTED]

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FOR INFORMATION OF RECEIVING OFFICES, A SENSITIVE AND RELIABLE

[REDACTED] SOURCE HAS [REDACTED]

[REDACTED]

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[REDACTED]

BASED ON INFORMATION FROM THIS SOURCE AND

INVESTIGATION BY NY AGENTS, THE FOLLOWING DEVELOPMENTS HAVE BEEN UNCOVERED.

ON OCTOBER 3, 1984,

[REDACTED]

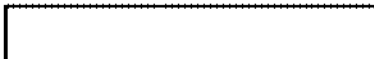
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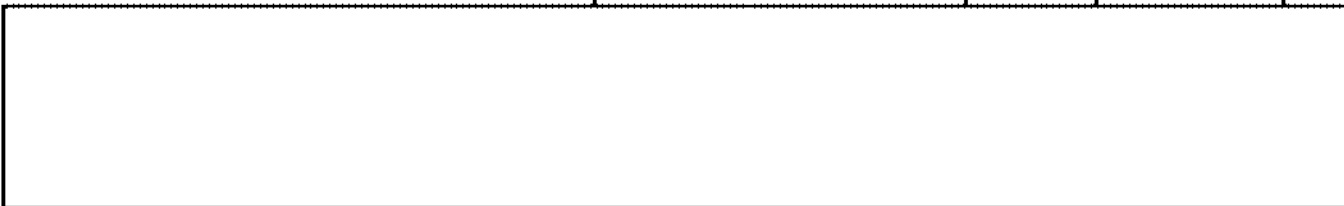


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IN CONJUNCTION WITH ABOVE



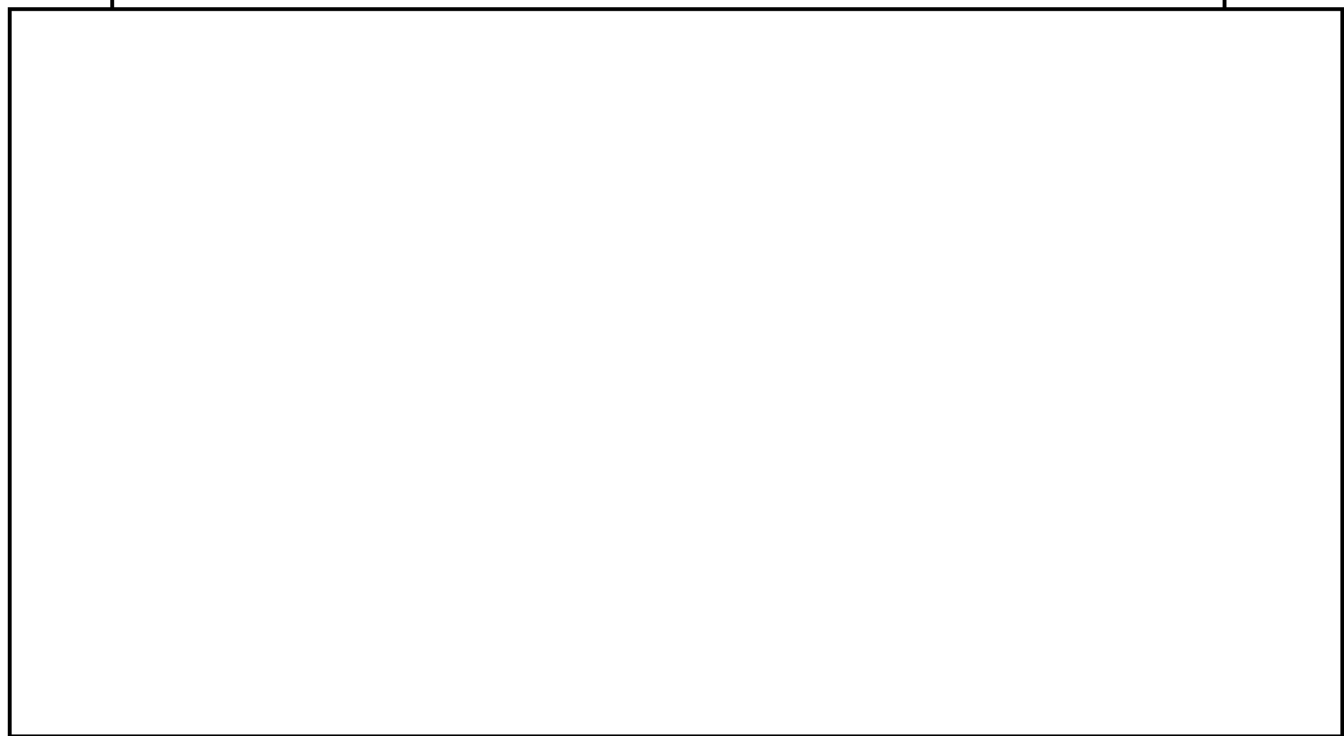
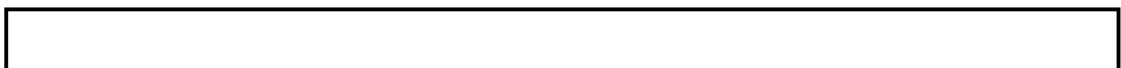
SOURCE



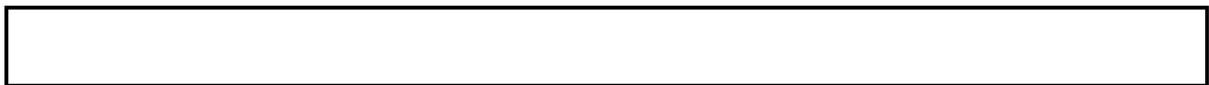
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SOURCE LEARNED THAT

[REDACTED]

[REDACTED]

BT

#9995

P 100032Z OCT 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BONN PRIORITY

LEGAL ATTACHE BERN PRIORITY [REDACTED]

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BT

~~SECRET~~ TWO OF TWO

MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ET AL; FRW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK

[REDACTED]

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ON OCTOBER 5, 1984, SOURCE ADVISED [REDACTED]

[REDACTED]

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[REDACTED]

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IN REGARD TO

[REDACTED]

SOURCE ADVISED

[REDACTED]

[REDACTED]

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[REDACTED] FBIHQ AND LEGAT, BONN VIA

SEPARATE TELETYPE.

DURING

[REDACTED]

[REDACTED]

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[REDACTED]

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IN REGARD TO

[REDACTED]

[REDACTED]

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IN REGARD TO

[REDACTED]

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[REDACTED]

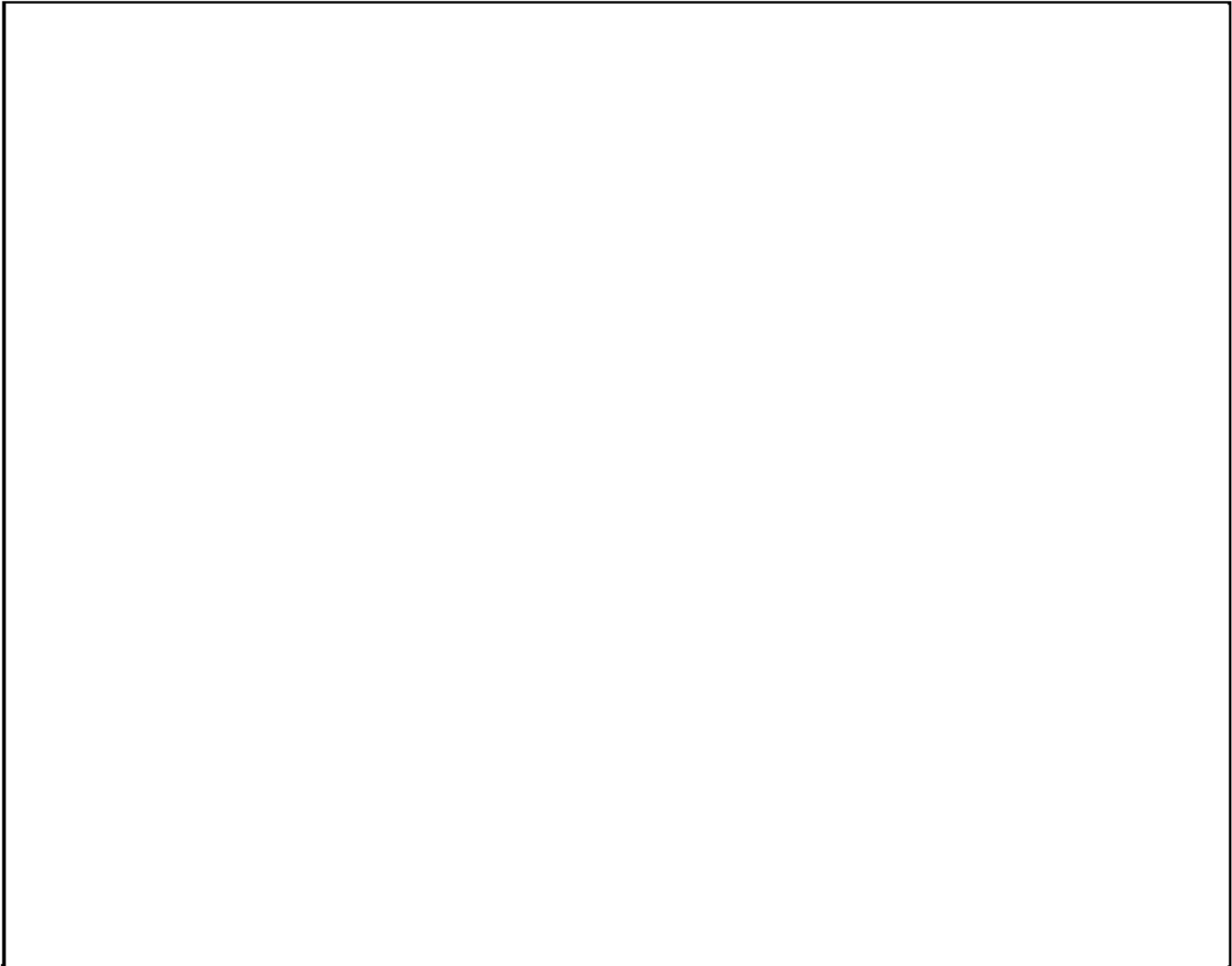
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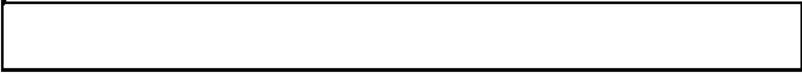
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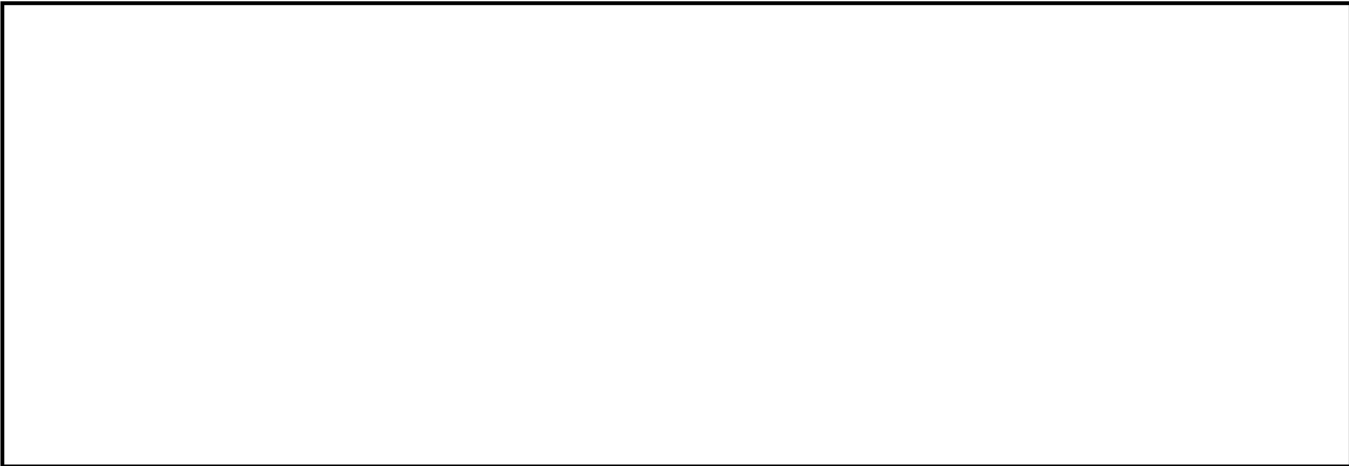
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IN REGARD TO PROSECUTION OF RICH AND GREEN IN THE US, ON
OCTOBER 5, 1984, AUSA [REDACTED] SDNY, REAFFIRMED THE SDNY'S
PREVIOUS PROSECUTIVE POSITION CONCERNING THE RICH CASE. HE
REQUESTED THE FBI CONTINUE ITS EFFORTS [REDACTED]

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[REDACTED] THE SDNY FOR TRIAL
ON CHARGES HANDED DOWN, IN THE AUGUST, 1983, INDICTMENT.

ADMINISTRATIVE

[REDACTED] SOURCE IS [REDACTED] SOURCE'S IDENTITY MUST BE PROTECTED
AS HE AND HIS FAMILY WILL BE IN PHYSICAL DANGER IF HIS IDENTITY IS
DISCLOSED.

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~~C BY 0-3, DECL. OADR.~~

BT

#0007

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O 130122Z OCT 84

FM DIRECTOR FBI [REDACTED]

TO FBI NEW YORK [REDACTED] (SQ 32) IMMEDIATE

FBI LOS ANGELES (65-9018) [REDACTED] (INFO) PRIORITY

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LEGAL ATTACHE BONN [REDACTED] PRIORITY

LEGAL ATTACHE BERN [REDACTED] PRIORITY [REDACTED]

BT

~~SECRET~~

[REDACTED]

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THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

[REDACTED]

RE NYTEL DATED OCTOBER 5, 1984 (NO COPY TO LA OR BERN); NYTEL
OCTOBER 11, 1984.

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PURSUANT TO NYO REQUEST IN REMYTEL, DATED OCTOBER 5, 1984,
ON OCTOBER 11, 1984, [REDACTED]

[REDACTED]

[REDACTED]

83D-54-89

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SERIALIZED	FILED
OCT 15 1984	
FBI - BERN	

[REDACTED]

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[REDACTED]

ON OCTOBER 12, 1984, THE ATTORNEY GENERAL (AG) AUTHORIZED

[REDACTED]

AS STATED ABOVE.

PRIOR TO THE

[REDACTED]

NYO OBTAIN FROM

[REDACTED]

A

[REDACTED]

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[REDACTED]

LEGAL ATTACHE

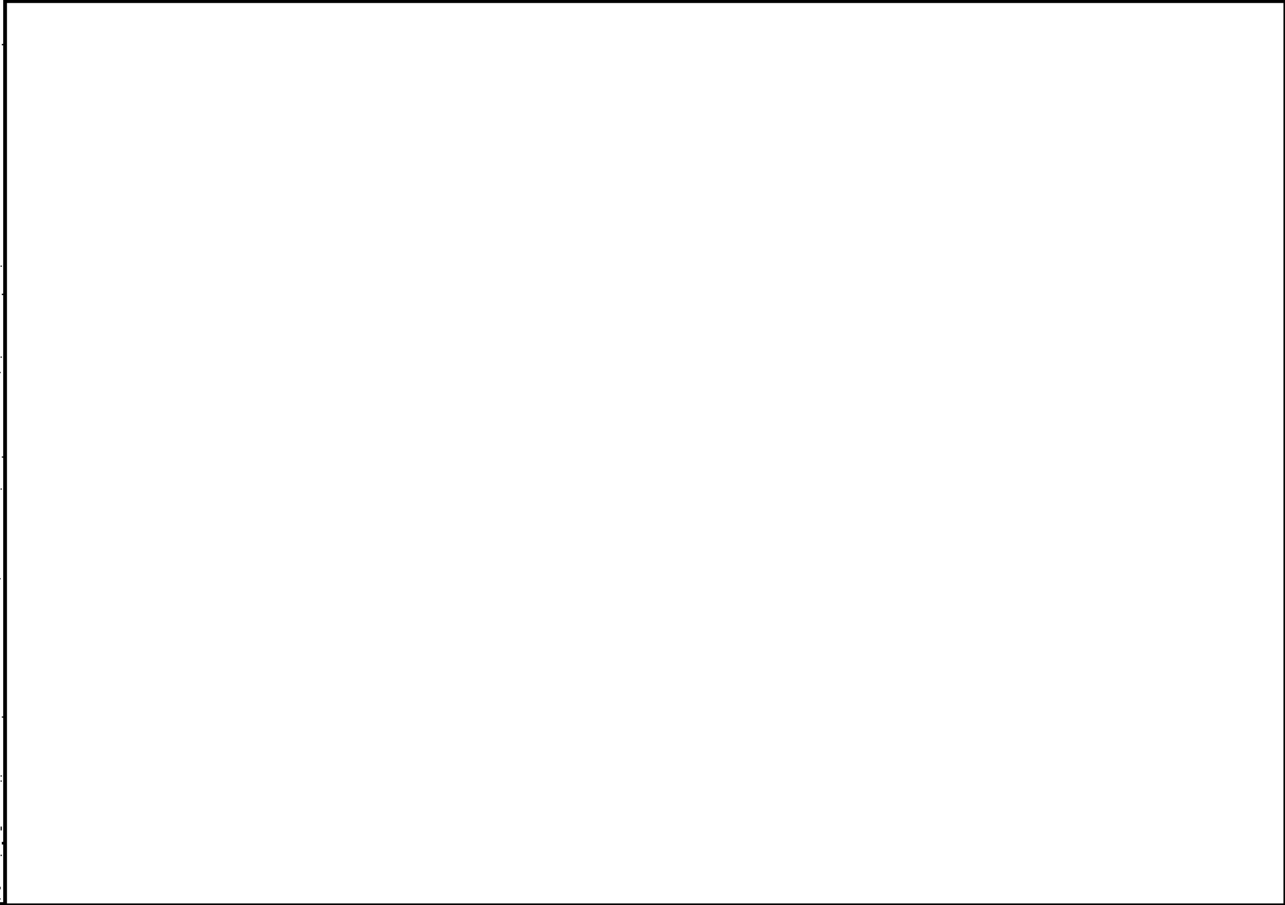
[REDACTED]

BONN, FRG.

FOR INFORMATION OF LEGAT, BERN, THE FOLLOWING IS FURNISHED:

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[REDACTED]



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THIS ASSET HAS BEEN



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[REDACTED]

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[REDACTED] RICH AND GREEN WERE INDICTED BY THE SOUTHERN DISTRICT OF NEW YORK ON A 51-COUNT INDICTMENT FOR RACKETEERING, RACKETEERING CONSPIRACY, MAIL FRAUD, WIRE FRAUD, INCOME TAX EVASION, AND TRADING WITH THE ENEMY. TO DATE, THE

[REDACTED]

[REDACTED]

ALSO SCHEDULED TO

[REDACTED]

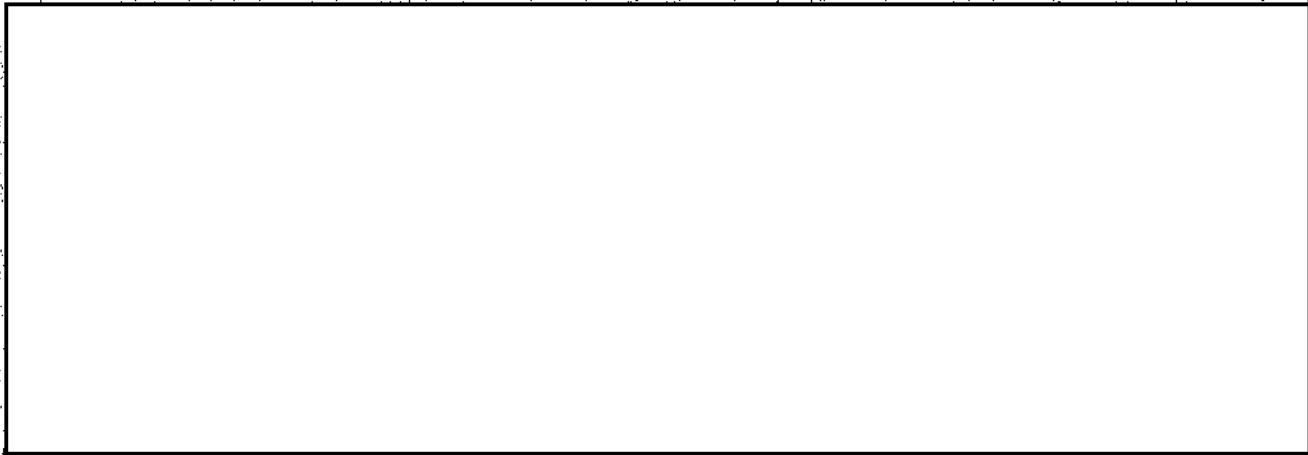
[REDACTED]

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THE FIRST

[REDACTED]

[REDACTED]



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LEGAT, BERN IS NOT REQUESTED TO FURNISH ANY SUPPORT TO THIS -
SCENARIO.

LEGAT, BONN IS REQUESTED TO ADVISE AND ASSIST SSA [REDACTED]
AND SAS [REDACTED] AS NECESSARY.

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NYO FURNISH RESULTS IN DISSEMINABLE FORMAT. NYO SHOULD
INCLUDE NYO COPIES OF ALL COMMUNICATIONS IN THIS CASE FOR
LOS ANGELES.

~~C 5806 D OADR~~

BR#0001

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O 151516Z OCT 84

FM BONN [REDACTED] (P)

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TO DIRECTOR [REDACTED] IMMEDIATE

LEGAT, BERN [REDACTED] PRIORITY VIA FBIHQ [REDACTED]

BT

~~SECRET~~

ATTN: INTD, CI-1 SECTION.

[REDACTED]

b7E

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS
~~ENTIRETY.~~

REBUCAR OCTOBER 13, 1984, IN CAPTIONED MATTER: BUCAB
OCTOBER 13, 1984, IN MATTER CAPTIONED "MARC RICH - FUGITIVE;
PINCHS GREEN - FUGITIVE; ET AL; OO: NY"; AND TELEPHONE
CONVERSATIONS ON OCTOR Z 12, 1984, AND OCTOBER 15, 1984,
BETWEEN SA [REDACTED] NYO, AND ASST. LEGAT [REDACTED]

[REDACTED] BONN, AND CONVERSATION ON OCTOBER 15, 1984, BETWEEN

b6
b7C

BUREAU SUPVS. [REDACTED] AND

ASST. LEGAT [REDACTED]

ON THE MORNING OF OCTOBER 15, 1984, OFFICIALS FROM

b7D
b7E

LEGAT, BONN [REDACTED]

183 D-54-90

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 16 1984	
FBI - BERN	

b3
b7E

PAGE TWO - BON [REDACTED] ~~SECRET~~

REGARDING CAPTIONED MATTER. [REDACTED]

b6
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b7E

ON OCTOBER 12, 1984, SA [REDACTED] ADVISED THAT THE [REDACTED]

b6
b7C
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LEGAT, BONN HAS NO BASIC DISAGREEMENT WITH THE

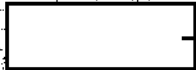
[REDACTED] SA'S) AS SET

FORTH BY FBIHQ AND NYO. HOWEVER, NOW THAT THE POSSIBLE

b6
b7C
b7D
b7E
b7F

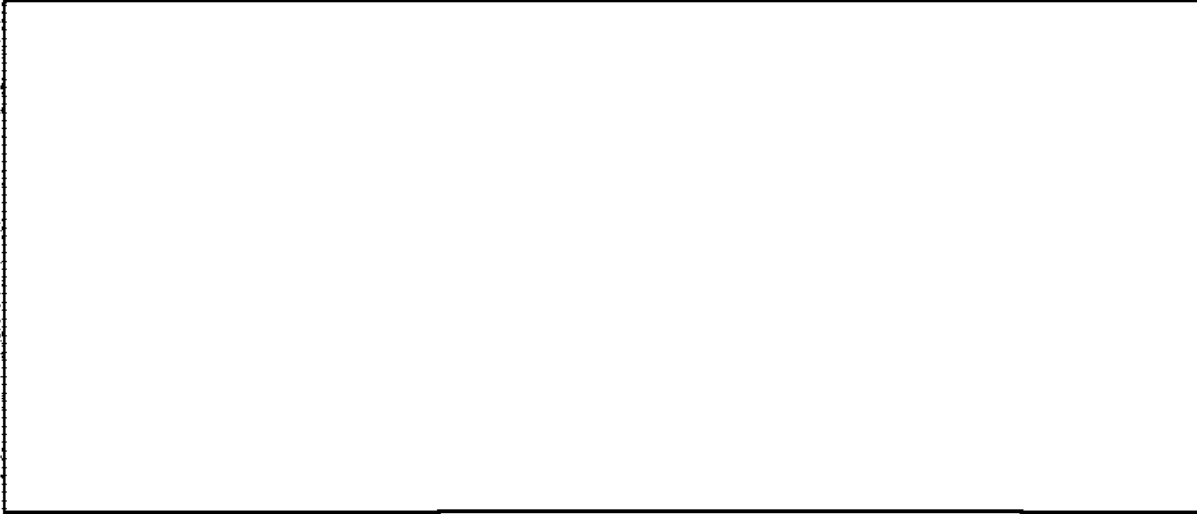
b3
b7E

PAGE THREE - 80N1



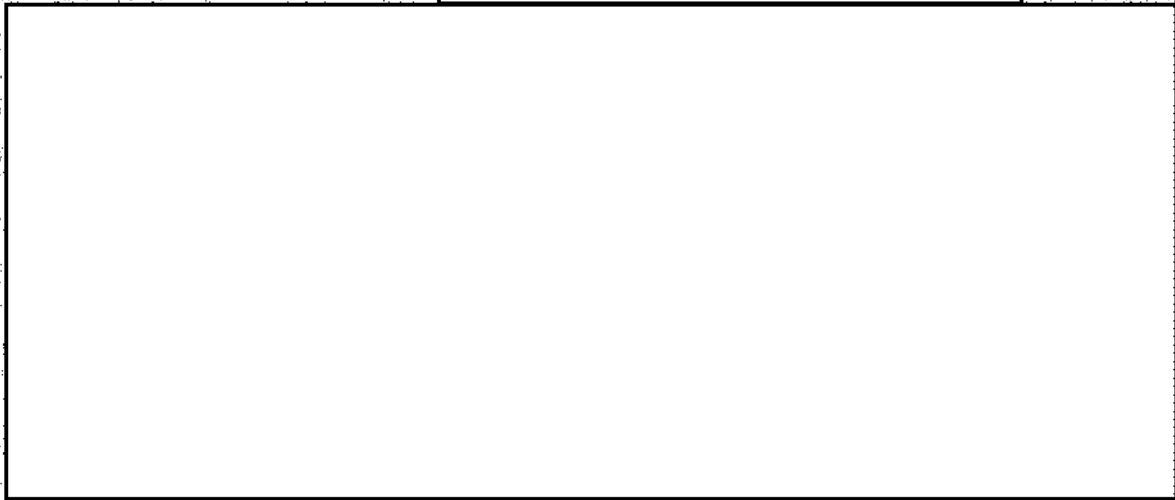
~~SECRET~~

LEGAT, BONN HAS RECEIVED NO INFORMATION THAT



b6
b7C
b7D
b7E
b7F

IF THE SOURCE IS



b6
b7C
b7D
b7E
b7F

b3
b7E

PAGE FOUR - BON

[REDACTED]

~~SECRET~~

ALSO, IF AFTER

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7E

LEGAT. BONN IS

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7E

UACB, LEGAT BONN WILL FOLLOW THIS MATTER WITH

[REDACTED]

[REDACTED]

LEADS-

NEW YORK DIVISION, AT NEW YORK:

(1) WILL EXPEDITIOUSLY FORWARD DESCRIPTIVE INFORMATION
REGARDING [REDACTED] (I.F. HEIGHT, WEIGHT, ETC.)

b6
b7C
b7E

b3
b7E

PAGE FIVE - BON [REDACTED] ~~SECRET~~

(2) WILL REPORT ANY INFORMATION RECEIVED FROM SOURCE

REGARDING [REDACTED]

~~C BY 9230, DECL: OADR.~~

b6
b7C
b7D
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b7E

BT

#0040

NNN

b6
b7C

0 15235 1Z OCT 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BONN IMMEDIATE

LEGAL ATTACHE LONDON IMMEDIATE

b3
b7E

LEGAL ATTACHE BERN IMMEDIATE [REDACTED]

BT

~~SECRET~~

[REDACTED]

b7E

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

[REDACTED]

b7E

BY TELETYPE DATED OCTOBER 15, 1984, FBI NEW YORK PROVIDED THE FOLLOWING:

"REFERENCE NEW YORK TELETYPE, DATED OCTOBER 5, 1984; AND BUREAU TELETYPE, DATED OCTOBER 13, 1984. (NO COPIES OF PREVIOUS

183D-54-91

SEARCHED	INDEXED
SERIALIZED <i>R</i>	FILED <i>R</i>
OCT 16 1984	
FBI - BERN	
<i>An</i>	

PAGE TWO DE HQ 0150 ~~SECRET~~
COMMUNICATIONS TO LEGAT, LONDON).

THE REFERENCED NEW YORK TELETYPE SET FORTH A [REDACTED]
WHICH COULD RESULT IN [REDACTED]

b6
b7C
b7E

[REDACTED] NEW YORK IS PROVIDING
RECEIVING OFFICES WITH ADDITIONAL INFORMATION RELATED TO THIS
[REDACTED]

WARNING: INFORMATION FROM [REDACTED] IS OF A SINGULAR NATURE
AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH
LEVEL INFORMANT, [REDACTED]
WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER
TO THE SOURCE AND SOURCE'S FAMILY.

b7D
b7F
b7E

[REDACTED] (ASSET) WAS CONTACTED ON OCTOBER 12, 1984. THE
ASSET ADVISED THAT [REDACTED]
[REDACTED]

b6
b7C
b7D
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b7E

HOWEVER,

[REDACTED]

[REDACTED]

[REDACTED]

ASSET

ALSO DETERMINED THAT

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7E
b7F

THE ABOVE DESCRIBED REVISIONS HAVE NO AFFECT ON THE EVENTUAL
OUTCOM OF THE [REDACTED] AND MAY ENHANCE IT. THE ASSET

[REDACTED]

b6
b7C
b7D
b7E
b7F

THE REFERENCED BUREAU TELETYPE

[REDACTED]

BY NEW YORK

PAGE FOUR DE HQ 0150 ~~SECRET~~

OFFICE SPECIAL AGENTS (SA) [REDACTED]

[REDACTED] AND FBIHQ SSA [REDACTED]

[REDACTED] BASED ON THIS AUTHORIZATION THE FOLLOWING ITINERARY

IS PROVIDED:

IT IS REQUESTED THAT ON OCTOBER 16, 1984, SSA [REDACTED] TRAVEL FROM FBIHQ TO NEW YORK FOR BRIEFING ON THE LATEST DEVELOPMENT ON THIS MATTER, TO ATTEND A CONFERENCE WITH ASSISTANT UNITED STATES ATTORNEY [REDACTED] AND TO ASSIST IN THE DEBRIEFING OF [REDACTED]

ON [REDACTED] ALL THREE AGENTS WILL [REDACTED]

ON [REDACTED] THE AGENTS WILL [REDACTED]

b6
b7C
b7D
b7E

b6
b7C
b7D
b7E

b6
b7C
b7E

FOR INFORMATION OF LEGAT LONDON, THE AGENTS ARE

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7E

LEGAT LONDON IS

[REDACTED]

[REDACTED]

THE FOLLOWING IS A REQUEST TO LEGAT BONN.

1. LEGAT BONN IS REQUESTED TO

[REDACTED]

[REDACTED]

2. LEGAT BONN ADVISED THAT THE

[REDACTED]

ASSET

[REDACTED]

[REDACTED]

b6
b7C
b7D
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b7E

DURING THE TIME PERIOD THAT SA

[REDACTED]

WAS ASSIGNED TO THE NYC.

THEREFORE, NY HAS INSTRUCTED THE ASSET TO

[REDACTED]

[REDACTED]

[REDACTED]

LASTLY, LEGAT

BONN IS REQUESTED TO IMMEDIATELY NOTIFY NY BY THE MOST EXPEDITIOUS

MEANS, OF ANY SUGGESTIONS OR RECOMMENDATIONS CONCERNING THEM

DVISED

[REDACTED]

~~C BY C 3: DECL: ON 0ADR.~~

BT

#0150

b6
b7C

P 130235Z OCT 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY [redacted]

b3
b7E

LEGAL ATTACHE BONN PRIORITY

BT

~~SECRET~~

MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ET AL; EPW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY, OO:NY

BY TELETYPE DATED 10/12/84 FBI NEW YORK ADVISOR:

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

REFERENCE NEW YORK TEL TO DIRECTOR, DATED OCTOBER 10, 1984.

FOR INFORMATION OF THE BUREAU AND RECEIVING OFFICES, REFERENCED
TEL PROVIDED DETAILS OF [redacted]

[Large redacted block]

b6
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b7E

DURING [redacted]

OCTOBER 13, 1984, A SENSITIVE AND

RELIABLE [redacted]

SOURCE [redacted]

[Redacted line]

183D-54-92

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 13 1984	
FBI - BOSTON	

[REDACTED]

b6
b7C
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IN VIEW OF THE ABOVE,

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

SOURCE ADVISED

[REDACTED]

b6
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b7F
b3
b7E

[REDACTED]

PAGE THREE DE HQ 0015 ~~SECRET~~

RECEIVING OFFICES WILL BE KEPT APPRISED OF DEVELOPMENTS BY
TELEPHONE AND TELETYPE.

ADMINISTRATIVE

[REDACTED] SOURCE IS [REDACTED] SOURCE'S IDENTITY MUST BE PRO-
TECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCE'S
IDENTITY IS DISCLOSED.

b7D
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~~C BY G-3; DECL: OADR.~~

PT

#0015

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P 180015Z OCT 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN ROUTINE 1371-17
LEGAL ATTACHE BONN ROUTINE
BT

~~SECRET~~ SECTION ONE OF TWO

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; RPH; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK
BY TELETYPE DATED 10/17/84 FBI NEW YORK ADVISED:
THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

REFERENCE NEW YORK TELETYPES, DATED OCTOBER 11 AND 10, 1984;
TELEPHONE CALLS BETWEEN LEGAT, BONN, AND NEW YORK, OCTOBER 10, 15,
16, 1984; TELEPHONE CALLS BETWEEN BUREAU AND NEW YORK, OCTOBER 11,
15, 16, 1984; TELEPHONE CALLS TO NEW HAVEN, SPECIAL AGENT [redacted]
[redacted] OCTOBER 10 AND 16, 1984, AND BUREAU TELETYPE, DATED OCTOBER
13, 1984, CAPTIONED [redacted]

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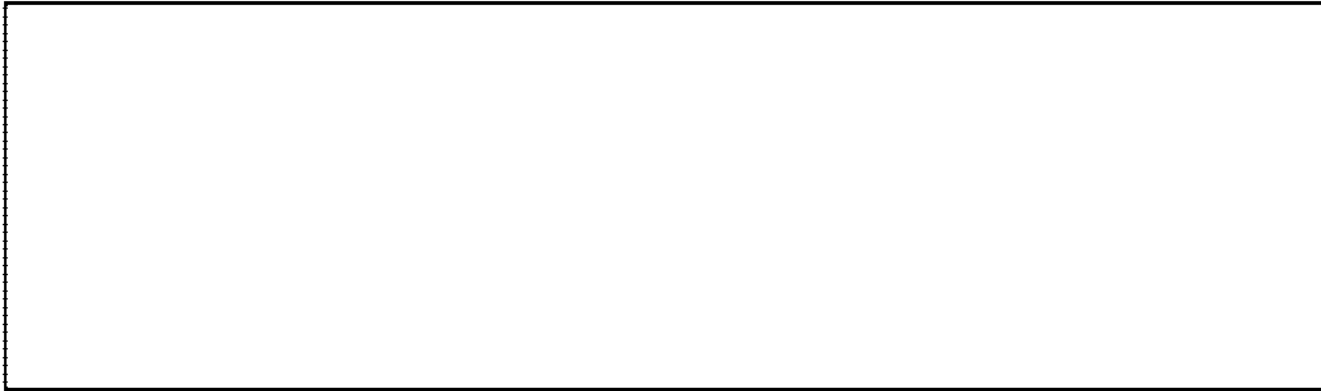
REFERENCED TELETYPES AND TELEPHONE CALLS CONCERNED [redacted]

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cc [redacted]

b6
b7C

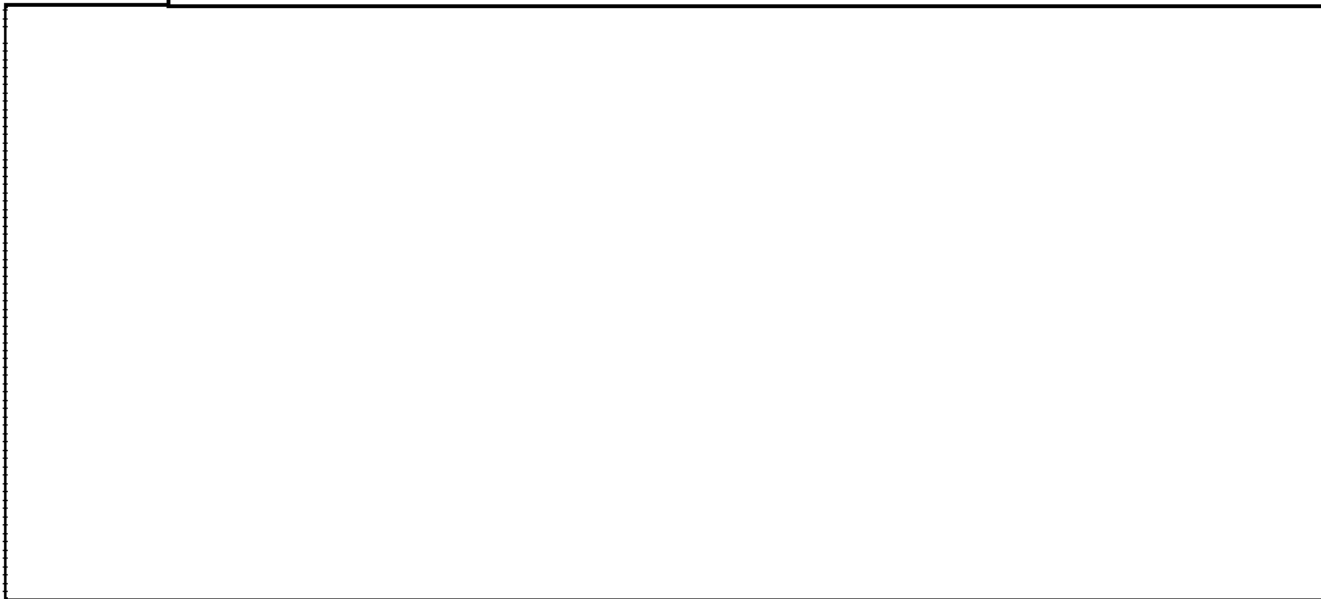
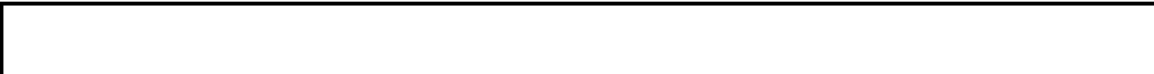
183 D-54-93
SEARCHED INDEXED
SERIALIZED FILED
OCT 1 1984
[redacted]



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THE PURPOSE OF THIS TELETYPE IS TO UPDATE RECEIVING OFFICES REGARDING RECENT DEVELOPMENTS IN CAPTIONED MATTER.

OM



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||

PAGE THREE DE HQ 0006 ~~S E C R E T~~

UNLESS

[REDACTED]

[REDACTED]

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b7C
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b7E

SOURCE ADVISED THE

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7E

[Redacted]

b6
b7C
b7D
b7E

SOURCE ADVISED

[Redacted]

[Redacted]

BEFORE

[Redacted]

ACCORDING TO SOURCE,

[Redacted]

[Redacted]

[Redacted]

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b7C
b7D
b7E
b7F

PAGE FIVE DE HQ 0005 ~~S E C R E T~~

[REDACTED] ARE MAKING ARRANGEMENTS TO [REDACTED]

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b7C
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b7E

REFERENCED MY TELETYPE, DATED OCTOBER 11, 1984, NOTED THAT

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b7C
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b7E
b7E

[Redacted]

b6
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SOURCE SAID THE

[Redacted]

[Redacted]

SOURCE ADVISED THAT

[Redacted]

[Redacted]

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ADMINISTRATIVE

SOURCE MENTIONED ABOVE IS

[Redacted]

SOURCE'S IDENTITY MUST

b7D
b7E

BT

#0005

R 180015Z OCT 94

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN ROUTINE 1270-17

LEGAL ATTACHE POMM ROUTINE

BT

~~SECRET~~ SECTION TWO OF TWO

MARC RICH-FUGITIVE; PINCH GREEN-FUGITIVE; ET AL; EPW; MF; PICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK
BE PROTECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF
SOURCE'S IDENTITY IS DISCLOSED.

FOR INFORMATION OF RECEIVING OFFICES, FEDERAL BUREAU OF
INVESTIGATION HEADQUARTERS (FBIHQ) ADVISED BY SECURE TELEPHONE AND
BY OCTOBER 13, 1994 TELETYPE, CAPTIONED [REDACTED] OF POSSIBILITY
THAT SOURCE'S [REDACTED] BUREAU ADVISED
THAT FORMER SPECIAL AGENT (SA) [REDACTED] LOS ANGELES (LA)
DIVISION, [REDACTED]

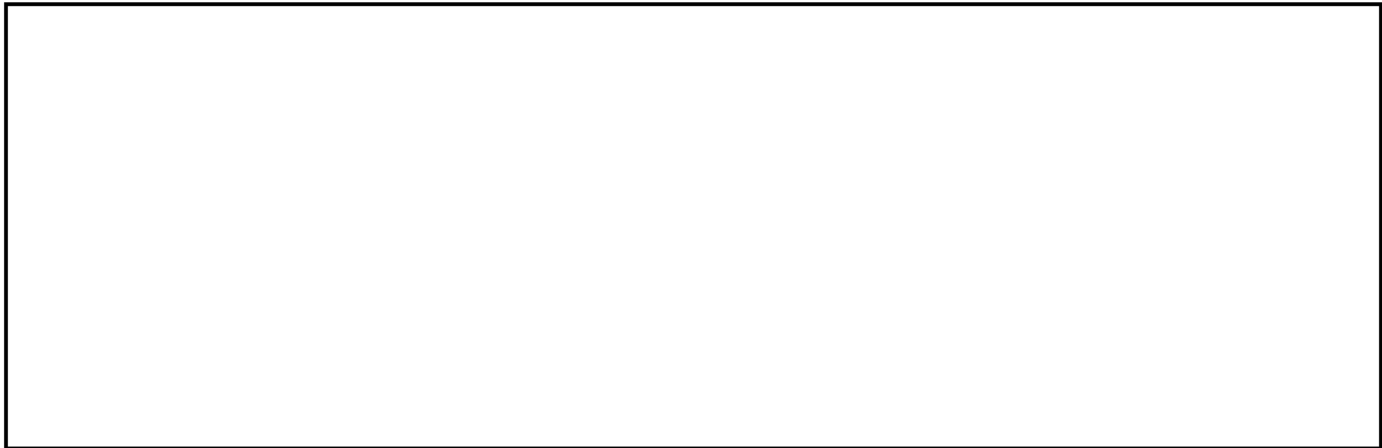
[REDACTED]

[REDACTED] IT WAS NOTED BY HEADQUARTERS AND LA THAT [REDACTED] CASE

AGENT [REDACTED]

[REDACTED]

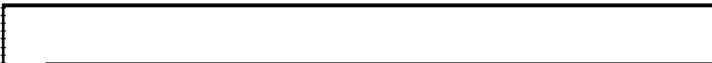
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HOWEVER, IF TAKEN IN TOTAL, THE COMMUNICATIONS MIGHT COMPROMISE SOURCE'S IDENTITY BECAUSE OF THE SINGULAR NATURE OF THE INFORMATION PROVIDED.

ON



SOURCE AND ADVISED

SOURCE OF



b6
b7C
b7D
b7F

WILLING TO CONTINUE ASSISTING THE FEDERAL BUREAU OF INVESTIGATION (FBI).

PAGE THREE DE HQ 0007 ~~S E C R E T~~

THE ABOVE IS PROVIDED FOR INFORMATION OF RECEIVING OFFICES AND
FOR PARTICULAR INFORMATION OF WASHINGTON FIELD OFFICE (WFO) SA

ALTERNATE SOURCE CASE AGENT.

b6
b7c

BUREAU AND RECEIVING OFFICES WILL BE KEPT APPRISED OF
DEVELOPMENTS BY TELEPHONE AND TELETYPE.

~~C BY 0-3; REOL: GAPP~~

BT

#0007

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O 200158Z OCT 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN IMMEDIATE 1078-19

LEGAL ATTACHE BONN IMMEDIATE

LEGAL ATTACHE LONDON IMMEDIATE

BT

~~SECRET~~

SUBJECT: [REDACTED]

b7E

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

ON 10-19-84; NY WBVISED:
[REDACTED]

b7E

REFERENCE NEW YORK TELETYPE TO THE DIRECTOR, DATED OCTOBER 16, 1984.

THE FOLLOWING INFORMATION IS BEING PROVIDED TO APPRISE RECEIVING OFFICES OF THE LATEST DEVELOPMENTS IN THE CAPTIONED MATTER.

b7D
b7E

WARNING: INFORMATION FROM [REDACTED] IS OF A SINGULAR NATURE

183D-54-94

SEARCHED	INDEXED
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OCT 22 1984	
FBI - BERN	

[REDACTED]

b6
b7C

PAGE TWO DE HQ 0011 ~~SECRET~~

AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH
LEVEL INFORMANT, [REDACTED]

b7D
b7F
b7E

WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER
TO THE SOURCE AND SOURCE'S FAMILY.

ON [REDACTED]

b6
b7C
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ON [REDACTED]

ADVISED THAT [REDACTED]

b6
b7C
b7D
b7E

[REDACTED]

b6
b7C
b7D
b7F
b7E

AS THE BUREAU IS AWARE, THE DEPARTMENT OF JUSTICE HAS EXPERIENCED DIFFICULTY IN [REDACTED]

[REDACTED]

b6
b7C
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b7E

[REDACTED]

BASED ON THE ABOVE INFORMATION, THE NEW YORK OFFICE ANTICIPATES THAT THE SPECIAL AGENTS WHO HAVE RECEIVED [REDACTED]

[REDACTED]

b7E

[REDACTED] THE BUREAU AND LEGAT WILL BE PROVIDED WITH [REDACTED]

~~C BY G-3, DECL: OADR~~

BT

#0011

b6
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PCMPPEFZ OCT 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN ROUTINE 1779-22
TO LEGAL ATTACHE BONN ROUTINE
BT

~~SECRET~~

MARC RICH - FUGITIVE; PINGUS GREEN - FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK
BY TELETYPE DATED 10/19/84 FBI NEW YORK ADVISED:

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

REFERENCE NEW YORK (NY) TELETYPE TO DIRECTOR, DATED OCTOBER 16,
1984, AND NY TELEPHONE CALLS TO LEGAT, BONN, DATED OCTOBER 17, 1984
AND OCTOBER 18, 1984.

FOR INFORMATION OF THE BUREAU AND RECEIVING OFFICES, [redacted]

[redacted]

b7D
b7E

ON OCTOBER 17, 1984 AND OCTOBER 18, 1984, A SENSITIVE AND
RELIABLE [redacted] SOURCE [redacted]

b6
b7C
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[redacted] PROVIDED THE

FOLLOWING INFORMATION:

b3
b7E

[redacted]

183D-54-95

SEARCHED INDEXED
SERIALIZED FILED
OCT 24 1984

b6
b7C

[redacted]

SOURCE ADVISED

[REDACTED]

[REDACTED]

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b7C
b7D
b7F

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

SOURCE LEARNED

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

b6
b7C
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b7F

[Redacted]

b6
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b7E

[Redacted]

[Redacted]

[Redacted]

1)

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3)

4)

[Redacted]

b6
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[REDACTED]

IN ANY EVENT,

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

SOURCE MENTIONED ABOVE IS
BE PROTECTED.

SOURCE'S IDENTITY MUST

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~~SECRET~~

0013

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O 230218Z OCT 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN IMMEDIATE 1083-20
LEGAL ATTACHE BONN IMMEDIATE
LEGAL ATTACHE LONDON IMMEDIATE

BT
~~SECRET~~

[redacted]

b7E

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

[redacted]
[redacted]

b7E

BY TELETYPE DATED 10-22-84, FBI NEW YORK PROVIDED THE FOLLOWING:

"WARNING: INFORMATION FROM [redacted] IS OF A SINGULAR NATURE
AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH
LEVEL INFORMANT. [redacted]

b7D
b7F
b7E

WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER

[redacted]

b3
b7E

Orig

183D-54-96
SEARCHED INDEXED
SERIALIZED FILED
OCT 23 1984
FBI - BERN

PAGE TWO DE HQ 0007 ~~S E C R E T~~

TO THE SOURCE AND SOURCE'S FAMILY. ON [REDACTED]

[REDACTED] WAS CONTACTED

AND THE FOLLOWING [REDACTED] INFORMATION OBTAINED.

b6
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[REDACTED]

NY FORESEES THE [REDACTED]

DUAL PURPOSE: [REDACTED]

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b7C
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b7E

[REDACTED]

[REDACTED] BASED UPON INFORMATION PROVIDED BY LEGAT,

BONN IN THE REFERENCED COMMUNICATION, NY ANTICIPATES THE [REDACTED]

[REDACTED]

b6
b7C
b7D
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b7E

[REDACTED]

THEREBY SETTING THE STAGE

FOR ADDITIONAL

[REDACTED]

IT NOW APPEARS THAT

[REDACTED]

[REDACTED]

b6
b7C
b7D
b7F
b7E

ON

[REDACTED]

[REDACTED]

b7E

ON

[REDACTED]

[REDACTED]

b7E

[REDACTED]

b6
b7C
b7E

LEGAT, BERN IS BEING PROVIDED WITH THE ABOVE INFORMATION IN VIEW OF THE ANTICIPATED [REDACTED]

[REDACTED] LEGAT, BERN IS REQUESTED TO TAKE NO INVESTIGATIVE ACTION IN THIS MATTER.

AS LEGAT, LONDON WAS PREVIOUSLY ADVISED, [REDACTED]

b7E

[REDACTED]

LWEAD

LEGAT, BONN. IS REQUESTED TO [REDACTED]

[REDACTED] ADDITIONALLY, LEGAT,

b7D
b7E

BONN IS REQUESTED TO [REDACTED]

[REDACTED]

~~C BY G-3; DECL: OADR~~

BT

#0007

P 260600Z OCT 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 1092-26
LEGAL ATTACHE BONN PRIORITY
R

~~SECRET~~

MARC RICH-FUGITIVE; PINCHUS GREEN-FUGITIVE; ET AL; FBW; WF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY

BY TELETYPE DATED 10/26/84, FBI NEW YORK ADVISES AS FOLLOWS.
THIS COMMUNICATION IS CLASSIFIED ~~"SECRET"~~ IN ITS ENTIRETY.

RENYTELETYPE TO DIRECTOR, DATED OCTOBER 19, 1984; NYTELCALLS TO
ASSISTANT LEGAL ATTACHE DAVID R. BARKHAM, LEGAT, BONN, AND NEW HAVEN
SA [REDACTED], DATED OCTOBER 24, 1984.

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b7C

FOR INFORMATION OF THE BUREAU AND NEW HAVEN, A SENSITIVE AND
RELIABLE [REDACTED] SOURCE [REDACTED]

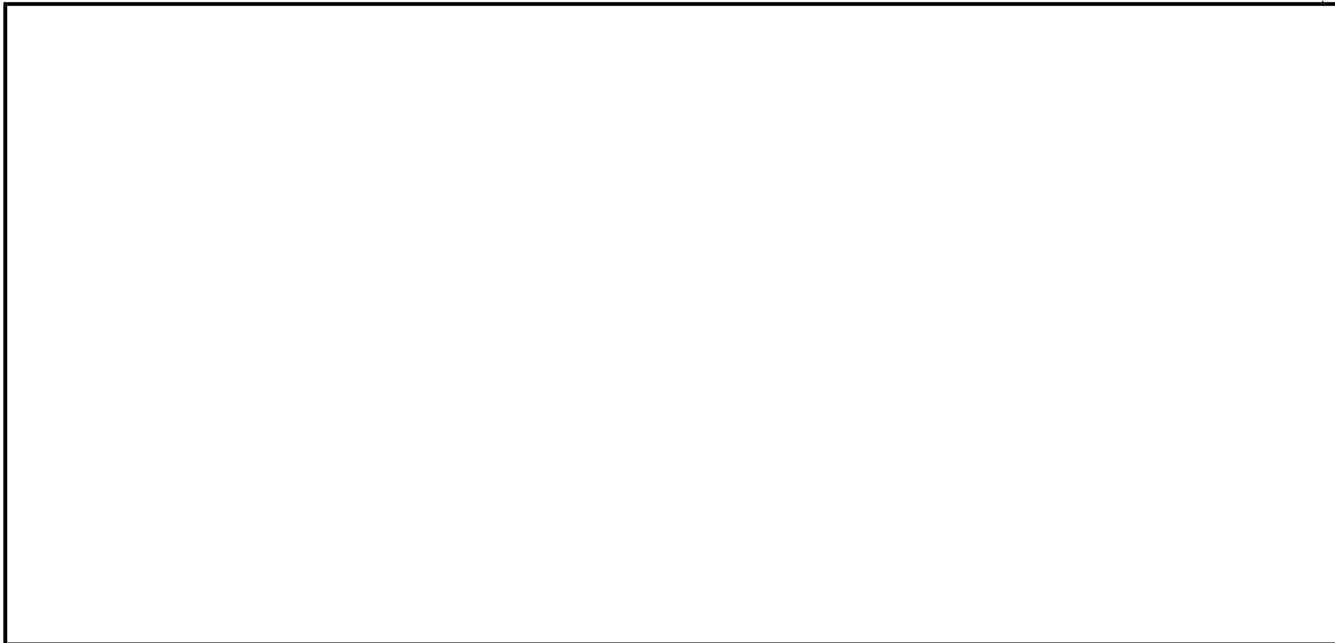
[REDACTED]

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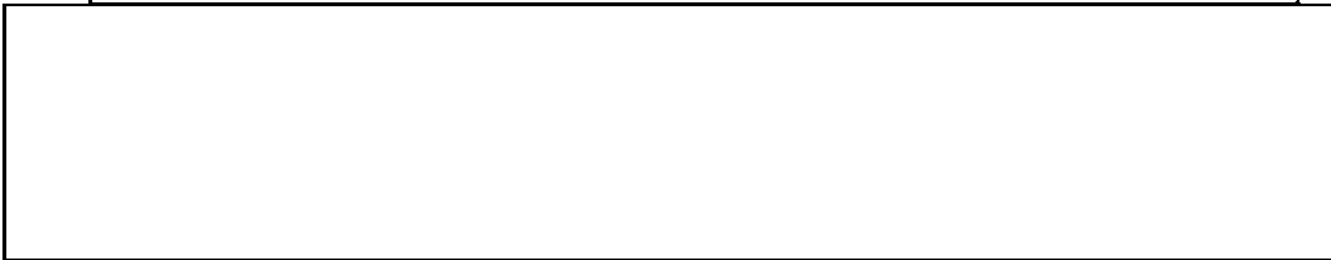
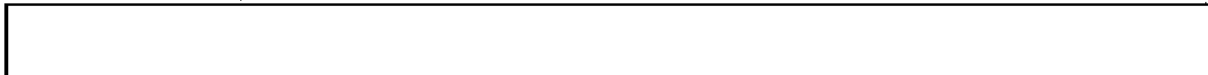
SOURCE ADVISED THAT [REDACTED]

183D-54-97
SEARCHED INDEXED
SERIALIZED 28 FILED 24
OCT 29 1984
[REDACTED]

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b7C



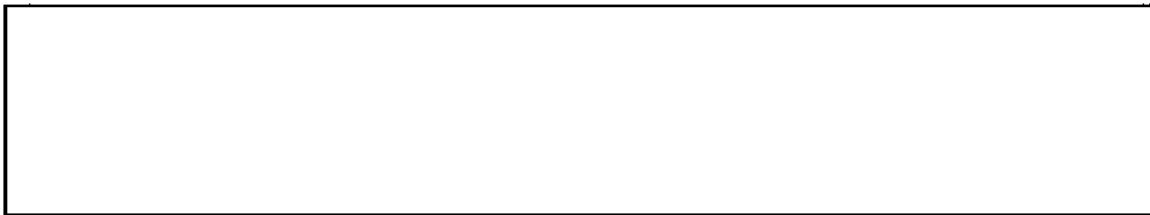
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1)

2)



3)

[REDACTED]

FOR INFORMATION OF BUREAU AND RECEIVING OFFICES, [REDACTED] IS

BELIEVED TO BE IDENTICAL WITH [REDACTED]

[REDACTED] FBI INVESTIGATION HAS

IDENTIFIED [REDACTED]

DURING [REDACTED]

[REDACTED]

RECEIVING OFFICES WILL BE KEPT APPRISED OF DEVELOPMENTS BY TELEPHONE AND TELETYPE.

~~C BY G-3; DECL BY OADR.~~

BT

#0021

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P 033512Z NOV 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 1131-03

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS E F T O SECTION 1 OF 2

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;

INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK

BY TELETYPE DATED 11/2/84 FBI NEW YORK ADVISED:

RENYTEL TO DIRECTOR, OCTOBER 25, 1984, AND NUMEROUS TELCALLS
BETWEEN NEW YORK SA [REDACTED] ASSISTANT LEGAL ATTACHE DAVID
R. BARHAM AND NEW HAVEN SA [REDACTED] OCTOBER 24-33, 1984.

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FOR INFORMATION OF BUREAU AND RECEIVING OFFICES, A SENSITIVE
AND RELIABLE [REDACTED] SOURCE [REDACTED]

[REDACTED]

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100 [REDACTED]

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183D-54-98

SEARCHED	INDEXED
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[REDACTED]

PAGE TWO DE HQ 0031 UNCLAS E F T O

SOURCE ADVISED

[REDACTED]

[REDACTED]

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DURING

[REDACTED]

[REDACTED]

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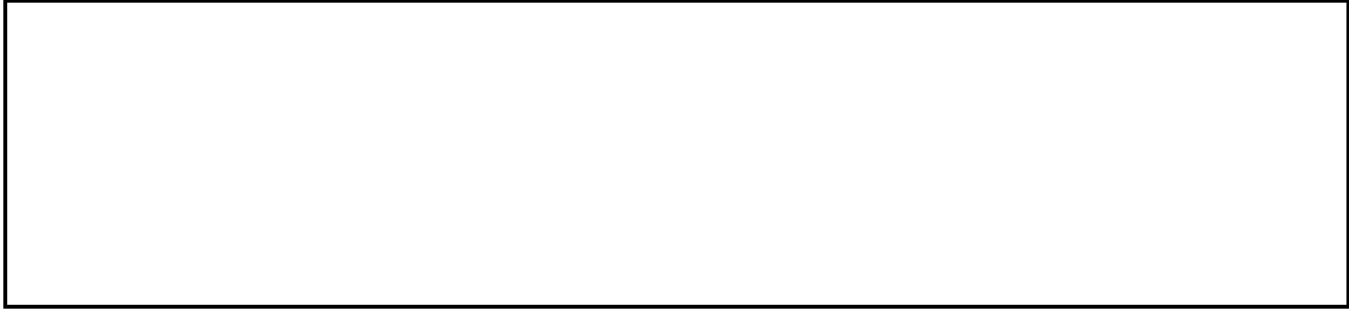
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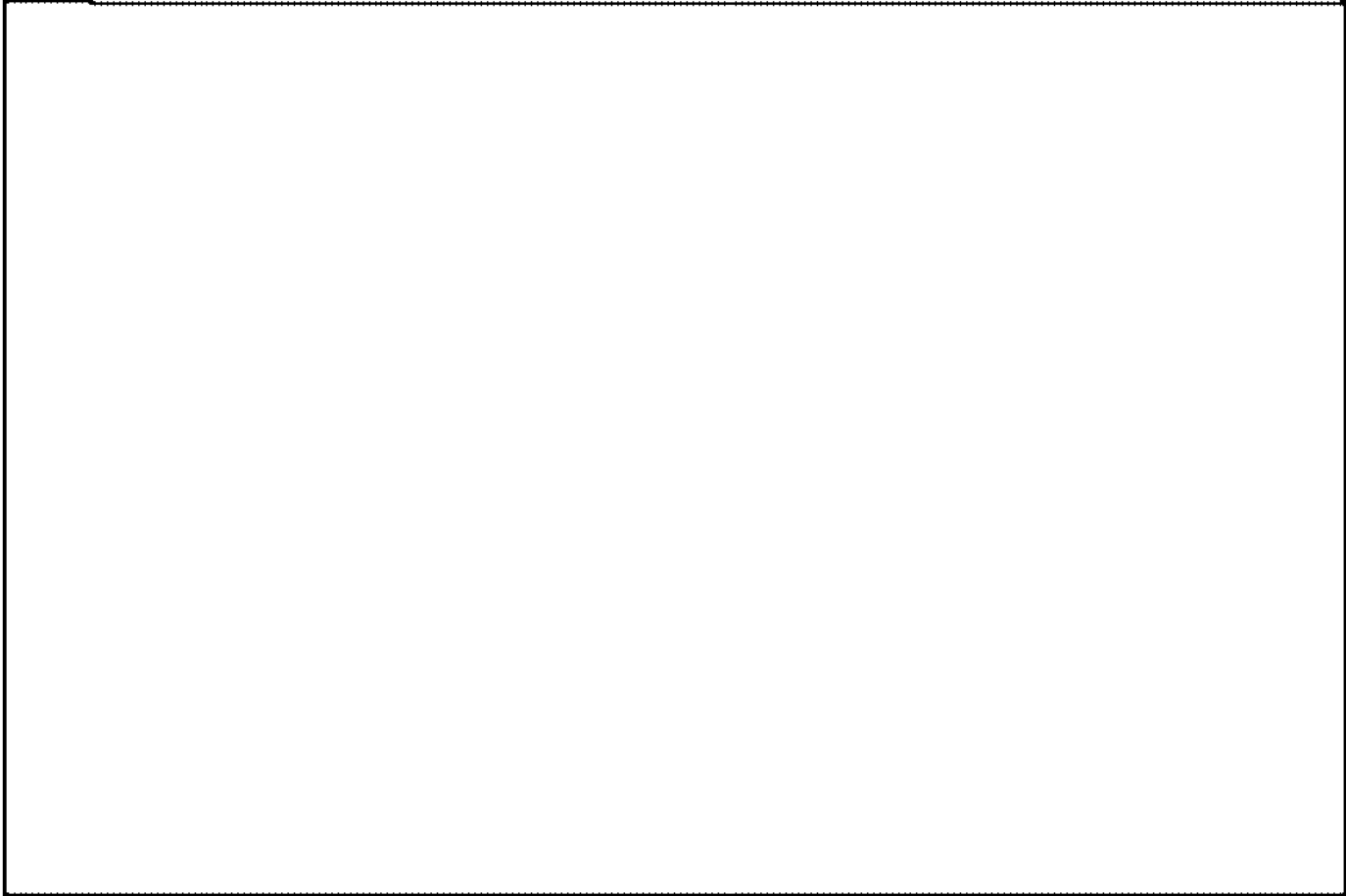
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PAGE THREE DE HQ 0031 UNCLAS E F T O



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[Redacted]

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SOURCE ADVISED

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SOURCE ADVISED

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PAGE FIVE DE HQ 4331 UNCLAS E F T O



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PAGE SIX DE HQ 0031 UNCLAS E F T O

RECEIVING OFFICES WILL BE KEPT APPRISED OF DEVELOPMENTS BY TELEPHONE AND TELETYPE.

SOURCE MENTIONED ABOVE IN [REDACTED] SOURCE'S IDENTITY MUST BE PROTECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCE'S IDENTITY IS DISCLOSED.

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FOR INFORMATION OF BUREAU AND RECEIVING OFFICES, [REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

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BT

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FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 1132-03

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS E F T O SECTION 2 OF 2

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;

INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NEW YORK

[REDACTED]

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[REDACTED]

SOURCE EXPRESSED

[REDACTED]

[REDACTED]

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PAGE TWO DE HQ 0032 UNCLAS E F T O

IN REGARD TO THE

[REDACTED]

[REDACTED]

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CN ~~C BY G 3; DECL; OADR.~~

BT

#0032

NNNN

P 140342Z DEC 84
FM DIRECTOR FBI
TO LEGAL ATTACHE BERN PRIORITY 1308-14
LEGAL ATTACHE BONN PRIORITY
BT

~~SECRET~~

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

BY TELETYPE DATED 12/13/84, FBI NEW YORK ADVISED:

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

RENYTELS TO DIRECTOR, OCTOBER 12, 1984, NOVEMBER 5, 1984, AND
NYTELCALLS TO FBIHQ, LEGAT, BONN, AND NEW HAVEN SA [REDACTED]
DECEMBER 10-11, 1984.

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THE PURPOSE OF THIS TELETYPE IS TO UPDATE RECEIVING OFFICES
REGARDING RECENT DEVELOPMENTS IN CAPTIONED MATTER.

FOR INFORMATION OF THE BUREAU AND RECEIVING OFFICES, A
SENSITIVE AND RELIABLE [REDACTED] SOURCE [REDACTED]

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[REDACTED]

3/20

100-54-100

SEARCHED	INDEXED
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DEC 14 1984	
FBI - BERN	

[REDACTED]

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ACCORDING TO SOURCE,

[REDACTED]

[REDACTED]

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[REDACTED]

DURING

[REDACTED]

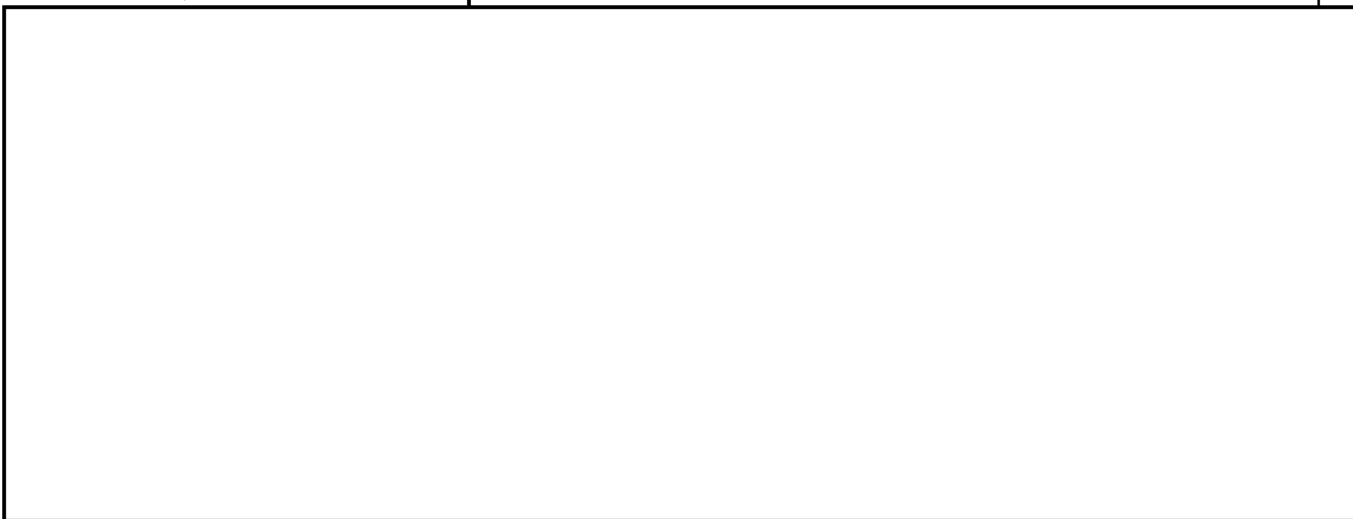
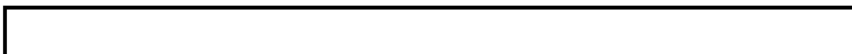
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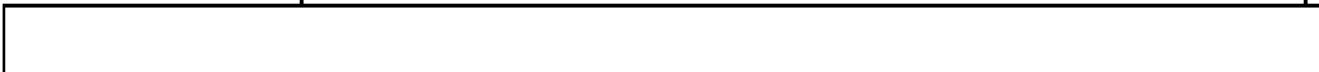
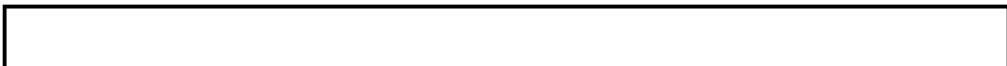
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IN ADDITION TO THE



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FOLLOWING



PAGE FOUR DE HQ 2305 ~~SECRET~~

[REDACTED]

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OZDECEMBER 12, 1984, SOURCE ADVISED THAT

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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[REDACTED]

IN REGARD TO THE

[REDACTED]

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BASED ON

[REDACTED]

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[REDACTED]

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IT IS NOTED THAT

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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IN REGARD TO

[REDACTED]

[REDACTED]

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BT

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P 140042Z DEC 84

FM DIRECTOR FBI

TO LEGAL ATTACHE BERN PRIORITY 1309-14

LEGAL ATTACHE BONN PRIORITY

BT

~~SECRET~~ SECTION TWO OF TWO

MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.



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~~C BY G-3; DECL: OADR~~

ADMINISTRATIVE

SOURCE MENTIONED ABOVE IS SOURCE'S IDENTITY MUST
BE PROTECTED AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF
SOURCE'S IDENTITY IS DISCLOSED.

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PAGE TWO DE HQ 0006 ~~SECRET~~

BOTH SOURCE AND THE NYO

[REDACTED]

[REDACTED]

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IT IS NOTED THAT MUCH INFORMATION DEVELOPED BY SOURCE IN THIS

CASE

[REDACTED]

[REDACTED]

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IN THIS REGARD, NYO HAS BEEN ATTEMPTING TO VERIFY INFORMATION
FURNISHED TO SOURCE [REDACTED] THE NYO HAS DETERMINED THAT

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[REDACTED]

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INFORMATION DEVELOPED DIRECTLY BY SOURCE HAS PROVED TO BE
HIGHLY ACCURATE. [REDACTED]

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[REDACTED] IS AN EXAMPLE OF THE
ACCURACY OF SOURCES INFORMATION.

RECEIVING OFFICES WILL BE KEPT APPRISED OF DEVELOPMENTS BY
TELEPHONE AND TELETYPE.

BT

#0035

NNNN

P 030909Z JAN 85

FM DIRECTOR FBI

TOL LEGAL ATTACHE BERN PRIORITY 120-03

LEGAL ATTACHE BONN PRIORITY

BT

UNCLAS E F T O

MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NEW YORK

RENYTEL TO THE BUREAU, DATED JANUARY 11, 1985.

FOR INFORMATION OF THE BUREAU AND WASHINGTON FIELD, ON
JANUARY 31, 1985, A VERY RELIABLE AND SENSITIVE [redacted] SOURCE

PROVIDED THE FOLLOWING INFORMATION REGARDING [redacted]

SOURCES [redacted]

[Large redacted area]

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183D invalid

*Reclassify 3/27/85
into 196A -
J*

183D-54-101

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FEB 1985	
FBI - BERN	

T4/15

PAGE TWO DE HQ 0024 UNCLAS E F T O

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[REDACTED]

[REDACTED]

BUREAU AND WASHINGTON FIELD WILL BE KEPT APPRAISED OF DEVELOPMENTS.

ADMINISTRATIVE

[REDACTED]

SOURCE IS

[REDACTED]

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SOURCES IDENTITY MUST BE PROTECTED, AS SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER IF SOURCES IDENTITY IS DISCLOSED. INFORMATION FROM SOURCE IS SINGULAR IN NATURE AND SHOULD BE HANDLED WITH CAUTION.

BT

#0024

NNNN

FEDERAL BUREAU OF INVESTIGATION
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TO: SAC,

TO: LEGAT,

- Albany
- Albuquerque
- Alexandria
- Anchorage
- Atlanta
- Baltimore
- Birmingham
- Boston
- Buffalo
- Butte
- Charlotte
- Chicago
- Cincinnati
- Cleveland
- Columbia
- Dallas
- Denver
- Detroit
- El Paso
- Honolulu
- ASAC, Brooklyn Queens (MBA)
- Houston
- Indianapolis
- Jackson
- Jacksonville
- Kansas City
- Knoxville
- Las Vegas
- Little Rock
- Los Angeles
- Louisville
- Memphis
- Miami
- Milwaukee
- Minneapolis
- Mobile
- Newark
- New Haven
- New Orleans
- New York City
- Norfolk
- Oklahoma City
- Omaha
- Philadelphia
- Phoenix
- Pittsburgh
- Portland
- Richmond
- Sacramento
- St. Louis
- Salt Lake City
- San Antonio
- San Diego
- San Francisco
- San Juan
- Savannah
- Seattle
- Springfield
- Tampa
- Washington Field
- Quantico

- Bern
- Bogota
- Bonn
- Canberra
- Hong Kong
- London
- Mexico City
- Montevideo
- Ottawa
- Panama City
- Paris
- Rome
- Tokyo

RE: MARK RICH
PINCUS GREEN

1-29-87

Date

b6
b7C

- Retention For appropriate
- For information
 - optional
 - action
 - Surep, by _____
 - The enclosed is for your information. If used in a future report, conceal all sources, paraphrase contents.
 - Enclosed are corrected pages from report of SA _____ dated _____

Remarks:

ATTACHED FOR NEW YORK IS ONE COPY OF A TELETYPE DATED 1-21-87.

AUTOMATIC SEARCH

DATE 1/21/87 FEB 03 1987

194A-1774-433

INDEXED
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1987

DRK
1/28

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Urfile

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY 01-081

b6
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FBI/DOJ

INBOX.4 (#3412)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 071/0027 PRIORITY

DATE: 12 MAR 87 16:49:01 GMT

CC:

TEXT:
VZCZCH000027

P 120707Z MAR 87

FM ROME (183D-81) (P)

TO DIRECTOR (196B-2848) PRIORITY

FBI NEW YORK (196A-1774) PRIORITY

BT

UNCLAS E F T O

ATTN: FUGITIVE/GENERAL GOVERNMENT CRIMES UNIT

MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; ET AL;

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (OO:NY)

ON MARCH 5, 1987, LEGAT, ROME RECEIVED THE FOLLOWING INFORMATION
FROM THE AMERICAN CONSULATE [REDACTED] VIA A CABLE
DATED MARCH 4, 1987:

ON [REDACTED] THE REGIONAL SECURITY OFFICER
(RSO), AND CHIEF OF CONSULY SECTION, [REDACTED] MET WITH

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[REDACTED] DPOR: [REDACTED] PASSPORT

[REDACTED] ADVISED THAT

HE WAS [REDACTED]

[REDACTED]

1+2

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-081

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196A-1774-436

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 12 1987	
C-1 FBI NEW YORK	

PAGE TWO

ROME (183D-81)

UNCLAS E F T O

[REDACTED]

PREVIOUS COMMUNICATIONS HAVE ESTABLISHED THAT GREEN IS WANTED IN THE U.S. FOR MAIL FRAUD, WIRE FRAUD, TAX EVASION AND RACKETEERING BY FBI. CONSULATE WAS ADVISED TO ENCOURAGE [REDACTED] TO CONTACT FBI IN NY, [REDACTED]

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[REDACTED]

[REDACTED] FURTHER VOLUNTEERED KNOWLEDGE/INFORMATION ON A [REDACTED]

[REDACTED]

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FBIHQ AND THE BUREAU ARE REQUESTED TO ADVISE LEGAT, ROME RESPONSE TO BE FURNISHED TO AMERICAN CONSULATE, [REDACTED] BASED ON THE ABOVE INFORMATION.

BT

#0027

NNNN

-----END OF DOCUMENT-----

-->

Memorandum



~~SECRET~~

To : SAC, (NY 96A-1774) (P)

Date: 3/8/87

From : SA [redacted] (C-1)

b6
b7C

Subject : MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO; INCOME TAX EVASION;
TRADING WITH THE ENEMY
(OO:NY)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

In view of my recent transfer to the Washington Field Office and pursuant to instructions received during last file review, captioned matter is being reassigned to SA [redacted]

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REMAINING WORK:

SA's [redacted] will maintain contact with [redacted]

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On 3/15/87, source [redacted]

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****NOTE :** All outgoing communications containing information from above source must be properly disguised to protect source.

AUSA handling the RICH case is AUSA [redacted]

SDNY, [redacted]

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[redacted] is being kept at an absolute minimum for protection of source.

*Reassign
TO SA*

① (NY 196-1774)
WMM/wmm

~~CLASSIFIED BY
REASON: 1.5
DECLASSIFY ON: X
01-081~~

~~SECRET~~

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196A-1774-430

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[redacted]	DRK

~~SECRET~~

Best Copy Available

back of page

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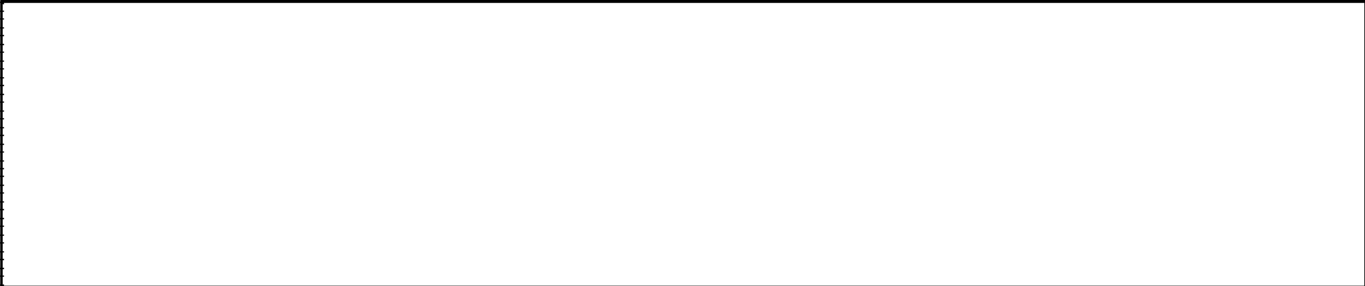
1978-11-11

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1978-11-11

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10-92

FBI

TELETYPE

PRIORITY

UNCLAS

3/17/87

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PRIORITY
NEW YORK (196A-1774) (P) (C-1)
PRIORITY
DIRECTOR FBI (196B-2848)
ROME (183D-81) ()
BT
UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MAIL FRAUD; RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

RE LEGAT ROME TELETYPE TO DIRECTOR, DATED MARCH 12, 1987.

MARC RICH AND PINCUS GREEN WERE NAMED ON SEPT. 19, 1983, IN THE SOUTHERN DISTRICT OF NEW YORK (SDNY), IN A 51-COUNT INDICTMENT CHARGING BOTH WITH NUMEROUS COUNTS OF VIOLATIONS SET FORTH IN THE ABOVE TITLE. ARREST WARRANTS ISSUED ARE STILL OUTSTANDING AS BOTH

196A-1774-438

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 19 1987	
YORK	

b6
b7c

- ① - New York
- 1 - Supervisor C-1

JCM:jk067V3
(2)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 3/21/01 BY
61-081



Approved: TLS/em Transmitted 077/111 Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: taj

SUBJECTS REMAIN FUGITIVES IN SWITZERLAND.

NYO IS OF UNDERSTANDING ISRAEL WILL NOT ARREST EITHER RICH OR GREEN, BASED ON U.S. WARRANTS.

[REDACTED] SHOULD BE PERSUADED TO CONTACT NYO, SECTION C-1, OR IF HE REMAINS IN [REDACTED] SHOULD CONTACT [REDACTED]

b6
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b7D

[REDACTED]

NYO UNABLE TO IDENTIFY [REDACTED] MENTIONED IN REFERENCED TELETYPE, BASED ON INFORMATION PROVIDED.

b6
b7C

OUTBOX HAS 1 DOCUMENT

OUTBOX.1 (#712)

TO: HQ2 @ EMH2

FROM: NYTX @ EMH2

SUBJECT: 077/111L PRIORITY

DATE: 18 MAR 87 18:46:17 GMT

CC:

TEXT: VZCZCNY0111

PP HQ

DE NY #0111 0771829

ZNY UUUUU

R 181636Z MAR 87

FM FBI NEW YORK (196A-1774) (P) (C-1)

TO DIRECTOR FBI (196B-2848) () PRIORITY

LEGAL ATTACHE ROME (183D-81) () PRIORITY

BT

UNCLAS

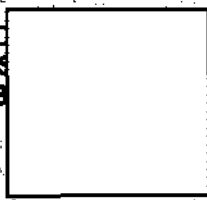
MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MAIL FRAUD; RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NEW YORK.

RE LEGAT ROME TELETYPE TO DIRECTOR, DATED MARCH 12, 1987.

MARC RICH AND PINCUS GREEN WERE NAMED ON SEPT. 19, 1983, IN THE SOUTHERN DISTRICT OF NEW YORK (SDNY), IN A 51-COUNT INDICTMENT CHARGING BOTH WITH NUMEROUS COUNTS OF VIOLATIONS SET FORTH IN THE ABOVE TITLE. ARREST WARRANTS ISSUED ARE STILL OUTSTANDING AS BOTH

196A-1774-438

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 01-081



SEARCHED	INDEXED
SERIALIZED	FILED
MAR 19 1987	
FBI NEW YORK	

EM

b6
b7C

SUBJECTS REMAIN FUGITIVES IN SWITZERLAND.

NYO IS OF UNDERSTANDING ISRAEL WILL NOT ARREST EITHER RICH OR GREEN, BASED ON U.S. WARRANTS.

[REDACTED] SHOULD BE PERSUADED TO CONTACT NYO, SECTION C-1, OR IF HE REMAINS IN [REDACTED] SHOULD CONTACT [REDACTED]

b6
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[REDACTED]

NYO UNABLE TO IDENTIFY [REDACTED] MENTIONED IN REFERENCED TELETYPE, BASED ON INFORMATION PROVIDED.

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BT

#0111

NNNN

-----END OF DOCUMENT-----

-->

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~~SECRET~~

JJR:vhc

NY 196A-1774

~~SECRET~~

On [redacted] Special Agents (SAs) [redacted]
and [redacted] met with source [redacted] and another
individual who will be a [redacted]

b6
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b7D

Source advised that [redacted]

[redacted]

b6
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b7E

Source advised that [redacted]

[redacted]

b7D

SA [redacted] advised source that the appropriate case
Agents will be advised.

b6
b7C

~~CLASSIFIED BY [redacted]
REASON: 1.5 (S,)
DECLASSIFY ON: X 6~~

~~INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE~~

~~2/21/01
CLASSIFIED
REASON: 1.5 (S,)
DECLASSIFY ON: X 6
01-081~~

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b7C

196A-1774-439
SEARCHED _____ INDEXED _____
SERIALIZED 2 FILED 7
MAR 27 1987
[redacted] ORK

~~ALL INFORMATION OF
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]~~

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b7C

~~01-081~~

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back of page

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~~SECRET~~

INBOX.1 (#2170)

TO: NY @ EMH1

FROM: HQT X @ EMH1

SUBJECT: 111/045 ROUTINE

DATE: 21 APR 87 15:26:23 GMT

CC:

TEXT:
-VZCZCH00045

R 211247Z APR 87

FM ROME (183D-81)(P)

TO DIRECTOR FBI (196B-2848) ROUTINE

FBI NEW YORK (196A-1774)(C-1) ROUTINE

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b7C

196A-1774-441

SEARCHED.....	INDEXED.....
SERIALIZED.....	FILED.....
APR 21 1987	
CI	

BT

UNCLAS E F T O

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE; ET AL; FBW; MF; RICO;
INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

MW

RE NY TEL MARCH 18, 1987.

ON APRIL 21, 1987, ROME RECEIVED THE FOLLOWING INFORMATION

FROM THE AMERICAN CONSULATE, [REDACTED]

"ON [REDACTED]

DPDB [REDACTED]

AGAIN APPEARED AT THE AMERICAN CONSULATE IN [REDACTED]

AND [REDACTED]

b6
b7C
b7D

"HE STATED THAT [REDACTED]

b6
b7C
b7D

NONCE
HEREIN IS UNCLASSIFIED
DATE 2/1/01 BY
01-081

b6
b7C

[REDACTED]

STATED THAT

[REDACTED]

[REDACTED]

b6
b7C
b7D

[REDACTED]

[REDACTED]

[REDACTED]

b6
b7C
b7D

[REDACTED]

[REDACTED]

b6
b7C
b7D

[REDACTED]

[REDACTED]

HANDLED THE CASE.

"SOURCE OFFERS THIS INFORMATION BECAUSE IT WAS [REDACTED]

[REDACTED] SOURCE IS HOPING THAT BECAUSE

OF HIS INFORMATION THE [REDACTED]

b6
b7C
b7D

NEW YORK IS REQUESTED TO DETERMINE IF ABOVE FACTS CAN BE
VERIFIED TO DETERMINE IF SOURCE IS WORTHWHILE TO POSSIBLY
INTERVIEW IN THE FUTURE.

BT

FBI

TELETYPE

ROUTINE

UNCLAS

4/29/87

10.27

Pls. send

2/19

Corrections made

ROUTINE
NEW YORK (196A-1774) (P) (C-1)
ROUTINE
DIRECTOR FBI (196B-2848) ()
ROME (183D-81) ()
BT
UNCLAS

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

RENYTEL TO DIRECTOR, DATED APRIL 13, 1987, AND LEGAT ROME TELETYPE TO DIRECTOR, DATED APRIL 21, 1987.

b6
b7C

ON APRIL 22, 1987, [REDACTED] FREEDOM NATIONAL BANK, 275 WEST 125TH STREET, NEW YORK, NEW YORK, ADVISED THAT [REDACTED] THE BANK. SHE ADVISED

(X)

1 - New York
1 - Supervisor C-1
JCM:crd010v3
(2)

b6
b7C

196A-1774-442

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 4 1987	
FBI - NEW YORK	

Approved: TLS/OM Transmitted 121/129 Per _____

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WP Initials: taf

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/1/01 BY 01-081

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b7C

SHE UNDERSTANDS [REDACTED] SOMETIME AGO,
BUT HAS NO ADDITIONAL INFORMATION AT HAND.

b6
b7C

ON APRIL 22, 1987, THE 61ST PRECINCT OF THE NEW YORK CITY
POLICE DEPARTMENT WAS CONTACTED AND A REPRESENTATIVE ADVISED SHE
COULD LOCATE NO RECORD OF THE [REDACTED] INCIDENT. SHE NOTED IT IS
POSSIBLE, ~~THAT~~ THE INCIDENT WAS REPORTED, BUT CANNOT LOCATE ANY
INFORMATION CONCERNING THE MATTER WITHOUT A MORE PRECISE DATE OF
OCCURRENCE.

SPECIAL AGENT [REDACTED] SPECIAL AGENT [REDACTED] AND AUSA [REDACTED]
[REDACTED] SDNY, WHO ARE HANDLING THE [REDACTED] WERE
ADVISED OF THE INFORMATION FURNISHED THE NYO BY LEGAT, ROME IN
REFERENCED TELETYPE.

b6
b7C
b7E

THE NYO FEELS IT IS WORTH WHILE TO HAVE [REDACTED] INTERVIEWED
FOR ANY FURTHER INFORMATION CONCERNING [REDACTED]

b6
b7C
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✓

IT IS ALSO REQUESTED AS SET FORTH IN REFERENCED NEW YORK
TELETYPE THAT [REDACTED] BE INTERVIEWED REGARDING INFORMATION CONCERNING
[REDACTED]

b6
b7C
b7D

OUTBOX.4 (#1655)

TO: HQ2 @ EMH2

FROM: NYTX @ EMH2

SUBJECT: 121/123 L ROUTINE

DATE: 1 MAY 87 21:14:02 GMT

CC:

TEXT: VZCZCNY0123

RR HQ

DE NY #0123 1212039

ZNY UUUUU

R 011910Z MAY 87

FM FBI NEW YORK (196A-1774) (P) (C-1)

TO DIRECTOR FBI (196B-2848) ROUTINE

LEGAL ATTACHE ROME (183D-81) ROUTINE

BT

UNCLAS

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

RENYTEL TO DIRECTOR, DATED APRIL 13, 1987, AND LEGAT ROME TELETYPE TO DIRECTOR, DATED APRIL 21, 1987.

ON APRIL 22, 1987, [REDACTED] FREEDOM NATIONAL BANK, 275 WEST 125TH STREET, NEW YORK, NEW YORK, ADVISED THAT [REDACTED]

b6
b7C

[REDACTED] THE BANK. SHE ADVISED

196A-1774-442

SEARCHED.....	INDEXED.....
SERIALIZED.....	FILED.....
MAY 4 1987	
FBI NEW YORK	

[Signature]

ALL INFORMATION
HEREIN IS UNCLAS
DATE 2/21/01

01-081



b6
b7C

SHE UNDERSTANDS [REDACTED] SOMETIME AGO,
BUT HAS NO ADDITIONAL INFORMATION AT HAND.

b6
b7C

ON APRIL 22, 1987, THE 61ST PRECINCT OF THE NEW YORK CITY
POLICE DEPARTMENT WAS CONTACTED AND A REPRESENTATIVE ADVISED SHE
COULD LOCATE NO RECORD OF THE [REDACTED] INCIDENT. SHE NOTED IT IS
POSSIBLE THAT, THE INCIDENT WAS REPORTED, BUT CANNOT LOCATE ANY
INFORMATION CONCERNING THE MATTER WITHOUT A MORE PRECISE DATE OF
OCCURRENCE.

SPECIAL AGENT [REDACTED] SPECIAL AGENT [REDACTED] AND AUSA [REDACTED]
[REDACTED] SDNY, WHO ARE HANDLING THE [REDACTED] WERE
ADVISED OF THE INFORMATION FURNISHED THE NYO BY LEGAT, ROME IN
REFERENCED TELETYPE.

b6
b7C
b7E

THE NYO FEELS IT IS WORTH WHILE TO HAVE [REDACTED] INTERVIEWED
FOR ANY FURTHER INFORMATION CONCERNING [REDACTED]

b6
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[REDACTED]

IT IS ALSO REQUESTED AS SET FORTH IN REFERENCED NEW YORK
TELETYPE THAT [REDACTED] BE INTERVIEWED REGARDING INFORMATION CONCERNING

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[REDACTED]

BT

#0123

NNNN

-----END OF DOCUMENT-----

-->

INBOX:3 (#2775)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 166/0012 PRIORITY

DATE: 19 JUN 87 16:54:13 GMT

CC:

TEXT:

VZCZCH000012 1661503

F 120831Z JUN 87

FM ROME (183D-81) (P)

TO ACTING DIRECTOR PRIORITY

NEW YORK (196A-1774) (SQ C-1) PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY

RENYTEL MAY 1, 1987.

FOR INFO OF RECIPIENTS. SUBSEQUENT TO RECEIPT OF REFERENCED TELETYPE, LEGAT ROME ADVISED THE AMERICAN CONSULATE, [REDACTED] AND AMERICAN EMBASSY, TEL AVIV, OF REQUEST FOR ASSISTANT LEGAT

[REDACTED] TO TRAVEL TO [REDACTED] DURING WEEK OF [REDACTED] IN ORDER TO INTERVIEW [REDACTED]

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VIA RESPONSE RECEIVED JUNE 2, 1987, AMERICAN CONSULATE,

[REDACTED] ADVISED THAT A PRELIMINARY APPOINTMENT TO HAVE [REDACTED] INTERVIEWED AS LEGAT REQUESTED HAD BEEN MADE.

AT THAT TIME LEGAT WAS ADVISED THAT [REDACTED] VOLUNTEERED TO CONSULATE PERSONNEL THAT A [REDACTED]

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196-1774-443

ALL INFORMATION
HEREIN IS UNCLAS
DATE 2/21/01 BY
01-081

b6
b7C

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____

JUN 15 1987

CT1

[REDACTED]

b6
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b7D

[REDACTED] FURTHER STATED THAT [REDACTED]

[REDACTED]

[REDACTED] HAS AGAIN ASKED ABOUT [REDACTED]

b6
b7C
b7D

[REDACTED] F ASSISTANT LEGAT.

FR INFORMATION OF FBIHQ AND NY YORK, DUE TO ARRIVAL IN

[REDACTED]

[REDACTED] THAT WILL TIE UP

ASSISTANT LEGAT AND ARRIVAL OF FBIHQ PERSONEL THE WEEK

THEREAFTER, ASSISTANT LEGAT HAS SO ADVISE [REDACTED] AND TEN-

TATIVELY SCHEDULED TO TRAVEL TO [REDACTED] ON OR ABOUT [REDACTED]

b6
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UPON CONCURRENCE OF PROJECTED TRAVEL PLANS FROM ISRAEL,

ROME WILL ADVISE.

IN VIEW OF [REDACTED] INFO

PROVIDED, NY YORK AND FBIHQ ARE REQUESTED TO ADVISE WHAT

[REDACTED] FOR THE INFO HE WILL

FURNISH TO THE BUREAU.

BT

00012

NNNN

-----END OF DOCUMENT-----

NOTE: Hand print names legibly; handwriting satisfactory for remainder.

Indices: Negative See below

Subject's name and aliases <i>MARC Rich - fugitive; ET AL Rico, FBW (W.N.Y.)</i>		Character of case <i>FBW 196 A - 1774</i>	
		Complainant <input type="checkbox"/> Protect Source <i>- NORTH HAVEN P.D. (NHPD) CONN.</i>	
		Complaint received <input type="checkbox"/> Personal <input checked="" type="checkbox"/> Telephonic Date <i>6/4/87</i> Time <i>3:00 AM</i>	

b6
b7C

Address of subject		Complainant's address and telephone number <i>NORTH HAVEN PD, CONN.</i>	
--------------------	--	--	--

Subject's Description	Race	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Height	Hair	Build	Birth date and Birthplace
	Age		Weight	Eyes	Complexion	Social Security Number
	Scars, marks or other data					

Facts of complaint

C advised the NHPD arrested one [redacted] DOB [redacted] violation. C stated NHPD checked NCIC AND "hit" ON THE NAME MARC Rich, [redacted] DOB AND physical description. OPC-NY faxed a photo OF MARC Rich To FBI - New Haven To be picked up by NHPD. [redacted] reviewed this fax photo AND WAS NOT CERTAIN it is the [redacted] THAT WAS arrested. [redacted] had [redacted] fingerprints delivered To FBI - New Haven. To be faxed To FBIHQ For comparison With any MARC Rich prints on Record. FBIHQ ID. Div. CONTACT WAS [redacted]

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b6
b7C

b6
b7C

Action Recommended <i>SA [redacted] Case about Case [redacted] advised [redacted] OPC-NYO.</i>		Do not write in this space. <i>- New Haven supervisor 593, [redacted] To be advised. - NYO. C-1 supervisor [redacted] AND [redacted] advised</i>	
		ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE <i>2/21/01</i> BY <i>01-081</i> BLOCK <i>5</i>	

b6
b7C

196 1774-444

INBOX.3 (#1807)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 212/0237 PRIORITY

DATE: 31 JUL 87 15:03:25 GMT

CC:

TEXT:
VZCZCH00237

P 300728Z JUL 87

FM ROME (183D-81) (P)

TO ACTING DIRECTOR PRIORITY

NEW YORK (196A-1774) (SQ. C-1) PRIORITY

BT

UNCLAS E F T O

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);

ET AL; FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE

ENEMY; OO: NEW YORK

REROMAIRTEL DATED JULY 15, 1987.

[REDACTED] ARRANGED [REDACTED] TO MEET

REGIONAL SECURITY OFFICER (RSO) AT [REDACTED] CONSULATE //

b6
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b7D

[REDACTED] RSO THOUGHT WOULD BE INVOLVED FOR HIS INFORMATION

GIVEN TO LEGAT ON RECENT VISIT TO [REDACTED]

b6
b7C
b7D

[REDACTED] OFFERED PREVIOUSLY UNDISCLOSED INFORMATION ON A

196A-1774-445

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 31 1987	
FBI - NEW YORK	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [REDACTED]

01-081

b6
b7C

[REDACTED]

b6
b7C
b7D

[REDACTED] WISHES TO KNOW EXACTLY [REDACTED]

[REDACTED]

b6
b7C
b7D

[REDACTED] STATES THAT [REDACTED]

b6
b7C
b7D

[REDACTED]

THIS ADDITIONAL INFORMATION, AGAIN, SEEMS [REDACTED]

[REDACTED]

b6
• b7C
b7D

IN VIEW OF THE ABOVE, IT IS REQUESTED THAT NY DIVISION PROVIDE LEGAT ROME AS SOON AS POSSIBLE AN IDEA OF WHAT [REDACTED] [REDACTED] UPON SUCCESSFUL SOLUTION OF THESE MATTERS.

BT

#0237

NNNN

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 7/14/87

[redacted] also known as (aka) [redacted] appeared at the United States (U.S.) Consulate, [redacted] and voluntarily provided the following information:

b6
b7C
b7D

[redacted] advised that he knows [redacted]

[redacted]

b6
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b7D

[redacted] further advised that [redacted]

[redacted]

b6
b7C
b7D

[redacted] state that [redacted]

[redacted]

b6
b7C
b7D

[redacted] advised that [redacted]

[redacted]

(1,2)
f

b6
b7C
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
a-081

196A-774-446

Investigation on [redacted] at [redacted]

Assistant Legal Attaches [redacted]

CFR:vls

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SEARCHED INDEXED
SERIALIZED FILED - 81
AUG 13 1987
FBI NEW YORK

by [redacted] Date [redacted] ted.

b6
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b7D

Continuation of FD-302 of

[Redacted]

, On

[Redacted]

, Page

2

[Redacted]

advised that upon being interviewed by

[Redacted]

[Redacted]

b6
b7C
b7D

[Redacted]

[Redacted]

stated that

[Redacted]

[Redacted]

b6
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b7D

[Redacted]

also advised that

[Redacted]

[Redacted]

b6
b7C
b7D

[Redacted]



1
ROM 183D-81
CFR:vls

[redacted] upon being interviewed
on [redacted] at the U.S. Consulate [redacted] by
Special Agents [redacted] present-
ly assigned to Legat, Rome, advised as follows:

b6
b7C
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Approximately [redacted]

[redacted]
[redacted] are the following:

[redacted]
b6
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b7D

Moreover, [redacted]

[redacted]
b6
b7C
b7D

[redacted] also stated that [redacted]

[redacted]
b6
b7C
b7D

In addition: [redacted]

①

1
ROM 183D-81
CFR:vis

[redacted] upon being interviewed
on [redacted] at the U.S. Consulate [redacted] by
Special Agent [redacted]
presently assigned to Legat, Rome, advised as follows:

b6
b7C
b7D

[redacted]

b6
b7C
b7D

[redacted] is alleged to [redacted]

①

1
ROM 183D-81
CFR:vls

[redacted] upon being interviewed
on [redacted] at the U.S. Consulate. [redacted] by
Special Agents [redacted] present-
ly assigned to Legat, Rome, advised as follows:

b6
b7C
b7D

[redacted]

Should [redacted]

[redacted]

b6
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5



b6
b7C
b7D

4

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 7/15/87

TO: ACTING DIRECTOR, FBI

FROM: LEGAT, ROME (183D-81) (P)

SUBJECT: MARC RICH - FUGITIVE (B);
 PINCUS GREEN - FUGITIVE (B);
 ET AL;
 FBW; MF; RICO;
 INCOME TAX EVASION;
 TRADING WITH THE ENEMY;
 OO: NEW YORK

Re Rome airtel dated 6/12/87.

Enclosed for New York are the originals, one copy of FD-302s, inserts, and original notes of interview conducted of [redacted]. Enclosed for Bureau and other recipients is one copy each of FD-302s and inserts.

b6
b7C
b7D

For the information of other offices not previously in receipt of any communications in this matter, MARC RICH and PINCUS GREEN are United States (U.S.) citizens who, in 1974, formed MARC RICH AND COMPANY A.G. (AG). AG is a Swiss Corporation which engages in the worldwide business of trading commodities, including crude oil. AG trades an annual volume of \$10 billion, 60 percent of which represents oil trades. AG has a wholly-owned subsidiary, MARC RICH AND COMPANY INTERNATIONAL A.G., which has a branch office in the U.S.

- 12 - Bureau (Enc. 26)
 - (1 - OLIA)
 - (1 - Identification Division)
 - (2 - Newark)
 - (2 - New York)
 - (2 - Philadelphia)
- 2 - Legat, Bern (183D-54) (Enc. 5)
- 1 - Rome

CFR:vls
(13)

b6
b7C

196A-1774-449

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 6 1987	
FBI NEW YORK	

(Signature)

Approved: _____ Transmitted _____ Per _____
 (Number) (Time)

ALL INFORMATION
 HEREIN IS UNCLASS
 DATE 2/21/01 BY

d: 081

DARWIN COPY

named MARC RICH AND COMPANY INTERNATIONAL (INTERNATIONAL). Until the summer of 1983, MARC RICH and PINCUS GREEN ran the oil trading activities of AG and INTERNATIONAL from INTERNATIONAL's offices in New York.

From approximately September, 1980 through at least June, 1981, MARC RICH and PINCUS GREEN surreptitiously moved at least \$100 million out of the U.S. from INTERNATIONAL to AG. Most of this money constituted illegal proceeds from domestic crude oil transactions, wherein MARC RICH and PINCUS GREEN defrauded their customers by unlawfully overcharging them for domestic crude oil.

MARC RICH and PINCUS GREEN violated various Federal Energy Law Regulations which controlled the prices at which crude oil could be sold in the U.S. and restricted their average mark-up or profits to 20 cents per barrel as well as evaded over \$48 million in U.S. taxes on the hidden income.

On September 19, 1983, the grand jury for the Southern District of New York concurred in the finding of a 51-count indictment. The indictment charges captioned with conspiring to and conducting their commodities business through a pattern of racketeering involving the schemes to defraud their customers, and IRS. Each defendant is charged with various mail and wire fraud violations regarding these fraud schemes as well as tax evasion. Additionally, MARC RICH and PINCUS GREEN are charged with illegal trades with Iran during the hostage crisis amounting to over \$200 million.

On [redacted] was interviewed by Legat Rome, personnel at the U.S. Consulate, [redacted]

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[redacted]

[redacted]

b6
b7C
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In addition to the above, [redacted] also provided information

[redacted]

b6
b7C
b7D

[Redacted]

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b7D

[Redacted]

[Redacted]

b6
b7C
b7D

[Redacted]

[Redacted]

[Redacted]

b6
b7C
b7D

[Redacted]

[Redacted]

b6
b7C
b7D

In addition to the above, [Redacted] also provided information

[Redacted]

b6
b7C
b7D

Rome indices negative re [Redacted]

[Redacted]

REQUEST OF THE BUREAU:

Identification Division is requested to review appropriate criminal and civil records for any references for :

(a)

(b)

(c)

(d)

b6
b7C
b7D

[Redacted]

[Redacted]

ROM 183D-81

LEADS:

NEWARK

AT NEWARK, NEW JERSEY: Conduct indices and other appropriate checks for any references to JACOB GABAI and advise of results;

NEW YORK

AT NEW YORK: (a) Provide copies of FD-302s and inserts to appropriate AUSA, Southern District of New York (SDNY), concerning MARC RICH and PINCUS GREEN: (b) Contact Kings County District Attorney's Office Squad, [redacted] New York, IRS, and AUSA [redacted] SDNY, concerning information provided [redacted]

b6
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[redacted]

PHILADELPHIA

AT PHILADELPHIA, PENNSYLVANIA: Conduct indices and other logical checks re identifying JACOB GABAI and advise of results;

LEGAT, BERN

[redacted]

- (a)
- (b)
- (c)
- (d)

[redacted]

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b7D

Legat, Rome, will maintain contact with [redacted] in American Consulate [redacted]

b6
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TO: SAC,

TO: LEGAT,

- Albany
- Albuquerque
- Alexandria
- Anchorage
- Atlanta
- Baltimore
- Birmingham
- Boston
- Buffalo
- Butte
- Charlotte
- Chicago
- Cincinnati
- Cleveland
- Columbia
- Dallas
- Denver
- Detroit
- El Paso
- Honolulu
- ASAC, Brooklyn-Queens (MRA)
- Houston
- Indianapolis
- Jackson
- Jacksonville
- Kansas City
- Knoxville
- Las Vegas
- Little Rock
- Los Angeles
- Louisville
- Memphis
- Miami
- Milwaukee
- Minneapolis
- Mobile
- Newark
- New Haven
- New Orleans
- New York City
- Norfolk
- Oklahoma City
- Omaha
- Philadelphia
- Phoenix
- Pittsburgh
- Portland
- Richmond
- Sacramento
- St. Louis
- Salt Lake City
- San Antonio
- San Diego
- San Francisco
- San Juan
- Savannah
- Seattle
- Springfield
- Tampa
- Washington Field
- Quantico

- Bern
- Bogota
- Bonn
- Canberra
- Hong Kong
- London
- Mexico City
- Montevideo
- Ottawa
- Panama City
- Paris
- Rome
- Tokyo

7-29-87

Date

RE:

Marc Rich - fugitive (B);
Pinus Green - fugitive (B);
CO: NY

- For information optional Retention For appropriate action Surep, by _____
- The enclosed is for your information. If used in a future report, conceal all sources, paraphrase contents.
- Enclosed are corrected pages from report of SA _____ dated _____

Remarks:

(see attached)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 01-081



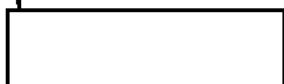
Enc. (7)
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Urfile

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196A-1774-450

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 04 1987	

ENCLOSURE



FILED

AIRTEL

Acting Director, FBI
Legat, Rome (187D-81) (P)

ADIC	_____
DADIC	_____
SAC 1	_____
SAC 2	_____
SAC 3	_____
SAC 4	_____
ASAC 1	_____
ASAC 10-2	_____ <i>DM</i>
ASAC 03-2	_____
ASAC 00-2	_____
ASAC NR-2	_____
ASAC 3	_____
ASAC 4	_____
SPM	_____
SUPVR	_____

*MASC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FEU; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORK

Rearairtel dated 7-15-87.

A search of the Identification Division's Civil and Criminal Name Indices File for _____ was conducted with negative results.

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In searching the Identification Division's Civil and Criminal Fingerprint File for a record on JACOB GABAI, the Identification Division found a United States Immigration and Naturalization Service print for JACOB GABAIFF, received FBI 6-17-44, date of birth 12-24-12, place of birth BRITISH MINK, RUSSIA. Residence listed as: 2301 Walton Ave, Bronx, New York, height/5'10", weight/180 pounds, hair/brown, eyes/brown, complexion/olivo, build/tall.

A positive identification can only be made by fingerprints; therefore your office should endeavor to obtain and submit to Identification Division, Attention: Posting Section a set of the subjects' fingerprints in order that a more thorough search can be conducted.

Any questions regarding this communication, contact the Posting Section, Identification Division, telephone (202) 324-5264.

- 1 - SAC, Newark (for info)
- 1 - SAC, New York (for info)
- 1 - SAC, Philadelphia (for info)
- 1 - Legat, Bern (for info)

1

196A-1774-453

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
AUG 18 1987	
[Redacted]	

C-1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/2/01 BY [Redacted]
01-091

b6
b7c

END OF DOCUMENT

INBOX.13 (#704)

TO: NY @ EPH

FROM: HCTX @ EMH

SUBJECT: 251/008: ROUTINE

DATE: 9 SEP 87 09:45:12 GMT

CC:

TEXT: VZCZHR00081

R 090709Z SEP 87

FM ROME (189D-81) (P)

TO ACTING DIRECTOR, ROUTINE

NEW YORK (196A-1774) (SQ. C-1) ROUTINE

BT

UNCLAS E F T O

HARD RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW; MR; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY

REFERENCE ROME AIRTEL DATED JULY 15, 1987; ROMTEL DATED

JULY 30, 1987; BUAIRTEL DATED AUGUST 10, 1987.

NEW YORK IS REQUESTED TO PROVIDE A RESPONSE TO REFERENCED

TEL SO THAT ADDITIONAL INTERVIEW OF [REDACTED] CAN BE SET UP IN

[REDACTED]

BT

00081

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SEARCHED	INDEXED
SERIALIZED	FILED
19 SEP 09 1987	
FBI - NEW YORK	

104

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ALL INFORMATION
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 80-10

[REDACTED]

[REDACTED]

END OF DOCUMENT

INBOX.14 (#705)

TO: NY @ EPH; NY @ EPH; NY @ EPH

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 8/27/87

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TO: ACTING DIRECTOR, FBI

FROM: SAC, NEWARK (196A-2621) (RUC) (C-5)

MARC RICH - FUGITIVE (B);
 PINCUS GREEN - FUGITIVE (B);
 ET AL;
 FBW; MF; RICO;
 INCOME TAX EVASION; TRADING WITH THE ENEMY
 (OO: NEW YORK)

Re Legat, Rome airtel to Bureau, 7/15/87.

For information of the Bureau, Legat, Rome and other offices, Newark indices negative regarding JACOB GABAI. Additional descriptive data is necessary to conduct any other appropriate checks regarding GABAI.

Inasmuch as there are no outstanding leads in the Newark Division, this matter is considered RUC.

REQUEST OF THE BUREAU

The Bureau is requested to forward the appropriate information to Legats Rome and Bern.

- 7 Bureau
- 2-Legat, Bern (183D-54) 1-OLIA
- 2-Legat, Rome (183D-81)
- 2-New York
- 2-Philadelphia
- 1-Newark
- DKM/lap
- (12:)

196-1774-455

SEARCHED.....	INDEXED.....
SERIALIZED.....	FILED.....

SEP 3 1987

Approved: _____ Transmitted _____ (Num

b6
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SEP 03 1987

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY
 01-081

Memorandum



To : ADIC, NEW YORK (196A-1774) P Date 9/16/87

From : SA [redacted] (C-1) b6 b7C

Subject : MARC RICH - FUG;
PINCUS GREEN - FUG;
FBW
OO: NY

On 9/16/87 [redacted]

b6
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[redacted]
[redacted] the Marc Rich case has
been reassigned to AUSA [redacted] AUSA
[redacted] the AUSA previously assigned
has left the office as of early [redacted]

ⓧ

196A-1774-456

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SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
SEP 16 1987	
FBI - NEW YORK	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-081



Memorandum



To : ADIC, NEW YORK (196A-1774) P Date 9/17/87

From : SA [redacted] (C-1) b6 b7C

Subject : MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO
OO: NY

Enclosed for the attention of SA [redacted] Melville RA, are several communications sent by Legat, Rome, which pertain to [redacted]

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It is noted [redacted] is currently a fugitive in [redacted]

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NYO's principal interest is Marc Rich and Pincus Green not [redacted] this information has been furnished to SA [redacted]

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[Large redacted block]

196A-1774-457

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 17 1987	
FBI - NEW YORK	

TRC

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1-183-3086 (C-27)

① 196A-1774

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
01-081

[redacted]

FBI

10.66

TELETYPE

ROUTINE

UNCLAS

9/17/87

ROUTINE
NEW YORK (196A-1774) (P) (C-1)
ROUTINE
DIRECTOR FBI () ()
ROME (183D-81) () ()
BT
UNCLAS

2359

MARC RICH - FUGITIVE (B); PINKUS GREEN - FUGITIVE (B); FRAUD BY WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY

RE LEGAT TEL TO BUREAU, DATED SEPTEMBER 8, 1987.

(X)

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NYO IS NOT IN A POSITION AT THIS TIME TO [REDACTED] ASSISTANT UNITED STATES ATTORNEY (AUSA) [REDACTED] SOUTHERN DISTRICT OF NEW YORK (SDNY), BEFORE LEAVING THE OFFICE OF THE UNITED STATES

1 - New York
1 - Supervisor (C-1)
JCM:dxh054V4
(2)

196A-1774-458

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 17 1987	
[REDACTED]	DRK [Signature]

b6
b7C

Approved: TLS/ [Signature] Transmitted 260/147 Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: [Signature]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 9/21/01 BY [Signature]
01-081

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ATTORNEY, ADVISED HE KNEW OF [REDACTED]
BUT SUGGESTED THAT [REDACTED] BE CONTACTED.
SPECIAL AGENT (SA) [REDACTED]

[REDACTED]

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b7D

IT SHOULD BE SUGGESTED TO [REDACTED]

[REDACTED]

b6
b7C
b7E

WITH RESPECT TO THE [REDACTED] MATTER, AUSA [REDACTED]
SDNY, AND SA [REDACTED]

[REDACTED]

BEEN FURNISHED THE REPORTED INFORMATION WITHOUT DISCLOSING THE
IDENTITY OF [REDACTED]

b6
b7C
b7D
b7E

[REDACTED]

NYO WILL MEET WITH [REDACTED] ON OR ABOUT [REDACTED]
TO DISCUSS A [REDACTED]

OUTBOX HAS 1 DOCUMENT

OUTBOX.1 (#1038)

TO: HQ2 @ EMH2

FROM: NYTX @ EMH2

SUBJECT: 260/147 ROUTINE

DATE: 17 SEP 87 23:59:43 GMT

CC:

TEXT: VZCZCNY0147

RR HQ

DE NY #0147 2602317

ZNY UUUUU

R 172227Z SEP 87

FM FBI NEW YORK (196A-1774) (P) (C-1)

TO DIRECTOR FBI ROUTINE

LEGAL ATTACHE ROME (183D-S1) () ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINKUS GREEN - FUGITIVE (B); FRAUD BY
WIRE, MAIL FRAUD, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY;
OO:NY

RE LEGAT TEL TO BUREAU, DATED SEPTEMBER 8, 1987.

NYO IS NOT IN A POSITION AT THIS TIME TO [REDACTED]
INFORMATION WHICH MAY LEAD TO [REDACTED]
UNITED STATES ATTORNEY (AUSA) [REDACTED] SOUTHERN DISTRICT OF
NEW YORK (SDNY), BEFORE LEAVING THE OFFICE OF THE UNITED STATES ATTORNEY [REDACTED] 1774 -158

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ALL INFORMATION
HEREIN IS UNCLAS
DATE 2/21/01 BY
01-081

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SEARCHED	INDEXED
SERIALIZED	FILED
SEP 17 1987	
FBI NEW YORK	
I. Ruz	

ATTORNEY, ADVISED HE KNEW OF [REDACTED]

BUT SUGGESTED THAT [REDACTED]

BE CONTACTED.

SPECIAL AGENT (SA) [REDACTED]

b6
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b7E

[REDACTED]

IT SHOULD BE SUGGESTED TO [REDACTED]

[REDACTED]

b6
b7C
b7D

WITH RESPECT TO THE [REDACTED]

MATTER, AUSA [REDACTED]

SDNY, AND SA [REDACTED]

[REDACTED]

b6
b7C
b7E

[REDACTED]

BEEN FURNISHED THE REPORTED INFORMATION WITHOUT DISCLOSING THE
IDENTITY OF [REDACTED]

[REDACTED]

b6
b7C
b7D
b7E

NYO WILL MEET WITH [REDACTED]

ON OR ABOUT [REDACTED]

[REDACTED]

BT

#0147

NNNN

-----END OF DOCUMENT-----

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FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 8/27/87

TO: ACTING DIRECTOR, FBI

FROM: LEGAT, BERN (196A-234) (RUC)

SUBJECT: MARC RICH - FUGITIVE (B);
 PINCUS GREEN - FUGITIVE (B);
 ET AL
 FBW; MF; RICO;
 INCOME TAX EVASION;
 TRADING WITH THE ENEMY
 OO: NEW YORK

Re Rome airtel dated 7/15/87.

For information of Legat, Rome, MARC RICH and PINCUS GREEN continue to reside and do business at Zug, Switzerland. Their residence and business location are well-known. The problem is that the "MARC RICH case" caused, for a number of reasons, a major diplomatic, legal and political disagreement between the U.S. and Swiss governments, resulting in, among other things, a Swiss refusal to extradite RICH and GREEN. The case is still a highly-sensitive issue with the Swiss government.

For the above reasons, unless advised to the contrary by FBIHQ and/or New York, Legat, Bern is not making any inquiries with [redacted]

[redacted] Legat assumes that New York is probably in a position to develop further information on [redacted] through U.S. source coverage. Bern indices are negative on [redacted] and neither are listed in the [redacted] telephone directory, which, incidentally, contains current listings for both RICH and GREEN.

Bern considering this matter RUC.

- ⑦ - Bureau
 - (1 - OLIA)
 - ② - New York. (196A-1774)
 - (2 - Legat, Rome) (183B-81)
- 1 - Bern
- RMF:jsw
- (8)

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Handwritten initials: Xd

Approved: _____

Transmitted _____

(Number) _____

(Time) _____

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY [redacted]
 01-081

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196A-1774-1159

SEARCHED	INDEXED
SERIALIZED	FILED
Per	
SEP 15 1987	

[redacted]

TO: SAC,

- Albany
- Albuquerque
- Alexandria
- Anchorage
- Atlanta
- Baltimore
- Birmingham
- Boston
- Buffalo
- Butte
- Charlotte
- Chicago
- Cincinnati
- Cleveland
- Columbia
- Dallas
- Denver
- Detroit
- El Paso
- Honolulu
- ASAC, Brooklyn-Queens (MRA)

- Houston
- Indianapolis
- Jackson
- Jacksonville
- Kansas City
- Knoxville
- Las Vegas
- Little Rock
- Los Angeles
- Louisville
- Miami
- Milwaukee
- Minneapolis
- Mobile
- Newark
- New Haven
- New Orleans
- ~~New York City~~
- Norfolk

- Oklahoma City
- Omaha
- Philadelphia
- Phoenix
- Pittsburgh
- Portland
- Richmond
- Sacramento
- St. Louis
- Salt Lake City
- San Antonio
- San Diego
- San Francisco
- San Juan
- Savannah
- Seattle
- Springfield
- Tampa
- Washington Field
- Quantico

TO: LEGAT: _____

ADIC
DADIC

- Bern
- Bogota
- Bonn
- Canberra
- Hong Kong
- London
- Mexico City
- Montreal
- Ottawa
- Panama City
- Paris
- Rome
- Tokyo

9-10-87
Date

RE:

Marc Rich - Fugitive (B);
Pincus Green - Fugitive (B);
CO: NY

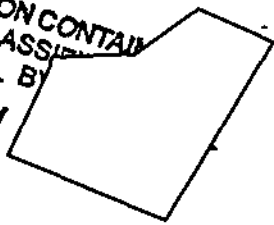
- For information; Retention optional; For appropriate action; Surep, by _____
- The enclosed is for your information. If used in a future report, conceal all sources, paraphrase contents.
- Enclosed are corrected pages from report of SA _____ dated _____

Remarks:

(see attached)

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 01-081



196A-1774-460

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
SEP 15 1987	
FBI NEW YORK	

Enc. (2)
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FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 9/23/87

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TO: SAC, NEW YORK (196B-1774)

FROM: SAC, PHILADELPHIA (196B-1214) (SQ9) (RUC)

SUBJECT: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B),
ET AL
FBW, MF, RICO,
INCOME TAX EVASION,
TRADING WITH THE ENEMY
OO:NEW YORK

Re 7/15/87 airtel from Legat, Rome to Acting Director, FBI.

Philadelphia investigation revealed negative results of indices and various other checks, regarding JACOB GABAI.

Inasmuch as this investigation has been completed, Philadelphia considers this matter RUC'd.

- 2 - New York (196B-1774)
- 2 - Newark
- 2 - Legat, Bern
- 2 - Legat, Rome (183D-81)
- 2 - Philadelphia (196B-1214) (SQ9)

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196-1774-461

SEARCHED _____

SERIALIZED _____

SEP 28 1987

Approved: _____ Transmitted _____ (Number) _____ (Time) Per _____

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/27/01 BY

01-081



Memorandum



To : ADIC, NEW YORK (196A-1774) P

Date 10/5/87

From : SA [redacted] (C-1)

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b7C

Subject : MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW (A)
OO: NY

Fugitive tycoon corners much of aluminum market

BOSTON (AP)—Aluminum tycoon Marc Rich, a Spanish citizen who lives in Switzerland and faces prosecution in this country, controls firms that have become one of the five largest U.S. producers of aluminum, according to a published report.

Rich, 53, controls Marc Rich & Co. AG; and Clarendon Ltd. Like Rich, his companies are based in Switzerland, but they freely produce aluminum in the United States, sell aluminum ingots to other U.S. producers and to industry, and trade aluminum futures with prominent securities firms, the Boston Sunday Globe reported.

Rich entered the aluminum market in 1986 when it was at its bottom. As supplies dwindled, he profited. The price doubled this year, the steepest price gain of any metal so far, the paper said.

Unidentified traders told the Globe they believe Rich is manipulating the market, squeezing aluminum supplies and forcing prices upward. A Rich executive not identified in the Globe story denied the allegations.

In October 1984, Rich's main trading firm, Clarendon Ltd., formerly based in New York and called Marc Rich & Co., International Ltd., pleaded guilty to the largest tax evasion in U.S. history. The company agreed to pay a \$150 million fine.

Rich and his company also were charged with selling oil on Iran's behalf while American hostages were held in Iran and American banks had frozen

Iranian deposits. Rich was charged as well with breaking federal laws by selling crude oil at market prices when it could be sold at a lower price. The Justice Department charged that profits exceeding \$100 million were diverted by sham transactions to Rich's Swiss company, the paper said.

But Rich avoided arrest by going to Switzerland, where tax evasion is not considered an extraditable offense, the paper said.

In the meantime, Rich's commodity trading companies trade actively in aluminum options on the London Metals Exchange and is one of the world's largest traders in physical aluminum, the paper said, citing sources in the United States and London.

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OCT 4 1987	
FBI NEW YORK	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-081

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FD-36 (Rev. 5-22-78)

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FBI

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TELETYPE

PRIORITY

~~SECRET~~

9/18/87

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT
WHERE SHOWN OTHERWISE.~~

(connections p1, 2)

PRIORITY
NEW YORK (110-20) (P) (I-24) (S)

PRIORITY
DIRECTOR FBI () ()

WASHINGTON FIELD [redacted] (CI-4)

BT
~~SECRET~~

6/31/01
CLASSIFIED BY [redacted]
REASON: 1.5 (C.D.)
DECLASSIFY ON: X1.6
(01-081)

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[redacted]

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[redacted] OO:NEW YORK b7E

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

RE: NYO TELETYPES TO BU MARCH 13, 1987; APRIL 3, 1987 CAPTIONED
[redacted] AND BU TELETYPE TO NYO JULY 17, 1987 CAPTIONED [redacted]

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[redacted] T-1 A HIGHLY KNOWLEDGEABLE SOURCE WHO HAS PROVIDED RELIABLE
INFORMATION IN THE PAST, ADVISED THAT ON [redacted] THAT [redacted]

b7D
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- 1 - [redacted] (I-22) (S)
 - 1 - New York 196A-1774 (RICH) (C-1) (S)
 - 1 - [redacted] (S)
 - 1 - New York (S)
 - 1 - Supervisor (I-6) (S)
- DSC DSC:msm074V2
(6)

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196A-1774-4640

SEARCHED	INDEXED
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SEP 21 1987	
FBI - NEW YORK	

[redacted] (S) [C-1]

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Approved: DS/gh Transmitted _____ Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD
PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials sp

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[REDACTED]

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[] T-1 IS [] (S) (U) [] (S) (U)

WARNING: INFORMATION FROM [] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURE COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT []

[] WHOSE IDENTITY IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY.

b6
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WARNING: []

[]

[] (S) (U)

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

~~C BY G-3; DECL. OADR.~~

~~SECRET~~

~~SECRET~~

back of page

~~SECRET~~

INBOX; 30 (#4100)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 302/0132 ROUTINE

DATE: 30 OCT 87 06:00:35 GMT

CC:

TEXT: VZCZCHQ00132 3030127

R 290805Z OCT 87

FM ROME (196A-112) (P)

TO ACTING DIRECTOR ROUTINE

NEW YORK (196A-1774) (SQ. C-1) ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH
THE ENEMY; OO: NY

RENYTEL DATED SEPTEMBER 30, 1987.

ON OCTOBER 26, 1987, REGIONAL SECURITY OFFICER (RSO),

[REDACTED] OBTAINED THE FOLLOWING RESPONSES TO QUESTIONS

POSED TO [REDACTED]

(A) [REDACTED]

(B) [REDACTED]

b6
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 01-081

b6
b7C

196A-1774

SEARCHED INDEXED
SERIALIZED FILED

OCT 30 1987

CL [REDACTED]

OPC
10/30/87
0216
DF

PAGE TWO

(C)

b6
b7C
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(D)

TO RELAY ADDITIONAL INFORMATION.

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b7C
b7D

SECOND,

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b7C
b7D

BT

-----END OF DOCUMENT-----

-->

Transmit attached by Facsimile - UNCLAS

Precedence Immediate

To: DIRECTOR, FBI
From: NEW YORK (196A-1774) P
Subject: MARE RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW; MF
OO: NY

Date: 11/5/87
Time: Transmitted: 8:15 AM/13/
Initials:

- Fingerprint Photo
- Fingerprint Record
- Map
- Newspaper clipping
- Photograph
- Artists Conception
- Other TELETYPE

(X)

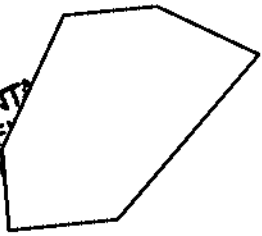
Special handling instructions:

CALL EXT. 5660 For pickup

Approved: TLS/rjco

b6
b7c

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/1/01 BY 01-081



FBI

10.99

TELETYPE

ROUTINE

UNCLAS

11/20/87

Connections made in Area 10

0223

ROUTINE
NEW YORK (196A-1774) (P) (C-1)
ROUTINE
DIRECTOR FBI () () ()
ATTN: [redacted] FINANCIAL CRIMES UNIT
LONDON () () ()
BT
UNCLAS

b6
b7C

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; RICO; MF;
EBW; TRADING WITH THE ENEMY; TAX EVASION; OO:NY.

RE NEW YORK TELETYPE TO BUREAU DATED NOVEMBER 5, 1987.

b6
b7C

[redacted] NEW YORK OFFICE HAS TELEPHONICALLY CONTACTED U.S. MARSHAL [redacted]
WHO APPARENTLY RETURNED TO NEW YORK DURING THE WEEK OF [redacted]
FROM THE [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLAS
DATE 1/21/01 BY [redacted]
(01-08)

196A-1774-469

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 23 1987	

[redacted]

1 - New York
1 - Supervisor C-1
JCM:maw0.4V4
(2)

b6
b7C

Approved: [Signature] Transmitted 324/142 Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: [Signature]

b7D
b7E

[REDACTED]

LEGAT. LONDON IS REQUESTED TO INTERVIEW [REDACTED]

[REDACTED]

b6
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b7D
b7E

ASCERTAIN WHETHER THE REQUEST IS PENDING TO BE ACTED ON QUICKLY
IN THE EVENT [REDACTED]

ASCERTAIN WHETHER THE [REDACTED]

[REDACTED]

ASCERTAIN WHETHER THE U.S. COULD EXTRADITE OUR CHARGES IN THE
INDICTMENT WHICH INCLUDE FBW, MF, RICO, TAX EVASION AND TRADING WITH
THE ENEMY OR WHETHER CERTAIN COUNTS I.E., TAX EVASION AND TRADING
WITH THE ENEMY WOULD HAVE TO BE DISMISSED.

b6
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ASCERTAIN FROM EITHER [REDACTED]

[REDACTED] WHAT INFORMATION THEY HAD RECEIVED CONCERNING [REDACTED]

b7D



OUTBOX HAS 1 DOCUMENT
OUTBOX.1 (#2763)
TO: HQ2 @ EMH2
FROM: NY @ EMH1
SUBJECT: 324/142 ROUTINE
DATE: 21 NOV 87 02:23:03 GMT
CC:

TEXT: VZCZCNY0142
RR HQ
DE NY #0142 3242415
ZNY UUUUU
R 202110Z NOV 87
FM FBI NEW YORK (196A-1774) (P) (C-1)
TO DIRECTOR FBI () ROUTINE

b6
b7C

ATTN: [REDACTED] FINANCIAL CRIMES UNIT
LEGAL ATTACHE LONDON () ROUTINE
BT
UNCLAS

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; RICO; MF;
FBW; TRADING WITH THE ENEMY; TAX EVASION: OO:NY.

RE NEW YORK TELETYPE TO BUREAU DATED NOVEMBER 5, 1987.

NEW YORK OFFICE HAS TELEPHONICALLY CONTACTED U.S. MARSHAL [REDACTED]

b6
b7C

[REDACTED] WHO APPARENTLY RETURNED TO NEW YORK DURING THE WEEK OF [REDACTED]

[REDACTED] FROM THE [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-081



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196A-1774-469

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 23 1987	
FBI - NEW YORK	

[Handwritten signature]

[REDACTED]

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b7E

LEGAT, LONDON IS REQUESTED TO INTERVIEW [REDACTED]

[REDACTED]

b6
b7C
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ASCERTAIN WHETHER THE REQUEST IS PENDING TO BE ACTED ON QUICKLY
IN THE EVENT [REDACTED]

ASCERTAIN WHETHER THE [REDACTED]

[REDACTED]

ASCERTAIN WHETHER THE U.S. COULD EXTRADITE OUR CHARGES IN THE
INDICTMENT WHICH INCLUDE FBW, MF, RICO, TAX EVASION AND TRADING WITH
THE ENEMY OR WHETHER CERTAIN COUNTS I.E., TAX EVASION AND TRADING
WITH THE ENEMY WOULD HAVE TO BE DISMISSED.

ASCERTAIN FROM EITHER [REDACTED]

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BT

#0142

NNNN

10.54

FBI

TELETYPE

PRIORITY

UNCLAS

11/30/87

PRIORITY
 NEW YORK (196A-1774) (P) (A-4)
 ROUTINE
 DIRECTOR FBI () () ()
 PRIORITY
 BOGOTA () () ()
 ROME (196A-112) () ()
 BT
 UNCLAS

2142

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL; FBW;
 MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY

RE LEGAT, ROME TELETYPE TO NY, DATED OCTOBER 29, 1987; AND
 LEGAT, ROME TELETYPE TO NY, DATED NOVEMBER 23, 1987.

FOR INFORMATION OF LEGAT, BOGOTA, SUBJECTS RICH AND GREEN WERE
 INDICTED IN SEPTEMBER, 1983 IN THE SDNY ON A 51 COUNT INDICTMENT

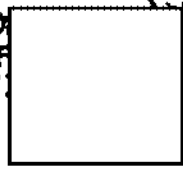
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196A-1774-4700 ✓

SEARCHED _____	INDEXED _____
SERIALIZED _____	FILED _____
DEC 2 1987	
NEW YORK	

1 - New York
 1 - Supervisor C-1
 JCM:gnj021V4
 (2)

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY 01-021



Approved: TLS / Rfpc Transmitted 335/115 Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD
 PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: est

CHARGING THE ABOVE OFFENSES. BOTH EITHER FLED TO SWITZERLAND OR WERE IN SWITZERLAND AT THE TIME OF THE INDICTMENT AND DID NOT RETURN TO THE UNITED STATES. THEY HAVE BOTH LIVED AND DONE BUSINESS IN SWITZERLAND SINCE THE INDICTMENT. A BOOK WRITTEN ABOUT RICH CALLED "METAL MAN" SAID RICH BECAME A SPANISH CITIZEN AND GREEN BECAME A BOLIVIAN CITIZEN. RICH'S SPANISH CITIZENSHIP HAS BEEN CONFIRMED.

b6
b7C
FOR INFORMATION OF LEGAT, ROME, NYO PLANS TO MEET WITH [REDACTED] CHIEF, CRIMINAL DIVISION, SDNY, REGARDING THE RICH/GREEN MATTER AND CONTINUE DISCUSSING THE MATTER WITH U.S. JUSTICE DEPARTMENT - OFFICE OF INTERNATIONAL AFFAIRS (OIA).

LEGAT, ROME SHOULD MAKE IT EXPLICITLY CLEAR TO [REDACTED]

b6
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b7D
[REDACTED] NYO IS UNAWARE OF ANY [REDACTED] IN POINT A OF REFERENCED LEGAT, ROME TELETYPE OF OCTOBER 29, 1987, AND THAT [REDACTED] THE MATTER WILL BE ASSESSED AND [REDACTED] AT THAT TIME.

LEGAT, BOGOTA IS REQUESTED TO DETERMINE WHETHER PINCUS GREEN,

2

BORN [REDACTED] IS A CITIZEN OF BOLIVIA, AND IF SO, WHEN WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.

b6
b7c

LEGAL, ROME IS REQUESTED TO DETERMINE WHETHER PINCUS GREEN, BORN [REDACTED] AND MARC RICH, BORN DECEMBER 18, 1934 IN ANTWERP, BELGIUM, ARE CITIZENS OF ISRAEL, AND IF SO, WHEN WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.

OUTBOX-HAS 1 DOCUMENT

OUTBOX 1 (#851)

TO: HQ2 @ ENH2

FROM: NYIA @ ENH2

SUBJECT: 335/115 PRIORITY

DATE: 1 DEC 87 21:42:08 GMT

CC:

TEXT: VZCZCNY0115

PP HQ

DE NY #0115 3352051

ZNY UUUUU

R 011731Z DEC 87

FM FBI NEW YORK (196A-1774) (P) (A-4)

TO DIRECTOR FBI () ROUTINE

LEGAL ATTACHE BOGOTA () PRIORITY

LEGAL ATTACHE ROME (196A-112) () PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (R); PINCUS GREEN - FUGITIVE (S); ET AL; FBW;

MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY

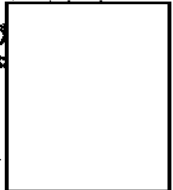
RE LEGAT, ROME TELETYPE TO NY, DATED OCTOBER 29, 1987; AND
LEGAT, ROME TELETYPE TO NY, DATED NOVEMBER 23, 1987.

FOR INFORMATION OF LEGAT, BOGOTA, SUBJECTS RICH AND GREEN WERE
INDICTED IN SEPTEMBER, 1986 IN THE SDNY ON A 51 COUNT INDICTMENT
CHARGING THE ABOVE OFFENSES. BOTH EITHER FLED TO SWITZERLAND OR

196-1774-470

SEARCHED	INDEXED
SERIALIZED	FILED
DEC 2 1987	
FBI - NEW YORK	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-081



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WERE IN SWITZERLAND AT THE TIME OF THE INDICTMENT AND DID NOT RETURN TO THE UNITED STATES. THEY HAVE BOTH LIVED AND DONE BUSINESS IN SWITZERLAND SINCE THE INDICTMENT. A BOOK WRITTEN ABOUT RICH CALLED "METAL MAN" SAID RICH BECAME A SPANISH CITIZEN AND GREEN BECAME A BOLIVIAN CITIZEN. RICH'S SPANISH CITIZENSHIP HAS BEEN CONFIRMED.

FOR INFORMATION OF LEGAT, ROME, NYO PLANS TO MEET WITH [REDACTED] [REDACTED] CHIEF, CRIMINAL DIVISION, SDNY, REGARDING THE RICH/GREEN MATTER AND CONTINUE DISCUSSING THE MATTER WITH U.S. JUSTICE DEPARTMENT - OFFICE OF INTERNATIONAL AFFAIRS (OIA).

b6
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LEGAT, ROME SHOULD MAKE IT EXPLICITLY CLEAR TO [REDACTED]

[REDACTED]
[REDACTED] NYO IS UNAWARE OF ANY

[REDACTED] IN POINT A OF REFERENCED LEGAT, ROME TELETYPE OF OCTOBER 29, 1987, AND THAT [REDACTED]

[REDACTED] THE MATTER WILL BE ASSESSED AND

[REDACTED] AT THAT TIME.

b6
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LEGAT, BOGOTA IS REQUESTED TO DETERMINE WHETHER PINCUS GREEN,

PAGE THREE DE NY 0115-1145

BORN [REDACTED] IS A CITIZEN OF BOLIVIA, AND IF SO, WHEN WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.

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LEGAL, ROME IS REQUESTED TO DETERMINE WHETHER PINCUS GREEN, BORN [REDACTED] AND MARC RICH, BORN DECEMBER 19, 1934 IN ANTWERP, BELGIUM, ARE CITIZENS OF ISRAEL, AND IF SO, WHEN WAS CITIZENSHIP APPLIED FOR AND ACQUIRED.

BT

#0115

NNNN

- run the post to AUSA & try to include suit from

INBOX.2 (#2843)

TO: NY @ EMH1

b6
b7C

FROM: HQTX @ EMH1

SUBJECT: 327/0006 PRIORITY

DATE: 23 NOV 87 14:43:21 GMT

CC:

TEXT:

VZCZC006

PP HQ NY

DE ROM #0075 3270724

ZNR UUUUU

P 230729Z NOV 87

FM ROME (196A-112) (P)

TO DIRECTOR, FBI PRIORITY

NEW YORK (196A-1774) (SQ. C-1) PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH

THE ENEMY; OO: NY

REROMTEL DATED OCTOBER 29, 1987.

ON NOVEMBER 18, 1987, THE REGIONAL SECURITY OFFICER (RSO),

[redacted] PROVIDED THE FOLLOWING INFORMATION:

b6
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[redacted] STATED THAT [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/01/01 BY [redacted]

b6
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196A-1774

SEARCHED.....	INDEXED.....
SERIALIZED.....	FILED.....
NOV 23 1987	
NEW YORK	

471

01-081

IN PROVIDING THIS INFORMATION, [REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

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NEW YORK IS REQUESTED TO ADVISE AUSA, SDNY, OF THE ABOVE AND
ADVISE ROME AND SOON AS POSSIBLE CONCERNING ANY [REDACTED]

[REDACTED]

b7D

BT

#0006

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-----END OF DOCUMENT-----

-->

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IN: OK.2 (#2956)

TO: NY @ EMH1

FROM: TP @ EMH2

SUBJECT: 351/0008 PRIORITY

DATE: 17 DEC 87 20:49:47 GMT

CC:

TEXT:

TP0008 351 2049Z

PP NY

DE TP

P 172044Z DEC 87

FM TAMPA (196A-1329) (SQ 3) (P)

TO NEW YORK (196A-1774) PRIORITY

BT

LNCLAS

MARK RICH-FUGITIVE; ETAL; FBW; OD: NY

ON 12/17/87, [redacted] A HIGHLY RELIABLE SOURCE

ADVISED [redacted]

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~~(S)~~ (U)

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~~(S)~~ (U)

THEREAFTER MADE INQUIRY [redacted]

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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/10/01~~

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*opc
12/17/87*

[redacted]

make request of

DIA need FBI #9.

[redacted]

~~CLASSIFIED
REASON: 1.5
DECLASSIFY ON:~~

01-081

~~SECRET~~

196A-1774

SEARCHED <input checked="" type="checkbox"/>	INDEXED <input checked="" type="checkbox"/>
SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>
DEC 17 1987	
FBI - NEW YORK	

[redacted]

472

~~SECRET~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE~~

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~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

CLASSIFIED BY [redacted]
REASON: 1.5
DECLASSIFY ON: [redacted]

01/01

01/01

b7D

~~SECRET~~

[REDACTED]
[REDACTED]
[REDACTED]
NEW YORK SHOULD INQUIRE WITH OIA-USDOJ REGARDING [REDACTED]
[REDACTED]

NEW YORK ADVISE TAMPA OF ANY OTHER PERTINENT INFORMATION
REGARDING [REDACTED] SHOULD ATTEMPT TO DEVELOP.
BT

-----END OF DOCUMENT-----
-->

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INBOX.2 (#2726)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: ROM462 ROUTINE

DATE: 4 JAN 88 18:21:34 GMT

OO:

TEXT:
VZCZCHQ0015

RR HQ NY

DE ROM #0251 3651423

ZNR UUUUU

R 311423Z DEC 87

FM ROME (196A-112) (P)

TO DIRECTOR, FBI ROUTINE

NEW YORK (196A-1774) (SQ. C-1) ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B) ET AL;
FRAUD BY WIRE; MAIL FRAUD; RICO; INCOME TAX EVASION; TRADING WITH
THE ENEMY; OO: NY

RENYTEL DATED DECEMBER 1, 1987.

ON DECEMBER 31, 1987, THE REGIONAL SECURITY OFFICER (RSO),

[REDACTED] ADVISED THAT [REDACTED] STATED THAT [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

DURING THE [REDACTED] LEGAT WILL BE IN [REDACTED]
AND WILL DISCUSS [REDACTED] WITH RSO.

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(X)

ALL INFORMATION
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-081

[REDACTED]

Handwritten:
Ked/ax
1/4/88

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196A-1774-473

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JAN 04 1988	
FBI - NEW YORK	

Handwritten: C-1

Page Two of Form 1041-10

21

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cash

-----END OF DOCUMENT-----

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~~SECRET~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE~~

JJR:vhc

NY 196A-1774

On December 17, 1987, [redacted] a highly reliable
source, [redacted] advised [redacted]

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[redacted] (S) (U)

[redacted] (S) (U)

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[redacted] thereafter made inquiry with [redacted]
[redacted]

b7D

[redacted] is endeavoring to obtain [redacted]
[redacted]

b7D

~~CLASSIFIED BY [redacted]
REASON: 1.5
DECLASSIFY ON: X
01/20/01~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE~~

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~~CLASSIFIED BY [redacted]
REASON: 1.5
DECLASSIFY ON: X~~

196A-1774-475
SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
JAN 22 1988
[redacted]

(X)
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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]~~

[redacted]

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back of page

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Memorandum



To : ADIC, NEW YORK (196A-1774) P

Date 2/16/88

From : SA [redacted] (C-1)

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b7C

Subject : MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW (A)
OO: NY

On 2/16/88, [redacted] SSA, Financial Crimes Unit, Headquarters, was contacted regarding recent information furnished [redacted] by Legat, Bern, concerning Marc Rich.

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[redacted] said that [redacted] Legat, Bern, called [redacted] recently and told him that [redacted] has been in [redacted]

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[redacted]

suggested that [redacted] be contacted telephonically concerning the matter in preference to sending a teletype.
Will contact Legat, Bern.

CW

(P)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

01-08/

[redacted]

b6
b7C

196A-1774-476
SEARCHED INDEXED
SERIALIZED FILED
FEB 16 1988
FBI NY

[Handwritten signature]

INBOX.10 (#3555)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 042/0248 ROUTIEN

DATE: 12 FEB 88 09:05:43 GMT

CC:

TEXT:
VZCZCH000248

RR HQ NY BON ROM

DE BER #0066 0421026

ZNR UUUUU

R 111127Z FEB 88

FM LEGAT BERN (196A-234) (P)

TO DIRECTOR (196B-2848) ROUTINE

ATTN: SSA [redacted] CID, WCC SECTION, FCU

FBI NEW YORK (196A-1774) ROUTINE

LEGAT BONN ROUTINE

LEGAT ROME (183B-81) ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:

NEW YORK.

REBERTELCALL TO SSA [redacted] FBIHQ, FEBRUARY 3, 1988.

FOR BACKGROUND OF RECIPIENTS, LEGAT MET ON FEBRUARY 3, 1988,

WITH [redacted]

[redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY

01-081



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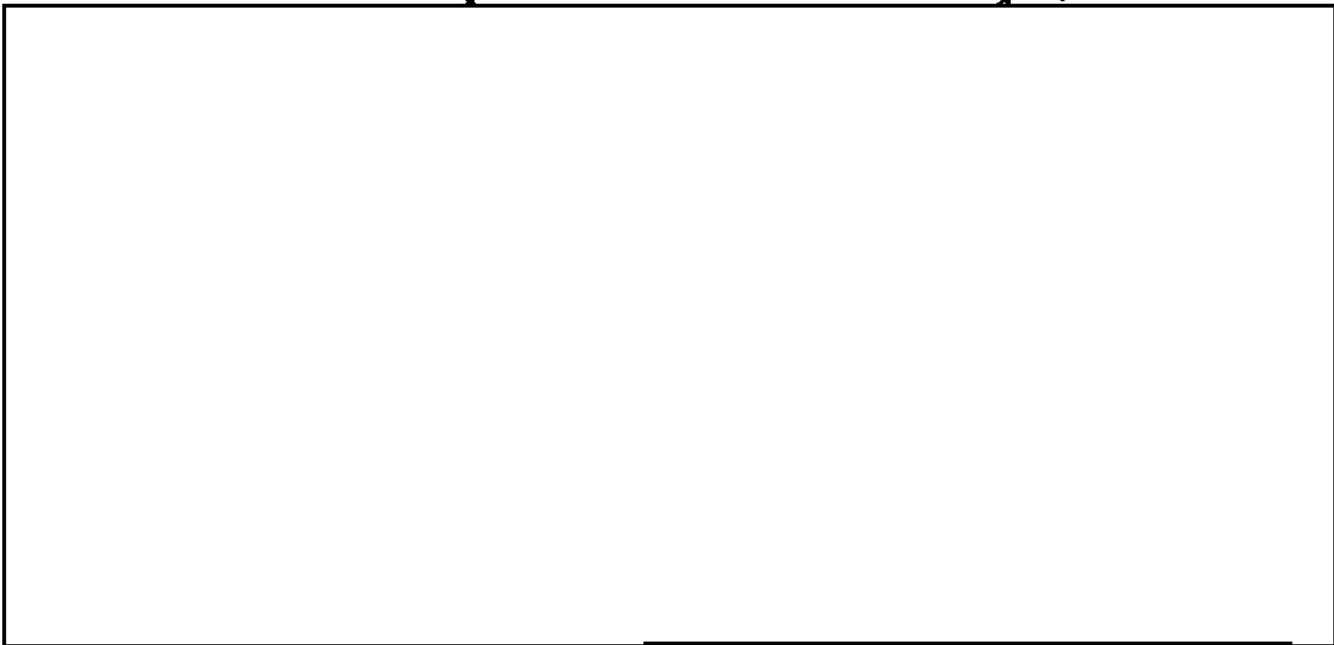
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TO 196A-1774-477

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SERIALIZED	FILED
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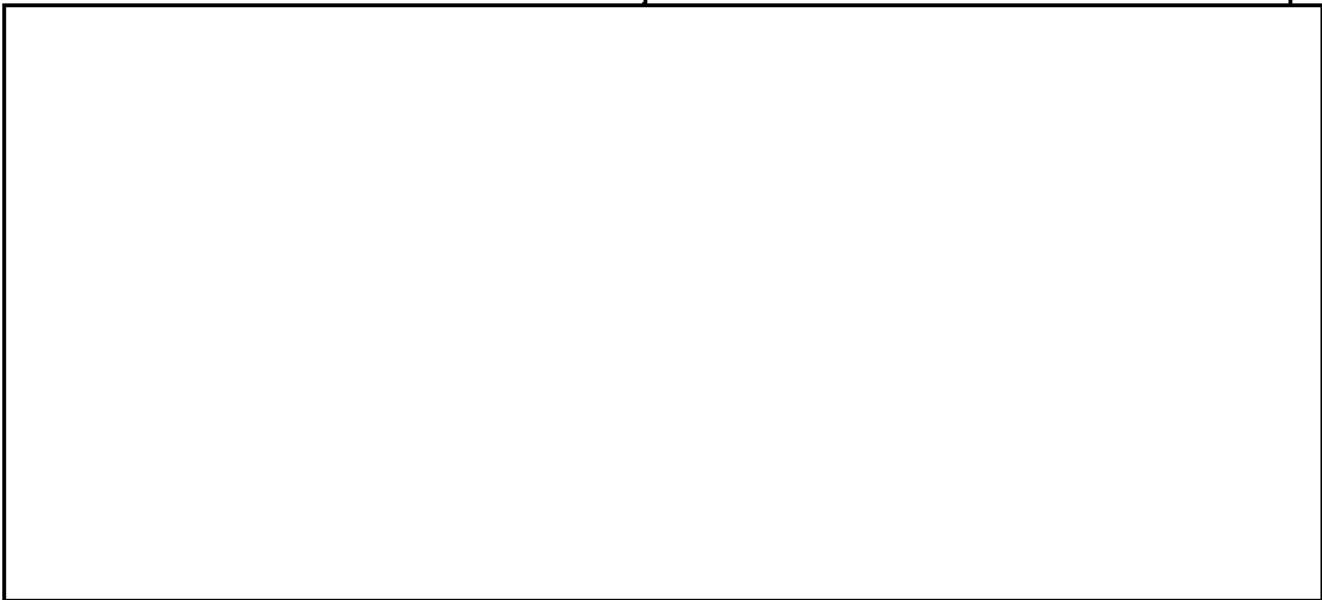
OPC/avg
2-12-88

b6
b7C

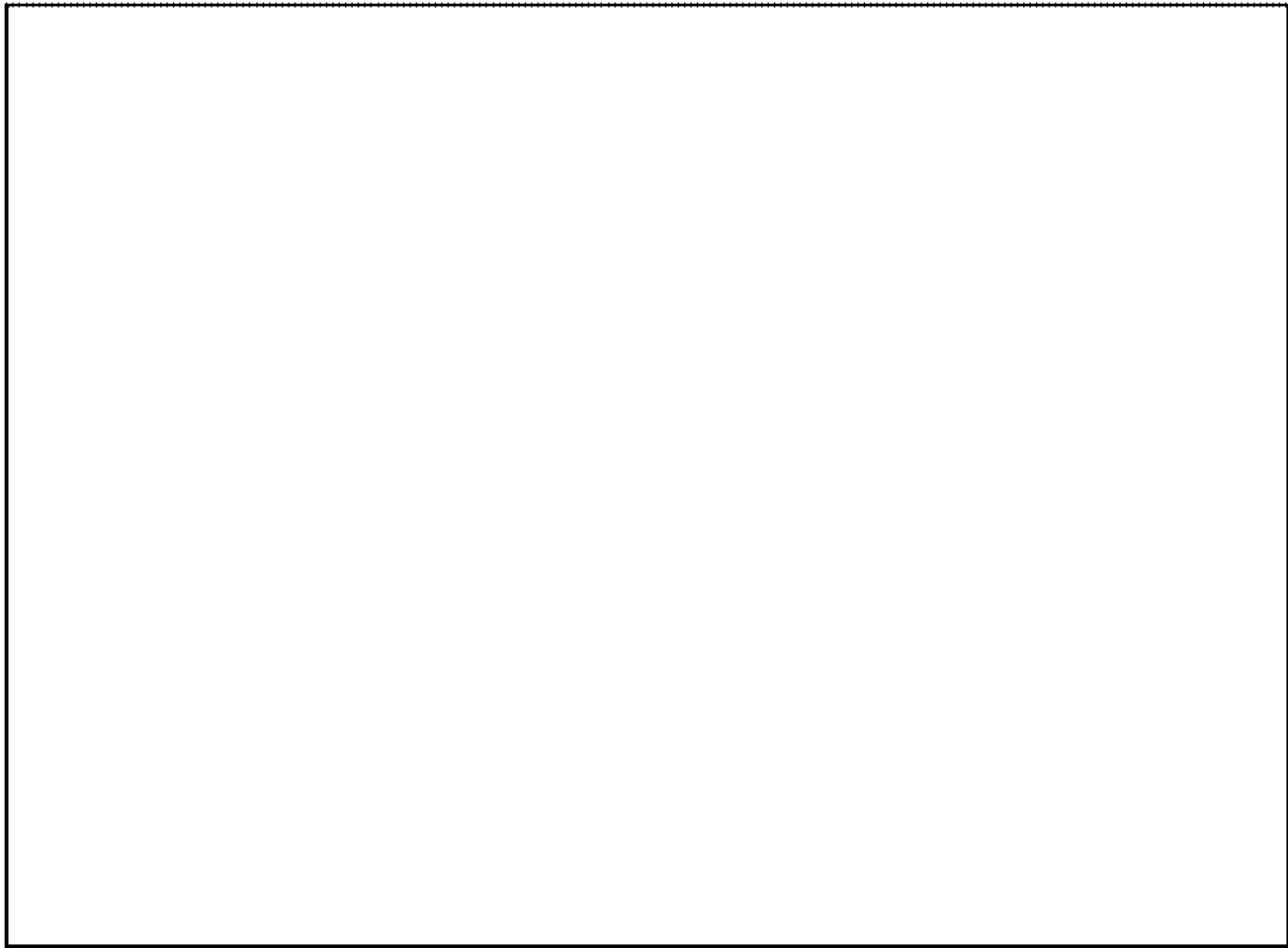


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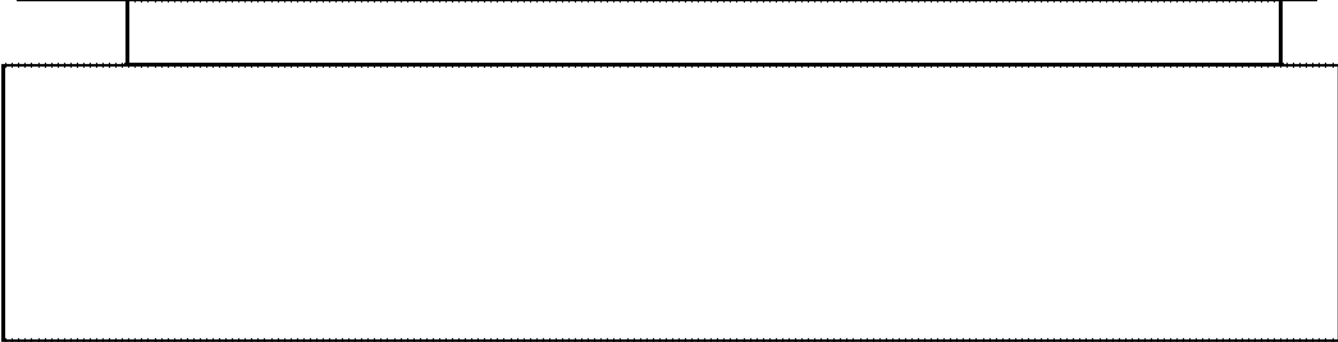
ON FEBRUARY 10, 1988, LEGAT



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[Redacted]

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DURING DISCUSSION ON

[Redacted]

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[Redacted]

[Redacted]

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[REDACTED]

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LEGAT, BERN IS VERY OPTIMISTIC THAT

[REDACTED]

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LEGAT, BERN BELIEVES THAT ANY POSITIVE INFORMATION RECEIVED

FROM

[REDACTED]

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LEGAT, BERN WOULD APPRECIATE COMMENTS OF FBIHQ AND NEW YORK
REGARDING THE ABOVE AND SPECIFICALLY REQUEST THAT FBIHQ AND/OR
NEW YORK ADVISE LEGAT, BERN AS TO



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NEW YORK IS FURTHER REQUESTED TO FURNISH ANY AVAILABLE
INFORMATION ON



BT

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FBI

TELETYPE

ROUTINE

UNCLAS

2/19/88

10.21

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Corr made
clm

ROUTINE
NEW YORK (196A-1774) (P) (C-1)
ROUTINE
DIRECTOR FBI () ()
BERN (196A-234) ()
BT
UNCLAS

MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); ET AL; FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; 00:NY.

REFERENCE LEGAT, BERN TELETYPE TO BUREAU, DATED FEBRUARY 12, 1988 AND NYTELCALLS TO SSA [redacted] BUREAU, ON FEBRUARY 16, 1988 AND FEBRUARY 18, 1988.

b6
b7C

NEW YORK BELIEVES [redacted] SOURCE MAY BE OF ASSISTANCE IN CAPTIONED MATTER. MUCH OF THE SUBJECTS' INDICTMENT IS BASED ON INCOME TAX EVASION WHICH PRESENTS A PROBLEM FOR THE [redacted]

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1 - New York
1 - Supervisor C-1
JCM:crd044v3
(2)

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196A-1774-479

SEARCHED	INDEXED
SERIALIZED <i>AM</i>	FILED <i>AM</i>
FEB 23 1988	
FBI - NEW YORK	

(Handwritten initials and marks)

Approved: JMF/Rsm Transmitted 053/104 Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: clm

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY _____

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01-081

[REDACTED] BUT THE NYO UNDERSTANDS THAT [REDACTED]
[REDACTED]

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NEW YORK IS SKEPTICAL THAT [REDACTED]
[REDACTED]

NEW YORK UNAWARE OF [REDACTED]
[REDACTED]

BUREAU IS PREPARING TO RESPOND TO BERN'S REFERENCED TELETYPE
RELATING TO [REDACTED]
[REDACTED]

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b7E

LEGAT, BERN IS REQUESTED TO [REDACTED]
[REDACTED] SOURCE FOR [REDACTED]
[REDACTED]

OUTBOX.1 (#934)

TO: HQ2 @ EMH2

FROM: NYTX @ EMH2

SUBJECT: 053/104L RR

DATE: 22 FEB 88 21:57:56 GMT

CC:

TEXT: VZCZCNYU104

RR HQ

DE NY #0104 0532132

ZNY UUUUU

R 222041Z FEB 88

FM FBI NEW YORK (196A-1774) (P) (C-1)

TO DIRECTOR FBI ROUTINE

LEGAL ATTACHE BERN (196A-234) ROUTINE

BT

UNCLAS

MARC RICH-FUGITIVE (B); PIN#US GREEN-FUGITIVE (B); ET AL; FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

REFERENCE LEGAT, BERN TELETYPE TO BUREAU, DATED FEBRUARY 12, 1988 AND NYTELCALLS TO SSA [REDACTED] BUREAU, ON FEBRUARY 16, 1988 AND FEBRUARY 18, 1988.

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196A-1774-479

SEARCHED	INDEXED
SERIALIZED <i>AW</i>	FILED <i>WW</i>
FEB 23 1988	
FBI - NEW YORK	

NEW YORK BELIEVES [REDACTED] SOURCE MAY BE OF ASSISTANCE IN CAPTIONED MATTER. MUCH OF THE SUBJECTS' INDICTMENT IS BASED ON INCOME TAX EVASION WHICH PRESENTS A PROBLEM FOR [REDACTED]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [REDACTED]

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[REDACTED] BUT THE NYO UNDERSTANDS THAT [REDACTED]

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NEW YORK IS SKEPTICAL THAT [REDACTED]

NEW YORK UNAWARE OF [REDACTED]

BUREAU IS PREPARING TO RESPOND TO BERN'S REFERENCED TELETYPE

RELATING TO [REDACTED]

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LEGAT, BERN IS REQUESTED TO [REDACTED]

[REDACTED] SOURCE FOR [REDACTED]

[REDACTED]

PAGE THREE DE NY 0104 UNCLAS



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BT

#0104

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X.8

INBOX.6 (#1489)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: '054/0045 ROUTINE

DATE: 23 FEB 88 20:51:17 GMT

CC:

TEXT:

VZCZCH00045

RR HQ NY BER LON ROM

DE BON #0953 0531344

ZNY EEEEE

R 221325Z FEB 88

FM BONN (196A-189) (P)

TO DIRECTOR ROUTINE

FBI, NEW YORK (196B-1774) ROUTINE

LEGAL ATTACHE, BERN (196A-234) ROUTINE

LEGAL ATTACHE, LONDON ROUTINE

LEGAL ATTACHE, ROME (183B-81) ROUTINE

UNCLAS E F T O

BT

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY

REBUTEL DATED FEBRUARY 19, 1988.

LEGAT BONN NOTES THAT

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[Redacted]

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#0045

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DATE 2/21/01
01-091

[Redacted]

196B-1774-480

SEARCHED.....	INDEXED.....
SERIALIZED <u>AM</u>	FILED <u>AM</u>
FEB 23 1988	
C-1 FBI-NEW YORK	

[Redacted]

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INBOX.30 (#1613)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 053/0166 PRIORITY

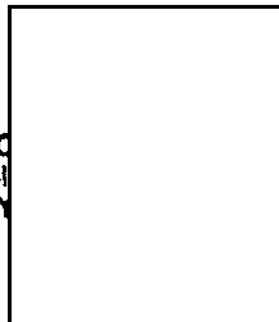
DATE: 24 FEB 88 13:13:45 GMT

CC:

TEXT:

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-084



196A-1774-481

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FEB 24 1988	

SK [Redacted]

(x) 4

NYCZCH000166

PP HQ NY

DE BOG #0257 0532043

ZNR UUUUU

P 222042Z FEB 88

FM LEGAL ATTACHE BOGOTA (196A-121) (RUC)

TO DIRECTOR, FBI PRIORITY

ATTN: CID - WCCS - FINANCIAL CRIMES UNIT

ATTN: OLIA - FLU

ADIC, NEW YORK (196A-1774) PRIORITY

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:
NEW YORK.

RE NEW YORK TELETYPE TO BUREAU, DATED DECEMBER 1, 1987.

BY CABLE NUMBER [REDACTED] DATED FEBRUARY 18, 1988, REGIONAL SECURITY
OFFICE (RSO), AMERICAN EMBASSY, LA PAZ, BOLIVIA, FURNISHED LEGAT
BOGOTA THE FOLLOWING INFORMATION:

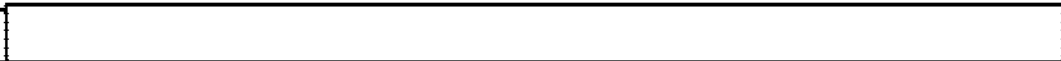
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[REDACTED] REPORT THAT [REDACTED]

[REDACTED] HOWEVER,



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BT

#0166

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-----END OF DOCUMENT-----

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RMS:lrc

NY 196A-1774

On February 17, 1988, [redacted] was queried regarding [redacted] Source advised [redacted]

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Source stated that [redacted]

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Source advised that [redacted]

b7D

Source advised that [redacted]

b7D

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

01-081

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196A-1774-482

SEARCHED	INDEXED
SERIALIZED <i>aw</i>	FILED <i>aw</i>
MAR 4 1988	
FBI - NEW YORK	

[Signature]

Feb 24, 1983

All cents beyond 75 cents from New York City, except on Long Island.

30 CENTS

Late Edition
New York: Today, sunshine with patchy high clouds. High 36-42. Tonight, clear and very cold. Low 12-22. Tomorrow, sunny and cold. High 28-34. Yesterday, High 62, low 60. Details on page C12.

Major Payments By Oil Companies

Oil companies have paid \$7.2 billion in judgments or settlements involving complaints that they violated Federal oil price controls between 1973 and 1981. Regulators are seeking about \$900 million from other oil companies.

Company	Amount in millions
Exxon	\$2,246
Stripper Well	1,400
Exxon	1,288
Amoco	408
ARCO	380
Shell	180
Gulf	142
Mobil	27

Includes about \$1 billion and \$200 million from Exxon, Texaco, Amoco, Shell, ARCO, Gulf and Mobil.

The New York Times, Feb. 24, 1983

TEXACO WILL PAY \$1.2 BILLION TO U.S. FOR OVERCHARGES

SETTLEMENT IS A RECORD

Energy Dept. Completes Last Major Action in 1973-81 Oil Pricing Complaints

By MATTHEW L. WALD

Texaco Inc. agreed yesterday to pay the Energy Department \$1.2 billion to settle a complaint that it had overcharged customers for crude oil and refined oil products between 1973 and 1981, when Federal price controls were in effect.

The settlement, which will be paid over five and a half years, is the largest ever between the Federal Government and a single corporation, the Energy Department said.

The department collected a \$1.4 billion settlement in 1986 from a group of oil companies in what is known as the Stripper Well Case. And in 1987 the Exxon Corporation paid a \$2.1 billion judgment after losing a court fight over the pricing rules. Exxon settled other pricing complaints by paying \$146 million.

Settlements Exceed \$7 Billion

The Energy Department has now recovered more than \$7 billion in settlements and judgments against oil companies it accused of overcharging, a department spokesman said. The Texaco case was the last of the major complaints filed against oil companies for violating the system of price controls that was imposed after the 1973 oil embargo. The controls were eventually abandoned as counterproductive.

The department is seeking an additional \$900 million from other oil companies, the spokesman said.

About \$450 million of the money will go to state governments to spend on energy projects, including improving the insulation of homes, schools and hospitals and providing fuel aid to the poor. The money will be distributed among the states in proportion to their consumption of oil products.

Some Money for Customers

At least \$200 million will go to the Treasury and at least \$200 million will be made available for individual or corporate customers who can show that they were overcharged.

The dispute centered on how to define "new oil." The settlement involved a period when the Government set one price ceiling for old oil (discovered before the regulations took effect in 1973) and a higher ceiling for new oil. Texaco sold as new oil some oil the Government said was old.

In agreeing to the settlement, Texaco did not admit that it had violated the price controls.

Texaco, which is seeking to emerge from bankruptcy, still faces a \$6.8 billion claim by the Internal Revenue Service arising from a different pricing dispute.

Texaco's problems began in 1985 when a jury in Texas awarded a \$10 billion judgment against Texaco to the

Continued on Page D25, Column 1

(Indicate page, name of newspaper, city and state.)

Date: February 24, 1983
Edition: NEW YORK TIMES

Title: MARC RICH - FUG (LB);
PINCUS GREEN - FUG (LB);

Character: FBW (A)

or
Classification:
Submitting Office:

Indexing: NONE

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b7c

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 01-081

196A-1774-483

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 11 1983	

FBI/DOJ

Texaco and U.S. Settle Overpricing Complaint

Continued From Page A1

Pennzoil Company, ruling that Texaco had illegally interfered with a merger agreement between Pennzoil and the Getty Oil Company. Getty was acquired by Texaco instead.

Texaco and Pennzoil have agreed to settle their case for \$3 billion, to be paid when Texaco emerges from bankruptcy. A judge in White Plains is scheduled to begin hearings late next month on a plan to end the company's Chapter 11 status under the Federal Bankruptcy Code.

Analysts differ as to whether the settlement with the Energy Department is harder on Texaco than what had been expected. The Government's total claim was \$2.1 billion, and the official in charge of the department's enforcement program, Chandler van Orman, said the Government's case against Texaco was particularly strong.

Mr. van Orman's agency, the Economic Regulatory Administration, had been pursuing the pricing complaint for years. He said Texaco was now anxious to settle because of its pending emergence from bankruptcy and the investments made in Texaco by two takeover specialists, Carl C. Icahn and T. Boone Pickens.

Texaco said it had been setting money aside for years to settle the Energy Department complaint. On Jan. 8, Texaco said it had the approximate amount of the settlement in a reserve account. Therefore, the company said, the settlement would not affect its earnings for the quarter.

Details of Texaco Payment

The company said it would pay \$400 million "shortly after finalization of the agreement," \$190 million 18 months later and the rest in four annual installments of \$165 million.

"This settlement resolves an unusually massive, complex set of issues arising out of the more than \$70 billion worth of business the company conducted in crude oil over the period," James W. Kinnear, Texaco's president and chief executive, said in a statement. "Conclusion of these matters will free Texaco from years of additional and costly litigation."

Of the \$900 million the Energy Department is seeking from other companies, nearly \$600 million involves the Cities Service Oil and Gas Corporation, which was acquired by the Occidental Petroleum Corporation in December 1982. The rest involves 116 cases in administrative litigation and 148 in court, including about 70 in bankruptcy court.

The most notable case previously completed involved the Exxon Corpo-

ration, which paid \$2.1 billion in January 1987 after the Supreme Court refused to hear its appeal of lower court rulings. That was the second-largest monetary award by a court in the United States, exceeded only by the \$10.3 billion verdict against Texaco in the Pennzoil case.

Several other major oil companies have settled Energy Department complaints for far smaller sums. The Mobil Corporation paid \$27 million in 1984. The Atlantic Richfield Company, known as ARCO, paid \$67 million in 1985. And the Shell Oil Company paid \$180 million in 1987.

In the Texaco settlement, \$115 million is for accusations of overcharging on refined products. The bulk of the settlement, \$1,135,000,000, involves accusations of price violations for crude oil.

The Energy Department's price controls involved setting a base figure from production in a month in 1972, with all production over that amount declared to be "new oil." Oil production from any field declines with time, unless new investment is made. Texaco, along with other oil companies, drilled existing wells to new depths, tapping previously unused reservoirs, and made other improvements to stem the decline.

That resulted in increased production at individual sites. According to a Texaco executive, the dispute arose when the Government defined property more broadly and argued that the increases were offset by declines elsewhere, and that there was less "new" production than the company was claiming. Texaco was listing each reservoir as a new source of oil.

The Government's other major claim against Texaco, made by the Internal Revenue Service, covers a period of sharp increase in prices after the Iranian revolution in 1979.

On the New York Stock Exchange yesterday, Texaco's stock fell 25 cents, to \$42.25 a share.

Wall Street analysts differed on the impact of the settlement.

"I would say that it is a negative, in addition to the huge cash drain of the Pennzoil settlement," said Donald L. Fernow, an analyst at Thompson McKinnon. "The fortunate thing is that they no longer have this shadow looming over them; but this timing is not auspicious."

Many other claims, he said, had been settled for 10 or 15 cents on the dollar. By comparison, Texaco paid \$1.25 billion on a claim of \$2.1 billion.

At Dean Witter Reynolds Inc., George F. Friesen said the settlement was in line with expectations. "The terms of payment were better than I expected, being extended over five years," he said. The after-tax cost, he added, is about \$825 million.

INBOX.3 (#1015)

TO: HQ1 @ EMH1, NY @ EMH1

~~SECRET~~

FROM: WMFO @ EMH1

SUBJECT: 095/0002L PRIORITY

DATE: 4 APR 88 13:29:18 GMT

CC:

TEXT:

VZCZCWF0002

PP HQ NY BER LON

DE WF #0002 0951310

ZNR UUUUU

P 041310Z APR 88

FM FBI WASHINGTON METRO. FIELD(WF196A-1563)(183A-868)(P)

TO DIRECTOR, FBI

PRIORITY

(ATTN: SSAS [redacted]

DIV. 6)

ADIC NEW YORK (12A-1470)(ATTN: SA [redacted]

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b7C

BQMRA)

(196A-1774)(ATTN: SA [redacted]

NYO, SQUAD C-1)

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT [redacted]

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b7C

BT

UNCLAS E F T O (SECTION ONE OF TWO)

~~CLASSIFIED~~
REASON: 1.5 (U, C, D)
DECLASSIFY ON: X 1-16
#01-081

"CHANGED INTEROFFICE"

[redacted] DRUGS/IDTO (OO:NY) (NY 12A-1470)(WF 183A-868)

b7E

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW;

MR; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

(OO:NY)(NY 196A-1774)(WF 196A-1563)

TITLE MARKED "CHANGED INTEROFFICE" TO IDENTIFY FOR

218
484
196A-1774-
12A-1470
SEARCHED [initials] INDEXED [initials]
SERIALIZED [initials] FILED [initials]
APR 04 1988
SA [redacted] C-13

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LEGATS BERN AND LONDON THE CODE NAME [REDACTED] OF NEW YORK CASE FORMERLY CAPTIONED "UNSUB, AKA; ARNOLD H. PHELAN DBA E.F. HUTTON CORPORATION ; NARCOTICS - MONEY LAUNDERING; OO:NEW YORK." CAPTION WAS CHANGED BY NY TELETYPE DATED 3/8/88.

b7E

RE WMFO TELETYPE, 1/19/88; NUMEROUS TELCALLS BETWEEN WMFO AND NEW YORK DURING PERIOD OF 1/19/88 THROUGH 3/31/88 AND MEETING AT FBIHQ BETWEEN WMFO SA [REDACTED] AND DIVISION SIX SSA [REDACTED] 3/29/88. U

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FOR INFORMATION OF LEGATS BERN AND LONDON, REFERENCED WMFO TELETYPE NOTED SUBJECT ARNOLD H. PHELAN RESIGNED AS EXECUTIVE VICE PRESIDENT OF E.F. HUTTON CORPORATION (EFHC) ON 1/17/88. TELETYPE ALSO NOTED [REDACTED]

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[REDACTED]

[REDACTED] SOURCE INFORMATION INDICATES [REDACTED] U

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DURING THE PERIOD OF

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RELIABLE AND SENSITIVE

[REDACTED]

SOURCE PROVIDED INFORMATION

CONCERNING

[REDACTED]

[REDACTED]

[REDACTED]

THIS INFORMATION MUST BE CONSIDERED SINGULAR IN

NATURE AS IMPROPER HANDLING OR DISCLOSURE WILL DISCLOSE

SOURCE'S IDENTITY. SOURCE AND FAMILY WOULD BE IN PHYSICAL

DANGER AND ONGOING FBI INVESTIGATIONS WOULD BE IN JEOPARDY.

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[REDACTED]

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[REDACTED] ~~(S)~~ (U)

[REDACTED]

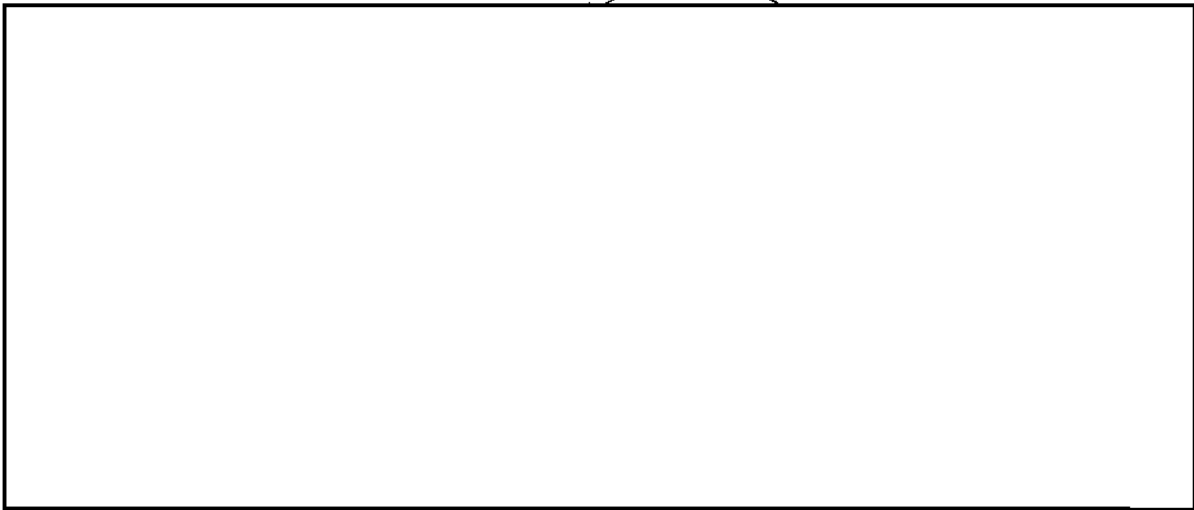
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[REDACTED]

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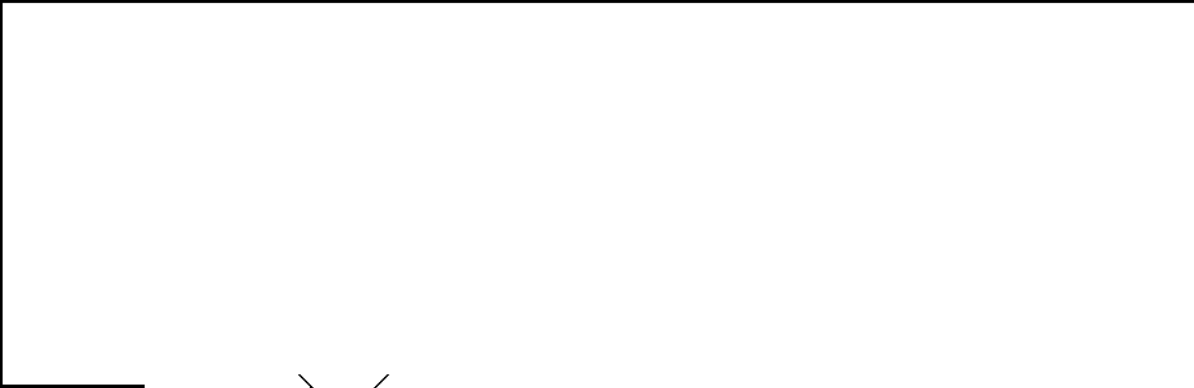
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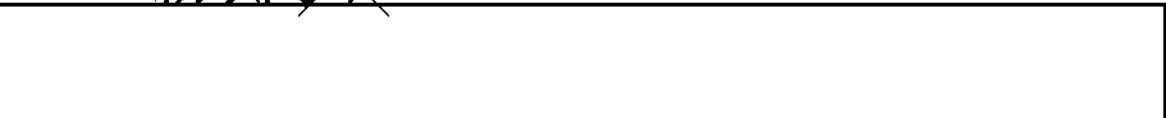
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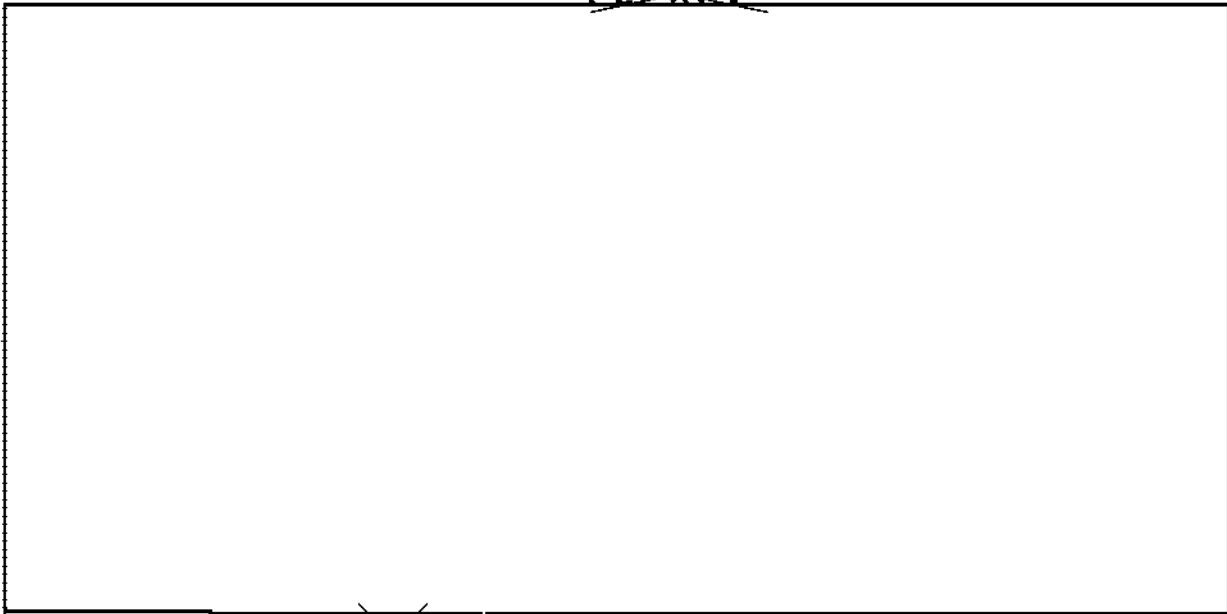


OTHER TOPICS OF DISCUSSION CONCERNED

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[Redacted]

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INBOX.2 (#1014)

TO: HQ1 @ EMH1, NY @ EMH1

FROM: WMFO @ EMH1

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SUBJECT: 094/0001L PRIORITY

DATE: 4 APR 88 13:14:44 GMT

CC:

TEXT:

VZCZCWFO001

PP HQ NY BER LON

DE WF #0001 0951312

ZNR UUUUU

P 041312Z APR 88

FM FBI WASHINGTON METRO. FIELD (WF196A-1563)(183A-868)(P)

TO DIRECTOR, FBI

PRIORITY

(ATTN: SSAS [REDACTED]

DIV. 6)

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b7C

ADIC NEW YORK (12A-1470)(ATTN: SA [REDACTED]

BQMRA)

(196A-1774)(ATTN: SA [REDACTED]

NYO, SQUAD C-1)

LEGAT, BERN

LEGAT, LONDON (ATTN: ASSISTANT LEGAT [REDACTED]

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BT

UNCLAS E F T O (SECTION TWO OF TWO)

"CHANGED INTEROFFICE"

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[REDACTED] DRUGS/IDTO (OO:NY)(NY 12A-1470)(WF 183A-868) MARC

RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW; MR;

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RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; (OO:NY)(NY

196A-1774)(WF 196A-1563)

[REDACTED]

196-1774-484

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FBI-NEW YORK	

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SOURCE LEARNED

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[Redacted]

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[Redacted]

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BASED UPON

[Redacted]

~~(S)~~ ~~(S)~~ (U)

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[Redacted]

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[Redacted]

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[Redacted]

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[Redacted]

~~(S)~~ ~~(S)~~ (U)

[Redacted]

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[Redacted]

~~(S)~~ ~~(S)~~ (U)

SOURCE PROVIDING THE ABOVE INFORMATION IS

[Redacted]

~~(S)~~ (U)

[Redacted]

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b7D
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b7E

[Redacted]

MUCH OF THE ABOVE INFORMATION

WAS OBTAINED BY SOURCE FROM

[Redacted]

[Redacted]

[Redacted]

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[REDACTED]

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SOURCE WILL CONTINUE TO [REDACTED]

[REDACTED]

[REDACTED] ~~(S)~~ U

BUREAU IS REQUESTED TO TRANSMIT THE ABOVE TO LEGATS
BERN AND LONDON FOR INFORMATION.

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b7F

AS A COURTESY TO NEW YORK, WMFO IS REQUESTING LEGAT,
LONDON TO IDENTIFY [REDACTED]

[REDACTED]

RESULTS OF [REDACTED] SHOULD BE SENT TO NEW YORK.

ADDITIONAL LEADS BEING LEFT TO THE DISCRETION OF THE

NYO.

BT

#0001

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~~SECRET~~

~~SECRET~~

INBOX.25 (+2966)

TO: NY @ EMH1, RMPG @ EMH1

FROM: HOTA @ EMH1

SUBJECT: 175/0076 ROUTINE

DATE: 24 JUN 88 05:40:02 GMT

CC:

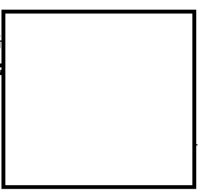
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196A-1774-487

EX-107
6/24/88

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 01-081



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JUN 24 1988	
FBI NEW YORK	

SR HQ NY WINFO

DE BER #0009 175138

ZNR UUUUU

R 231430Z JUN 88

FM LEGAT BERN (196A-234) (P)

TO DIRECTOR (196-2848) ROUTINE

ATTN: CID, WCC SECTION, FCU

FBI NEW YORK (196A-1774) ROUTINE

FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); ET AL;
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO:
NEW YORK.

REBERTEL DATED FEBRUARY 11, 1988.

ON JUNE 22, 1988, LEGAT MET WITH [REDACTED] SOURCE
DESCRIBED IN REFERENCED BERN TELETYPE. [REDACTED]

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[REDACTED]
SOURCE RECENTLY [REDACTED]

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SOURCE FURTHER ADVISED THAT

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SOURCE INQUIRED AS TO THE POSSIBILITY OF

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[REDACTED]

[REDACTED]

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LEGAT IS NOT AWARE AS TO WHETHER THE INFORMATION THAT [REDACTED]

[REDACTED]

SIGNIFICANT OR PREVIOUSLY KNOWN. LEGAT NOTES INFORMATION

RECEIVED FROM [REDACTED] SOURCE THAT [REDACTED]

[REDACTED]

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BERN MAINTAINING CONTACT WITH [REDACTED]

SOURCE.

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INBOX.26 (#2967)

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PP HQ NY LON BERN
DE WF #0011 1660048
ZNR UUUUU
P 140048Z JUN 88

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FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1563) (C)
TO DIRECTOR FBI/PRIORITY/
FBI NEW YORK (12A-1470)/PRIORITY/
LEGAT LONDON/PRIORITY/
INFO LEGAT BERN/PRIORITY/
BT

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ, SSA [redacted] DIVISION 6; NEW YORK, SA [redacted]
[redacted] BQMBRA (12A-1470); NEW YORK, SA [redacted] SQUAD C-1

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(196A-1774); LEGAT LONDON, ASSISTANT LEGAT [redacted]

SUBJECT: [redacted] DRUGS - IDTO; OO:NEW YORK.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NEW
YORK (NY 196A-1774) (WF 196A-1563).

RE WMFO TELETYPES TO THE BUREAU DATED MAY 27, 1988, AND JUNE

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C-1

copy sent to BB

196-1774-488

SEARCHED [initials] INDEXED [initials]
SERIALIZED [initials] FILED [initials]
JUN 14 1988

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REASON: 1.5
DECLASSIFY ON: X
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6/14/88

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'PAGE TWO DE WF 0011 UNCLAS

1, 1988; TELCALL BETWEEN WMFO SA [REDACTED] NY SA [REDACTED]
[REDACTED] JUNE 11, 1988, AND ASSISTANT LEGAT [REDACTED] LONDON, ON
JUNE 6, 1988.

FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF

[REDACTED]

A VERY RELIABLE AND SENSITIVE

[REDACTED] SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING EFFORTS

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[REDACTED]

[REDACTED]

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AS A RESULT OF

[Redacted]

[Redacted]

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[REDACTED]

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[REDACTED]

(S) (U)

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED]

[REDACTED]

[REDACTED] MUCH OF THE INFORMATION WAS OBTAINED BY SOURCE [REDACTED]

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[REDACTED] IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND BUREAU INVESTIGATIONS WILL BE IN JEOPARDY. (S) (U)

[REDACTED]

[REDACTED]

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INBOX.2 (#1378)

TO: HQ1 @ EMH1, NY @ EMH1

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FROM: WMFO @ EMH1

SUBJECT: 183/0973L/IMMEDIATE

DATE: 1 JUL 88 13:10:10 GMT

CC:

TEXT:

VZCZCWF0973

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN OTHERWISE~~

OO HQ NY LCN BER

DE WF #0973 1831304

ZNR UUUUU

O 011306Z JUL 88

FM FBI WASHINGTON METROPOLITAN FIELD OFFICE (196A-1363) (C)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK (12A-1470)/PRIORITY/

LEGAT LONDON/IMMEDIATE/

INFO LEGAT BERN/PRIORITY/

BT

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ, SSA [redacted] DIVISION 6; NEW YORK, SA [redacted]

[redacted] SQUAD C-7 (12A-1470); NEW YORK, SA [redacted] SQUAD

C-1 (196A-1774); LEGAT LONDON, ASSISTANT LEGAT [redacted]

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SUBJECT: [redacted] DRUGS - IDTO; OO:NEW YORK.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);

196A-1774-489

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FBI-NEW YORK	

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PAGE TWO DE WF 0973 UNCLAS

RE: NY; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CO: NEW YORK (NY 196A-1774) (WF 196A-1563).

RE WMFO TELETYPE TO THE BUREAU DATED JUNE 13, 1988, TELCALLS BETWEEN WMFO SA [REDACTED] NY SA [REDACTED] JUNE 29 AND 30, 1988, ASSISTANT LEGAT [REDACTED] LONDON, ON JUNE 30, 1988, AND NY TELETYPE TO THE BUREAU AND LEGAT LONDON DATED MAY 6, 1988.

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FOR INFORMATION OF RECEIVING OFFICES, DURING THE PERIOD OF [REDACTED] A VERY RELIABLE AND

SENSITIVE [REDACTED] SOURCE CONTINUED TO PROVIDE INFORMATION CONCERNING

[REDACTED]

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[REDACTED] TO SOURCE). ~~(S)~~ ~~(S)~~ (U)

[REDACTED]

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[Redacted]

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[Redacted]

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ADMINISTRATIVE:

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SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED] ~~(S)~~

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[REDACTED] MUCH OF THE INFORMATION WAS OBTAINED BY

SOURCE [REDACTED]

[REDACTED] IMPROPER HANDLING OF INFORMATION WILL DISCLOSE SOURCE'S IDENTITY. SOURCE AND FAMILY WILL BE IN PHYSICAL DANGER AND BUREAU INVESTIGATIONS WILL BE IN JEOPARDY ~~(S)~~ (U)

REFERENCED NEW YORK TELETYPE REQUESTED LEGAT LONDON EXPLORE THE FEASIBILITY OF A [REDACTED]

[REDACTED] DURING

REFERENCED JUNE 30, 1988, TELCALL WITH LEGAT LONDON, ASSISTANT LEGAT [REDACTED]

[REDACTED] WOULD BE AVAILABLE FOR DISCUSSIONS DURING THE

WEEK OF [REDACTED] ~~(S)~~ (U)

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[REDACTED] NOTED BECAUSE OF SCHEDULE CONFLICTS, ALL OF THE

[REDACTED] WOULD NOT AGAIN

BE AVAILABLE UNTIL [REDACTED] ADVISED A PROPOSAL

REGARDING DISCUSSIONS WITH [REDACTED] WOULD BE SENT TO ~~(S)~~ (U)

~~SECRET~~

PAGE FIVE DE WF 0973 DMLAS

BEING BY LEGAT LONDON IN THE NEAR FUTURE.

~~SECRET~~

IN RESPONSE TO LEGAT LONDON'S REQUEST, SA [REDACTED] AND
NEW YORK SA [REDACTED] WOULD BE AVAILABLE [REDACTED] DURING THE WEEK

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OF [REDACTED]

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FBI

TELETYPE

ROUTINE

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8/12/88

Pls lead

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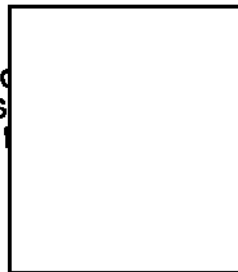
ROUTINE
NEW YORK (196A-1774) (P) (C-1)
ROUTINE
DIRECTOR FBI () () ()
BERN (196A-234) () () (INFO)
BONN () () () (INFO)
ROME (196A-112) () ()
BT
UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW (A);
OO:NY

RENYTELE TO THE BUREAU, DATED DECEMBER 11, 1987; NYTELE TO THE
BUREAU, DATED JANUARY 7, 1988; AND LEGAT, BONN TELETYPE TO THE
BUREAU, DATED FEBRUARY 22, 1988.

ALL INFORMATION
HEREIN IS UNCLAS
DATE 3/21/01

01-081



196A-1774-492

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AUG 16 1988	
FBI - NEW YORK	

Wd

1 - New York
1 - Supervisor C-1
JCM:hb028V3
(2)

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Approved: *[Signature]* Transmitted 228/145 Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD
PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: *[Signature]*

ON AUGUST 10, 1988, NYO CONTACTED [REDACTED] TELEPHONE
NUMBER [REDACTED] AT THE REQUEST OF [REDACTED]
AMERICAN CONSULATE [REDACTED]

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[REDACTED] APPEARS TO STILL HAVE AN INTEREST IN ASSISTING THE FBI IN

[REDACTED]

[REDACTED]

[REDACTED]

NYO HAS YET TO ESTABLISH THROUGH AUTHORITIES WHETHER [REDACTED]

[REDACTED]

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LEGAT, POME IS REQUESTED TO CONTACT [REDACTED] OR OTHER
APPROPRIATE AUTHORITY TO DETERMINE WHETHER [REDACTED]

[REDACTED]

LEGAT, ROME IS ALSO REQUESTED TO REINTERVIEW [REDACTED] IN
[REDACTED] FOR ADDITIONAL INFORMATION [REDACTED]
[REDACTED]

THE BUREAU IS REQUESTED TO CONTACT THE ATTORNEY AT OFFICE OF
INTERNATIONAL AFFAIRS (OIA) HANDLING [REDACTED] AND DETERMINE
WHETHER [REDACTED]
[REDACTED]

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OUTBOX.1 (#1385)

TO: PD2 @ EMH2

FROM: NYTX @ EMH2

SUBJECT: 228/145L ROUTINE

DATE: 16 AUG 88 00:23:50 GMT

CC:

TEXT: VZCZCNYC145

RR HC

DE NY #0145 2282208

ZNY UUUUU

R 152129Z AUG 88

FM FBI NEW YORK (196A-1774) (P) (C-1)

TO DIRECTOR FBI () ROUTINE

ATTN: ROME, 196A-112

LEGAL ATTACHE BERN (196A-234) () (INFO) ROUTINE

LEGAL ATTACHE BONN () (INFO) ROUTINE

BT

UNCLAS

MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW (A);

CC:NY

RENYTELE TO THE BUREAU, DATED DECEMBER 11, 1987; NYTELE TO THE BUREAU, DATED JANUARY 7, 1988; AND LEGAT, BONN TELETYPE TO THE BUREAU, DATED FEBRUARY 22, 1988.

ON AUGUST 10, 1988. NYO CONTACTED [REDACTED] TELEPHONE

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ALL INFORMATION CONTAINED
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DATE 2/21/01 BY
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196-1774-492

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FBI - NEW YORK	

PAGE TWO DE NY 0145 UNCLAS

NUMBER [REDACTED] AT THE REQUEST OF [REDACTED]
AMERICAN CONSULATE [REDACTED]

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[REDACTED] APPEARS TO STILL HAVE AN INTEREST IN ASSISTING THE FBI IN

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NYO HAS YET TO ESTABLISH THROUGH AUTHORITIES WHETHER [REDACTED]
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LEGAT, ROME IS REQUESTED TO CONTACT [REDACTED] OR OTHER
APPROPRIATE AUTHORITY TO DETERMINE WHETHER [REDACTED]

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LEGAT, ROME IS ALSO REQUESTED TO REINTERVIEW [REDACTED] IN

[REDACTED] FOR ADDITIONAL INFORMATION [REDACTED]

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THE BUREAU IS REQUESTED TO CONTACT THE ATTORNEY AT OFFICE OF
INTERNATIONAL AFFAIRS (OIA) HANDLING [REDACTED] AND DETERMINE
WHETHER [REDACTED]

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AUG 16 1988	
FBI NEW YORK	

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back of page

THE *Alchemists* OF ZUG

Base metal *can* be turned to gold.
A mysterious squeeze on the aluminium market yielded a \$400 million profit for people who bought at the right time. Some of the players could be living in the Swiss town of Zug. Chris Blackhurst introduces two: Marc Rich (right) and Rainer Glaser (far right).

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

01-081

This June, police in north-east England noticed that an unusual number of road signs were being stolen. The reason was that they were made of aluminium. The metal is the commonest in the world, but early this summer it was in uncommonly short supply. Prices on the London Metal Exchange rose so fast that the villains in the north-east realized the opportunity to make a bob or two.

Before the exchange's council called a halt to the price madness in the aluminium market early in June, some clever speculators had made hundreds of millions of dollars in profit. For eight months, the market had been subjected to a merciless squeeze.

There is nothing new about bizarre price behaviour in the metals markets. Because they exist in a world with an arcane language all their own of shorts and longs, futures, contangos and backwardation, they resist public scrutiny. On rare occasions their vulnerability is exposed, as when Bunker and Herbert Hunt of Dallas, Texas, and some Saudi Arabian princes got together in 1978-80 to try to corner the silver market. A little later, the Malaysian government combined with traders in Zug, Switzerland, to squeeze the tin price upwards.

What happened this time in aluminium was that in just seven months the metal's price rose from \$1,600 a tonne to a record \$4,206. At one stage, aluminium - traditionally regarded as a cheap metal - was more expensive than copper.

Metal companies were caught short, literally. Without any warning, in November

1987, the supply of aluminium tightened. Stocks were low. Inevitably, some traders were exposed. They had orders to meet but no aluminium to meet them with. In market parlance, they were "short".

To make matters worse, in April this year, just when the squeeze began to bite, a small, but vital, supplier called Euro-Continental Enterprises defaulted on its orders. Its collapse was enough to tip the market over the edge. Suddenly, the situation was desperate. Dealers clamoured for aluminium, to no avail. There was none to be had. The price went through the roof.

Not everyone was short, however. With remarkable foresight and an acute sense of timing some people had gone "long": they had aluminium. The LME stepped in and at a special crisis meeting on June 10, warned traders not to withhold aluminium. Chairman Christopher Green pleaded with them to take a long-term view of the market. "Exaggerated price movements," he said, "can harm legitimate operators in the market and adversely affect the reputation of the exchange." Eventually the strictures were heeded, and by the third week in June the price had fallen back. By then, however, the longs were \$400 million richer. (On the downside, one company, Hydro Trading, a member of the Norwegian Norsk Hydro group, lost at least \$12 million.)

Three things are necessary for a squeeze: low supply, high demand, low stocks. For some time, aluminium has met all three criteria. This year, for instance, the world will consume 13.5 million tonnes (in every-

thing from kitchen foil to window frames to gas cylinders to soft drink cans) but produce only 13 million tonnes.

Squeezes are, by their very nature, covert exercises and their participants are reluctant to reveal how it is done. Much metals trading is done in London, but an inquiry might usefully start in a small canton on the outskirts of Zürich.

With picture postcard views and narrow, cobbled streets, Zug looks typically Swiss. But Zug is different. The tiny canton (population 77,000) is richer than many countries. Its per capita gold reserves are more than 10 times those of the US and it receives more than \$200 million a year in taxes from its 8,000-plus registered corporations. The reason for this unusual state of affairs is that Zug's main export is financial subterfuge: shell companies, trust funds, private banks, tax dodges. Foreign governments attack Swiss bank secrecy, the good burghers of Zug encourage it all they can. Another attraction is that the canton's taxes are the lowest in Switzerland.

From mid-morning onwards, Zug's pavement cafés are crowded with wives and mistresses of all nationalities, their Porsche and Mercedes blocking the streets. At midday their men emerge from the gleaming modern offices along the main thoroughfare the Baarerstrasse. Their dress is uniform: Italian suit, Dior glasses, tassled loafers. And their talk is all high finance, commodities, metals and, inevitably, Marc Rich.

For a deeply private man, Marc Rich has notoriously public face. His is the six-store blue-glass tower (nicknamed the "Dalla Building" because of Rich's parallels with J. R. Ewing) overlooking Zug railway station. Inside, executives can be seen sitting at desks, moving around, making their markets. This is the nearest most people get to the secretive 53-year-old billionaire.

In 1973, Rich left the commodities house Philipp Brothers in New York City to go alone. Ten years later he had built one of the world's largest trading empires. In 10 years Marc Rich & Co - which, by the 1980s, had offices in most of the world's financial centres - made \$10 billion. Rich, however, had a problem: he hated paying taxes. In 1981, with the US government's attorneys hot on his trail, he fled New York and went to Zug. Shortly afterwards he was charged with counts of racketeering, mail fraud, wire fraud, tax evasion, conspiracy and trading with an enemy. (At the height of the 1980 hostage crisis, he had bought oil from Iran.)

In 1984, Rich's companies pleaded guilty to 38 separate counts of tax evasion and paid a fine of \$90 million. At the same time his main US operation, Marc Rich & Co International, was sold to an associate, who changed its name to Clarendon. Despite the fact that he extricated himself and his companies from the US, the personal charges have never been dropped - making Rich, should he ever return, to a possible 325-year prison sentence.

Exile has been kind to Rich. Marc Rich

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Co AG has offices around the world, all reporting back to Zug. This empire is worth more than \$1 billion. Rich's wife Denise has achieved success as a singer and song-writer (she wrote the 1986 UK number one hit "Frankie" for the pop group Sister Sledge). And in his chalet in the village of Oberageri, outside Zug, there are works by Picasso, Miró and Giacometti.

Rich is not a prisoner. Some years ago, after working in Philipp Brothers' Madrid office, he obtained a Spanish passport. (Conveniently, under the terms of their extradition treaty, neither the US nor Spain are bound to hand over each other's nationals.) He is able to travel freely between Zug and his villa in Marbella, and, as we shall see, to other destinations as well.

In Switzerland, and in Zug in particular, Rich is revered. He is an establishment figure, the owner of Switzerland's second-largest private enterprise and a generous supporter of its charities. In Zug, everyone has a Rich story: how he donated money to plant the trees down by the lake; how his chalet is guarded by barbed wire and cameras; how he is backing Zug's new shopping centre; how his salaries are higher than others'; among the commodities that his dealers sell, it is whispered, are their souls.

Rich, though, is not the only metal man to have sought refuge in Zug. In February last year, at the end of one of the longest civil fraud cases in English legal history, Mr Justice Hobhouse found that between 1982 and 1983, (Rainer Glaser), a German aluminium trader, then aged 32, swindled his employer, Swiss metal company Metall und Rohstoff, out of tens of millions of pounds.

In his 222-page judgment, Hobhouse described how Glaser had simultaneously set up private accounts with another broker, ACLI Metals (London). Glaser's best deals benefited ACLI's brokers and the private accounts he had established, rather than his employer. Hobhouse described Glaser as a "flawed genius" and as "wholly corrupt and uncreditworthy".

In the real world, Glaser would have been prosecuted. In the bizarre world of metals trading, things work differently. "We thought about bringing in the fraud squad but they would not have understood what was going on," says an adviser to Metall und Rohstoff. Instead, it brought a civil case against ACLI. Hobhouse found that ACLI had guilty knowledge of Glaser's fraud and ordered it to pay £36.5 million plus interest to M&R. Glaser did not pay anything.

In any other line of business, Hobhouse's judgment would have spelt the end of Glaser's career. Metal men play to different rules, however. And, after all, Glaser was brilliant. Hobhouse himself had said so: "He was able to conduct virtually single-handed enormously complex transactions and negotiations without losing his way. He was enormously energetic and at the same time, highly imaginative and inventive in the business he was prepared to generate and the techniques he called on. People were prepared to

describe him as a genius, and there is no doubt that he was widely admired and held in a degree of awe by others in the aluminium business. However, the fact remains that he was a flawed genius."

It was not long before Glaser's services were in demand again. After resigning from M&R in 1983 he worked in Hong Kong for a while, before re-surfacing in Zug as the vice-president of a small trading company, Consolidated Metals & Minerals (CMM).

It was inevitable that Glaser's and Rich's paths would cross. Zug is that sort of place. Glaser's office is at number 8, Baarerstrasse. Rich is across the road at number 37. Glaser denies that he has ever worked as an employee of Rich. "It would be too dangerous for him to employ me. Because of Hobhouse, some people would automatically assume the worst," he says. Their relationship is more informal. "We just do business together." One of their common interests is aluminium.

Rich has lots of aluminium. In fact, there are few people with more aluminium than Rich. Working with Clarendon, he is now the world's fourth-largest producer.

In the aluminium world, Glaser acts as a middle-man. From his base in Zug, he travels the world buying aluminium on behalf of metal processors. He is employed by two companies: by Zug-based CMM as vice-president, and as a consultant by Euro-Continental Enterprises, a company reg-

In Zug, everyone has a Rich story: how his chalet is guarded by barbed wire and cameras; his traders, it is whispered, must sell their souls.



istered in Monrovia, Liberia. Powerful built, with shoulder-length black hair, Glaser does not look like a corporate vice president, but the energy to which Hol house paid tribute is evident: he does not sit still for a second. He refuses to identify the owners of CMM except to say that they are "different people, Swiss and European".

Although he is its consultant, Glaser tries to distance himself from Euro-Continental. He became involved a few years ago, when he says, he was introduced to a Channel Islands company, Channel Trust, by a Canadian, Rodney Duncan, a former president of Alcan Bermuda. (Duncan featured in the M&R case as the man behind one of Glaser's private accounts, although there was no question of "guilty knowledge" on his part. Channel Trust acted as a financial adviser to Euro-Continental Enterprises. Glaser became a paid consultant to Euro-Continental and CMM its agent.

Like CMM, Euro-Continental bought metal from producers on behalf of European manufacturers. It dealt with some of the biggest companies in Europe, such as France's Pechiney, Norsk Hydro and the German group, Preussag. "For example, Euro-Continental would buy metal from Pechiney in Europe, CMM would buy from Pechiney in the US," Glaser explains.

Euro-Continental's operation was typical of many metal trading companies: small staff (three, excluding Glaser), low outgoings, huge turnover (in aluminium alone, it handled orders worth \$200 million last year). But there was one difference: the company's registration in Liberia.

The tiny West African state is not known for its metal trading business. But being registered in Monrovia has attractions, not least that the privacy of its shareholders is guaranteed. It also has a watertight bankruptcy protection law that protects shareholders even when their company goes bust. Typically Glaser, whose own company CMM, was paid \$100,000 a year plus commission by Euro-Continental, is as reluctant to name its shareholders as he is CMM's. "They are a number of people representing risk money, trusts and entrepreneurs," he says. "They are represented by an Australian, whom I believe is a close associate of Marc Rich." (Incidentally, Euro-Continental shares its Gibraltar office with Rich's Spanish subsidiary, Ron Investment.)

In July 1987, Euro-Continental ran into difficulties. A German company, Bergmann, contracted with it to supply West German aluminium to Pechiney. When it arrived Pechiney claimed it was East German and of inferior quality, a charge Bergmann denies. Pechiney refused to pay Euro-Continental which in turn refused to pay Bergmann; the parties consulted their lawyers.

In Zug, in the autumn of last year, the price of aluminium had become a topic for café conversation. Its price had risen steadily, from \$1,400 at the beginning of the year to \$2,250 in October. Its prospects were promising. But only the true metal me

appreciated the opportunity presented by Black Monday, October 19, when the aluminium price plunged.

Nothing had happened to make aluminium more or less desirable, but fund managers, having taken fright, pulled out of aluminium futures and took what was offered. The aluminium price fell past \$1,800 a tonne in October to \$1,600 in November. Although even shrewd metals men lost money in the crash, they did not panic. On the contrary, they understood that the time was right for purchases on a very large scale. They seized their opportunity and bought, both the metal and contracts on the LME to buy aluminium in the future. They were the longs. Naturally, Rich was among them.

Towards the end of 1987, a strange thing began to happen. Although more than a million tonnes of aluminium is consumed in the world each month, the market price is influenced by speculation as well as consumption. Futures contracts are bought and sold on the assumption that the buyer will not actually take delivery of the metal. If he does, the seller must buy aluminium, and if there is not enough metal to satisfy demand the price rises.

In November, the longs began to take delivery of aluminium and a shortage of 150,000 tonnes became apparent. Metal was available, of course, but at much higher prices. Moreover, the longs had substantial futures contracts. The mere threat that they might demand delivery sent the aluminium price even higher throughout the winter. The squeeze had begun.

That was bad enough, but the market remained fairly orderly until March 30. That was the day on which Euro-Continental defaulted on its orders. Companies with contracts to buy from Euro-Continental were forced to go elsewhere. The consequence was another round of price increases.

Two events precipitated the demise of Euro-Continental. Bergmann, frustrated at not being paid for the Pechiney contract, froze Euro-Continental's assets in Switzerland, and it started legal proceedings in Germany. And on March 21, the futures arm of Pru-Bache, the giant US bank, made a margin call against its client, Euro-Continental.

Pru-Bache bought and sold metal futures on behalf of Euro-Continental, which, according to Glaser, deposited \$600,000 with Pru-Bache on the understanding that its account would never show a deficit. If it did, the account had to balance within one working day. In March, the account moved into the red. On March 21, Pru-Bache demanded \$400,000 from Euro-Continental to cover the deficit. No money was forthcoming. Next day, Pru-Bache asked for an additional \$1 million. Again, no money was paid.

Despite Glaser's confidence in its wealthy backers and its million-dollar turnover, Euro-Continental seemed unable to raise \$1.4 million. Glaser's explanation is that there was sufficient cash in Switzerland but that it was frozen. But Bergmann found assets of just SFr2.4 million, or £900,000.

When we look for someone to blame we always pick on the poor Japanese. They are an easy target—they are too polite to answer back.'



Apparently, Euro-Continental had no other funds it could call upon. Nine days after its first demand, on March 30, Pru-Bache learned that Euro-Continental had filed for Chapter 11 bankruptcy protection under Liberian law. Euro-Continental, with a skeleton staff and orders throughout Europe, was putting itself under the protection of the receiver in Monrovia, Liberia.

The effect on the company's customers was devastating. They suddenly found themselves with orders to meet but no supplier. When they turned to the LME they found the longs were willing to sell, but the price reached \$4,205 a tonne. The squeeze was complete.

It is always difficult to calculate how much the longs make at the expense of the shorts in a case like this, but on the assumption that the longs controlled 150,000 tonnes of aluminium that the shorts were forced to buy, their profit (on a price increase of \$2,600 a tonne to \$4,200) comes to \$400 million. Even if the longs did not buy when aluminium was at its cheapest after Black Monday, and did not sell at the top of the market in June, the profits would still have been counted in hundreds of millions of dollars. It was an act of wizardry in which a base metal had been transformed. Each of the players was worth more than his weight in gold.

Who were the fortunate beneficiaries? The regular dealers on the LME are always reluctant to attribute abnormal price movements to wizardry in places like Zug. Once they admit that the markets can be moved

by individual behaviour, their business appears less stable, so they look for impersonal market forces. In this case they blamed the Japanese, suggesting that they had bought more aluminium than was good for them. Oddly, this explanation is dismissed as ill-judged by Rainer Glaser.

"When we look for someone to blame we always pick on the poor Japanese," he says. "They get the blame for everything: currency movements, trade deficits, unfair competition and now squeezing the aluminium market. They are an easy target—they're too polite to answer back. I feel quite sorry for them, really."

Glaser emphasises that the traders in the best position to profit from the unusual market behaviour were those who knew that Euro-Continental was going under. "If you know what is going to happen, it reduces your risks of getting the market wrong to zero. In aluminium on the LME, it will either go up or down, so you always have a 50 per cent chance. But if you have the right information it's 100 per cent."

Glaser knew and so did Rich's Spanish subsidiary, Ron Investment, in the office it shared with Euro-Continental.

Glaser's movements were naturally of interest to Pru-Bache and he has been tracked to a number of places, including Gibraltar, London and Monrovia. Sometimes he has been accompanied by Rich. About these trips, the loquacious Glaser remains silent.

Rich declined to be interviewed for this article, and since he has a record of resisting requests for interviews even when they come from senior US attorneys, that is not altogether surprising.

Glaser's delight in discussing the recent history and the curious behaviour of the aluminium market is not so easy to explain. During interviews conducted in his office and over a relaxed dinner, Glaser insisted that the reason Mr Justice Hobhouse was so scathing was that the judge does not understand the metals business, and that he (Glaser) took the blame for the incompetence of others. "I was amazed the judge said the things he did. He made statements which he could not possibly justify."

On the latest aluminium squeeze, Glaser protests his innocence. "I've had a tough time proving that I had nothing to do with the collapse of Euro-Continental. I am just an employee of CMM. And before you ask, CMM had absolutely nothing to do with Euro-Continental. It is just its agent. What happened was just a coincidence of behaviour by certain people. That was all."

There are occasions when aberrant price behaviour in the metals market is, indeed, pure coincidence. These are, however, few and far between and when traders from Zug are prominent in a market, history suggests that the likelihood of coincidence dwindles.

But enough of history. What of the future? As a parting gift, Rainer Glaser revealed that the base metal in which he is now starting to take a keen interest is copper. You have been warned. ■

INBOX.20 (495516)

TO: NY @ ENF1

FROM: NY @ ENF1

SUBJECT: 230/0049 ROUTINE

DATE: 18 AUG 98 09:34:59 BY:

TEXT:

VZ30000049

R 170731Z AUG 98

FM BONY (196A-109) (RUC)

TO DIRECTOR, FBI ROUTINE

FBI, NEW YORK (196A-1774) ROUTINE

LEGAL ATTACHE BERN (196A-264) ROUTINE

LEGAL ATTACHE HOME (196A-112) ROUTINE

UNCLAS E F T O

BT

MARI RIDG-FUGITIVE (B); RINCOS GREEN - FUGITIVE (B); PSW (A); OO: NEW YORK

RENYTEL, 8/15/98.

[REDACTED]

b7D
b7E

PENDING THE RECEIPT OF SPECIFIC INFORMATION REGARDING

[REDACTED]

OR ADDITIONAL LEADS, THIS MATTER

IS RUC'S AT BONY.

AK ORC
8/19/98

196A-1774-494
SEARCHED INDEXED
SERIALIZED FILED

AUG 18 1998

C-1

[REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY

al-081

[REDACTED]

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PAGE TWO OF BOM 0675

BT

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NNNN

-----END OF DOCUMENT-----

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INBOX.1 (#1147)
TO: NY @ EMH1
FROM: HQT X @ EMH1
SUBJECT: 238/0147 ROUTINE
DATE: 28 AUG 88 16:17:22 GMT
CC:

TEXT:
VZCZCH00147
RR HQ NY BER BON
DE ROM #0126 2370734
ZNY EEEEE
R 240734Z AUG 88
FM ROME (196A-112) (P)
TO DIRECTOR ROUTINE
NEW YORK (196A-1774) ROUTINE
LEGAL ATTACHE BERN (196A-234) ROUTINE
LEGAL ATTACHE BONN (196A-189) ROUTINE

UNCLAS E F T O
BT

MARC RICH - FUGITIVE(B); PINCUS GREEN - FUGITIVE(B); FBW (A); OO:
NEW YORK

RENYTEL DATED AUGUST 15, 1988.

FOR INFORMATION OF RECIPIENTS, ON AUGUST 23, 1988, REGIONAL
SECURITY OFFICER (RSO), [REDACTED] ADVISED AS FOLLOWS:

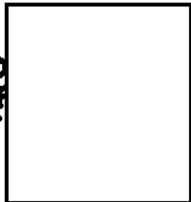
[REDACTED] ADVISED THAT [REDACTED]

[REDACTED]
INADVERTENTLY, [REDACTED] ALSO ADVISED THAT ON [REDACTED]

[REDACTED]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [REDACTED]
01-081



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132/80C

196A-1774-496

SEARCHED	INDEXED
SERIALIZED	FILED
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AUG 28 1988	
ORR	

(X)
9

[REDACTED]

ARRANGEMENTS HAVE BEEN MADE FOR LEGAT, ROME REPRESENTATIVE

[REDACTED]

[REDACTED] RESULTS WILL BE PROVIDED TO RECIPIENTS.

BT

#0147

NNNN

-----END OF DOCUMENT-----

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INBOX HAS 2 DOCUMENTS

INBOX.1 (#1332)

TO: NY @ EMH1

FROM: HQTX @ EMH1

SUBJECT: 005/0075 ROUTINE

DATE: 6 JAN 89 09:03:51 GMT

CC:

TEXT: VZCZCHQ0075 0052008

RR HQ NY BER BON

DE ROM #0708 0041329

ZNY EEEEE

R 041330Z JAN 89

FM LEGAT ROME (196A-112) (RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK (196A-1774)/ROUTINE/

LEGAL ATTACHE BERN (196A-234)/ROUTINE/

LEGAL ATTACHE BONN (196A-189)/ROUTINE/

BT

UNCLAS E F T O

CITE: //5350//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
FBW (A); OO: NEW YORK.

RENYTELS SEPTEMBER 30, 1987, AND AUGUST 15, 1988; AND ROMTEL
AUGUST 24, 1988.

FOR INFORMATION OF RECIPIENTS, ON DECEMBER 14, 1988, LEGAT
ROME INTERVIEWED [REDACTED] REGARDING [REDACTED]

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*Dcm/opc
1-6-89*

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-029



196A-1774-4977

SEARCHED <i>10</i>	INDEXED <i>10</i>
SERIALIZED <i>10</i>	FILED <i>10</i>
C-1	
JAN 06 1989	
FBI - NEW YORK	

[REDACTED]

(X)

[REDACTED]

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[REDACTED]

HAD NOTHING.

[REDACTED]

[REDACTED]

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LEAD. NEW YORK IS REQUESTED TO PROVIDE INFORMATION

CONCERNING [REDACTED]

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[REDACTED] FOR HIS INVESTIGATIVE USE. ROME RECOMMENDS THAT

FOLLOW-UP INVESTIGATION REGARDING [REDACTED]

[REDACTED]

PAGE THREE DE ROM 0708 UNCLAS E F T O

PENDING THE RECEIPT OF SPECIFIC INFORMATION REGARDING

b7D

OR ADDITIONAL LEADS, THIS MATTER

IS RUC AT ROME.

BT

#0075

NNNN

-----END OF DOCUMENT-----

INBOX.2 (#1333)

Memorandum



To : ADIC, NEW YORK (196A-1774) P

Date 1/20/89

From : SA [redacted] (c-1)

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b7C

Subject : MARC RICH - FUG. (CB);
PINCUS GREEN - FUG;
FRWCA);
OO: NY

On 1/18/89, [redacted]

[redacted], telephone # [redacted] was telephonically contacted and was furnished the [redacted]

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and other related information in Legat, Rome teletype of 1/4/89 as requested by Legat, Rome.

②

196A-1774-498

SEARCHED	INDEXED
SERIALIZED	FILED
JAN 23 1989	
FBI NEW YORK	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
01-081

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Memorandum



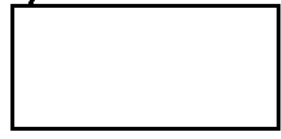
b6
b7C

To : DADIC/SAC, DIVISION
(ATT; SUPERVISOR, SECTION 41)

Date 2/8/89

From : ADIC, NEW YORK (66-7566)

ANN: SA



Subject : NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

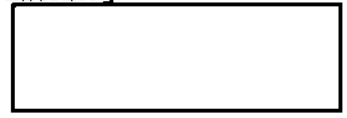
Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

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Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 2/10/89 (Date)

ANN!



This printout in its entirety should be placed in the following file (case file) 9-7D-157 Filled out.

PURGE _____
Date of Recovery _____
Date of Arrest _____

MAINTAIN _____ 196A-1774-499

MODIFY _____
(Updated information attached)

CASE AGENT _____ (C-1)

SUPERVISORY SA _____

DATE RETURNED 2/6/89

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b7C

DDMO: Rev. 6/6/86

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY _____



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b7C

01-081

QFBC WTST
ORI/. .NAM/RICH, MARC
.SEX/M.RAC/U.DOB/121834.
INQ/*.

N 0736-0736 02/03/89 00142444 QFBC09862 PART 001 OF 001
NYSP WTST QFBC 0736
NYFBINY00
NAM/RICH, MARC
.SEX/M.RAC/U.DOB/121834.INQ/1

CONFIRM RECORD WITH ORIGINATOR

MKE/ - WANTED PERSON
ORI/NYFBINY00 - U.S. FBI NEW YORK CITY 30
NAM/RICH, MARC SEX/M RAC/W DOB/121834
SID/. CCH/. SDC/496343075 POB/BG
HGT/510 WGT/180 EYE/. HAI/XXX SKN/.
LCD/ LCT/F OFF/2608
EXT/Z - WITHIN AND OUTSIDE NEW YORK STATE
WST/1 - ACTIVE
RCV/O PFL/O VIC/O RSP/.
OCA/QFBC5851 WTP/1 WAR/. DKT/. DOW/091983
MIS/SUBJ IS WANTED FOR TAX EVASION IF ENCOUNTERED NOTIFY [] C1
FBI/. MNU/. SMT/. FPC/.
OLN/. OLS/. OLY/.
LIC/. LIS/. LIY/. LIT/.
VIN/. VYR/. VMA/. VMO/. VST/. VCO/.
ARI/. ACA/. DOA/. RES/.
WPR/Q302454

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY []
01-08/

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N 0736-0736 02/03/89 00142472 QFBC09863 PART 001 OF 001
NCIC WTST QFBC 0736
NYFBINY00

MKE/WANTED PERSON
ORI/NYUSC4900 NAM/RICH, MARC SEX/M RAC/W POB/BG DOB/121834 HGT/509
WGT/160 EYE/XXX HAI/BLK
SDC/496343075 OFF/TAX REVENUE DOW/091983 OCA/CS83NY003
MIS/WANTED INCOME TAX EVASION TRADING WITH ENEMY IF ENCOUNTERED CALL
SA []
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY b6
NIC/W318412622 b7C
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON
ORI/NYFBINY00 NAM/RICH, MARC SEX/M RAC/W POB/BG DOB/121834 HGT/510
WGT/180 EYE/XXX HAI/XXX
SDC/496343075 OFF/FRAUD - BY WIRE DOW/091983 OCA/QFBC5851
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ORI IS FBI NEW YORK NY
NIC/W321531799

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MKE/WANTED PERSON
ORI/DCIRS9600 NAM/RICH, MARC SEX/M RAC/W POB/BG DOB/121834 HGT/509
WGT/160 EYE/BRD HAI/BLK
MNU/PP-23045402 SDC/496343075 OFF/INCOME TAX DOW/030584 OCA/13820154F
MIS/[] IS RESIDING IN ZUG SWITZERLAND CONT S-A
EXT []
ORI IS [] DIV HQ WASHINGTON DC
NIC/W369016859
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

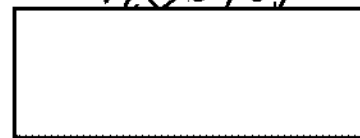
Memorandum



To : DADIC/SAC, DIVISION
(ATTN: SUPERVISOR, SECTION CL-1)

Date 4/25/89

From : ADIC, NEW YORK (66-7566) SA



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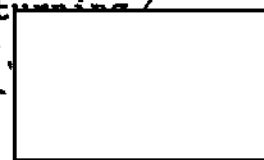
Subject : NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 2/28/89 (Date) ATTN:



Record should be modified to reflect SA in place of SA

PURGE _____

Date of Recovery _____

Date of Arrest _____

b6
b7C

MAINTAIN _____

MODIFY (Updated information attached)

CASE AGENT

SUPERVISORY SA

DATE RETURNED _____

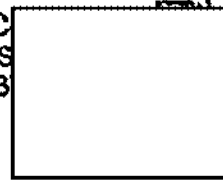
196A-1774

500

SEARCHED <input checked="" type="checkbox"/>	INDEXED <input checked="" type="checkbox"/>
SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>
JUN 20 1989	
FBI NEW YORK	

[Signature]

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY 01-0P1



DDMO: Rev. 6/6/86

QFBC WMOD
10205
TU

FILE 5 FBI NEW YORK NYFBI NY00 060989
COMPUTER

REF QFBC6671

MMK/*:ORI/NYFBI NY00

NAM/GREEN, PINCUS

DCI/QFBC6671

MIS/MAIL FRAUD DIR. FRAUD TAX EVASION RACKETEERING IF ENCOUNTERED CALL
US CUSTOMS [REDACTED] SA [REDACTED] CI.

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b7c

AUTH/SA [REDACTED]

8-27AM

N 0827-0827 06/09/89 00519480 QFBC09070 PART 001 OF 001
NYSP QFBC
ACK SEQ NUM 10205
WMOD NAM/GREEN, PINCUS
WPR/0307502

DCI/QFBC6671

N 0827-0827 06/09/89 00519667 QFBC09071 PART 001 OF 001
NYIS WMDD QFBC
ACK 10205
NYFBINY00

ALL INFORMATION
HEREIN IS UNCLASS
DATE 2/21/01 BY

01-081

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DCJS & NCIC MODIFY RESULTS

MODIFIED ON BOTH FILES
NAM/GREEN, PINCUS
DATA MODIFIED: MIS

OCA/QFBC6671

YOUR RECORD AS IT NOW APPEARS ON FILE WILL FOLLOW.

N 0827-0827 06/09/89 00519727 QFBC09072 PART 001 OF 001
NYIS WMDD QFBC
ACK 10205
NYFBINY00

DCJS & NCIC MODIFY RESULTS

WANTED PERSON

ORI/NYFBINY00 FBI NEW YORK
NAM/GREEN, PINCUS SEX/M RAC/W DOB [REDACTED]
SOC [REDACTED] POB [REDACTED] HGT/510 WGT/180 HAI/CKY
LAW CAT/F NCIC OFF/2699 FRAUD-
OCA/QFBC6671 WARRANT TYPE/ARREST WARRANT
WARRANT STATUS/ACTIVE
DOW/091983 EX1/Z

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MIS/MAIL FRAUD WIRE FRAUD TAX EVASION RACKETEERING IF ENCOUNTERED CALL
US CUSTOMS [REDACTED] SA [REDACTED] C1

WFR/0307502 NIC/W324077114

THE WANTING AGENCY HAS INDICATED IT WILL EXTRADITE THROUGHOUT
NEW YORK STATE AND OUTSIDE THE STATE.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 7/25/89

TO: ADIC, NEW YORK (196A-1774)

FROM: SSA [redacted] (C-1)

b6
b7C

SUBJECT: marc Rich, et al

During May and June, 1989, SA [redacted] was assigned on a full-time basis to assist Squad C-2 on case captioned [redacted] as a Title III [redacted]

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b3

In view of above, captioned matter was not ^{addressed} advised during this time period.

MJB:mx

196A-1774-501

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 25 1989	
FBI - NEW YORK	

[Handwritten signature]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

01-081

b6
b7C

Memorandum



To : ADIC, NEW YORK (196A-1774)P Date 7/24/89

From : SA [redacted] (C-1) b6 b7C

Subject : MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW (A)
OO: NY

It is requested that a sub-file be opened to the main file in accordance with recent Bureau regulations concerning fugitive cases.

*Rotai
Please
create new
sub A.
JXS
MAB*

196B-1774 - 503 *Q*

196A-126

*① 196A-1774
1-196A-1774SUB A*

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/23/01 BY [redacted]

01-081



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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE~~

DADIC _____
SAC ADMIN 8/10/89 _____
ASAC ADMIN _____
ASAC TERR _____
SAC I _____
ASAC-A _____
ASAC-R _____
ASAC-C _____
SAC II _____
ASAC-WCC _____
ASAC-CC _____
ASAC-BO _____
SSA _____
OS _____

Director, FBI

SAC, WMFO (196A-1563 Sub Y) (C-9)
ADIC, New York

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
ET AL;
FBW; MF; RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORK

SUPV C-1
(S) ELSUR I
PLA [redacted] JPR/am

b6
b7C

Re WMFO teletype dated 8/4/89.

Pursuant to the provisions of the Attorney General's Memorandum of November 7, 1983, to the Heads and Inspectors General of Executive Departments and Agencies, entitled "Procedures for Lawful, Warrantless Interceptions of Verbal Communications," authorization was granted on [redacted] for the use of transmitting and/or recording devices, as described in referenced communication. Authorization is for a period of [redacted]

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*My
Please
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for
Hickler*

You are to submit an FD-621 within 30 days of the expiration of this authorization furnishing information regarding use of this equipment in accordance with MIOG, Part II, Section 10-10.3(9). (S) (U)

In the event a renewal of this authority is deemed warranted, submit your request with full justification at least seven days prior to the expiration of the existing authority, in compliance with MIOG, Part II, Section 10-10.3(9). (S) (U)

In addition, you should ensure that all persons reasonably identified as having been monitored are included in the field office and FBIHQ ELSUR indices (MIOG, Part II, Section 10-10.5). Strict administrative controls must be established to ensure these requirements are met. (S) (U)

As you know, this equipment is to be used only when a consenting party is present. Bureau equipment should be afforded appropriate security. You should keep the Bureau advised of pertinent developments. (S) (U)

- 1 - Attn: WMFO [Elsur] Coordinator
- 1 - Attn: New York [Elsur] Coordinator

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SEARCHED [] INDEXED []
SERIALIZED [] FILED []
1989
[redacted]

196A-1774
504

3:21-01 SEE NYO MEMO
CLASSIFIED BY [redacted]
REASON: 1.5 (U) 9/25/89
DECLASSIFY ON: X 1
[redacted] (c-1)

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 8/27/88 BY [redacted]~~

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back of page

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196A- 0001774

STATUS: P
OPENED: 08/15/83
ASSIGNED: 03/09/87
DATE: 04/10/86
02/22/84

CASE SQUAD: C1
HQC/RA: D2
SUPV: C1

ASSIGNED TO:
PREV ASGD:

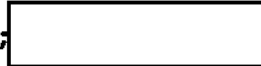


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CLOSED:
P* DATE:
DEADLINE:
ECTDATE:
ECTRLSE:

VIOLATION: FBW

TITLE: MARC RICH, DBA/MARK RICH & CO;



ESTIMATED
MONTHS: 0
PRIORITY: 0

REMARKS:

OO: NY OO CASE: -
FBIHQ CASE: -
OTHER CASE: -
OLD CASE: -

PI: PCI: Y REOPEN: 1
FOIPA: NPI: 1 TRACK:
STOP: PROGRAM: WCC
ELSUR:

<GO> - NEXT CASE
F1 - REQUERY
F2 - SUMMARY

F7 - ADD A SERIAL

F9 - MODIFY F10 - CASE MENU
F8 - DELETE SHIFT-F10 - FOIMS MENU

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32

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY

01-081



b6
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RICH, MARC
DDN:
TRUE:
FO: NY CASE NO: 196B-0001774
NAME TYPE: MAIN REF: M EVENT DATE:
OO: OO CASE NO:
VIOLATION: FBW
SERIALS: 38,411,412;429P3

NO: 001
REC-NO: 001

INDEXED: 072381
MODIFIED: 021987
SPECIAL:

RACE: W SEX: M ID-NO: SOC 496-34-3075
DOB: 121834 POB:
STREET NO: NAME:
CITY: ST: COUNTRY: ZIP:
LOC:

MISCELLANEOUS: 5'9", DBA/MARC RICH & CO

<GO> - NEXT INDEX F8 - DELETE F10 - INDEX MENU
F1 - REQUERY F3 - SUMMARY F7 - ADD ALIAS F9 - MODIFY SHIFT-F10 - FOIMS
4β 32

GREEN, PINCUS
DDN:
TRUE:
FO: NY CASE NO: 196B-0001774
NAME TYPE: MAIN REF: R EVENT DATE:
OO: OO CASE NO:
VIOLATION: FBW
SERIALS: 13,38,412

NO: 001
REC-NO: 001

INDEXED: 031782
MODIFIED:
SPECIAL:

RACE: W SEX: M ID-NO:
DOB: POB:
STREET NO: NAME:
CITY: NY ST: COUNTRY: ZIP:
LOC:

MISCELLANEOUS: MEMBER OF THE BOARD OF DIRECTORS, MARC RICH & CO
HT/5'10

b6
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<GO> - NEXT INDEX F8 - DELETE F10 - INDEX MENU
F1 - REQUERY F3 - SUMMARY F7 - ADD ALIAS F9 - MODIFY SHIFT-F10 - FOIMS
4β 32

2

Memorandum



To : ADIC, NEW YORK (196A-1774) p Date 9/25/89

From : SA [redacted] (C-1)

b6
b7C

Subject : MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, Rico
OO: NY

Re Bureau airtel to WMFO + NYO dated 8/10/89.

On 9/25/89, SA [redacted], WMFO, advised he requested the consensual monitoring in captioned matter by WMFO Teletype dated 8/4/89. He has handled the entire matter.

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New York has no responsibility concerning this matter and the referenced Bureau airtel was forwarded to the NYO for information.

(X)
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196A-1774-505

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FBI - NEW YORK	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY 01-881

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b7C

Date 11/1/89

To: Director
Att: ELSUR UNIT Room 4997

FILE # NY 196A-1774

- SAC
- ASAC
- Supv.
- Agent
- OSM
- Rotor #
- Steno
- Typist
- M

Title MARC RICH-EUG. (B),
ETAL,
FBW, ME, RICO
OO: NY

RE: _____

- | | | |
|---|--|--|
| <input type="checkbox"/> Acknowledge | <input type="checkbox"/> For Information | <input type="checkbox"/> Return assignment card |
| <input type="checkbox"/> Assign <input type="checkbox"/> Reassign | <input type="checkbox"/> Handle | <input type="checkbox"/> Return file <input type="checkbox"/> serial |
| <input type="checkbox"/> Bring file | <input type="checkbox"/> Initial & return | <input type="checkbox"/> Return with action taken |
| <input type="checkbox"/> Call me | <input type="checkbox"/> Leads need attention | <input type="checkbox"/> Return with explanation |
| <input type="checkbox"/> Correct | <input type="checkbox"/> Open case | <input type="checkbox"/> Search and return |
| <input type="checkbox"/> Deadline _____ | <input type="checkbox"/> Prepare lead cards | <input type="checkbox"/> See me |
| <input type="checkbox"/> Delinquent | <input type="checkbox"/> Prepare tickler | <input type="checkbox"/> Type |
| <input type="checkbox"/> Discontinue | <input type="checkbox"/> Recharge file <input type="checkbox"/> serial | |
| <input type="checkbox"/> Expedite | <input type="checkbox"/> Send to _____ | |
| <input type="checkbox"/> File | | |

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Re NYO TELCALL From SA [redacted]
TO [redacted] INTELLIGENCE ASSISTANT,
ELSUR INDEX SUBUNIT, FBIHQ, ON 11/1/89.

PER request of [redacted], ELSUR
Index Subunit, ON 11/1/89, routing slip is
being submitted to confirm that WMFO
was responsible for the body recorder request
and WMFO did, in fact, submit a timely FD-621.
NYO WAS NOT INVOLVED in the request and has NO
responsibility.

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SAC _____
Office NEW YORK

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196A-1774-507
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NOV 1 1989
FBI - NEW YORK

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NEW YORK (196A-1774) (P) (C-1)

/ROUTINE/

DIRECTOR FBI () () ()

BERN () () ()

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY 01-081



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1 - New York
1 - Supervisor C-1
JCM:crd4.48v3
(2)

Approved: *[Signature]*

Transmitted *CRD448 SP. 01*

196A-1774-509

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MAR 05 1990	
FBI - NEW YORK	


NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: *CRD-3/2/90-9:05AM*

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); FBW;
MF; RICO; TAX EVASION; TRADING WITH THE ENEMY; 00:NY.

LEGAT, BERN IS REQUESTED TO CONFIRM RESIDENCE OF SUBJECTS RICH
AND GREEN IN SWITZERLAND AND WHETHER THEY CONTINUE DOING BUSINESS IN
ZUG, SWITZERLAND.



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FM FBI NEW YORK (196A-1774) (P)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); FBW;
MF; RICO; TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

LEGAT, BERN IS REQUESTED TO CONFIRM RESIDENCE OF SUBJECTS RICH
AND GREEN IN SWITZERLAND AND WHETHER THEY CONTINUE DOING BUSINESS IN
ZUG, SWITZERLAND.

BT

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ALL INFORMATION
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DATE 2/27/01 BY
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196A-1774-509

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 05 1990	
FBI - NEW YORK	

(Indicate page, name of newspaper, city and state.)

(Mount Clipping in Space Below)

Date: 4/19/90
Edition: FORBES MAGAZINE

Title: MARC RICH - FUG;
PINCUS GREEN - FUG;
FBW

Character:
or
Classification:
Submitting Office:

Indexing:

(X)

196A-1774-510

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY
01-081



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APR 19 1990	
FBI - NEW YORK	

If Marc Rich, charged with criminal tax evasion and fraud, sets foot in the U.S., he'll end up, like Manuel Noriega, in handcuffs. But Rich's businesses flourish here, and so does his profitable relationship with Houston dealmaker Charles Hurwitz.

Mutual convenience

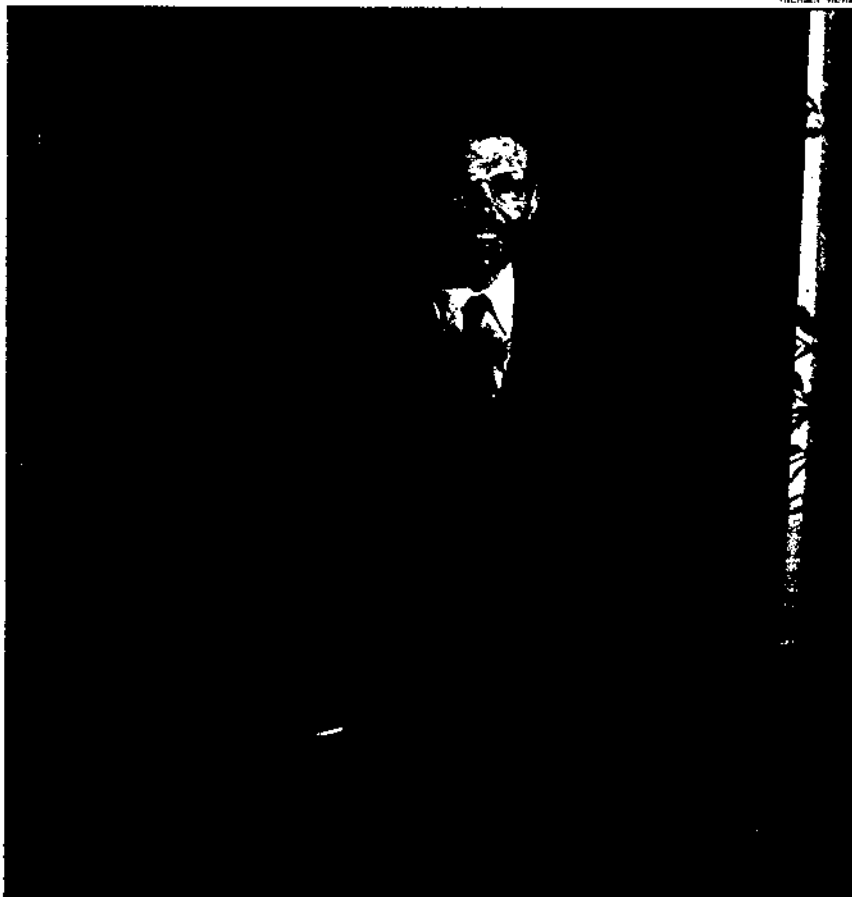
Michael Miller

By Ralph King Jr.

IF THE BUSINESS WORLD has produced a champion sleaze in recent years, 55-year-old Marc Rich owns that title. A native of Belgium, he became a U.S. citizen, made a large fortune here, and when Uncle Sam asked him to pay up on his income taxes, he absconded to Zug, Switzerland, where he lives in lavish tax-evading splendor. If he sets foot here, Rich faces handcuffs; the Justice Department has charged him with criminal tax evasion and fraud. So blatant are his crimes that the U.S. government will pay a reward of up to \$500,000 to anyone who can help bring Rich to justice.

But stealing from the tax collector is almost the least of Marc Rich's scummy tricks. When Ayatollah Khomeini and his gunmen were holding U.S. diplomats hostage in Tehran, the estimable Rich was helping the Ayatollah by dealing in Iranian oil when such trading was banned by the U.S. government.

Nice fellow, Marc Rich. Nevertheless, he continues to do business here on a huge scale. Money is money, and there are plenty of people willing to do business with Rich. One such is a chap named Charles Hurwitz, 49, a smooth-talking Houstonian who owns a lot of southwestern real estate and has taken over several large com-



Maccam Chairman Charles Hurwitz.
Marc Rich had just what he needed: cash.

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HEREIN IS UNCLASSIFIED
DATE 7/22/01 BY
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panies. Hurwitz operates chiefly through Los Angeles-based, American Stock Exchange-traded Maxxam Inc., whose biggest holding is KaiserTech, the parent of the nation's fourth-largest aluminum maker, Kaiser Aluminum & Chemical Corp.

Marc Rich's fingerprints are all over KaiserTech. When a controlling stake in KaiserTech was up for grabs, Rich and Hurwitz were two of the leading contenders, until Rich quietly dropped out. Hurwitz, who ducks our reporters, says through a spokesman that he has never spoken to Marc Rich and that neither Rich nor his affiliates played a direct role in his KaiserTech takeover.

Maybe so, but there is no doubt that the two men have had a fruitful business relationship.

It started in 1988. KaiserTech was in play because British investor and then KaiserTech Chairman Alan Clore had missed a margin call on the 31% block of company stock he owned. In January Hurwitz flew to Paris to cut a deal with Clore. Hurwitz took an option on Clore's controlling block, paying \$1 million for the two-step option at about \$13 per share. The option, if executed, would give Hurwitz effective control of KaiserTech's board.

Meanwhile, a dozen or so other potential bidders for the company emerged, including William Simon's Wesray Capital Corp. Wesray offered 18 1/4 a share for all of KaiserTech's shares, 40% more than Hurwitz had by that time paid for half of the block under option. Wesray thought it had a deal, but when the board sat down to vote, Hurwitz nominee William Leone abruptly offered 19 1/4 a share on Hurwitz' behalf. Wesray never had another chance to bid, nor did anyone else. Hurwitz had the company.

Where did Marc Rich come in? Although he made his original money trading in oil, Rich several years ago decided to expand his aluminum activities while big producers, such as Alcoa and hard-hit KaiserTech were cutting output. Operating internationally from his Swiss tax haven, Rich plays an influential role in manipulating aluminum ingot prices since he has tied up under contract most of the world's independent alumina—the semirefined ore—plus big chunks of independent smelting capacity (FORBES, Dec. 29, 1986). His control of refineries in places like Jamaica and Sardinia makes him second only to Alcoa in alumina sales. He is also by far the world's largest independent seller of aluminum ingot.

Having taken over KaiserTech,

Hurwitz had what Rich wanted: additional alumina to boost Rich's control of the international market. And Rich had what Hurwitz needed to pay off a \$925 million, high-cost bridge loan: cash. Rich's companies paid Hurwitz' company \$435 million for three-year contracts on 800,000 tons of alumina per year plus a smelter and rolling mill in Ravenswood, W. Va. Almost immediately, Hurwitz used the cash to get out from under the risky bridge loan, refinancing KaiserTech's diminished debt at far lower interest rates



Marc Rich
Wanted. \$500,000 reward.

last December. In just 12 months, Maxxam cut its debt-to-equity ratio from 22-to-1 to 7-to-1. Cash flow in 1990 will cover interest expenses three times over, a comfortable cushion. Almost all its surplus alumina and ingot have been hedged for up to three years at attractive prices.

To what extent did Hurwitz and Rich act in collusion when Hurwitz bought KaiserTech? We don't know, but we do know that when Hurwitz got serious, Rich's companies did not bid as expected for KaiserTech. We know, too, that Rich's cash got Hurwitz out of debt trouble at a conve-

nient time while strengthening Rich's octopus-like power in the international marketplace.

How does Rich's organization get away with owning rich assets and contracts here at a time when the U.S. government is unable to collect on its multimillion-dollar claim against Rich himself? Shrewd legal maneuvering. In 1984 Rich agreed to pay \$171 million to settle the U.S. government's civil charges against Rich's U.S. operating arm, Clarendon Ltd., for tax evasion and contempt. The criminal charges against Rich and his partner, Pincus Green, still stand.

But Clarendon was allowed to resume operations after Rich claimed he'd sold it to an unindicted partner in Switzerland. The Justice Department believes that Rich still controls it. In any case, Clarendon, which is commercially indistinguishable from the rest of the Rich organization, has extensive assets in the U.S. Clarendon's president is the co-owner of an outfit called Oralco Management Services. Oralco, with operations in Ohio, Louisiana and the U.S. Virgin Islands, employs 4,500 people.

Apparently, the U.S. government cannot lay hands on any of this.

So Marc Rich is smug and happy, and so is Charles Hurwitz. Hurwitz controls 60% of Maxxam's voting stock, worth \$140 million at a recent \$40 per share. That's up from \$10 when Maxxam first went after KaiserTech. In 1989, as Maxxam chairman, he paid himself \$8 million in cash.

What will Hurwitz do next? A persistent rumor on Wall Street has it that he wants to take Maxxam—and hence KaiserTech—private, at something like \$72 per share. One fly in that ointment may be Dallas speculator Harold Simmons, who has been trying to take over Lockheed.

Until a few months ago, Hurwitz and Simmons had been friends, tennis companions and weekend visitors to each other's homes. But when Hurwitz learned that Simmons had bought a 13.8% stake in Maxxam, Hurwitz called up in a rage and asked Simmons to stop. Simmons made no promises. Hurwitz began to shout, called Simmons a liar, and hung up. Today, Simmons, the second-largest shareholder, controls 14.6%. The two former friends are muttering about lawsuits.

And what of Marc Rich? While U.S. businessmen like Charles Hurwitz line his pockets, he thumbs his nose at the federal government from his weekend villa on Spain's Costa del Sol, savoring his billionaire status and his ever-present \$10 cigars. ■

Memorandum



To : DADIC/SAC, DIVISION
(ATTN: SUPERVISOR, SECTION CL)

Date 3/5/90

From : ADIC, NEW YORK (66-7566)



CL

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b7C

Subject : NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 3/12/90

(Date)



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NCIC

PURGE _____

Date of Recovery _____

Date of Arrest _____

MAINTAIN _____

MODIFY _____
(Updated information attached)

CASE AGENT

SUPERVISORY SA

DATE RETURNED 3/23/90

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Dm DMO:
Rev. 6/6/86

ALL INFORMATION CONTAINED
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DATE 2/27/01 BY X01-081



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CONFIRM RECORD WITH ORIGINATOR

MKE/ - WANTED PERSON
ORI/NYFBINY00 - U.S. FBI NEW YORK CITY 212-335-2700
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SID/. CCH/. SOC [] POB []
HGT/510 WGT/180 EYE/. HAI/GRY SKN/.
LCD/ LCT/F OFF/2699 FRAUD-
WST/1 - ACTIVE
WTP/1 - ARREST WARRANT
EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE
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CITE: //3540//

PASS: HQ FOR CI

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SUBJECT: MARC R...; ...; WMFO.

THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS
FOREIGN DISSEMINATION, NO DISSEMINATION ABROAD (S-NFD-
POSITIVE INTELLIGENCE INFORMATION.

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DATE OF INFORMATION 1990

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BY G-3; DECL. OF DADH.

ADMINISTRATIVE

WARNING: SOURCE OF THIS INTELLIGENCE IS [Redacted] (S) (U)

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THIS INFORMATION IS HIGHLY SINGULAR IN NATURE.

CI-1A IS REQUESTED TO PROVIDE A COPY OF THIS COMMUNICATION TO CI-1A.

THIS INFORMATION WAS OBTAINED [Redacted] (S)

[Redacted]

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WARNING: STATEMENTS CONTAINED HEREIN ARE NOT TO BE DISSEMINATED TO ANY OTHER AGENCY OR PERSON WITHOUT THE PRIOR BUREAU APPROVAL.

WARNING: STATEMENTS CONTAINED HEREIN ARE NOT TO BE DISSEMINATED TO ANY OTHER AGENCY OR PERSON WITHOUT THE PRIOR BUREAU APPROVAL.

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INFORMATION FROM [REDACTED] (S) (U) IRREGULAR IN NATURE
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WARRANT [REDACTED]
IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER
TO SOURCE'S FAMILY.

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[REDACTED]

[REDACTED] (S) (U)

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FM FBI NEW YORK (196A-1774) (P)

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WHERE SHOWN OTHERWISE.~~

TO DIRECTOR FBI/PRIORITY/
FBI [redacted] PRIORITY/
BT (S) (U)

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CITE: //3540//

PASS: HQ FOR CI-1A, SSA [redacted] AND FINANCIAL CRIMES UNIT.

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SUBJECT: MARC RICH; FAG; OO: WMFO.

THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY. NO
FOREIGN DISSEMINATION, NO DISSEMINATION ABROAD (S-NFD-NDA),
POSITIVE INTELLIGENCE INFORMATION.

[redacted] (S) (U)

DATE OF INFORMATION: MAY 3, 1990

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SUBJECT: [redacted]

[redacted] (S) (U)

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196A-1774 512

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PAGE TWO DE FBINY 0051 ~~SECRET~~

SOURCE: [REDACTED] WHO HAS FURNISHED RELIABLE
INFORMATION IN THE PAST, AND WHO IS [REDACTED]

b7D
b7F

DETAILS: [REDACTED]

[REDACTED]

b6
b7C
b7D
b7F

[REDACTED]

[REDACTED]

[REDACTED]

~~BY S-2; DECL. ON SADR.~~

ADMINISTRATIVE

WARNING: SOURCE OF THIS INTELLIGENCE IS [REDACTED]
THIS INFORMATION IS HIGHLY SINGULAR IN NATURE.
CI-1A IS REQUESTED TO PROVIDE A COPY OF THIS COMMUNICATION TO CI-3A.
THIS INFORMATION WAS OBTAINED [REDACTED]

b6
b7C
b7D
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[REDACTED]

[REDACTED]

~~SECRET~~

~~SECRET~~

PAGE THREE DE FBINY 0051 ~~SECRET~~ (S) (U)

WARNING: INFORMATION FROM [REDACTED] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT [REDACTED] WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY.

b7D
b7F

WARNING: [REDACTED]

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[REDACTED] (S) (U)
WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO THE UNITED STATES INFORMATION AGENCY (USIA).

BT

#0051

NNNN

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~~SECRET~~

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FBI

TELETYPE

EFFICIENCY

UNCLAS

6/3/90

10-78

/EFFICIENCY/

NEW YORK (196A-NY-1774) (P) (C-1)

/EFFICIENCY/

DIRECTOR FBI () () ()

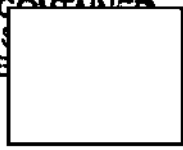
LOS ANGELES () () ()

PT

UNCLAS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/23/01 BY 01-081

b6
b7C



196A-NY-1774

(5)

1 - New York
1 - Supervisor C-1
JCM:crdc46v?
(2)

SEARCHED	INDEXED
SERIALIZED	FILED
JH	1990
FBI - NEW YORK	

513

Approved: [Signature] Transmitted _____ Per 138

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

VP Initials: _____

CITE: //3540//

PASS: HQ FOR SSA [REDACTED] FUGITIVE UNIT, GOVERNMENT;
PRESERVATION.

b6
b7c

SUBJECT: MARC RICH - FUGITIVE (P); PINCUS GREEN - FUGITIVE (P);
FRW; MF; PICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; CC:NY.

IN 1974, RICH AND GREEN FORMED MARC RICH COMPANY A.G. (AG), A
SWISS CORPORATION ENGAGED IN THE WORLDWIDE BUSINESS OF TRADING
COMMODITIES. A WHOLLY-OWNED SUBSIDIARY, MARC RICH AND COMPANY,
INTERNATIONAL AG HAD A BRANCH OFFICE IN THE UNITED STATES OPERATING

AS PARC RICH AND COMPANY INTERNATIONAL (INTERNATIONAL), UNTIL THE
SUMMER OF 1983, RICH AND GREEN RAN THE OIL TRADING ACTIVITIES OF AG
AND INTERNATIONAL FROM NEW YORK.

FROM ABOUT SEPTEMBER, 1980 THROUGH JUNE, 1981 BOTH MOVED AT
LEAST \$100 MILLION OUT OF THE UNITED STATES FROM INTERNATIONAL TO AG
MOST OF THE MONEY CONSTITUTED ILLEGAL PROCEEDS FROM DOMESTIC CRUDE
OIL TRANSACTIONS WHEREIN RICH AND GREEN DEFAULDED THEIR CUSTOMERS BY
UNLAWFULLY OVERCHARGING THEM FOR DOMESTIC CRUDE OIL. THEY USED AN
ELABORATE SCHEME TO COVER THEIR FRAUD BY USING THIRD PARTY COMPANIES
IN THE UNITED STATES AND LAUNDERED THE ILLEGAL MONEY FROM THESE

COMPANIES OFFSHORE TO AG.

ON SEPTEMBER 19, 1983, ECJ, SDNY, RETURNED A 51 COUNT
INDICTMENT CHARGING BOTH WITH FEW, ME, PICC, TAX EVASION AND TRADING
WITH IRAN DURING THE HOSTAGE CRISIS. PRIOR TO THE FILING OF THE
INDICTMENT, BOTH SUBJECTS DEPARTED FOR SWITZERLAND AND REMAIN THERE
TODAY AS FUGITIVES. BOTH ARE WELL KNOWN INTERNATIONALLY AND THEIR
COMPANIES ARE ENORMOUSLY SUCCESSFUL TRADING COMPANIES. BECAUSE
THE BULK OF THE INDICTMENT IS TAX FRAUD-RELATED SWITZERLAND WILL NOT
ALLOW EXTRADITION.

ON MAY 30, 1990, SSA FBIHQ, EXTENSION 4245,

b6
b7c

ADVISED AN ATTORNEY AT OFFICE OF INTERNATIONAL AFFAIRS (CIA), MAIN
JUSTICE, NAME [REDACTED]

[REDACTED] TELEPHONE NUMBER [REDACTED]

[REDACTED] DESCRIBED AS AN [REDACTED]

[REDACTED] SSA [REDACTED]

SAID BUREAU INDICES

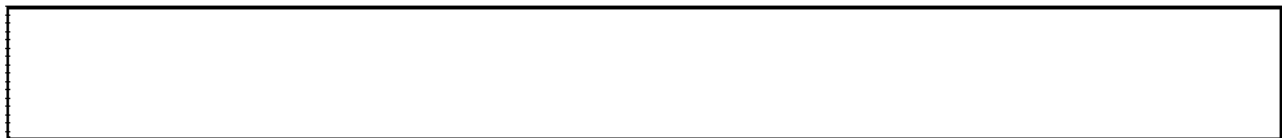
REFLECTED SEVERAL REFERENCES TO [REDACTED]

LOS ANGELES AT [REDACTED] CALIFORNIA.

1. WILL REVIEW INDICES CONCERNING [REDACTED] BEFORE ATTEMPTING
TO INTERVIEW.

2. INTERVIEW [REDACTED] TELEPHONE NUMBER [REDACTED]

b6
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b7D



b6
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3. SHOULD INFORMATION APPEAR TO BE PRODUCTIVE AND CONTINUING,
ADVISE [REDACTED] TO FURNISH ONLY TO FBI IN THE EVENT HE MIGHT ALSO BE
INTERVIEWED ABOUT [REDACTED] BY THE UNITED STATES MARSHALS.

02510

PP FBINY

DE FBINY #0075 1530009

ZNR UUUUU

P 012356Z JUN 90

FM FBI NEW YORK (196A-NY-1774) (P)

TO DIRECTOR FBI/PRIORITY/

FBI LOS ANGELES/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR SSA [REDACTED] FUGITIVE UNIT, GOVERNMENT;
RESERVATION.

b6
b7c

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

IN 1974, RICH AND GREEN FORMED MARC RICH COMPANY A.G. (AG), A
SWISS CORPORATION ENGAGED IN THE WORLDWIDE BUSINESS OF TRADING
COMMODITIES. A WHOLLY-OWNED SUBSIDIARY, MARC RICH AND COMPANY,
INTERNATIONAL AG HAD A BRANCH OFFICE IN THE UNITED STATES OPERATING
AS MARC RICH AND COMPANY INTERNATIONAL (INTERNATIONAL), UNTIL THE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/23/01 BY [REDACTED]

01-081

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196A-1774 513

PAGE TWO DE FBINY 0075 UNCLAS

SUMMER OF 1983, RICH AND GREEN RAN THE OIL TRADING ACTIVITIES OF AG AND INTERNATIONAL FROM NEW YORK.

FROM ABOUT SEPTEMBER, 1990 THROUGH JUNE, 1981 BOTH MOVED AT LEAST \$100 MILLION OUT OF THE UNITED STATES FROM INTERNATIONAL TO AG MOST OF THE MONEY CONSTITUTED ILLEGAL PROCEEDS FROM DOMESTIC CRUDE OIL TRANSACTIONS WHEREIN RICH AND GREEN DEFRAUDED THEIR CUSTOMERS BY UNLAWFULLY OVERCHARGING THEM FOR DOMESTIC CRUDE OIL. THEY USED AN ELABORATE SCHEME TO COVER THEIR FRAUD BY USING THIRD PARTY COMPANIES IN THE UNITED STATES AND LAUNDERED THE ILLEGAL MONEY FROM THESE COMPANIES OFFSHORE TO AG.

ON SEPTEMBER 19, 1983, FGJ, SDNY, RETURNED A 51 COUNT INDICTMENT CHARGING BOTH WITH FBW, MF, RICO, TAX EVASION AND TRADING WITH IRAN DURING THE HOSTAGE CRISIS. PRIOR TO THE FILING OF THE INDICTMENT, BOTH SUBJECTS DEPARTED FOR SWITZERLAND AND REMAIN THERE TODAY AS FUGITIVES. BOTH ARE WELL KNOWN INTERNATIONALLY AND THEIR COMPANIES ARE ENORMOUSLY SUCCESSFUL TRADING COMMODITIES. BECAUSE THE BULK OF THE INDICTMENT IS TAX FRAUD-RELATED SWITZERLAND WILL NOT ALLOW EXTRADITION.

ON MAY 30, 1990, SSA [REDACTED] FBIHQ, EXTENSION 4245, ADVISED AN ATTORNEY AT OFFICE OF INTERNATIONAL AFFAIRS (OIA), MAIN

b6
b7c

PAGE THREE DE FBINY 0075 UNCLAS

JUSTICE, NAMED [REDACTED]

[REDACTED] TELEPHONE NUMBER [REDACTED]

[REDACTED] DESCRIBED AS AN [REDACTED]

[REDACTED] SSA [REDACTED]

SAID BUREAU INDICES

REFLECTED SEVERAL REFERENCED TO [REDACTED]

LOS ANGELES AT [REDACTED]

CALIFORNIA.

1. WILL REVIEW INDICES CONCERNING [REDACTED] BEFORE ATTEMPTING TO INTERVIEW.

2. INTERVIEW [REDACTED] TELEPHONE NUMBER [REDACTED]

3. SHOULD INFORMATION APPEAR TO BE PRODUCTIVE AND CONTINUING, ADVISE [REDACTED] TO FURNISH ONLY TO FBI IN THE EVENT HE MIGHT ALSO BE INTERVIEWED [REDACTED] BY THE UNITED STATES MARSHALS.

BT

#0075

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Memorandum



To : SAC II

Date 6/27/90

b6
b7C

From : SSA [redacted]

Subject: MARC RICH - FUGITIVE (B); Pincus Green -
FUGITIVE (B);
FBW
OO: N.Y.

Due to the reorganization of squad C-1, it is recommended that captioned investigation be reassigned to squad C-12, Special Agent [redacted]

b6
b7C

LEADS:

*ame 7/3/90
MP*

1-NY 196A-1774

1-Rotor

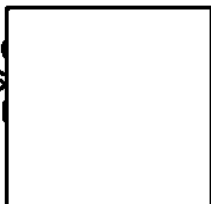
1-SA [redacted]

MJB

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ALL INFORMATION
HEREIN IS UNCLAS
DATE 2/23/01

08-081



196A-1774 514

SEARCHED	INDEXED
SERIALIZED <i>YF</i>	FILED <i>CP</i>
JUN 27 1990	
FBI - NEW YORK	

NGS

FD-448 (Rev. 5-7-88)

Transmit attached by facsimile

PRECEDENCE

- Immediate
- Priority
- Routine

CLASSIFICATION

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

To **ADIC, New York**

From: **FBI: Los Angeles (196A-NY-1774) (RUC)**

Subject: **Marc Rich - Fugitive (B); Pincus Green - Fugitive (B);
FBW; MF; Rico; Income tax evasion;
Trading with the enemy; OO: New York**

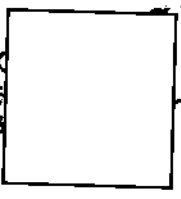
- Fingerprint Photo
- Fingerprint Record
- Map
- Newspaper clipping
- Photograph
- Artists Conception
- Teletype
- Other _____

Special handling instructions:

Approved: _____

FBI/DOJ

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/27/01 BY 08-081



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196A-1774

515

SEARCHED _____ INDEXED _____
SERIALIZED _____ FILED _____

JUL - 2 1990



TBled to SA [redacted] C-1
FSAA ORC 06/22/90 1219

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- _____

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/20/90

1 FROM FBI LOS ANGELES (196A-NY-1774) (RUC)
 2 TO DIRECTOR FBI/PRIORITY/ *6:07 PST . 6/20/90*
 3 FBI NEW YORK/PRIORITY/

4 BT
 5 UNCLAS
 6 CITE: //3410:0670//

7 PASS: ATTENTION FBIHQ FOR SSA [redacted] FUGITIVE UNIT, b6
 8 GOVERNMENT RESERVATION. b7C

11 SUBJECT: MARC RICH - FUGITIVE(B); PINCUS GREEN - FUGITIVE(B);
 12 FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
 13 OO: NEW YORK.

14 RE NEW YORK TELETYPE TO LOS ANGELES, 6/1/90. b6

15 ON 6/18/90, [redacted] DATE OF BIRTH [redacted] b7C
 16 SOCIAL SECURITY ACCOUNT NUMBER [redacted] TELEPHONE NUMBER [redacted]

17 [redacted] ADVISED THAT HE DID NOT PERSONALLY KNOW EITHER
 18 MARC RICH OR PINCUS GREEN. HOWEVER, HE IS AWARE THROUGH A THIRD
 19 PARTY WHICH HE DID NOT WISH TO DISCLOSE THAT CAPTIONED SUBJECTS
 20 ARE FUGITIVES AND THAT SWITZERLAND WILL NOT ALLOW EXTRADITION.

21 CF/CB
 ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE *2/21/01* BY [redacted] b6
01-081 b7C

Approved: *WCL/m* Transmitted _____ (Number) _____ (Time) Per _____

(1)
2

PAGE TWO (196A-NY-1774) LOS ANGELES UNCLAS

[REDACTED]

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b7C
b7D

A MANUAL INDICES CHECK THROUGH LOS ANGELES DIVISION FIELD OFFICE REVEALED THAT [REDACTED] HAS BEEN INVOLVED IN NUMEROUS INVESTIGATIONS INVOLVING SUCH MATTERS AS [REDACTED] HE ATTEMPTED TO ESTABLISH HIS CREDIBILITY BY REVEALING [REDACTED]

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[REDACTED]

[REDACTED] HAS BEEN CONTACTED IN THE [REDACTED]

b6
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[REDACTED]

AND ANOTHER INDIVIDUAL INTRODUCED BY [REDACTED] BY THE NAME OF [REDACTED]

[REDACTED] THE LAST COMMUNICATION WITH [REDACTED] WAS MADE

ON [REDACTED]

[REDACTED] WAS ADVISED TO ONLY FURNISH INFORMATION TO THE FBI REFERENCE [REDACTED] HOWEVER, HE STATED THAT THERE MAY BE OTHER AGENCIES AND POSSIBLY PRIVATE BUSINESSMEN THAT WOULD BE INTERESTED [REDACTED]

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b7D

NEW YORK WILL BE ADVISED IF THERE IS ANY FURTHER INFORMATION THAT APPEARS TO BE PRODUCTIVE.

BT

10.45

FD-36 (Rev. 5-22-78)

FBI

TELETYPE

ROUTINE

UNCLAS

7/3/90

/ROUTINE/

NEW YORK (196A-NY-1774) () ()

DIRECTOR FBI () () ()

LOS ANGELES () () ()

BT

UNCLAS

CITE: //3540//

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/23/01 BY 06-081

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96A-1774 516

SEARCHED	INDEXED
SERIALIZED <u>ICP</u>	FILED <u>ICP</u>
JUL - 6 1990	
FBI - NEW YORK	

1 - New York
 1 - Supervisor (C-1)
 JOM:mx011.V3
 (2)

Approved: _____ Transmitted _____ Per _____

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: _____

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW,;
MF, RICO, TAX EVASION, TRADING WITH THE ENEMY; 00:NY.

RE LA TELETYPE TO BUREAU, DATED JUNE 20, 1990 AND TELCALL FROM
SSA [REDACTED] FBIHQ, TO NEW YORK OFFICE ON JUNE 21, 1990.

b6
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b7D

LA IS REQUESTED TO RECONTACT [REDACTED] AND MAKE THE
BUREAU'S POSITION EXPLICITLY CLEAR THAT THE BUREAU WILL NOT CONDONE
THE USE OF [REDACTED]

[REDACTED] SHOULD BE TOLD THE BUREAU IS ONLY INTERESTED IN
OBTAINING INFORMATION FROM [REDACTED] OR HIS ASSISTANCE WHICH WOULD
EFFECT THEIR [REDACTED]

IN THE EVENT [REDACTED] CONTINUES TO COOPERATE HIS INFORMATION SHOULD BE FORWARDED TO THE NEW YORK OFFICE.

b6
b7c

THE NEW YORK OFFICE IS ATTEMPTING TO CONTACT UNITED STATES MARSHAL [REDACTED] SOUTHERN DISTRICT OF NEW YORK (SDNY), CONCERNING THEIR INTEREST IN THE CASE.

Memorandum



To : SSA [redacted]
ART/FAST

b6
b7C

Date 7-3-90

From : IFA [redacted]

Subject : FILE REVIEW OF CRIMINAL CASE FILE
196A-1774 (MARK RICH - FUGITIVE)

RE: Teletype Review

On 6-20-90 said writer met with SA [redacted] (C-1) regarding the above mentioned case file concerning potential forfeitable assets.

b6
b7C

According to SA [redacted] "Rich" paid one hundred million dollars in fines to the IRS before renouncing his citizenship and relocating to Switzerland (1984). Efforts are still being made to bring about the apprehension of "Rich" for RICO/FBW violations (see attached background sheet) if he (Rich) is ever back in the USA.

b6
b7C

Considering the nature of this matter, SA [redacted] and writer formulated the opinion that there are no forfeitable assets at this time.

1cc-NY 196A-1774 (C-1)

*documentation omitted from file copy

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/27/01 BY [redacted]

01-081



b6
b7C

196A-NY-1774 518

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 16 1990	
[redacted]	DR. [redacted]

Memorandum



To : SAC, DIVISION II (196A-NY-1774) P Date 7/20/90

From : SA [redacted] (C-12)

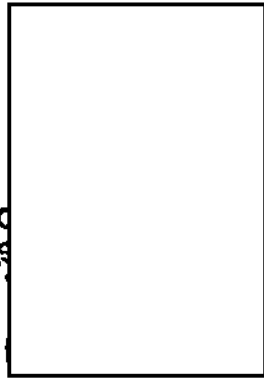
b6
b7C

Subject: MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW
OO: NY

It is requested the captioned matter be reassigned
to SA [redacted] currently assigned to C-12.

b6
b7C

Done 8/8/90 RP



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b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/27/01 BY 01-08

196A-1774 520

SEARCHED	INDEXED
SERIALIZED <i>RP</i>	FILED <i>RP</i>
JUL 20 1990	
FBI - NEW YORK	

[Signature]

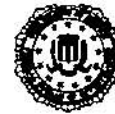
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FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 71
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Page 8 ~ Referral/Consult;
Page 9 ~ Referral/Consult;
Page 10 ~ Referral/Consult;
Page 11 ~ Referral/Consult;
Page 12 ~ Referral/Consult;
Page 13 ~ Referral/Consult;
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Memorandum



To : SAC, NEW YORK (196A-NY-1774) p Date 3/28/91

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG.(B);
PINCUS GREEN - FUG.(B);
FBW, RICO, INCOME TAX EVASION;
OO: NY

It is requested that an additional Fugitive sub-file be opened for communications relating to fugitive Pincus Green.

(Sub)
196A-NY-1774 Fug 1
OPEN OR REOPEN CASE
ORIGIN NY DATE 3/28/91
SUFV. SECT. C-12
(unclass) LE

b6
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]
01-081

196A-NY-1774-Fug 1
SEARCHED INDEXED SERIALIZED FILED
MAR 28 1991
FBI - NEW YORK

① FUGITIVE sub FILE

Memorandum



To : SAC, NEW YORK (196A-NY-1774) P

Date 10/3/91

From : SA [redacted] (C-12)

b6
b7C

Subject : MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO
OO: NY

The purpose of this memo is to correct the spelling of [redacted] [redacted] indexed in Serial 545 as [redacted]

b6
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b7D

It is requested the indexing of [redacted] be modified to reflect the correct spelling, [redacted]

b6
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OSM
CLOSE ON THIS

10/10/91 L
4

196A-NY-1774-Fug # [redacted]

SEARCHED [redacted] INDEXED [redacted] LE
SERIALIZED [redacted] FILED [redacted] LE Saw
OCT 03 1991
FBI - NEW YORK

b6
b7C

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]
01-081

① FUG File 1

Memorandum



To : SAC, NEW YORK (196A-NY-1774) Date 10/3/91

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO
OO: NY

On 10/2/91, [redacted] SSA, FBI, assigned to INTERPOL, Tel. # (202) 272-8383 contacted The NYO and advised the following:

b6 b7C

The [redacted] are somewhat upset by information furnished the FBI and U.S. Marshals concerning Marc Rich by a [redacted] citizen, [redacted]

b6 b7C b7D

(X) NY

196A-NY-1774-Fug # 1 X 2

b6 b7C

SEARCHED INDEXED SERIALIZED FILED OCT 10 1991 FBI - NEW YORK

U Fug sub File 1

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/22/01 BY [redacted] 01-08

[redacted] had to respond to the
[redacted] and asked for a chronology
of events concerning [redacted] which was
given to him.

b6
b7C
b7D

Memorandum



To : SAC, NEW YORK (196A-NY-1774)P Date 11/26/91

From : SA [redacted] (C-12)

b6
b7C

Subject : MARC RICH - FUG.(B);
PINCUS GREEN - FUG.(B);
FBW, MF, RICO, INCOME
TAX EVASION

OO: NY

Attached is a Three page article from BUSINESS WEEK dated 11/11/91 entitled "Making Marc Rich Scourm."

The article explains the basis for the congressional inquiry initiated by Representative Bob Wise (D-W.VA.).

2,3
of

196A-1774 - Fug 1 - 2
LE LE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]
01-081

b6
b7C

① - Fugitive sub-File 1

i

MAKING MARC RICH SQUIRM

The Steelworkers could hinder his attempts to return to the U.S.

Four officials of the United Steelworkers got a nasty reception on Oct. 8, when they crashed the annual shindig for traders on the London Metal Exchange (LME). As the unionists handed out "Wanted: Marc Rich" posters to black-tie guests at London's fashionable Grosvenor House Hotel, four men emerged from a black BMW and threatened to "hurt" the leafleters if they didn't withdraw, claims Joseph B. Uehlein, one of the USW four. The next day, two of the Americans say, their

These are standard tactics in labor disputes—and ordinarily about as bothersome as a gnat to someone of Rich's estimated \$3 billion net worth. Except for this: Rich has wanted to return home ever since he fled the U.S. eight years ago, just ahead of a 65-count federal indictment for criminal tax evasion and racketeering. Because his ailing mother lives in Manhattan, as does his estranged wife, Denise, who recently returned with the youngest of their three daughters, he has recently stepped up these efforts.

Indeed, Rich's lawyers, including Washington's Leonard Garment, make regular overtures to the Justice Dept. "to negotiate terms of his surrender," says a Justice official. That's a matter of some urgency, because the U.S. government is offering a \$750,000 reward for Rich. And because the USW dispute is dragging Rich back into the limelight—and providing an unusual look at his secretive U.S. empire, which has rarely been scrutinized before.

Born in Belgium, Rich moved to the U.S. with his family in 1941 at the age of eight. In 1954, he joined Philipp Brothers Inc., a leading commodity trader. Credited with developing the independent spot market for oil in the early 1970s, Rich later started his own company, which grew to \$10 billion in sales.

Then, in 1983, his troubles began. The U.S. Attorney's office in Manhattan indicted Rich, his partner Pincus "Pinky" Green, and Marc Rich & Co. on charges of rigging a huge, illegal oil-pricing scheme, evading \$48 million in taxes, and illegally buying oil from Iran during the 1979 hostage crisis. Shortly before the indictments, Rich claimed to have sold his U.S. operating arm, Marc Rich & Co. International Ltd., to Alex Hackel, an unindicted partner in Switzerland. The company was renamed Clarendon Ltd., and another unindicted Rich employee, a top aluminum trader named



Willy R. Strothotte, was made chief operating officer and later, president.

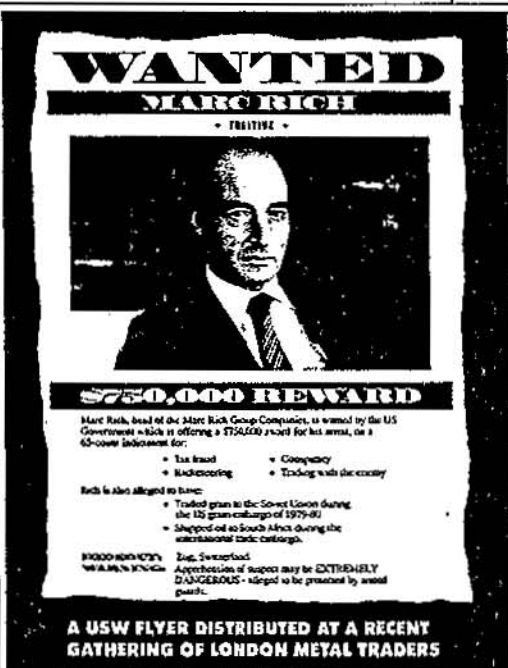
The new entity seems to have existed in name only. The judge hearing the indictments called the maneuvers a "ploy" to avoid the \$50,000-a-day fine he had slapped on Rich's U.S. company. He noted that Clarendon employed the same people as before and was still headquartered in Rich's offices in Zug—as it is today. Ultimately, Rich paid the fine as part of a \$171 million settlement of civil charges against Clarendon.

CLEAN FACE. Ever since, Clarendon officials have insisted that Rich no longer controls the company. They have good reason to do so: Rich's indictment drove away customers, say federal prosecutors in the case. By distancing himself from the company—he still owns 49%—Rich gave Clarendon a clean face. Then, he used it to expand vigorously in the U.S., first in commodity trading and subsequently, in aluminum production.

The first major step came in 1986, when Clarendon signed a 10-year agreement to buy all 800,000 tons of alumina produced annually by a Jamaican company. Clarendon sells most of the semi-refined white powder, which is used in smelting aluminum, to major U.S. producers. Then, Clarendon got into smelting. It took a part ownership in at least four smelters, then signed agreements for each to process alumina that Clarendon supplies. These were often sweet deals. For instance, Clarendon paid a reported \$40 million to buy 21% of an Almax of South Carolina Inc. plant in Mt. Holly, S. C. Clarendon's share of output

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hotel rooms were entered and searched. What beef does a U.S. union have with Rich, a commodities whiz who controls a \$30 billion-a-year trading operation in 40 countries? The USW claims Rich is an influential absentee owner of Ravenswood Aluminum Corp., a private West Virginia company that's locked in a violent labor dispute with 1,700 USW members. The union has picketed his six-story headquarters in Zug, Switzerland, enlisted the aid of European unions, and called for a congressional investigation of Rich's U.S. government contracts.



from Kaiser, for \$170 million in cash and \$180 million in assumed liabilities, was financed with a \$205 million loan from Ridgeway Commercial, a Swiss affiliate of Clarendon. The investors also lined up a \$140 million line of revolving credit led by Amsterdam's NMB Postbank, which regularly does business with Rich. "It's understood that Rich is behind the ownership [of Ravenswood and Ormet], although I never knew him to get involved in the management," says Thomas J. McGinty, who sold his 29% Ormet stake to Strothotte in 1989.

'NOBODY'S PUPPET.' Boyle adamantly denies that Rich is connected to Ravenswood. He argues that Rinoman is simply Strothotte investing as an individual. "I resent anybody saying I'm a puppet" of Marc Rich, Boyle snorts. "I'm nobody's puppet. I run this company." Rich himself declined to discuss the issue. A letter BUSINESS WEEK sent to him in Zug

elicited a response from Robert Thomajan, a New York lawyer on leave from his firm to work for Rich in Zug. Thomajan says Rich's

company "has not and does not comment publicly on its business activities."

Strothotte sent BUSINESS WEEK a letter, faxed from Rich's Zug headquarters, denying any "ownership link" between Ravenswood and Clarendon or Rich. Strothotte also says that "efforts by the [USW] to involve Clarendon and its shareholders in a resolution [of the Ravenswood dispute], their conduct at the LME dinner, and the rather absurd reference to threats are all misdirected and serve no useful purpose." In response to a second query, he issued further general denials but did not reply in detail before this issue went to press.

The USW concedes that Boyle is the primary architect of Ravenswood's labor policies. The friction began when the company's labor contract expired a year ago. After Boyle demanded pay cuts, talks over a new pact deadlocked. Boyle then locked out union members and hired 1,100 replacements.

Since then, there have been hundreds of acts of vandalism and clashes between Ravenswood security

RALLYING IN RAVENSWOOD: A WARNING TO OTHER STEELMAKERS

is 45,000 tons of aluminum a year. Analysts say a smelter to produce that much would cost about \$220 million to build.

With such deals, Clarendon bought major positions in the U.S. aluminum industry. Today, it controls more than a third of the world market for independent primary aluminum and alumina, according to major rivals. "You cannot do business in the aluminum industry without doing business with Marc Rich," says a senior Alcan Aluminum official.

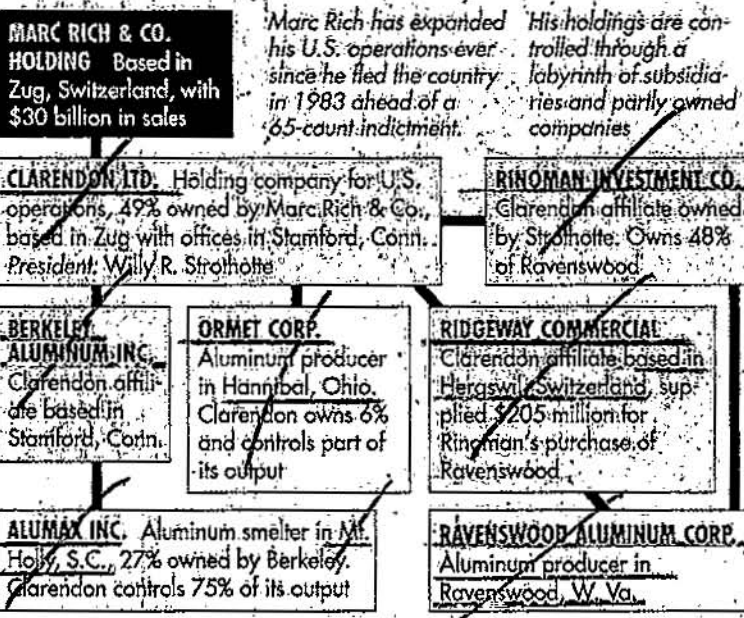
As Clarendon expanded, it spawned a labyrinth of subsidiaries and part-

ly owned companies aimed, prosecutors say, at insulating the fugitive executive from notice of his U.S. activities (table). Take Ormet Corp., Clarendon's next big investment after Mt. Holly. Ormet was an alumina and smelting operation jointly owned by Revere Copper Products Inc. and Aluisse, a Swiss aluminum company. In 1986, an investment group including Strothotte bought the company for a cheap \$98 million. Clarendon used a similar approach in early 1989, when Strothotte and others bought Ravenswood, then a unit of Kaiser Aluminum & Chemical Corp.

The Ormet investors joined forces again, including R. Emmett Boyle, the former president of Ormet, who became Ravenswood's chairman; Stanwich Partners in Stamford, Conn.; and Rinoman Investment in Amsterdam. Rinoman, which owns 48% of Ravenswood, is 100% owned by Strothotte, Clarendon's president.

There are other links between Ravenswood and Rich. Clarendon supplies Ravenswood with most of its alumina. Clarendon also buys some aluminum produced by Ravenswood. The acquisition

MARC RICH'S TANGLED U.S. EMPIRE

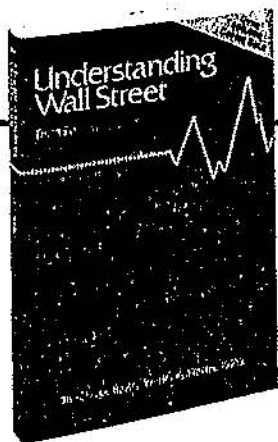


PHOTOGRAPH BY GARY TRUMAN

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guards and USW members. Boyle has spent more than \$1.5 million to fortify the plant, ringing it with tractor-trailers, railcars, and a 10-foot-high barbed-wire fence. The union has spent an estimated \$11 million on its campaign, largely strike benefits.

Insiders say the USW wants to send a message to other aluminum and steel producers not to try the same thing. Indeed, they may now think twice. "The two sides are in a death grip," says Peter W. Merner, an aluminum analyst at Merner Research. USW President Lynn Williams says he recently persuaded Ravenswood's biggest customer for aluminum-can sheet, American National Can, to cancel future orders that represent 23% of Ravenswood's sales. Boyle denies this. American National won't comment.

LONG ROPE. The USW also hired a private investigator to look into Ravenswood's tangled ownership—which is what led it to Rich. To rope him into the dispute, the union complained to Representative Bob Wise (D-W. Va.), whose district includes Ravenswood. Now, Wise's subcommittee on government operations is investigating allegations that Rich & Co. may have traded in Iraqi oil during the Persian Gulf war. This would have violated both U. N. sanctions and Swiss law. European unionists are also attacking. They're trying to thwart Rich's attempts to buy Slovak State Aluminum Co. And pro-union politicians in the European Parliament have questioned his ethics.

Rich's lawyers continue to press for his return to the U. S., offering to pay multimillion-dollar fines he still owes. Rich's one condition is that he avoid prison. He may have allies in the State Dept. U. S. marshals have tried several times to trap Rich, most recently in September, when they alerted officials in Finland that he was due to arrive by private plane. But in that instance, as in previous ones, Rich got away. A. Craig Copestas, author of a 1985 book on Rich, says the marshals suspect that someone in State, which must be notified of such operations, is leaking his plans to Rich because they value his high-level contacts around the world. The Justice Dept., however, "will never make a deal that will let Rich buy his way out of a possible prison term," says Morris "Sandy" Weinberg, a former U. S. prosecutor who led the case against Rich.

Implicit in the USW's strategy is that if Ravenswood is settled, the spotlight on Rich will go dark. Maybe so. But it's just as likely that, win or lose, the union has ensured that the fugitive from New York will long remain a prisoner in Zug.

By Michael Schroeder and Maria Mallory in Pittsburgh, with John Templeman in Bonn

Memorandum



To : SAC, NEW YORK (196A-NY-1774)P Date 12/9/91

From : SA [redacted] (c-12) b6 b7C

Subject : MARC RICH - FUG. (B);
DINCUS GREEN - FUG. (B);
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War Anniversary

How Saddam Hussein Survives Sanctions And Dissent for a Year

Oil Funds Squirreled Away, Starting in the 1970s, Now Provide a Lifeline for Iraq

Reign of Terror Is Tight Rein

By GERALD F. SEIB
And JOHN J. FIALKA

Staff Reporters of THE WALL STREET JOURNAL
WASHINGTON — It is D-Day plus a year, and almost everything has changed.

One year ago this week, American warplanes opened the six-week war that drove Iraq from Kuwait. Today, Kuwait is free, oil prices have fallen by nearly half, Iraq's nuclear program is being dismantled, and Arabs and Israelis are talking peace.

But one thing hasn't changed: Saddam Hussein is still standing. In fact, the White House's political woes raise the bizarre possibility that, despite his crushing defeat, Saddam Hussein actually could outlast his nemesis, George Bush, in power.

The story of how Saddam Hussein has survived despite suffocating economic sanctions and growing internal dissent lies in large part in a cunning, little-understood economic support system the Iraqi ruler quietly constructed over the past two decades. The central question now is whether this life-support system—coupled with a well-known system of internal terror—will enable him to grind down the will of the international community and outlive the United Nations sanctions designed to strangle his economy.

Key to Survival

In conversations with an array of U.S. officials, private American investigators and exiled Iraqi officials, there is uncertainty about the extent of Iraq's clandestine foreign economic activity, but all agree that it has been key to his survival. Former Iraqi officials say the story begins in the early 1970s, when Iraq began siphoning oil revenue into a kind of giant political slush fund. This eventually built a multibillion-dollar financial cushion that is now helping sustain Saddam Hussein despite the U.N. sanctions.

Then, during the 1980-88 Iran-Iraq war, Saddam Hussein's lieutenants created a subterranean network of trading companies to feed his war machine. The network now operates from Jordan and Switzerland to maintain a skeletal economy and keep his cronies satisfied despite sanctions.

"We've been in this business a long time, and we've never seen a procurement network put together like the one used by the Iraqis in the 1980s," says Jules Kroll, head of Kroll Associates Inc., the New York investigation firm Kuwait hired to

WALL STREET JOURNAL
January 16, 1992

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"We've been in this business a long time, and we've never seen a procurement network put together like the one used by the Iraqis in the 1980s," says Jules Kroll, head of Kroll Associates Inc., the New York investigation firm Kuwait hired to track down Iraq's money. "We believe they are now busy reconstructing that network using different names."

Obviously, Saddam Hussein needs more than money to survive. Fearful of enemies within, he has been consolidating power into an ever-smaller circle of relatives and cronies, many from his hometown of Tikrit. Within the last few months, he has replaced his prime minister, defense minister and interior minister.

Now, Arab diplomats report tantalizing hints of dissension within even that small circle of confidants.

In one recent incident, these people say, the personal guards of the new Iraqi defense minister, who is Saddam Hussein's cousin, exchanged gunfire with the security guards of the new interior minister, who is Saddam Hussein's half-brother.



Saddam Hussein

Both the American and Saudi Arabian governments in recent weeks have broadened their contacts with Iraqi dissidents, sensing that the fractious Shiite, Sunni and Kurdish elements of the opposition may finally be starting to pull together. Saudi Arabia is trying to arrange an international conference bringing together the full spectrum of Iraqi opposition groups. At least seven opposition radio transmitters are beaming anti-Saddam messages into Iraq from Saudi Arabia, Syria, Iran, Kuwait and Egypt.

In the U.S., the Bush administration has just completed a quiet policy review that laid new plans to help Saddam Hussein's foes if an internal insurrection begins. Administration officials insist that while they would love to see Saddam Hussein's exit, it isn't crucial to see him go. They argue that the war effectively ended his ability to intimidate his neighbors—and cite as proof the successful launching of the Arab-Israeli peace process despite Iraq's virulent opposition. "The dark force of Arab politics has been put back in his box," argues a senior administration aide.

Nonetheless, Saddam Hussein's continuing presence is an acute political embarrassment for President Bush as he faces a re-election campaign. For the Saudis, it is worse than that: They see Saddam Hussein as a standing threat.

Just yesterday, Robert Gates, the U.S. director of central intelligence, said in congressional testimony that Iraq will remain a "primary" threat to develop weapons of mass destruction as long as Saddam Hussein remains in power.

Iraqi dissidents argue that the time to oust Saddam Hussein is this year. "To tell you the truth, I can't put more than a 50-50 hope on the chances for a change," says

Please Turn to Page A4, Column 1

4

War Anniversary: How Saddam Hussein Survives In Iraq Despite U.N. Sanctions and Internal Dissent

Continued From First Page

Salah al-Shaikhly, a former Iraqi government planner who now is active in the opposition. But, he says, "If that 50% is to materialize, it's going to have to happen in the next few months." Eventually, he says, the clamor for doing business with oil-rich Iraq will undermine international support for sanctions.

If Saddam Hussein does outlast the U.N. sanctions, much of the credit will be due to a secret decision by the Baath party in 1972. Back then, when Saddam Hussein was still rising through the Iraqi leadership, the party's Revolutionary Command Council decreed that 5% of Iraq's future oil "eventualities," says Mr. al-Shaikhly, who was a senior official in the planning ministry at the time. "This money was probably invested in Switzerland and Japan and other European countries."

Saddam Hussein was one of three officials given power over the fund, the former official says, and he is the only one still alive. The other two were Adnan Khairallah, a former defense minister who died in a helicopter crash, and Adnan Hamdani, a former minister of planning who was executed.

Another exiled official, former Planning Minister Jawad Hashim, says the fund may have grown to \$30 billion by the start of the Gulf War. Mr. Kroll, the New York investigator, is far more conservative, but still estimates that Iraq and Saddam Hussein's family have placed between \$10 billion and \$15 billion of liquid assets outside of Iraq. He figures no more than a third of that is frozen by the sanctions, with the rest escaping official detection.

Officially, Iraq informed U.N. humanitarian officials in mid-July that it had a mere \$14.8 million in foreign exchange reserves and an unspecified amount of gold bullion. But it has refused to respond to a Security Council resolution requiring it to provide a monthly accounting of its foreign currency reserves at home and abroad, U.N. officials say.

The money in Swiss accounts, Mr. Kroll asserts, is overseen by Barzan Ibrahim, Saddam Hussein's half-brother, who is a member of the U.N.'s Human Rights Commission in Geneva. Mr. Ibrahim has previously denied the charge.

Whatever the precise source of Iraqi funds, they clearly are being used to procure goods above and beyond those allowed by U.N. sanctions, which prohibit the sale of Iraqi oil and limit imports to humanitarian needs such as food and medicine. Even these restrictions may be loosening a little, as Iraq and U.N. officials negotiate to clear the way for the first open sale of Iraqi oil since the war. One sign that Iraq isn't desperate for cash is that it so far has rejected a plan for it to sell \$1.6 billion of oil because terms of the proposed transaction called for the U.N. to monitor the use of the proceeds.

But there is evidence that deals already are being done. Mr. Kroll, U.S. businesspeople and Iraqi dissidents all report that Iraq has set up a series of front companies and middlemen in Jordan to move oil and money out of Iraqi hands and to ship goods into the country. In Amman, Jordanians have been struck by the number of middle-class Iraqis holed up in their city's hotels. "Of course there's business going on," says one Jordanian businessman. "It didn't stop during the war, so why should it stop now? Jordan is Iraq's lifeline. Under the table, everyone is cutting deals."

Mr. Kroll points to what he believes is one such deal. He displays telexes from the Madrid office of Marc Rich & Co., a Swiss trading company, to Dr. Fadhil Othman, head of the Iraqi State Oil Marketing Organization, in Amman. The telex offer, dated last Aug. 26, says Marc Rich is interested in buying 100,000 barrels of Iraqi oil a day and is also interested in selling grain and sugar to Iraq. The telex offers no indication of the date of the offered transaction, aside from a reference in accompanying documents to October oil prices.

Sort of a Family Affair

Marc Rich also sent copies of the telex to Jaraco S.A., a Geneva-based trading company that Mr. Kroll says is operated by Barzan Ibrahim and two Iranian brothers, Esfandiar and Bahman Bakhtiar. Iranian exiles identify the brothers as the sons of Taymour Bakhtiar, a former head of Iran's secret police who went into exile in Iraq after he was fired by the late Shah of Iran. Taymour Bakhtiar later was assassinated in Iraq, and his widow and sons developed a close relationship to Saddam Hussein, who is said to treat the Bakhtiar

brothers as adopted sons.

Jaraco won't answer questions on the telexes or the company's ownership. "We're sorry to tell you that our company's policy is not to give any interviews," says an employee who answers the phone at the firm's Geneva office.

Leonard Garment, a Washington lawyer who represents Marc Rich in a case involving U.S. tax-evasion charges in New York, says Mr. Rich's company is being unfairly singled out by Mr. Kroll. "Everybody and his cousin who is in the oil business is in Amman negotiating arrangements on an 'if, as and when' basis as to the lifting of the embargo," says Mr. Garment. He adds that Marc Rich's telex to the Iraqi oil marketing organization was only an "expression of interest" and was made subject to the lifting of U.N. sanctions.

'Substantial Deals'

But others say the oil and other goods are moving now. Mr. Kroll says hundreds of Iraqi oil trucks arrive in Jordan daily. Mr. Kroll says his firm suspects the shipments go beyond Jordan's energy needs and may wind up in Syria or Lebanon. Similarly, Nathaniel Kern, vice president of Foreign Reports, a Washington-based firm that provides political news to oil and other companies operating in the Middle East, says his clients have reported "substantial" deals with Iraq being transacted in Amman, although Jordan has started to curb business with Iraq within the last few days. "Iraq's desire is to build up business lobbies throughout the Western world," he says. And Ahmad Chalabi, a London-based banker and leader of the Iraqi opposition, says the Iraqi government has been "getting raw material" through Jordan, including steel to use in weapons-factory repairs.

Jordan insists it isn't sanctioning any sanctions-busting. "I'm not aware of an Iraqi trade organization in Amman," says Marwan Muasher, spokesman for the Jordanian Embassy in Washington. "If there is evidence, let him [Mr. Kroll] produce it." While Iraqi individuals may travel to Amman because it is their only outlet to the West, Mr. Muasher says, "certainly they aren't conducting business on behalf of their government." He adds: "We aren't involved as a government in any illegal activity with Iraq."

U.S. officials take a more cautious approach. They say goods are indeed moving across the Jordanian border, and add that they have raised the issue with the Jordanian government. But they assert that the descriptions of heavy trade are overstated and that Jordan has taken steps to slow the flow and carry out its trade with Iraq under terms of U.N. sanctions. Perhaps because of pressure on Jordan, there have been signs lately of an increasing flow of goods across the Turkish-Iraqi border.

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No one thinks that Saddam Hussein's economic sleight of hand is enough to keep his entire country fat and happy. And indeed there are hints of growing unrest. U.N. officials report that when they visit the southern Iraqi city of Basra, a Shiite stronghold, they hear gunfire at night. Thousands of Shiites are believed to be encamped in the swamps of the Tigris-Euphrates delta. Recent weeks have brought increasingly frequent reports of fights within the army. Iraqi officials acknowledge they are doing house-to-house searches for arms.

But some opposition leaders fear that Saddam Hussein can keep enough money and goods flowing to satisfy the needs of his political cronies and the Iraqi Army units he needs to intimidate his enemies. According to Mr. Chalabi, those include three Republican Guards divisions positioned outside Baghdad, and three divisions of his more elite protection force encamped inside the city. "They are the ones who are getting the best food and equipment," he says. Meanwhile, Saddam Hussein's foreign adversaries remain concerned that parts of his nuclear weapons and missile programs remain hidden.

Despite reports of poverty and malnutrition, the Iraqi ruler recently raised salaries of government and army employees by 20%. As for the rest of the Iraqi population, last weekend they received assurances that they didn't lose last year's war, after all: "When the great confrontation took place, God decreed that victory once again must go to the Iraqis because they follow the path of truth while their enemies follow the path of falsehood."

-Tony Horwitz in London contributed to this article.

Memorandum

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To : SAC, DIVISION II (196A-1774 FUG 2) (P) Date 4/15/92
(ATTN: SQUAD C-12)

From : SA [redacted] (I-6) (U) b6
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Subject: INFORMATION CONCERNING FEDERAL FUGITIVE MARC RICH

Re memorandum to SAC II, captioned as above, dated 4/13/92.

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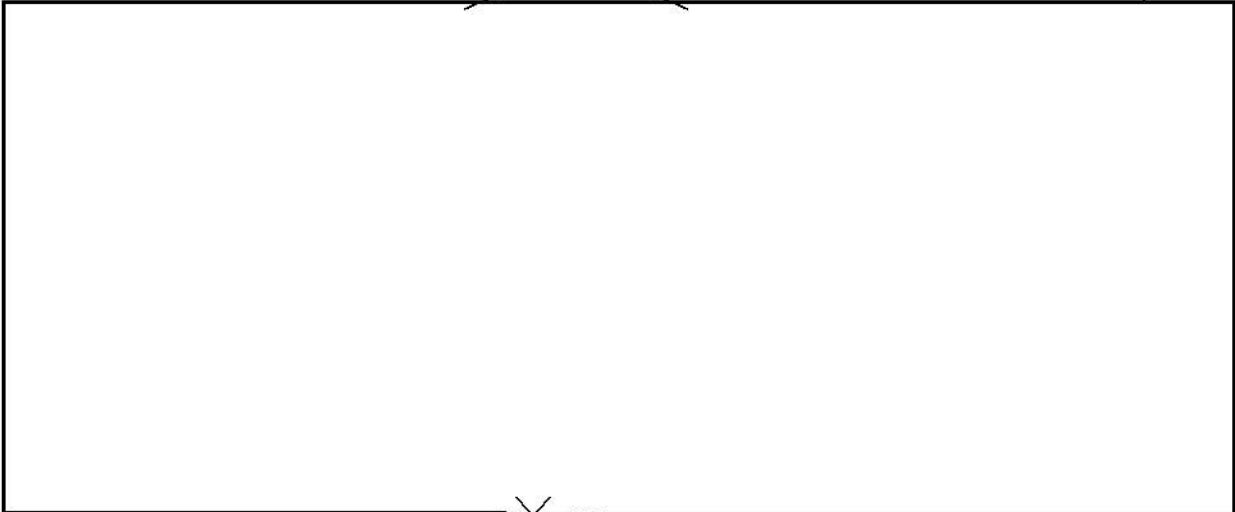
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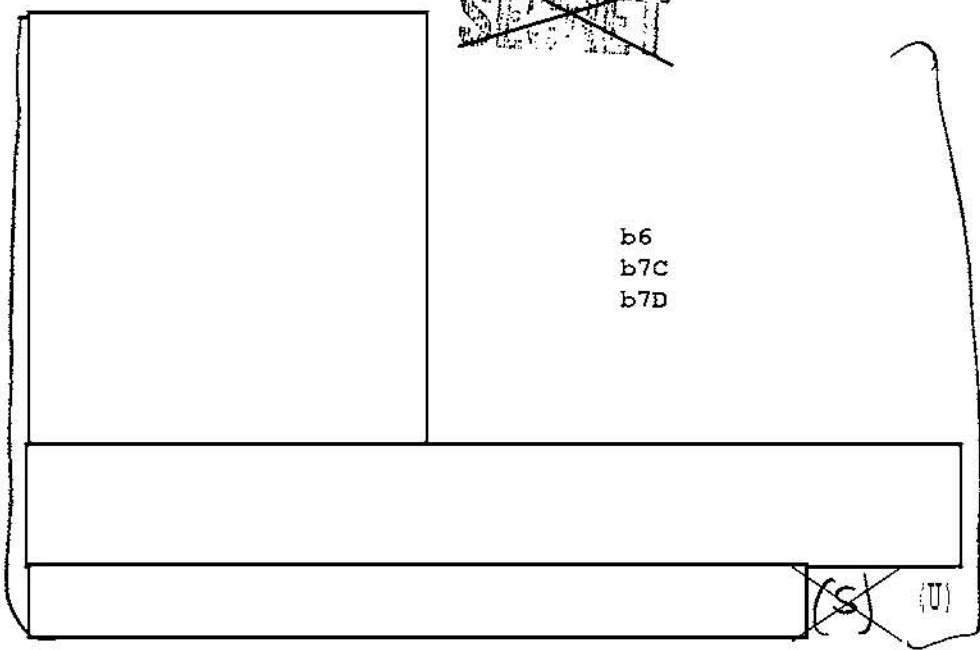
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Memorandum

~~SECRET~~



To : SAC, DIVISION II (196A-1774-FUG 2) (P) Date 4/13/92
(ATTN: SQUAD C-12)

From : SA [redacted] (I-6) ~~(S)~~ (U) b6
b7C

Subject: INFORMATION CONCERNING FEDERAL FUGITIVE MARC RICH

The purpose of instant memorandum is to note that in association with investigative matters surrounding [redacted]

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[Large redacted block]

~~(S)~~ (U)

ADMINISTRATIVE

The source of the above information is [redacted]
[redacted] (PROTECT IDENTITY BY REQUEST) ~~(S)~~ (U)

b7D

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.~~

② New York

① 196A-1774 FUG 1 (C-12)
(1) [redacted] (I-6) ~~(S)~~ (U)

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TPW: tpw
(2)

CLASSIFIED BY [redacted]
REASON: 1.5 (C, d)
DECLASSIFY ON: X 1/6
01-081 2/22/01

C-12

196A-1774-Fug 1 -6

SEARCHED	INDEXED
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APR 13 1992	
FOLLOW UP	

8

C-12

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~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]~~

(X)
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U.S. Department of Justice

Federal Bureau of Investigation



In Reply, Please Refer to
File No. 196A-NY-1774

26 Federal Plaza
New York, New York 10278
April 16, 1992



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Dear Sir:

The New York office of the Federal Bureau of Investigation (FBI) wishes to thank your employees for their prompt assistance on [redacted]

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[redacted] made arrangements on very short notice to assist our office in [redacted]



Other employees involved were [redacted] to mention only a few.

The FBI and other law enforcement agencies have always been able to count on your organization for assistance when needed, and it is greatly appreciated.

Thanking you and your employees for their outstanding effort and continued cooperation.

Yours truly,

JAMES M. FOX
Assistant Director in Charge

(X)
y

By:

b6
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Supervisory Special Agent

2 - Addressee
1 - New York (196A-NY-1774)

JCM:axh
(3)

(1) sub Fug. File 1

196A-1774-Fug 1-7
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4/22/92
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DATE 2/22/01 BY [redacted]

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R 221843Z APR 92

FM LEGAT LONDON (196A-NY-1774)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

CITE: //5200:LON657.112 APR 21 1992//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);

RICO; FBW; MF. INCOM TAX EVASION, TRADING WITH THE ENEMY, OO:NY.

RE LEGAT LONDON TEL DATED 2/6/92.

AN INDIVIDUAL, IDENTIFYING HIMSELF AS [REDACTED]

[REDACTED] TELEPHONE NUMBER [REDACTED]

TELEPHONICALLY CONTACTED THE LEGAT LONDON OFFICE OFFERING TO

PROVIDE INFORMATION ON CAPTIONED SUBJECT MARC RICH. [REDACTED]

ADVISED THAT HE HAD INFORMATION, UNSUBSTANTIATED, THAT [REDACTED]

[REDACTED] HE ADVISED THAT IF [REDACTED]

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196A-NY-1774-Fug 1-8

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APR 22 1992	
FBI - NEW YORK	
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*CPMS/OP C
4-22-92
① sub Fug File 1*

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HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [REDACTED]
01-021

WE WERE INTERESTED. HE WOULD ATTEMPT TO VERIFY THE INFORMATION.

[REDACTED] WAS ASSURED OF OUR INTEREST.

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[REDACTED] WENT ON TO SAY THAT HE HEARD THE FBI IS OFFERING A SUBSTANTIAL INCENTIVE FOR INFORMATION THAT LEADS TO RICH'S ARREST. HE STATED HE HAS INFORMATION THAT [REDACTED]

[REDACTED] IS ALWAYS TOO LATE TO HAVE AN ARREST EFFECTED. HOWEVER, HE WOULD PLACE HIS RESOURCES ON THIS PROBLEM IF IN FACT A LARGE REWARD WAS BEING OFFERED.

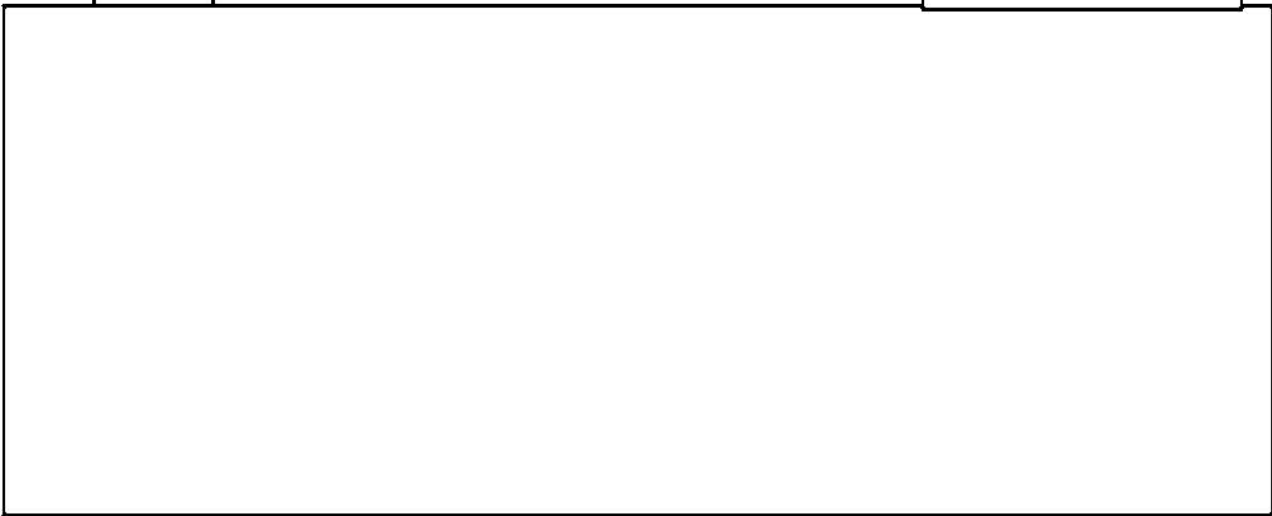
ON 4/6/92, [REDACTED] APPEARED AT THE OFFICES OF LEGAT LONDON TO PROVIDE FURTHER INFORMATION ON MARC RICH'S ACTIVITIES. PRESENT DURING THIS DISCUSSION WERE [REDACTED]

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[REDACTED] WAS EXTREMELY SECRETIVE IN DESCRIBING HIS PURPOSE IN [REDACTED]

[REDACTED] ADDED THAT HIS INFORMATION INDICATES [REDACTED]

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[REDACTED] WAS UNABLE TO PROVIDE ANY INFORMATION CONCERNING MARC RICH'S CURRENT LOCATION OR IF IN FACT HE WAS STILL LOCATED IN

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[REDACTED] HE PROMISED, HOWEVER, TO RECONTACT DEPUTY LEGAT [REDACTED]

[REDACTED] IF HE OBTAINS FURTHER INFORMATION REGARDING RICH'S

WHEREABOUTS.

LEAD.

NEW YORK DIVISION, AT NEW YORK, NEW YORK.

NEW YORK IS REQUESTED TO PROVIDE LEGAT LONDON WITH THE FOLLOWING INFORMATION.

1. IN WHAT COUNTRIES ARE PROVISIONAL ARREST WARRANTS ON FILE CONCERNING CAPTIONED INDIVIDUAL.

PAGE FOUR DE LON 0011 UNCLAS

2. PROVIDE CURRENT DETAILS REGARDING ANY REWARD OFFERED FOR INFORMATION LEADING TO THE ARREST OF MARC RICH.

3. [REDACTED]

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[REDACTED] HAVE REQUESTED COPIES OF ANY AND ALL NEWSPAPER ARTICLES RELATING TO MARC RICH WHICH MAY PROVIDE BACKGROUND INFORMATION CONCERNING HIS CONTACTS IN LONDON.

BT

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DE FE114 #0085 1192095

ZNR 00111

R 081953Z MAY 92

FM FBI NEW YORK (196A-NY-1774) (P) 10-11

TO DIRECTOR FBI/ROUTINE

LEGAT LONDON/ROUTINE

BT

UNCLAS

DATE: 7/35407

SUBJECT: MARD RICH - FUGITIVE (B); PINOLE GREEN - FUGITIVE

(B); FBW, MF, RICH. INCOME TAX EVASION TRADING WITH THE ENEMY;

CO, NY.

REGARDING LONDON TELETYPE TO NEW YORK APRIL 28, 1992.

ON OCTOBER 9, 1991, [REDACTED] FROM THE UNITED STEEL WORKERS UNION FROM THE U.S. DISTRIBUTED WANTED POSTERS FOR MARD RICH AT THE ANNUAL DINNER FOR TRADERS ON THE LONDON METAL EXCHANGE HELD AT THE GROVESNOR HOUSE HOTEL IN LONDON. THE POSTERS DEPICTED RICH AS A U.S. FUGITIVE WANTED FOR SEVERAL

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196A-NY-1774-fug!-11

SEARCHED	INDEXED
SERIALIZED <i>saw</i>	FILED <i>saw</i>
MAY 08 1992	
FBI - NEW YORK	

① Fug sub. File 1

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [REDACTED]
01-08

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PAGE TWO DE FBINY 0035 UNCLAS

CRIMES AND CLAIMED THE U.S. GOVERNMENT IS OFFERING A \$750,000
REWARD. [REDACTED] WERE REPORTEDLY THREATENED BY FOUR
PERSONS WHO APPEARED APPARENTLY ON BEHALF OF RICH.

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THE BASIS FOR THE STEELWORKERS' COMPLAINT IS RICH'S
ALLEGED OWNERSHIP AND CONTROL OVER THE RAVENSWOOD ALUMINUM
CORPORATION IN WEST VIRGINIA RESULTING IN A LABOR DISPUTE
INVOLVING 1700 UNITED STEEL WORKERS. THIS HAS LED TO A
CONGRESSIONAL COMMITTEE LOOKING INTO U.S. GOVERNMENT CONTRACTS
PRESENTLY IN EFFECT WITH RICH, A FEDERAL FUGITIVE. MOREOVER,
THE UNITED STEELWORKERS HAVE GALVANIZED THEIR COUNTERPARTS IN
EUROPE RESULTING IN THE PICKETING OF RICH'S HEADQUARTERS IN
ZUG, SWITZERLAND.

SINCE THE DISSEMINATION OF THE WANTED FLYERS CLAIMING
THERE IS A REWARD OF \$750,000. THE NYC HAS RECEIVED SEVERAL
INQUIRIES AS TO ITS AUTHENTICITY.

THE FBI, IRS AND U.S. MARSHALS HAVE OFFERED NO REWARD AND
ARE PROBABLY PROHIBITED FROM DOING SO. HOWEVER. IT IS FAIR TO
SAY THE FBI AND, PERHAPS, THE OTHER AFOREMENTIONED AGENCIES
ARE WILLING TO MAKE ONETIME LUMP SUM PAYMENTS OF AN
UNDETERMINED AMOUNT FOR INFORMATION LEADING TO RICH'S ARREST.

AJSA [REDACTED] SDNY, HAS STATED THE JUSTICE DEPARTMENT

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PAGE THREE DE FBINY 003E UNCLAS

THROUGH THE ATTORNEY GENERAL. CAN PAY UP TO \$250,000 FOR SUCH INFORMATION.

IT CAN, THEREFORE, BE ASSUMED ANYONE PROVIDING RELEVANT INFORMATION LEADING TO RICH'S ARREST WILL BE ABLE TO RECEIVE SOME REMUNERATION. THE AMOUNT IS UNKNOWN.

THE NYO BELIEVES IT IS AWARE OF THE IDENTITY OF THE

[REDACTED]

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THE NYO HAS BEEN IN CONTACT WITH AN ASSOCIATE OF HIS IN

[REDACTED]

[REDACTED] AUSA, SDNY, ASSIGNED THE RICH/GREEN CASE, IS UNAVAILABLE FOR SEVERAL DAYS BUT IT IS THE BELIEF OF THE NYO THAT PROVISIONAL ARREST WARRANTS FOR MARC RICH ARE FILED AND OUTSTANDING IN GREAT BRITAIN, FINLAND, RUSSIA AND CZECHOSLOVAKIA.

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THE NYO IS UNAWARE OF ANY ARTICLES RELATING TO RICH'S ACTIVITIES IN LONDON ALTHOUGH, IT IS KNOWN HE HAS A COMPANY BY HIS NAME IN LONDON.

THE NYO HAS RECEIVED INFORMATION RECENTLY THAT A BRITISH MAGAZINE CALLED "SPECTATOR" CARRIED AN ARTICLE SOMETIME DURING

PAGE FOUR DE FBINY 0035 UNCLAS

APRIL 1992 UNDER THE BYLINE OF TAKI, WHOSE FULL NAME IS
TAKIDPOULIS (PH), AND OFTEN WRITES GOSSIP ABOUT THE ROYAL
FAMILY IN ENGLAND. THE ARTICLE BY TAKI SUPPOSEDLY SAYS
SENATOR CLAYBORNE PELL, LONG TIME SENATOR FROM RHODE ISLAND,
WAS RECENTLY INTRODUCED TO MARC RICH AT A GATHERING IN SAINT
MORITZ.

THE NYO WOULD LIKE TO HAVE THE SENATOR INTERVIEWED BUT
WOULD LIKE A COPY OF THE ARTICLE TO CONFIRM THE EVENT TOOK
PLACE.

LEADS:

LEGAT LONDON

AT LONDON, ENGLAND.

1. OBTAIN WHATEVER INFORMATION

2. OBTAIN A COPY OF THE ARTICLE WRITTEN BY TAKI IN THE
BRITISH MAGAZINE "SPECTATOR" DURING APRIL 1992 AND FORWARD IT
TO THE NYO IF IN FACT IT MENTIONS RICH MEETING SENATOR PELL.

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0051 491 11247

RR 33114

DE FBINA HQ/24 1322032

ZNR 00100

F 112170 MAY 92

FM FBI NEW YORK (175A-NY-1074) (P) 0-12

TO DIRECTOR FBI (ROUTINE)

LEGAT BERN (ROUTINE)

LEGAT LONDON (ROUTINE)

LEGAT WASHINGTON (ROUTINE)

BT

UNCLAS

DATE: 7/25/01

PAGE: 40 FOR SSA [redacted] FUG./GCV. RESERVATION CRIMES UNIT.

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SUBJECT: MARI RICH-FUGITIVE (B); PINQUE GREEN-FUGITIVE (C);

RE: MF; FIDC; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:

NY.

ON MAY 5, 1992, [redacted] MARI RICH,

WHO CURRENTLY RESIDES AT [redacted] NY.

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VOLUNTARILY APPEARED AT THE NYC WITH [redacted]

Fug sub File 1

ALL INFORMATION CONTAINED
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MAY 11 1992	
FBI - NEW YORK	

PAGE TWO DE FBINY 0024 UNCLAS

[REDACTED] AND FURNISHED THE FOLLOWING:

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SHE CURRENTLY RESIDES IN [REDACTED]
THE ABOVE ADDRESS WITH [REDACTED]

SHE HAS BEEN [REDACTED] FOR ABOUT [REDACTED] MARC
RICH WHICH INCLUDED A PORTION OF TIME IN [REDACTED] FOR THE
LAST [REDACTED] SHE HAS BEEN [REDACTED]

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[REDACTED] THE U.S. AND DURING THE LAST YEAR SHE HAS BEEN
A PERMANENT RESIDENT IN [REDACTED]

SHE IS [REDACTED] WHICH, ACCORDING
TO [REDACTED] MAY TAKE [REDACTED] BEFORE [REDACTED]
[REDACTED] SINCE RICH IS CAPABLE OF STALLING AND BLOCKING
EFFORTS TO DETERMINE HIS TRUE NET WORTH.

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MARC RICH IS CURRENTLY [REDACTED]
[REDACTED] NATIONALITY. RICH CURRENTLY RESIDES AT 28
HIMMELREICH, 3AR SWITZERLAND (SUBURB OF ZUG) AND IS PRESENTLY
BUILDING A HOME ALONG THE LAKE IN MEGGIN, SWITZERLAND. HIS

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[REDACTED]

RICH ALSO MAINTAINS HOMES AT 27 OR 29 CHASA LA DINA (PH),
ST. MORITZ, SWITZERLAND, PUERTA DE HIERRO, MADRID, SPAIN
(CALLED EL RINCON), AND MARBELLA, SPAIN.

PAGE THREE DE FEINY 0024 UNCLAS

SHE STATED SHE KNOWS OF NO BOAT OR VESSEL OWNED BY RICH AS A PLEASURE VEHICLE OTHER THAN A SMALL SPEEDBOAT [REDACTED] HAD IN SPAIN. SHE WAS UNFAMILIAR WITH ANY VESSEL CALLED HAPPICOAT.

SHE STATED FINCUS GREEN HAS RETIRED FROM THE COMPANY DUE TO FAILING HEALTH AND THE FACT HE HAS ACCOMPLISHED WHAT HE SET OUT TO DO. GREEN [REDACTED] WILL BE GETTING [REDACTED] [REDACTED] GREEN AND RICH REMAIN CLOSE FRIENDS.

SHE EXPRESSED SURPRISE WHEN TOLD THE U.S. GOVERNMENT BELIEVES RICH TRAVELS FREQUENTLY. SHE ADDED UP UNTIL THE TIME [REDACTED] SHE NEVER KNEW HE TRAVELED ANYWHERE OUTSIDE OF SWITZERLAND OTHER THAN SPAIN (WHERE HE IS A CITIZEN). SHE ADDED HE DID NOT TRAVEL FOR FEAR OF BEING ARRESTED. SHE COULD NOT OFFER AN OPINION CONCERNING THE LAST THREE YEARS. THEREFORE, SHE COULD NOT FURNISH ANY INFORMATION REGARDING ADDITIONAL PASSPORTS HE MIGHT HAVE OR NAMES HE MIGHT USE WHEN TRAVELING.

SHE SAID WHEN RICH'S FATHER DIED IN NEW YORK IN 1986, SHE [REDACTED] BUT RICH DID NOT ATTEND THE FUNERAL FOR FEAR OF BEING ARRESTED. RICH'S MOTHER, WHO

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PAGE FOUR DE FEINY 0024 UNCLAS

RESIDES IN NEW YORK CITY AND IS ABOUT 30. TRAVELS FREQUENTLY
TO SEE HER SON IN SWITZERLAND.

SHE ADVISED FINCUS GREEN HAS A HOME IN LONDON BUT SHE
DOES NOT KNOW THE ADDRESS.

SHE IS CURRENTLY



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SHE WAS ASKED WHETHER SHE WOULD HELP THE U.S. GOVERNMENT
IN APPREHENDING RICH AND HER ATTORNEY SAID HE DID NOT FEEL
THAT WAS A FAIR QUESTION. HE SAID HE WOULD STAY IN TOUCH AND
BE COOPERATIVE IN ANY WAY HE COULD.

SHE SAID BOTH RICH AND GREEN WOULD LIKE TO RETURN TO THE
U.S. AND RESOLVE THE CRIMINAL CHARGES BUT HAVE NOT DONE SO FOR
FEAR OF GOING TO JAIL.

BT

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196A-1774

Sub Fug Files 1

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Sub FUG-1

196A-NY-1774 - 16

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]
01-08

SEARCHED	INDEXED
SERIALIZED <i>sw</i>	FILED <i>sw</i>
SEP 28 1992	
FBI-NEW YORK	

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Kidnaped?

So What?

By Timothy M. Phelps

WASHINGTON BUREAU

Washington — It is permissible for the United States to kidnap suspects from a foreign country and bring them back to the United States for trial, the Supreme Court ruled yesterday.

The 6-3 decision allows the prosecution of Humberto Alvarez-Machain, a Mexican doctor who was kidnaped and brought to the United States on orders of the Drug Enforcement Administration in 1990. Alvarez allegedly helped Mexican drug lords torture American DEA agent Enrique Camarena in 1985, keeping him alive so his torture and interrogation could be prolonged.

Lawyers for Alvarez, as well as independent experts, said the decision not only allows the United States to abduct people all over the world, but also implies that foreign countries can abduct Americans in this country.

"Coming on top of the Rio summit, it really suggests that the U.S. is alone in its dealings with other nations," said Ralph Steinhardt, a law professor at George Washington University and one of the lawyers for Alvarez.

The three dissenting justices called the decision "monstrous," and some legal experts overseas condemned its reasoning.

Alvarez was abducted from his home in Guadalupe, Mexico, by Mexicans who were paid by the DEA, and flown to Texas, where he was arrested. The Mexican government protested the abduction and has unsuccessfully sought the arrest of those involved, some of whom were flown to the United States and supported financially by the DEA. Lower federal courts had ruled that Alvarez' kidnaping violated the extradition treaty between the United States and Mexico. But yesterday Chief Justice William Rehnquist said in an opinion for the majority that because the treaty did not specifically ban kidnaping, it was not illegal.

"The treaty says nothing about the obligations of the United States and Mexico to refrain from forcible abductions of people from the territory of the other nation, or the consequences under the treaty if such an abduction occurs," Rehnquist wrote.

Alvarez, Rehnquist wrote, "may be correct that [his] abduction was shocking," and that it may be in violation of

ASAC [redacted]
[redacted] (CS)
[redacted] (C-1)
[redacted] (C-2)
[redacted] (C-3)
[redacted] (C-4)
[redacted] (C-12)
[redacted] (C-14)
[redacted] (C-21)
[redacted] (C-28)
[redacted] (C-33)
[redacted] (LAST)

Newspaper:

Newsday

Date: 6/16/92

Page: 19

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Court: Some seizures are OK

general international law principles." However, he said, Mexico's demand for Alvarez' return and its promise to put him on trial there "is a matter for the executive branch."

But Justice John Paul Stevens said that "it is shocking that a party to an extradition treaty might believe that it has secretly reserved the right to make seizures of citizens in the other party's territory."

Under Rehnquist's reasoning, Stevens said, "If the United States, for example, thought it more expedient to torture or simply to execute a person rather than to attempt extradition, these options would be equally avail-

able because they, too, were not explicitly prohibited by the treaty."

Stevens predicted that "courts throughout the civilized world will be deeply disturbed by the 'monstrous' decision the court announces today." Stevens' dissent was joined by Justices Harry Blackmun and Sandra Day O'Connor.

The decision not only raised protests from overseas, but provoked speculation that it might be used as a basis for the kidnaping of Libyan terrorists or of Nazi war criminal Alois Brunner, now in Syria.

"It is a clear violation of the sovereignty of the country where the refugee

is, never mind how criminal the refugee might be," said Enrique Schepele, a Chilean lawyer who represented former Nazi Walter Rauff.

"If powerful nations were allowed to act as they wish, there would be no need for extradition treaties," he said.

The Justice Department refused to comment on the decision.

In other action yesterday, the court ruled:

- Defendants facing the death penalty can ask potential jurors if they would automatically vote for execution if the defendant is convicted, and bar them from the jury if they say yes.

- States cannot tax out-of-state businesses on income they make from selling stock in other companies.

- Federal courts can intervene in some family disputes when the opponents are in different states.

Airtel

8/25/92

Director FBI

SAC, New York (196A-NY-1774)

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW; MF; RICO; TAX EVASION;
TRADING WITH THE ENEMY;
OO: NEW YORK

Reference New York teletype to the Bureau dated
7/22/92.

Reference teletype requested FBIHQ consider the [redacted]
[redacted] a source developed by the [redacted]
who is in a position to materially assist [redacted]
[redacted]

This request was not considered on the strengths of
it's merit because [redacted]
[redacted]

In the event the New York Office (NYO) wishes to pursue
this further in [redacted] when all agencies involved in this matter
have [redacted] NYO should resubmit the request detailing
the proposed [redacted] and the involvement of the FBI in
[redacted]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]
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SEARCHED	INDEXED
SERIALIZED <i>Jan</i>	FILED <i>Jan</i>
AUG 28 1992	
[redacted]	[redacted]

196A-NY-1774-18

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AUG 2 1992	
FBI NEW YORK	

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Date 8/31

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 _____ SAC DORAN
 _____ SAC TOMLINSON (I)
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 _____ ASAC [redacted] (VCMO)
 _____ ASAC [redacted] (DRUGS)
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 _____ [redacted] (ISU)
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 _____ FALLON (FAST)

_____ See Attached
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 _____ What Is Status?

_____ [redacted]
 _____ [redacted]
 _____ [redacted]

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***** [redacted] *****
 RE: [redacted]
 ***** [redacted] *****

*I would like advance
 discussion and concurrence of
 NYO senior management prior
 to re-submitting this request
 to FBIHQ.*

(X)

 ASAC - WHITE COLLAR CRIME
 DIVISION II

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b7C

Memorandum



To SAC, NEW YORK (196A-NY-1774) Date 8/3/92

From SA [redacted] (C-12) b6 b7C

Subject: MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING
WITH THE ENEMY
OO: NY

On 7/24/92 an effort was made
to interview [redacted]

[redacted]

[redacted] New York concerning her knowledge
of Marc Rich as it has been alleged
she [redacted]

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① sub Fug. File 1
1 sub Fug. File 2

b6
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196A-NY-1774-Fug-1-19

SEARCHED	INDEXED
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FBI-NEW YORK	

ALL INFORMATION CONTAINED
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DATE 2/22/01 BY [redacted]
01-081

[redacted]
An unidentified female in [redacted] answered the [redacted] call and advised [redacted] wasn't at home. A message was left in an unmarked envelope with the [redacted] for [redacted].

Upon returning to the office the same day, an attorney named [redacted] [redacted] advised he is [redacted]. He was asked if [redacted] could furnish any information about Marc Rich and/or any contacts in the U.S. dealing with Rich [redacted].

On 7/31/92, [redacted] Dupra, advised [redacted] knows of Marc Rich but that is the extent of it and cannot be of any further assistance. The conversation was terminated.

196A NY 1774
JCM:vad

On May 6, 1993, the following interview was conducted at the New York Office (NYO) of the Federal Bureau of Investigation (FBI) by Special Agents (SAs) [redacted] and [redacted]

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b7C

[redacted] (Protect Identity), [redacted]

b6
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b7D

[redacted] appeared at the NYO of the FBI, 26 Federal Plaza, New York (NY), NY 10278, and furnished the following:

[redacted]
[redacted]

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[redacted]
[redacted]

[redacted]
[redacted]
[redacted]

b6
b7C
b7D
(Handwritten mark)

[redacted]
[redacted]

b6
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[redacted]
[redacted]

b6
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[redacted]
[redacted]
[redacted]

[redacted] is described as follows:

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Name:
Sex:
Race:

[redacted]

96A-1774 File 1 -20X1

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2.

[Redacted]

(ADMIN.)

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Date of Birth:
Place of Birth:
Height:
Weight:
Hair:
Occupation:

[Redacted]

Memorandum



To : SAC, NEW YORK (196A-NY-1774) P Date 6/21/93

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW, MF, RICO, Income
TAX EVASION, TRADING
WITH THE ENEMY
OO: NY

On 6/21/93 [redacted] SSA, b6 b7C
Economic Crimes Unit, FBIHQ, telephonically
advised information has come to his
attention which emanated from DADIC
Frederick Verinder and is as follows: (X)

The names of ALYESKA PIPELINE
in Alaska and CLARENDON, LTD. were

- ① 196A-NY-1774 Sub Fug 1
- 1 196A-NY-1774 Sub Fug 11

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]
01-08

196A - 1774 Sub Fug 1 -22

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FBI - NEW YORK	

b6
b7C

mentioned in connection with Marc Rich as well as the allegation of the smuggling of cash by Rich from the U.S. to Amsterdam. SSA [redacted] added he believes Boston has something to do with the matter. He went on to explain this was all that was explained to him without any further elaboration.

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SSA [redacted] was advised that ALYESKA Pipeline is a functional pipeline which was built sometime in the 1960's. It was built to pump crude from the Alaskan oil fields through Canada. The word ALYESKA may be an acronym for ALBERTA, YUKON & ALASKA. Rich being a major oil trader would apparently have traded in the oil pumped through the pipeline. There is no indication of a beneficial interest by Rich in the pipeline that the NYO knows of.

NYO indices has several references TO ALYESKA Pipeline and Alaska Pipeline Service Company but no 196 references or any that appear to be connected to Rich.

SSA [redacted] was advised that Marc Rich & Co. in New York pled guilty in the mid 1980's and paid a heavy fine in the SDNY. The company opened in Connecticut as Clarendon LTD. and is a major commodities trading firm today. At least two of Rich's principal officers in his headquarters in Zug, Switzerland have headed Clarendon.

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SSA [redacted] was advised the NYO had no knowledge of any allegation involving the smuggling of cash from the U.S. to Amsterdam by Rich.

b6
b7c

SSA [redacted] said he would contact the NYO of any further developments.

Memorandum



To : SAC, II (196A-NY-1774)

Date 7/2/93

From : SA [redacted] (C-25)

b6
b7C

Subject: MARC RICH-FUGITIVE;
FBW;
OO:NY

A confidential source furnished the following information to writer on 5/7/93:

[redacted]

- 1)
- 2)
- 3)

[redacted]

b6
b7C
b7D

[redacted]

[redacted]

(X)

① 196A-NY-1774 (Attn: SA [redacted] sub Fug 1
1-SA [redacted] (C-25)

(2)
KPM:kpm

b6
b7C

196A-1774 sub 123

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FBI-NEW YORK	

ALL INFORMATION CONTAINED
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DATE 2/22/01 BY [redacted]
01-081

Memorandum



To : SAC, NEW YORK (196A-NY-1774) ^{Date} 7/8/93

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING
WITH THE ENEMY
OO: NY

On 7/2/93 [redacted] AUSA,
SDNY, assigned the captioned matter,
advised he will be leaving the
USA's office in [redacted] and the
captioned matter is being reassigned
to AUSA [redacted]

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1 sub Feb 2

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196A-NY-1774-25

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ALL INFORMATION CONTAINED
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FBI-NEW YORK	

Memorandum



To : SAC, NEW YORK (196A-NY-1774) Date 11/5/93

From SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG (B);
PINCUS GREEN - FUG (B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH
THE ENEMY
OO: NY

(X)
MPC

On 11/5/93, a general discussion took place of the captioned case in the office of AUSA [redacted] SDNY. All concerned agencies were represented: FBI, [redacted] of IRS and by speaker phone, [redacted] U.S. Marshals.

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196A-1774 - SubFug 1 - 26

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① SubFug 1
1 SubFug 2

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DATE 2/22/01 BY [redacted]
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196A-NY-1774
JCM:njs

On November 8, 1993, a facsimile was received from [redacted] by Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation.

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Source advised that [redacted]

Source has disseminated [redacted]

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Source believes [redacted]

196A-1774-SUB FUG 1-27

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b7C

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Sub Fug 1

ALL INFORMATION CONTAINED
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DATE 2/22/01 BY [redacted]
01-08

NY Post
1/15/94

Called
5/2
#20.

on Monday. According to Robert
Ratterman, the labor counsel for
MoMA, about 120 of the workers
press their displeasure." ...
...will remain open.

Secret spy life of wheeler-dealer Rich

FINALLY there is an explanation for why commodities king Marc Rich — the biggest U.S. tax cheat in history — was allowed to slip through the grasp of authorities and flee to Switzerland where he continues to operate with impunity. Playboy reports that the super-secretive Rich — who buys and sells precious metals, oil, sugar, and just about anything else of value throughout the world — may have been very helpful over the years to the CIA, the State Dept., the National Security Council and the Justice Dept. "In the past year or so, the Justice Dept. has quietly inserted two sealed envelopes into Rich's court file," Jim Horgan reports. "While those envelopes are not to be opened unless Rich is brought before the court, there can be no doubt that the contents of at least one envelope pertain to Rich's efforts to help the Justice Dept. nab other fugitives." One such fugitive was Tom Billman, who made the Most Wanted list after fleeing charges that he swiped \$100 million from a D.C.-based savings and loan. Billman led U.S. Marshals on an around-the-world chase until he was nabbed under an assumed name in Paris last spring. Playboy reports that Rich not only played a key role in arranging the 1992 expulsion of East German leader Eric Honecker from Moscow to Berlin, where, after an abortive trial, he was permitted for reasons of health to leave Germany for residence in Chile." Rich, though still a fugitive himself, settled the charges against his companies by paying \$12 million in fines. Horgan says that until recently he was selling the U.S. mail the copper, silver, and zinc it needs to make new coins.

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196A-NY-1774 FUG-29

subfile FUG. 1

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FBI — NEW YORK	

Playboy
February, 1994



BY JIM HOUGAN

WHO SNEAKS OIL INTO SERBIA? SPIRITS GOLD OUT OF RUSSIA?
SELLS MISSILE PARTS TO IRAN? MARC RICH IS WHO—THE FUGI-
TIVE THE JUSTICE DEPARTMENT CAN'T, OR WON'T, BRING DOWN

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]
01-081

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LIGHT SNOW FALLS through the darkness as a gray Mercedes glides out of the driveway of one of the oldest and most spectacular mansions in Switzerland. As the car winds its way into the mountains above the lake, windshield wipers brushing at the snow, a man in a black cashmere coat and a dark blue suit sits in a cone of light in the backseat, reading. Not far behind, a chase car flirts with the Mercedes' rear bumper, surging closer and closer, then falls back. Inside, three Israeli bodyguards scan the road, alert for the possibility of a bounty hunter's ambush or a terrorist's kidnapping.

The man in the Mercedes is at once honored and infamous. There is a fellowship at Oxford University in his name, and his foundation disburses millions to worthy causes. Despite this, indeed, despite all the good he's done, he remains a fugitive, wanted by the FBI, Customs, the IRS, U.S. Marshals and Interpol. Should he be caught and convicted, he could face more than 300 years in prison.

It would be helpful, then, to know what he is reading as he leans back in the leather seat, engulfed by darkness, luxury and paranoia. At five A.M. it is too early for the newspapers: they'll be

waiting on his desk when he arrives at the blue glass cube that is his office building. But there are the late-night faxes, certainly, and it may well be that among them is a message from one of his lawyers—the best that money can buy. It could be a note from Leonard Garment, then, or Brad Reynolds or—perhaps not.

Perhaps it's a message from his bustling Moscow office, or a copy of the most recent missive from the secret police of the mineral-rich republic of Kazakhstan. For nearly a year, renegade Kazakh spooks have been quietly distributing diatribes against him to the press, accusing him of a host of crimes in an effort to discredit his company and sabotage his business.

And in Moscow itself, ultranationalist newspapers have published articles alleging that his commodities business is a front for laundering drug money. He denies the allegation, but it has its believers. His companies have an annual turnover of more than \$8 billion per year in what was formerly the Soviet Union, so the man in the Mercedes betrays the disintegrating Russian economy like a sumo wrestler on a pony. Considering the stakes, it is hardly surprising that his business partners

to slander in an effort to knock him off. Then again, he may be studying the numbers. As in: How many tons of aluminum are stored in his Rotterdam and Singapore warehouses? How many board feet of timber were taken from his forests in Chile last month? How many tons of light crude petroleum are moving across the oceans in his tankers?

A Belgian-born American with Spanish and Israeli citizenship (and a pending application to Switzerland), the man in the Mercedes is Marc Rich, a billionaire over and over again, and one of only a handful of people who might reasonably be called, in novelist Tom Wolfe's parlance, a "master of the universe." Unlike Wall Street wheeler-dealers who trade in the abstractions of futures contracts, stocks and bonds, Rich is a player on the periodic table itself, buying and selling strategic quantities of the world's raw materials—its very elements—as well as more complex compounds (sugar, soybeans, oil, government officials). He is a titan in the business of wholesaling the planet's natural resources to the highest bidders.

He owns or controls oil wells in Rus-

\$10 million, a platoon of brand name lawyers (Edward Bennett Williams, Michael Tigar, Boris Kostelanetz and others) was deployed to wage a rear-guard battle in the States. There the courts had blocked some \$50 million in payments owed to the Marc Rich group by other companies, and the prospect of property seizures seemed likely. There was, in addition, a contempt-of-court fine that amounted to \$50,000 each day for Rich's refusal to surrender subpoenaed documents to the U.S. Attorney's office.

Rich paid the fine by check in twice-weekly installments, complaining from Switzerland that if he surrendered the documents, he would be guilty of business espionage under Swiss law. This view was echoed by the cantonal prosecutor in Zug—though, admittedly, he sat on the boards of more than 30 of Rich's corporations and so might not have been entirely objective.

Even as the legal battles continued, Rich knew that one could do worse than to be rich in Zug. With its fiscal pheromones of low taxes, bank secrecy and tax incorporation requirements, Zug had become a mecca for businesses that operate on the edge.

And Marc Rich was in the middle of it. His mansion overlooking the Zugersee was decorated with Picasso, a miró and a Braque. He skied at St. Moritz, where he maintained a luxurious chalet, and began to host a New Year's Eve party for *tout l'Europe*. Plácido Domingo was a guest, along with a constellation of other celebrities. Rich attended charity balls in Geneva and Lucerne, where he gave generously to the fight against fashionable diseases, and he caused a stir at the World Economic Forum in Davos.

Taking a page from the *extraditables* in Colombia, he bought the approval of the little guy in Zug by pouring money into the local sports franchise, dramatically

improving the fortunes of the Zug hockey team (now one of Switzerland's best). When the Jamaicans began to complain about Rich's hammerlock on their aluminum industry, Rich responded by underwriting the costs of the country's bob sled team at the 1988 Olympics.

Denise Rich, meanwhile, was making it big on her own. In 1985, a Sister Sledge rendition of one of her songs, *Frankie*, topped the British charts for six weeks, selling more than 750,000 copies. Denise followed *Frankie's* success with her own album, *Sweet Pain of Love*, which may or may not have been inspired by her husband's pursuit of beautiful aristocrats. In any event, the fugitive was now married to a rock star who appeared on European TV.

In the shadow of the Brooklyn Bridge, in a washed-out office with cipher locks on the door and a metal detector at the entrance downstairs, a federal marshal was plotting to bust Marc Rich. Indeed, Rich and Pinky Green were the sum of his caseload, and they occupied every hour of his day. The marshal spoke regularly with Rich's rivals, with would-be bounty hunters, disaffected employees and customs officials and cops in the most remote corners of the world. He knew who Rich slept with, where he had dinner and how much he drank. From time to time he packed a valise and went after the fugitives, but the operations he mounted were never successful.

Learning that Rich was en route by private jet to Helsinki, he arranged for the plane to be met by police. Incredibly, Rich's flight made a U-turn at 20,000 feet and headed back to Switzerland. A more ingenious plan required the cooperation of the Jeppesen Sanderson company, which has a near monopoly on the sale of aeronautical charts. Knowing that

Rich's widespread business interests required him to fly to some of the world's most remote places, the marshal asked the company to tip him off whenever Rich's pilots requested new charts. Jeppesen Sanderson refused to help.

And so it went: The marshal couldn't get the cooperation he needed, and whenever a trap was laid, Rich eluded it. Clearly, Rich had better spies than the U.S. Marshals Service could muster.

A lesser man might have been content to cut his losses and enjoy his millions in the Alps. But not Marc Rich. Although his companies had been indicted on an array of serious charges, and he himself was reduced to the status of fugitive racketeer, Rich still wanted to do business in America. All he needed was someone to front for him until his lawyers could reach a settlement with the Justice Department.

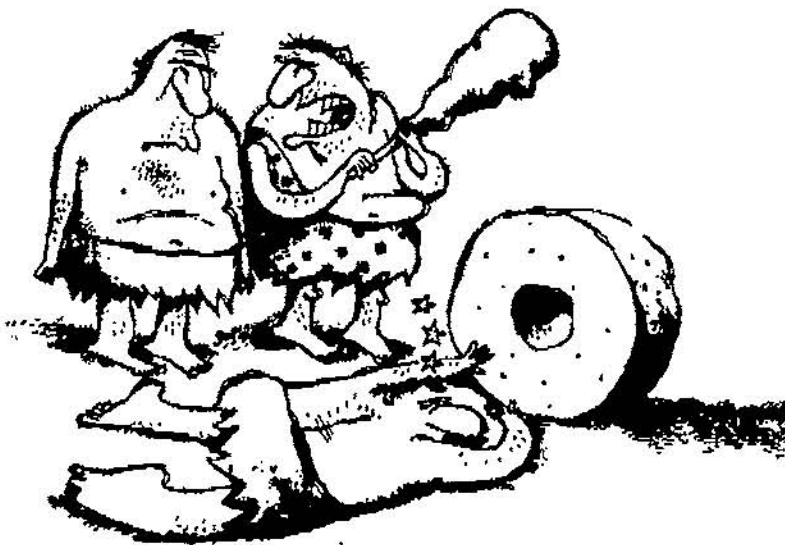
The line between chutzpah and hubris is a thin one, and Rich crossed it when he sent a trader named Bob Tribbett to New York in May 1984, instructing him to arrange a soybean transaction with Romania. It wasn't a big deal by Rich's standards, only \$24.5 million, but it was obviously important to him because, in the end, it cost him millions and taught him a dangerous lesson: Fugitives are fair game.

To complete the deal Rich proposed, Tribbett hired Robert Whitehead, an investment banker, unaware that Whitehead was hooked up with the FBI and the DEA, for whom he was a contract informant. Whitehead's office suite, telephones, car and private plane were bugged.

None of this was known to Rich or Tribbett, who had other things on their minds, not the least of which was an unusually sensitive transaction with Iran. Four years earlier, when the American government left Iran to the Ayatollah Khomeini and the mullahs, U.S. military attachés and advisors sabotaged computerized records and equipment, including anti-aircraft missiles, the guidance systems of which were removed by departing American advisors.

Enter Marc Rich.

According to Whitehead, and as Tribbett confirms, Rich used his contacts to obtain gas-fired gyroscopes from North Korea, providing them to the Iranians as replacements for the missing guidance systems. Suddenly, at a crucial point in the Iran-Iraq war, Iranian missiles became a factor. It was as if Marc Rich had delivered an entire inventory of missiles to the ayatollah's forces—long before Irangate. (It would be a year before Iranian, Israeli and U.S. negotiators would meet in Europe for the first time to discuss swapping Hawk missiles for U.S. hostages in Lebanon.) What Rich



"IT'S MY NEW AUTO-THEFT-PREVENTION DEVICE. I CALL IT THE CLUB."

got in return for the gyroscopes is unknown—Tribbett won't say—but putting the ayatollah in his debt could not have hurt his position as one of the world's largest independent oil brokers.

deal went down with Iran. Whitehead obtained a \$24.5 million loan from the Marine Midland Bank for the soybean transaction. Tribbett says that Whitehead was supposed to receive about \$35,000 from the Marc Rich organization for his part in the deal, but Whitehead admits that he took about \$5 million instead.

The FBI confirms that figure as the amount that went missing on Whitehead's watch, though what happened to the money is unclear. Tribbett suggests that Marine Midland used the funds to cover Whitehead's other debits at the bank. Whitehead's FBI handler has a different explanation: "To tell you the truth, I think he just pissed it away."

In any event, Rich found a better way to do business in the U.S. while still on the run. In the fall of 1984, lawyers for Rich and the U.S. Attorney's office for the Southern District of New York arrived at a compromise. Marc Rich & Co. AG, and Clarendon, Ltd. (formerly Marc Rich International) pleaded guilty to dozens of criminal charges, sustaining \$171 million in fines (including \$21 million for contempt of court in refusing to surrender subpoenaed documents). Rich raised the money by selling a 50 percent interest in Twentieth Century Fox to oilman Marvin Davis, with whom he had co-owned the studio. From then on, the U.S. government had no further claim on Rich's companies, though Rich himself remained a wanted man.

Today, Rich's biggest play is under way in what was formerly the Soviet Union and the Eastern Bloc. Brimming with natural resources, "the Wild East" is a political and economic mess. A diverse group of ministries holds sway over a mélange of ethnic mafias, horn-again capitalists, footloose KGB agents and what used to be called "the masses." It is a world in which billions of dollars in Soviet gold reserves have been looted by Communist Party apparatchiks, at least three of whom are reported to have cartwheeled to their deaths from the windows of Moscow office buildings.

The once vast reserves of Soviet gold have dwindled toward zero, while more than 1000 tons of gold have been smuggled out of the country to Zurich and Tokyo aboard military cargo planes and Aeroflot flights. Under-the-table transactions by the managers of mines, along with clandestine shipments by factory supervisors, are now so frequent that border republics such as Latvia and Estonia have become major exporters of

WHERE &

PLAYBOY expands your purchasing power by providing a list of retailers and manufacturers you can contact for information on where to find this month's merchandise. To buy the apparel and equipment shown on pages 20, 25, 106-107 and 157, check the listings below to find the stores nearest you.



STYLE

Page 20: "Tied to a Cause": Ties: By *Salant Menswear Group*, available at fine department and specialty stores nationwide. By *Lorenzo Vega*, available at *Charvart 57*, 18 W. 57th St., N.Y.C., 212-383-4040 and select Saks Fifth Avenue stores. By *Steven Krauss for Broadway Cares/Equity Fights AIDS*, available at fine department and specialty stores nationwide. By *Wemco*, available at *Mercantile*, *Belk's* and *JCPenney* stores nationwide. By *Randa*, available at *Bloomingtondale's*, 1000 Third Ave., N.Y.C., 212-705-2000. "Underwear Goes Outerwear": Pants: By *Fitigues*, available at *Fitigues*, 2130 N. Halsted, Chicago, 312-404-8696, 700 Vernon, Glenview, IL, 708-835-1848 and 929 N. Rush, Chicago, 312-943-8676 or 800-235-9905. By *Cotton Stuff*, available at fine stores nationwide. Shirts: By *O wear*, available at *Pure Evolution* at *Fred Segal Santa Monica*, 500 Broadway, Santa Monica, 310-393-4477. By *French Connection*, available at select *Barneys New York* stores. By *Per Lui Per Lei*, available at *Fred Segal Santa Monica*, 500 Broadway, Santa Monica, 310-393-4477. "Stashing Your Cash": Billfolds: By *Ghurka*, for store locations, 800-243-4368. By *Donna Karan*, available at select *Barneys New York* and *Saks Fifth Avenue* stores. By *Polo/Ralph Lauren*, at *Polo/Ralph Lauren* stores nationwide and select *Saks Fifth Avenue* stores. Money clip with coin purse by *Salvatore Ferragamo*, available at *McInerney's Galleria*, *Royal Hawaiian Shopping Center*, Honolulu, 808-971-4202. Wallets: By *Harley-Davidson*, to order call 800-CLUBNOG. By *De Vecchi*, available at *Bergdorf Goodman Men*, 745 Fifth Ave., N.Y.C., 212-753-7300. "Hot Shopping: Park City, Utah": Artelana, 7580 Royal St. East, Deer Valley, 801-649-2335. Cole Sport, 1615 Park Ave., 801-649-4806. Hay Charlie, 541 Main St., 801-649-7707. The Factory Stores at Park City, 6200 Landmark Dr., 801-645-7078. La Niche Gourmet & Gifts, 401 Main

St., 801-649-2372. The Barking Frog Grill, 368 Main St., 801-649-0222. T-shirts: T-shirts: T-shirts by *Canali*, available at *Bloomingtondale's* stores nationwide. Specialty shoes by *Allen-Edmonds*, for information or store locations, 800-235-2348. T-shirts by *Harley-Davidson*, for information, 800-443-2153. Shoes by *Nike*, for store nearest you, 800-452-7565.

WIRED

Page 26: "Lost and Found in America": Global-positioning systems: By *Sony*, for information, 800-937-7669. By *Motorola*, for information, 800-421-2477. By *Micrologix*, for information, 616-996-1216. By *Panasonic*, for information, 201-348-9090. "Get the Message": Digital telephone answering devices: By *Toshiba*, for information, 800-851-3811. By *Panasonic*, for information, 201-348-9090. By *Phonemate*, for information, 800-247-7889. By *Bogen Communications*, for information, 800-458-5513.

GETTING THE BOOT

Pages 106-107: Cowboy boots by *Attitude*, available at *Pop Cowboy*, 225 Amsterdam Ave., N.Y.C., 212-496-6700. Military boots by *Georgia Boot*, available at *David Z*, 17 W. Eighth St., N.Y.C., 212-475-9789; *village Cobbier*, 30 W. Eighth St., N.Y.C., 212-673-8530 and 738 Broadway, N.Y.C., 212-460-8532. Hiking boots by *Dexter*, available at *Famous Barr* stores nationwide. Boots: By *Kenneth Cole*, available at *Saks Fifth Avenue*, *Dayton's* and *Marshall Field's* stores nationwide. By *Impulse for Steeplegate*, available at *McCreeedy & Schreiber*, 37 W. 46th St., N.Y.C., 212-719-1552 and 215 W. 50th St., N.Y.C., 212-759-9241; *Giorgio Brutini*, 125 Church St., N.Y.C., 212-964-6874 and 111 W. 50th St., N.Y.C., 212-262-0288. Engineer boots by *Dingo*, for information, 800-964-0000. Suede boots by *Paul Smith*, available at *Paul Smith*, 106 Fifth Ave., N.Y.C., 212-627-9770.

ON THE SCENE

Page 157: Paging devices: By *Hewlett Packard*, for information, 800-443-1254. By *Motorola*, for information, 800-892-8068. By *NEC*, for information, 800-225-5664. By *Swatch*, for store locations, 800-8-SWATCH.

F O R M A T I O N
copper, nickel and aluminum—even though none of these metals is produced in either country. Meanwhile, privatization continues with all the deliberation of a national fire sale.

It is, in other words, just the sort of place in which a man like Rich can make a killing. Who's to stop him? In 1992 the Russian government considered posing a moratorium on all business dealings with Marc Rich & Co. AG pending "a thorough investigation." Other allegations surfaced that Rich has been illegally exporting raw materials, bribing government officials and aiding capital flight from the country.

Despite the official pronouncements against him, Rich has seen his operations in the former Soviet Union grow exponentially in the past year. Where ten employees once sufficed, 150 have now been hired, and the company's regional turnover is in the billions. Rich and his colleagues have stepped into the void left by the shattered Communist infrastructure, taking over many of the functions once carried out by Soviet trading organs.

In this, the man in the Mercedes has been abetted almost as much by his contacts as by the vaults of currency at his command. And of those contacts, none are more colorful or well-connected in intelligence circles than an Orthodox rabbi named Ronald Greenwald.

A Brooklyn boyhood chum of Pinky Green's, Greenwald is both a rabbi and a commodities dealer. As an agent for Marc Rich in New York, he is also one of those rare spiritual advisors who find it necessary to deny that he's a CIA agent and/or a front for the Mossad. Affable and wry, the Reb is himself an important player in war-torn Tajikistan, where convoys of aluminum are escorted by private armies in the Reb's employ.

Meanwhile, there are signs that Greenwald's persistent lobbying for Rich and Green's freedom from their pending indictments, in tandem with the efforts of Leonard Garment and former Justice Department official Brad Reynolds, is having an effect. When Representative Bob Wise (D-W. Va.) convened a subcommittee hearing two years ago on Capitol Hill, seeking to learn why the Justice Department has been unable to nab one of the most conspicuous fugitives in the world, representatives from Justice at first refused to appear before the subcommittee and then stonewalled it. Wise was outraged.

"This isn't your average miscreant who has fled the country for knocking over 15 7-Elevens and is kicking around the dock at Marseilles," he said. "This is Marc Rich operating with total impunity out of a tall office building in Switzerland. Why hasn't this been made a prior-

ity?" He noted that Rich is under indictment for trading with the enemy and for "the biggest tax fraud in history."

Despite the seriousness of the charges, Wise said, there seems to be "a lack of political will" to apprehend Rich and Green. Wise pointed out that the government has yet to publish a reward for their arrests or, for that matter, a wanted poster. Despite the severity of their crimes, Wise noted, neither man is among the 15 most-wanted fugitives currently being sought by the U.S. Marshals Service—though several thugs who have knocked over 7-Elevens are prominent on the list.

Calling the case "strange," the subcommittee criticized Justice for its "lack of relentlessness" and cited numerous failures in the department's handling of the case. The worst of these may well have been its failure "to ensure that, at a minimum, the fugitives do not make money from the U.S. government."

Until recently, Rich and his companies have continued to do business—big business—with the U.S. government, despite Rich's status as a fugitive. The Commodity Credit Corporation has enabled the elusive billionaire to sell American grain by providing more than \$50 million in export subsidies to one of Rich's companies. As bizarre as this may seem, an even greater irony rests with the U.S. Mint's reliance on Marc Rich for the copper, nickel and zinc that it needs. Between 1989 and 1992, the Rich organization sold more than \$45 million in metal to the Mint.

Through the efforts of Congressmen Dan Glickman and Bob Wise, Rich is no longer doing business with the C.C.C. or the Mint. But not much else has changed. There is no evidence that the Justice Department has acted on recommendations made by Congress.

On the contrary, the only change known to have taken place is that the hardworking marshal, who knew more about Rich and Green than perhaps anyone else in government, has been taken off the case and reassigned to Tampa.

To anyone attending the Wise hearings, the conclusion was virtually inescapable that Rich and Green are being protected—and not just by the Swiss and the Colombians. (A well-informed source at a financial reporting service says that Green has recently moved to Bogotá, where, we may suppose, he's joined the ranks of the *extraditables*.)

One can speculate about the sources of Rich's protection in the federal government. He is, after all, in an excellent position to further certain U.S. foreign policy objectives and to satisfy various intelligence requirements in Third World countries. It would hardly be surprising, then, if the State Department, CIA or National Security Council were to enlist the help of a fugitive with Rich's broad

access and enormous means.

It should be remembered, too, that Rich has a complex and intriguing relationship with the Justice Department. When Congressman Wise questioned Justice about its contacts with Rich's attorneys and other agents, seeking to make a deal on his behalf, the department refused to discuss the matter. Why Justice should stonewall Congress on behalf of a fugitive is uncertain, though few would doubt that the wall was built to conceal the fact that Rich is working with Justice (and quite possibly with other agencies) on what can only be called "special projects."

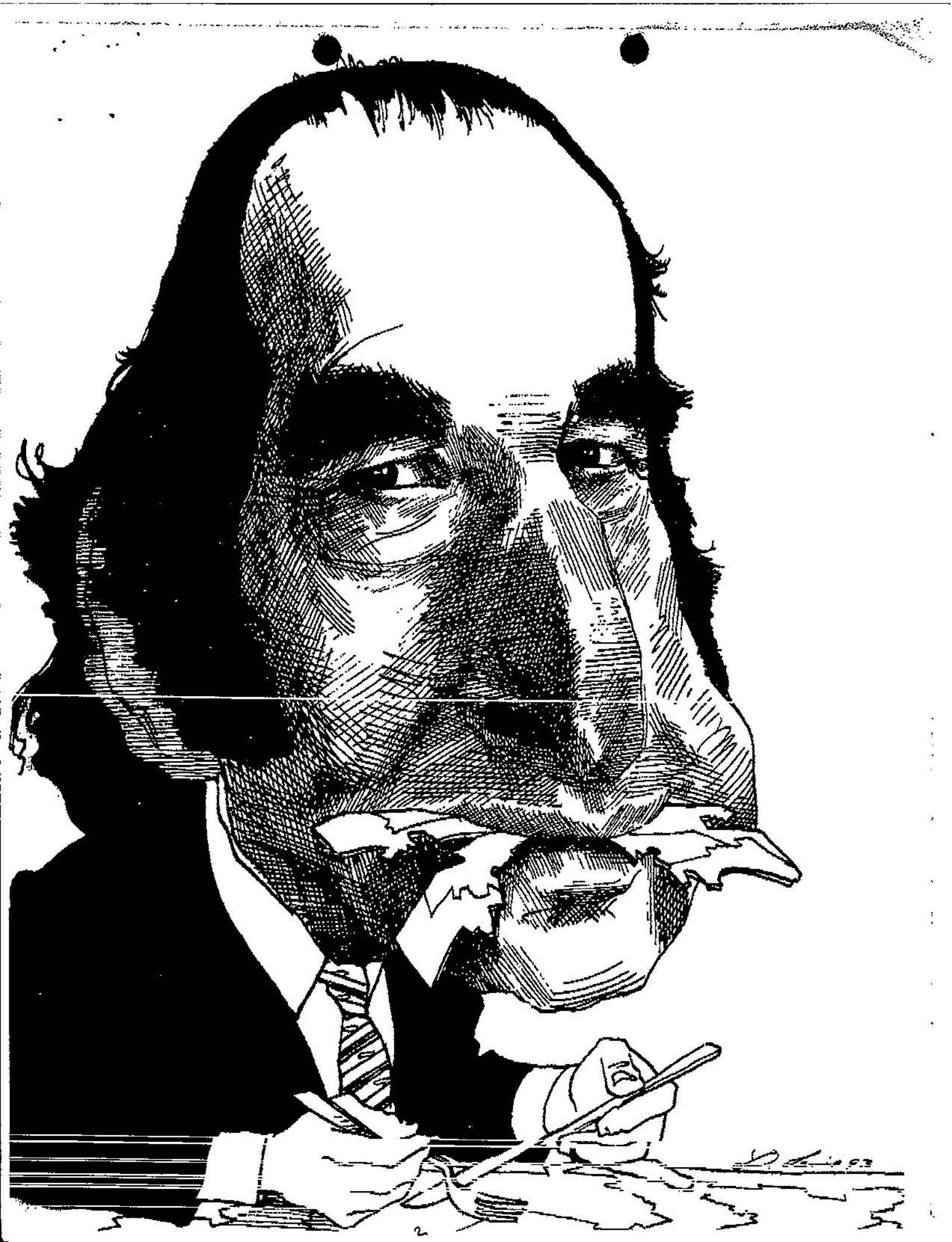
In the past year or so, the Justice Department has quietly inserted two sealed envelopes into Rich's court file. While those envelopes are not to be opened unless Rich is brought before the court, there can be no doubt that the contents of at least one envelope pertain to Rich's efforts to help the Justice Department nab other fugitives.

One such fugitive is Tom Billman. Accused of stealing more than \$100 million from a Washington, D.C.-area S&L, Billman was apprehended in Paris last spring after leading the authorities on an around-the-world chase that lasted more than three years. At the time of his arrest, the globe-trotting embezzler was prominent on the U.S. Marshals' 15 most-wanted list and living under an assumed name.

Rich's contribution to Billman's apprehension was to hire an Israeli private eye, the same Avner Azulay who checked out Rich's girlfriend, to help track down Billman. With a hefty budget, Azulay paid out more than \$200 an hour to private intelligence agencies in London, New York and Washington, instructing them to track Billman's movements and money in Europe and Asia. The information that Azulay received was then provided to U.S. officials, and the rest (or, at least, Billman) was history. Whether Billman's arrest was a direct result of Rich's efforts is unknown. The Justice Department won't say, and Rich would under no circumstances want to take credit for helping the U.S. track down its enemies, some of whom are his business partners.

The contents of the second envelope are a mystery, but may have to do with rumors that Rich and Greenwald played a key role in arranging the 1992 expulsion of East German leader Erich Honecker from Moscow to Berlin, where, after an abortive trial, he was permitted for reasons of health to leave Germany for residence in Chile.

Asked about Honecker and Billman, Greenwald shrugs. "There are rumors," he says with a sly smile. And then he shrugs again. "With Marc, there are always rumors."



KING of the world (continued from page 104)

"The director of an intelligence network that has followed Rich for years says, 'Marc owns Peru.'"

Peru and electrical supplies in England. There are refineries in Romania, office buildings in Spain and smelters in Australia, Iran, Sardinia and West Virginia. He has 40 offices and 1900 employees throughout the world and is simultaneously the uncontested emperor of aluminum, a prince of sugar, a shogun of soy, a mover and shaker of the world's markets in nickel, lead, zinc, tin, chrome, magnesium, copper and coal.

One could go on. The managing director of a private intelligence network in the U.K., one that has followed Rich for more than a decade on behalf of a secretive Arab client, says bluntly, "Marc owns Peru," and this isn't so hard to believe. With an annual turnover in excess of \$50 billion, Marc Rich & Co. AG has a larger turnover than the GNP's of many Third World countries—including the two dozen whose economies are said to be entirely within his hands.

Perhaps he is reading *Izvestia*, faxed from the Moscow office. He would agree that the Russian newspaper has behaved responsibly in the past, denouncing him against the U.S. Justice Department in a front-page editorial. But now, in the new Russia, the newspaper has actually opened its pages to investigative reporters and other ide-
ols. Only recently, *Izvestia* reported that a \$750,000 reward had been offered by the U.S. for Rich's capture, while suggesting that he was somehow responsible for the export of 700,000 tons of high-quality fuel oil purchased for a fraction of its cost. His profit was estimated to be between \$48 million and \$600 million.

There are other allegations, of course, and a blizzard of rumors. It is said by one of his competitors, for example, that Rich has corrupted executives at the Finnish national oil company and that he is using them to plunder their country's economy.

Trade unions in Romania accuse Rich of having banked the fortune that Nicolae Ceausescu stole, and a free-lance spook in what was formerly Ollie North's camp insists that Rich worked hand-in-glove with the Communist Party of the Soviet Union to loot the U.S.S.R. of its gold reserves during the Eighties. The Senate Foreign Relations Subcommittee on Terrorism, Narcotics and International Relations has called for an investiga-

tion of Rich's connections to the infamous and now defunct BCCI.

And so it goes. In Amsterdam, the anti-apartheid Shipping Research Bureau accuses Rich of busting UN sanctions against South Africa. In New York, the authoritative *Platt's Oilgram News* reports that he made oil shipments into Serbia at the same time the UN was preparing a blockade against the rapacious country. In London, *Private Eye* suggests the billionaire has been trying to violate similar embargoes imposed by the UN against Iraq.

But what do they know? Can anyone really be all that bad? (Can anyone really be all that rich?)

The rumors fall like snow past the windows of the Mercedes. But Marc Rich isn't reading rumors. He knows the truth, and if he doesn't, he can pay to have it found. Perhaps, for instance, he's reading the report that he commissioned on a question of some delicacy—the report on the provenance of his blonde German girlfriend. Avner Azulay, an Israeli private eye, was hired by Rich to find out (among other things) if the woman's family was pro-Nazi during the war. The detective's report brought welcome news.

And so the man in the Mercedes can relax. It's almost dawn in the Alps, he hasn't been snatched and his girlfriend is clean. What more could a man want?

What, indeed?

To understand who Marc Rich is, we need to know how one of the most powerful men in the world came to be a prisoner in paradise and a capitalist in flight.

Born in Antwerp in 1924, Rich (née Reich) came to the U.S. with his parents in 1942. With the war in Europe behind them, they settled in Kansas City, Missouri, where Rich's father opened the Petty Gem Shop and earned a modest income. Rich attended public schools (where he seems to have made no impression whatsoever on his classmates), joined the Boy Scouts and went to summer camp in the Ozarks. (One of his tentmates was writer Calvin Trillin, who remembers Marc as "the quietest kid at Camp Osceola.")

To have been a Jew in Kansas City in the Forties (and one, moreover, who spoke three languages while still a

child) could not have been easy. But he didn't live there for long. The Petty Gem Shop prospered and became the diversified Rich Merchandising Co., which Rich's father soon sold at a nice profit. In 1950 the family moved to New York, where the elder Rich entered into a partnership to manufacture burlap bags. With the Korean War shifting into overdrive, this proved to be a brilliant stroke: Military requirements pushed the demand for burlap through the roof, and the family's fortune was made.

By then, Marc was enrolled at New York University. But as a sophomore, he was lured away from school by an acquaintance of his father's, who wanted him to apprentice as a commodities trader at Philipp Brothers.

In 1954 Philipp Brothers was the biggest raw-materials trading company in the world, bridging the gap between mining and manufacturing companies on five continents. Established by scrap-metal merchants in Hamburg during the 1890s, the firm had expanded to England and the U.S. in the years before World War One. By World War Two it had become a giant with enormous influence in the Third World.

Rich began to learn the metals-handling business while working in the traffic department at Philipp Brothers. Like many of the other apprentices, he was the son of Jewish refugees. Unlike them, he'd grown up in Kansas City surrounded by goyim. His father was a millionaire who was well-connected at Philipp Brothers itself. Marc wore suits that the others couldn't afford, and he came to work in a red MG TD that seemed to instill envy in all who saw it.

Four years after leaving NYU, Rich was given his first assignment abroad. Sent to Havana on the eve of the Cuban revolution, he found himself in a vortex of decadence and corruption. It was a place where almost nothing worked except the bribe, which always worked. Rich got the company's metal out of Cuba and was sent out into the world to make even more money for Philipp Brothers. He began to travel constantly between New York and La Paz, Cape Town and Santiago, taking time out to get married in 1966. His wife is the beautiful, almond-eyed Denise Eisenberg, a New Englander who, like her husband, was the child of Jewish refugees who'd made a fortune in America after the war. Unlike Marc, however, Denise was a rock-and-roller. He lived in a world of boardrooms dominated by patriarchal millionaires; she was a junk-food addict who loved the movies and who was as driven to make it as a pop star as her husband

(continued on page 143)

KING of the world (continued from page 108)

"Philippp's Pinky flight made a U-turn at 20,000 feet and headed back to Switzerland."

would one day be driven to corner the world's free-aluminum market.

Within a year of marrying, Rich was named in charge of the Philipp Brothers' office in Madrid and given a seat on the company's European management committee. Always an insider, he was now privy to many of the company's most closely held secrets, overseeing virtually every trade that Philipp Brothers made on the continent. Not content with that, he pulled off an extraordinary feat: In the late Sixties he invented the spot market for oil.

After World War Two, the world market was dominated by the Seven Sisters—companies that controlled the price and production of oil from well-head to gas pump. By tapping suppliers in countries that had more oil than scruples—Iran was such a place—Rich and his Philipp associate, Pincus "Pinky" Green, were able to buy excess crude and sell it to refineries operating at less than capacity. The Seven Sisters were bypassed, and a gusher had been tapped.

In the spring of 1973, Rich and Green anticipated the huge price increases that the Organization of Petroleum Exporting Countries would impose in the autumn. Acting on tips, possibly from sources in Israel, OPEC or the State Department, they learned that the price of oil on the spot market would jump (in fact, it would triple). So they bought \$150 million worth of crude that spring, paying \$5 a barrel above the spot price to get it.

Not that it did them any good. The reaction at Philipp Brothers to such a plunge into a nontraditional market was unmitigated terror. Rich was forced to sell the oil before the embargo took place. In effect, the directors of Philipp Brothers cashed out before the winning hand was played. Belatedly, they realized their mistake and gave Rich and Green a freer hand. The resulting profits were enormous. And so were the bonuses owed to the two traders.

When the company refused to pay up—the bonus, after all, was as unprecedented as the deal—Rich and Green bolted, taking with them a half dozen of the firm's best traders. In 1974, armed with pledges of as much Iranian oil as they could handle, the unlikely pair began trading as Marc Rich & Co. AG.

From the beginning they waged a private war against Philipp Brothers, doing everything in their power to destroy the company. Secretaries and clerks were bribed to provide copies of the opposition's telexes, which enabled Rich and

his cohorts to win contracts by bidding only pennies more than Philipp Brothers for tons of metals and grains. There were even allegations that Rich's operatives had bugged the company's headquarters in New York.

By the early Eighties, Phibro-Solomon (Philipp's name after a merger with Salomon Brothers) was reeling, and Marc Rich and Co. had an annual turnover in excess of \$10 billion. And yet, for all of those dealings, the company which was operating as a kind of pawnshop for the mineral wealth of the Third World—remained an enigma. Which was just how Rich wanted it.

To many, Rich's obsession with secrecy bordered on paranoia, but the reality was that secrecy and profits were intimately linked. To pull off his deals, Rich often had to rely on bribery and sanctions busting. Throughout the Seventies and Eighties, for instance, South Africa was subject to oil embargoes imposed by the United Nations, OPEC and the European Community in response to that country's apartheid policy. For a commodities trader like Rich, headquartered in neutral Switzerland, the UN embargo was made to order. The Afrikaners were happy to pay more than \$8 a barrel over spot, which meant profits of more than \$100 million on each contract Rich's company brokered.

Nor was it particularly difficult to find a supplier. The Soviet Union needed hard currency to buy grain and build submarines, and one way to get it was by ignoring its own trading sanctions against an oil-thirsty country such as South Africa. With the buyer and seller lined up, all that was necessary was to launder the oil through a purposefully convoluted series of corporations chartered in such venues as Monaco, Liechtenstein and the Cayman Islands. Sometimes, when the cargo was delivered, the tanker would be scuttled and the seamen sent home by air. Subsequent investigations would reveal that the missing ship's owners were headquartered at a Swiss post-office box—on which the monthly fee was overdue.

One such shipment left the Black Sea in September 1988, sailing aboard the *Dagli*, a Liberian oil tanker flying a Norwegian flag, carrying Soviet oil bought by a Greek firm for delivery to Italy. The muddled itinerary and ownership made tracing next to impossible. The ship slipped out through the Straits of Gibraltar, turned south at Tangier, began com-

municating in code and covered its name in tarpaulins. The oil was eventually delivered to Cape Town in mid-October.

According to Amsterdam's Shipping Research Bureau, which has reported violations of oil embargoes against South Africa, the whole arrangement had been set up by the real buyer, Marc Rich, who made use of a company that soon after ceased operating and another company belonging to his empire of which no one knew the name.

Experts estimate that Marc Rich supplied at least eight percent of South Africa's oil needs during the Eighties, arranging for more than 75 percent of shipments from the Soviet Union, the Persian Gulf and Brunei. The value of those shipments was in the billions, and so were the profits. But that was only a part of Rich's payoff. When Phibro-Solomon stopped trading with South Africa in 1985, responding to anti-apartheid activists in the U.S., Rich quickly stepped in to fill the gap, replacing Phibro-Solomon as the exclusive sales agent for one of South Africa's largest lead mines.

The South African trade put Rich into the sanctions-busting business in a big way. Rich must have convinced himself that political sanctions did not apply to his operations, or, if they did, that clever lawyers could get around them.

It was inevitable, then, that the 1980 U.S. embargo against Iran was viewed by Rich as an opportunity to make a killing. Laundering Iranian oil through Panamanian fronts and sham transactions, Rich's company was able to subvert price controls, evade taxes and move hundreds of millions of dollars in illicit profits offshore. Unfortunately for Rich, however, the deals also brought an indictment.

Two Texas oilmen, themselves under indictment for daisy-chaining, offered up Rich and Green in return for light sentences. Rich and his partner were each charged with 51 counts of conspiracy, tax evasion, racketeering and trading with the enemy. Anticipating the indictment, Rich locked the doors to his ten-room apartment on Park Avenue and fled New York in early June 1983. A few days later, he and his wife were ensconced in Switzerland in a mansion overlooking the town of Zug. The indictment was handed down in September.

Although Rich and Green each may face more than 300 years in prison, they knew they'd be safe in the Alps. The extradition treaty between Washington and Bern was so old that it predated the income tax itself. It covered murder, rape and mayhem, but, the Swiss maintained, nothing in it applied to the modern crimes for which Rich and Green had been accused. In essence, since neither had strangled anyone, the billionaires were more than welcome to remain in Switzerland.

Meanwhile, at a cost of more than 143

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...catch up by high interest charges, which amounted to about 1 billion francs in the last fiscal year, ended Sept. 30. Walt Disney, which owns 49% of Euro Disney SCA, the European operating company for the park, has said it is only prepared to continue funding the resort to the end of March.

The bondholders are represented by a French association headed by Colette Neuville, an activist who often campaigns for the rights of minority holders. A legal report for the bondholders issued yesterday pressed for them to be represented at the talks, and Georges Berlioz, the lawyer who drafted the report, threatened legal action if they were excluded. "If we are not invited, we will litigate our way in," Mr. Berlioz said in a telephone interview.

On one crucial point, Mr. Berlioz's report echoed demands made by the creditor banks themselves, namely that Walt Disney should carry much of the burden for an eventual restructuring.

Under Euro Disney's complex financial structure, involving a web of shareholdings and cross-holdings, Walt Disney largely limited its direct financial responsibility for the project. For example, most of the resort's debt isn't owed directly by Euro Disney to the creditor banks, but via a number of finance companies that own the park and its six hotels - and with which

...and German banks. And at least two U.S. banks - Citicorp's Citibank unit and J.P. Morgan & Co. - also have outstanding loans. The banks all declined official comment.

"Obviously we are concerned," says Samuel S. Theodore, vice president of rating agency Moody's France SA. "but for most of the banks we don't feel that this exposure itself is going to be a rating event." Adds Scott Bugie, an analyst at Standard and Poor's Corp. in Paris: "The risk is well spread. It's not going to bring any bank down."

Nonetheless, the risk of substantial losses for the main French banks involved is causing some concern. In particular, analysts say, a possible failure of Euro Disney would be severely embarrassing for Banque Nationale de Paris, which was privatized by the French government late last year.

Just this month, Moody's placed BNP under review for a possible downgrading, for reasons not connected to Euro Disney. Analysts believe the bank hasn't yet made any provisions for possible Euro Disney losses. BNP officials say privately that Chairman Michel Pebereau is concerned not to allow Euro Disney to drag down earnings so soon after privatization.

Fugitive Marc Rich Prospers Abroad, Hopes to Settle U.S. Criminal Charges

By PETER TRUETT

Staff Reporter of THE WALL STREET JOURNAL
DAVOS, Switzerland - Marc Rich has succeeded in maintaining and building a successful international commodity and investment business despite being a fugitive from U.S. justice and facing continuing lawsuits from his former wife.

Mr. Rich fled the U.S. in 1983, with his partner Pincus Green, just before they were indicted on tax-evasion and racketeering charges relating to an alleged oil-trading scheme. Now, Mr. Rich says, he wants to settle U.S. criminal charges outstanding against him. He also seems resigned to having to face a long fight with his ex-wife, Denise, who has pursued him in the courts.



Marc Rich

"It will probably take years to settle," the 59-year-old Mr. Rich says in an interview, referring to the legal dispute with his former spouse. The former Mrs. Rich is seeking half of her husband's

assets, which have been estimated to total as much as \$1 billion.

Mr. Rich's ex-wife and her family provided some of the seed capital when, in 1974, Mr. Rich left Philipp Brothers and set up Marc Rich & Co. She is no longer a stockholder, according to Mr. Rich. "She redeemed her shares in December 1990," he says.

As chairman of Marc Rich & Co. Holding AG, based in the nearby town of Zug, Switzerland, Mr. Rich oversees his 27.5% stake in the operating company, Marc Rich & Co. It now has annual turnover of \$30 billion from trading crude oil, petroleum products, metals, minerals and agricultural commodities.

Mr. Rich says that he hopes his decision to step down last year as chief executive officer of Marc Rich & Co. may make it easier to negotiate a settlement of the U.S. charges against him.

It has become Mr. Rich's custom to attend the annual World Economic Forum in this Alpine resort of Davos. The gathering of world political and business leaders, which ends tonight, provides Mr. Rich an opportunity to meet with old friends and get an annual taste of the world of international financial circles that he hastily left more than a decade ago.

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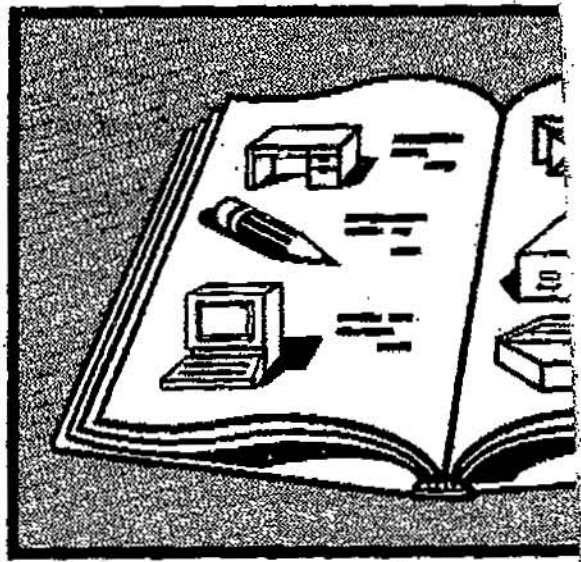
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Memorandum



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From : SA [redacted] (C-12) b6 b7c

Subject : MARC RICH - FUG.(B);
PINCUS GREEN - FUG.(B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING
WITH THE ENEMY
OO: NY

Attached is a copy of a Magazine article, 3/7/94, from the Spanish Magazine "SU GENTE" featuring Marc Rich.

The article essentially sets forth Rich's proposal to fund a business school in his name at the University of Madrid.

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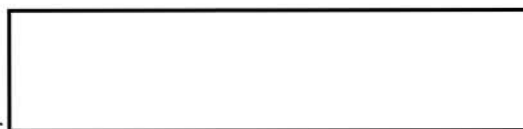
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OCIO Y NEGOCIO **su gente**

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Aunque residente en Suiza, Marc Rich tomó la nacionalidad española y es un enamorado de nuestro país y de sus costumbres.



JOSÉ A. BOMI

De izquierda a derecha: Juan Urrutia, profesor de la Universidad Carlos III; el profesor Michele Boldrin; José Ferreras, director ejecutivo de la Fundación Rich; Jaime Lissavesky, consejero de Educación de la Comunidad de Madrid, y Miguel Correcher, embajador de España.

Fomentará la investigación en el área de Economía de Empresa

De cómo Marc Rich no presentó su Cátedra de Negocios

Ni Marc Rich ni Camilo José Cela ni Max Mazin acudieron, frente a lo anunciado, a la presentación oficial de la Cátedra de Negocios Marc Rich, asignada al departamento de Economía de Empresa de la Universidad Carlos III de Madrid.



No hay manera de ver en carne mortal a este mítico financiero y un tanto enigmático personaje llamado **Marc Rich**. La presentación de su Cátedra de Negocios, que un día quiere crecer por sí misma y convertirse en un máster con su propia doctrina y personalidad académica, habla connotado una audiencia atípicamente abundante y algo más que curiosa. Pero el magnate de origen judío, nacionalizado español y residente suizo se dio esquinazo a sí mismo y nos privó de una presencia que siempre da titulares a las altas finanzas.

Más que una biografía y una constatación sencilla de su...

tencia, de **Marc Rich** existe una abultadísima cuenta de resultados llena de dudas y datos. Hasta hace apenas dos años, era el principal operador del mundo en el comercio de metales y minerales, su nivel de transacciones diarias superaba el millón y medio de barriles de petróleo, figuraba como el sexto productor de aluminio del mundo con 2,5 millones de toneladas al año y estaba considerado como el mayor operador mundial en cereales detrás de Cargill.

Trabajo duro. Pero después vino este bienio un tanto oscuro y sobre el empresario suizo se hi-



De izquierda a derecha: María Isabel Falabella, directora de Juventudes Musicales, Marc Rich, su esposa Denise, el maestro Rostropovich y la Reina Doña Sofía, en uno de los conciertos que ofreció en Madrid la Fundación.

el "trabajo duro y la determinación", le llevó a suer partido a las crisis, más incluso que en los provechosos tiempos de bonanza. De ahí su interés: su protagonismo en el acto académico podía haber disipado dudas respecto a su imperio y aportado las claves de la recuperación.

Las últimas referencias de que **Marc Rich** sigue presente en el mundo de los negocios están relacionadas con la venta a Beapora de su última presencia accionarial en la empresa Explotaciones Agrícolas y Ganaderas Ausoni (Explasa), a través de su sociedad instrumental Seninvest, y su relación con la Corporación Banesto, hoy con problemas al tener que abonar al multimillonario suizo algo más de 10.000 millones de pesetas por la com-

pra, en 1989, del complejo turístico Bellevue en la localidad marroquina de Alendia.

También podía haber aclarado **Marc Rich** la cesión y el control de su imperio, la Marc Rich Company, al equipo directivo del grupo. Pero poco o nada se sabe del precio y de la forma de financiación de una sociedad que factura más de tres billones de pesetas anuales, gana más de 100.000 millones y cuenta con un total de 1.300 empleados en todo el mundo. Sólo sabemos de su deseo de transferir la propiedad y dirección de este imperio a la generación que le sigue.

Empresario genial. El mecenas de esta Cátedra de Negocios, tan significativa en el panorama de postgrado español,

UNA FUNDACIÓN MUY RICA

Creada en 1988, la Fundación Rich nació con la intención de prestar un servicio a la sociedad a través de actuaciones concretas encaminadas a facilitar el progreso y el bienestar del hombre. Entre sus actividades destacan:

- **Inquietudes humanitarias:** ayudas a víctimas del terrorismo y familiares de enfermos de Alzheimer, subvenciones a Aldeas Infantiles y a la Fundación Raül Follereau.
- **Ciencias y Humanidades:** apoyo a la promoción de la investigación y el fomento de las artes a través de becas y ayudas por un valor de 85 millones de pesetas.
- **Sanidad:** congresos y simposios sobre la infección hospitalaria, la escasez de enfermeras en el mundo, la prevención de las drogas y la economía sanitaria.
- **Bellas Artes:** montaje de la

exposición pictórica "Tesoros del Museo de Bellas Artes de Bilbao", conciertos del maestro Rostropovich en colaboración con las Juventudes Musicales de Madrid y un proyecto del Departamento de Filología de la Universidad de Santiago de Compostela.

- La Fundación mantiene abierto, con carácter indefinido, su programa de becas y ayudas a la investigación en la Secretaría del Patronato, Paseo de la Castellana, 141, planta 19.
- Su Patronato está compuesto por: Camilo José Cela, presidente; Max Mazin, vicepresidente; Elena Quiroga, secretaria; y Álvaro Delgado, Antonio García-Bellido, Luis García Berlanga, Joaquín Martínez-Correcor, Juan A. Martínez López de Letona, Antonio Mingote y Marc Rich, vocales; José Ferreras, director ejecutivo, y Ursula Santo Domingo, Administración.

cludió, al no presentarse en la rueda de prensa, su presencia empresarial en un país en el que, como el nuestro, se inició en los negocios hace ya 20 años y en el que ha operado en diferentes sectores -hotelero, alimentario y de ocio- con un volumen de negocio superior a los 150.000 millones de pesetas al año.

Qué momentos para haber explicado ese interés de tomar una participación significativa en un

gran banco español e intervenir en su desarrollo y gestión, como declaró tantas veces, y como sucesivamente Alfonso Escámez frustró por el miedo a que la intervención fuera más allá de su planes.

O, cómo ve la recuperación económica un triunfador como es él tras mil batallas comerciales por los cinco continentes y desde una atalaya, que, como la suya, es hoy más torre de marfil que laboratorio dorado de estrategias empresariales.

Muy probablemente se estudiará, en la cátedra novedosa, su estilo como empresario genial y sus magistrales ideas para sacar partido a los más suculentos e inverosímiles negocios. En esta ocasión, sin embargo, la clase inicial se quedó sin maestro. Y como en una clásica obra de Hitchcock, el personaje central abandonó el escenario y volvió a desaparecer en la niebla.

■ **Luis Sánchez Bardón**

NEGOCIOS DE ALTOS VUELOS

La Cátedra Marc Rich de Negocios, adscrita al Departamento de Economía de la Empresa, será ocupada por el doctor Michele Boldrin, profesor del departamento Managerial Economics and Decision Sciences de la J.L. Kellogg Graduate School of Management, North-Western University. Reforzará y completará el

programa de tercer ciclo de la Universidad Carlos III de Madrid y establecerá las bases de una futura escuela de negocios que espera consolidarse como foco de atracción de profesionales e investigadores. Dicha cátedra se centrará en la organización y realización de cursos de doctorado, seminarios y jornadas de

investigación, destinados a potenciar la formación y el conocimiento en el área de Economía de Empresa. Velará por la potenciación de la formación de doctores y del personal investigador y fomentará la publicación de trabajos de investigación con carácter tanto periódico y estable como circunstancial.

T SubFug 1

196A-NY-1774 -35
SUBFUG 1

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/01 BY [redacted]~~

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REASON: 1.5 (d)
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2/22/01 01-081~~

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APR 07 1994	
FBI - NEW YORK	

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- 1 -

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 3/18/94

On March 1, 1994, [redacted] (CW) was interviewed
by SA [redacted] and SA [redacted] at NY NY. CW
furnished the following: b6
b7C
b7D

On or about February 15, 1994, CW [redacted]
[redacted] b6
b7C
b7D

[redacted] (S) (U)
At [redacted] b6
b7C
b7D
[redacted] (S) (U)

[redacted] b6
b7C
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[redacted] (S) (U)

[redacted] b6
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[redacted] are described as follows: (S) (U)

1. [redacted] (S) (U)
[redacted] b6
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[redacted] (S) (U)

Investigation on 3/1/94 at NEW YORK, NY File# [redacted] b6
b7C
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by SA [redacted] Date dictated 3/7/94

~~SECRET~~

[Redacted]

b7D

Continuation of FD-302 of

[Redacted]

, On 3/1/94

Page 2

[Redacted]

b6
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[Redacted] (~~S~~) (U)

The CW explained

[Redacted]

[Redacted]

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[Redacted] (~~S~~) (U)

[Redacted]

[Redacted]

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[Redacted] (~~S~~) (U)

[Redacted]

[Redacted]

b6
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[Redacted] (~~S~~) (U)

[Redacted]

[Redacted]

b6
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[Redacted] (~~S~~) (U)

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[Redacted]

b7D

Continuation of FD-302 of

[Redacted]

, On 3/1/94, Page 3

During the

[Redacted]

[Redacted]

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[Redacted] ~~(S)~~ (U)

2.

[Redacted]

~~(S)~~ (U)

[Redacted]

[Redacted]

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[Redacted]

~~(S)~~ (U)

3.

[Redacted]

~~(S)~~ (U)

[Redacted]

[Redacted]

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[Redacted]

~~(S)~~ (U)

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[Redacted]

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Continuation of FD-302 of

[Redacted]

, On

3/1/94

Page

4

[Redacted]

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~~(S)~~ (U)

[Redacted]

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[Redacted]

~~(S)~~

(U)

4.

[Redacted]

~~(S)~~

(U)

The CW, advised that,

[Redacted]

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[Redacted]

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[Redacted]

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Continuation of FD-302 of

[Redacted]

, On

3/1/94

, Page

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[Redacted]

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[Redacted] ~~(S)~~ (U)

[Redacted]

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The CW furnished a

[Redacted]

[Redacted]

[Redacted] ~~(S)~~ (U)

The CW also furnished the following information: The

[Redacted]

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[Redacted] ~~(S)~~ (U)

The CW provided

[Redacted]

[Redacted] ~~(S)~~ (U)

~~SECRET~~

1.

196A-NY-1774

JCM:axh

On April 1, 1994, [redacted] furnished the following to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation (FBI):

b6
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[redacted]

Source added [redacted]

[redacted]



① Fug sub file 1

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196A-1774-36 ^{sub 41}

SEARCHED	INDEXED
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APR 14 1994	
FBI - NEW YORK	

ALL INFORMATION CONTAINED
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DATE 2/22/01 BY [redacted]
01-081

196A-NY-1774 FUG 2
BDH/dr
1

[redacted] provided the following information to
Special Agent (SA) [redacted] on April 29, 1994:

[redacted]

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(X)

196A-1774 SUB FUG 1-

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SERIALIZED	FILED
MAY 13 1994	
FBI - NEW YORK	

37

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sub FUG 1

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DATE 2/22/01 BY [redacted]
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Sub File 1

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196A-NY-17745-BF91

38

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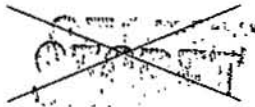
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JUN 20 1994	
FBI-NEW YORK	

JUN-20-1994 10:58 FROM FBI CID WCCS ECU

TO



**FBI HEADQUARTERS
CRIMINAL INVESTIGATIVE DIVISION
FINANCIAL CRIMES SECTION
ECONOMIC CRIMES UNIT
OFFICE NUMBER: (202) 324-6056
FAX NUMBER: (202) 324-8072**

PRECEDENCE: Immediate

TO: [redacted]

DATE: 6/20/94

FACSIMILE NUMBER: 212/335-2999

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FROM: [redacted]

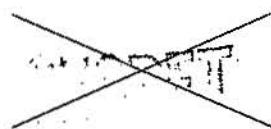
of pages including this one: 3

SUBJECT: Marc Rich; et al

REMARKS: [redacted] please review and comment as to accuracy. Thanks.

[redacted]

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U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

26 Federal Plaza
New York, New York 10278

June 17, 1994

MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY
OO:NY

MARC RICH and PINCUS GREEN, Partners in MARC RICH and COMPANY A.G., a Swiss based commodities trading firm and MARC RICH & COMPANY INTERNATIONAL in New York, the U.S. trading arm of A.G., were indicted on September 19, 1983, in U.S. Federal Court, in the Southern District of New York, on a 51 count indictment, charging both with fraud by wire, mail fraud, RICO, income tax evasion and trading with the enemy.

During 1980-81, RICH and GREEN sold crude oil that was under Department of Energy price controls in the United States at uncontrolled, free market prices, thus reaping huge profits which were shifted overseas. In order to offset these profits, both fabricated tax deductions involving falsification of invoices of transactions between MARC RICH and COMPANY A.G. and its foreign subsidiaries. RICH and GREEN amassed \$100 million in profits concealed in 1980-81, thus evading \$48 million in U.S. income taxes. This case became the largest income tax indictment ever returned by a grand jury.

During these complex illegal transactions, monies were wired, as well as telexes and false documents were mailed for which both were charged in the indictment with fraud by wire and mail fraud.

RICH and GREEN also traded oil with Iran during the period that Iran held U.S. hostages.

ALL INFORMATION CONTAINED
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DATE 2/22/01 BY [redacted]
01-08

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MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY
OO:NY

RICH and GREEN declined to return to the U.S. after the indictment from Switzerland where they remain today as fugitives. RICH is a citizen of Spain and maintains a home in Marbella, Spain while it is believed GREEN is a citizen of Israel where he maintains an apartment which he reportedly uses on trips to Israel.

The following is a description of RICH and GREEN:

Name: MARC RICH
Race: White
Sex: Male
DOB: December 18, 1934
POB: Antwerp, Belgium
Height: 5'10"
Weight: About 180 lbs.
Hair: Black/gray receded
Scars: None known
Residence: Near Zug, Switzerland

Name: PINCUS GREEN
Race: White
Sex: Male
DOB:
POB: Brooklyn, New York
Height: About 5'9"
Weight: About 170 lbs.
Hair: Gray

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MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY
OO:NY

Scars: Operation scar for bypass on chest.
 Vertical scar running from right ear
 down his neck.

Residence: Near Zug, Switzerland

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 03-13-2017 BY: [redacted]

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~~SECRET~~

6/14/94

Mr. Potts:

RE: MARC RICH - FUGITIVE (B);
PINCUS GREEN - FUGITIVE (B);
FRAUD BY WIRE;
MAIL FRAUD;
RICO;
INCOME TAX EVASION;
TRADING WITH THE ENEMY
OO: NEW YORK

Attached for your review are several items suitable for the Director's use during his upcoming travel to Moscow, Russia. These items include a Letterhead Memo (LHM) concerning captioned investigation, a copy of the indictment charging Marc Rich and Pincus Green as well as photographs of both Rich and Green. The attached information is appropriate for dissemination to law enforcement entities.

New York Division is in receipt of highly singular information from a sensitive and reliable FBI source who is described as [redacted]

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[redacted] Revelation of this singular information would place the source and his/her family in physical danger.

The source has, on multiple occasions, [redacted]

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The source has also reported that [redacted]

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A statement was formulated from the above information which was disseminated to both the Department of Justice Office of International Affairs and Interpol. The statement read as follows: "On [redacted] a sensitive and reliable FBI source [redacted]"

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NOT APPROPRIATE FOR DISSEMINATION TO THE PUBLIC

F. B. Verinder

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FBI FACSIMILE COVERSHEET

PRECEDENCE

- Immediate
- Priority
- Routine

CLASSIFICATION

- Top Secret
- Secret
- Confidential
- Sensitive
- Unclassified

Time Transmitted: _____
 Sender's Initials: _____
 Number of Pages: 4
(Including Cover Page)

To: DIRECTOR, FBI
(Name of Office)

Date: 6/17/94

Facsimile Number: (202) 324-8072

Attn: SSA
(Name Room Telephone)

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From: NYO - FBI
(Name of Office)

Subject: _____

Special Handling Instructions: Please route to SSA

Economic Crimes Unit

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Originator's Name:

(212) 335-2700
 Telephone: X 3258

Originator's Facsimile Number: (212) 335-2745

Approved: _____

FBI/DOJ

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Marc Rich & Co. to Change Its Name Effective Sept. 1

By a WALL STREET JOURNAL Staff Reporter
PARIS — Marc Rich & Co. is changing its name, in another step to distance itself from its founder, the fugitive financier Marc Rich.

As of Sept. 1, the international commodity firm based in Zug, Switzerland, will be called Glencore International AG. The firm said the new name completes its restructuring and change of ownership.

Mr. Rich, now 59 years old, founded the company in 1974. He has been a fugitive since 1983, when he fled the U.S. just before he and his partner Pincus Green were indicted on charges of tax evasion and racketeering.

In March 1993, Mr. Rich relinquished his majority stake in his company, and gave up the title of chief executive officer, though he retained a 27.5% stake in Marc Rich & Co. Holding AG. At that time, some employees said they hoped that by distancing himself from the firm, Mr. Rich would help remove some of the taint of scandal left by the indictments.

Switzerland has resisted U.S. attempts to extradite Mr. Rich, and his own attempts to negotiate a settlement with U.S. authorities so far have proved fruitless. Meanwhile, Mr. Rich's estranged wife, Denise, has brought actions against him in Swiss and New York courts seeking half his assets.

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DATE 2/27/01 BY [redacted] 01-02

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FBI - NEW YORK	

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(1) Sub Fug 1



Office of the Legal Attache
American Embassy
Bern, Switzerland

Fax No. 41/31/357-73-68
(International)
031/357-73-68 (Domestic)
Telephone Number: 031/357-73-40



Page 1 of 5 pages

Date: 19 August 1994

TO: New York Division

FAX NUMBER: 212 335 2999

ATTN: SA [redacted]

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b7C

FROM: ^W LEGAL ATTACHE, BERN
ORIGINATOR: [redacted] Assistant Legal Attache

SUBJECT: Marc RICH - FUG (B); Pincus GREEN - FUG (B); FBW,
MF, RICO, Income Tax Evasion; Trading with the
Enemy; OO: New York.

OUR REF: 196A-NY-1774

COMMENTS/MESSAGE: Reference New York teletype dated 16 August
1994 and Bern Alar [redacted] telephone contact with New York SA
[redacted] 19 August 1994.

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Attached are the following two articles regarding
captioned subject RICH:

1) one copy in German of the Sonntag Zeitung, 7 August
1994, article, "Israelischer Pass fur Rich";

2) one copy in French of Le Nouveau Quotidien, 8 August
1994, article, "Marc Rich devient Israelien".

As discussed during referenced telephone contact, New
York will translate attached articles and furnish Bern copies of
same.

Regards; [redacted]

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Nun hat Marc Rich den israelischen Pass als Helfer in der Not

Eine weitere Waffe des Rohstoffhändlers gegen Auslieferungsbegehren und Scheidungsklage

VON ERIC WOLFGANG
UND CHARLES LANDSMANN

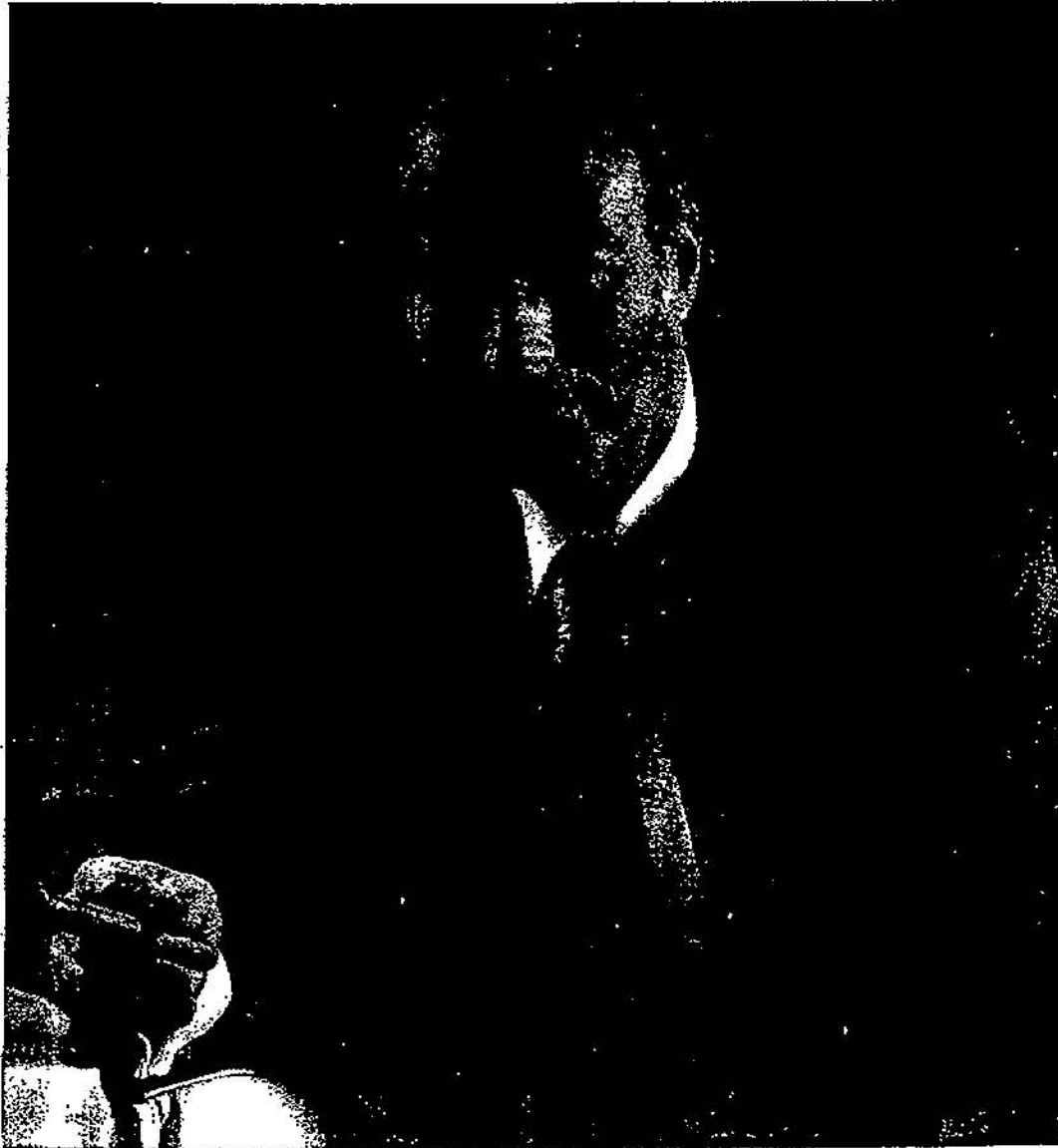
ZUG/TEL AVIV – Der Zuger Rohstoffhändler Marc Rich hat die israelische Staatsbürgerschaft erworben – ein wichtiger Schritt im Kampf gegen das US-Auslieferungsbegehren. Der zusätzliche Pass könnte Rich auch im Scheidungskampf mit seiner Frau Denise von Nutzen sein.

Am letzten Dienstag liess die Zuger Grosshandelsgruppe Marc Rich verlauten, sie ändere ihren Namen per 1. September in Glencore International AG. Grund für diese Namensänderung sei der inzwischen abgeschlossene Wechsel der Besitzverhältnisse: Ende 1993 hatte Marc Rich die Mehrheit am Zuger Handelshaus ans Management abgetreten. Nur rund ein Viertel der Aktien gehören heute noch Rich selber.

Den Schritt über die Grenze wagt Marc Rich nur selten

Es scheint, als ob mit dem Verschwinden des Namens Marc Rich auch die Person Marc Rich der Schweiz langsam aber sicher den Rücken kehrt: Laut mehreren israelischen Zeitungen ist Marc Rich seit einigen Monaten immer häufiger in Israel anzutreffen.

Dass sich Marc Rich überhaupt aus der Schweiz hinausgetraut, ist äusserst selten. Denn Rich, den die USA via Interpol international ausgeschrieben haben, ist in seinem Bewegungsradius stark eingeschränkt. 1982 hat sich Rich in die Schweiz abgesetzt und hat hier inzwischen die Niederlassungsberechtigung



GOOD NEWS



Die guten Nachrichten der Woche

Werbespot gekürt

Intelligente und zugleich wirre Werbung ist so selten, dass sie mehrfach prämiert wird. Der Milchspot mit der steppenden und fussballspielenden Kuh wurde am Filmfestival in Locarno zum besten Antragsfilm gekürt.

Sulzer erwacht

Beim Webmaschinenhersteller Sulzer Rüti wird wieder voll gearbeitet. Die Kurzarbeit für 111 Beschäftigte im schweizerischen Werk Zuchwil wurde aufgehoben. Anfang Jahr waren 1000 insgesamt 1600 Beschäftigten zu Kurzarbeit gesetzt worden.

Bier exportiert

China ist zum zweitgrössten Bierbrauer der Welt hinter den USA aufgerückt. 1995 dürfte China 1,1 Milliarden Liter Bier exportieren, darunter auch das von Korea geschätzte Tsingtao-Bier.

Post spediert

Die Schweizer B-Post hat eine gepunktet gegenläufige dreidimensionale Postart entwickelt. Ein Absender hat sich am Wochenende vom italienischen Postminister beschwert. Der ihm überreichte Brief dauerte 40 Tage bis zur Strecke von drei Kilometern.

BAD NEWS



Die schlechten

iger Strafe bei mehr Tempo: es Gesetz schont Autoraser

us dem Departement Koller wird für politischen Zündstoff sorgen

die Geschwindigkeitslimiten an, die im einfachen Verfahren per Ordnungsbussen bestraft werden und bei Autofahrern als «Kavaliersdelikt» gelten. Auch höhere Tempi fallen künftig in den Geltungsbereich von simplen Ordnungsbussen. Dabei wird aber auch der heutige Maximalsatz von 100 auf 300 Franken erhöht.

Konkret schlägt das Departement Koller als Folge des neuen Gesetzes in einer Verordnung vor, auf Autobahnen Übertretungen bis zu 25 km/h nur mittels Ordnungsbussen zu ahnden. Dies bedeutet unter Einbezug der Sicherheitsmarge, dass die heute geltenden Tempolimiten um bis zu 30 km/h überschritten werden dürfen, bevor der Verkehrssünder verzeigt wird.

Auch innerorts soll verzeigt werden – gilt doch die Höchstgeschwindigkeit von 300 Franken neu für Geschwindigkeiten zwischen 16 und 20 km/h – womit dank Toleranzgrenze ein Tempo von 75 km/h innerorts noch als «Kavaliersdelikt» taxiert würde.

Laut den Vorschlägen aus dem EJPD wird zu schnelles Autofahren also vermehrt in das vereinfachte Bagatellverfahren der Ordnungsbussen einbezogen und damit künftig auch salonfähig. Denn: Ohne Verzeigung bleibt der Täter anonym – in einem Wiederholungsfall wird er deshalb nicht wie gemäss der bisherigen Praxis üblich härter bestraft, sondern kommt erneut als nicht registrierter Ersttäter davon. Zu schnelles Autofahren wird dadurch verstärkt ein kalkulierbares Risiko und kann ganz einfach mit einem Griff zum Portemonnaie und durch Bezahlen einer Busse gelöst werden.

Kein Wunder, stossen die Expertenvorschläge aus dem Departement Koller auf Widerstand. «So war das Ganze nicht gemeint. Das zielt ja nicht auf mehr Sicherheit im Verkehr, sondern geht in eine ganz andere Richtung», sagte Nationalrat Roland Wiederkehr (LdU), Vizepräsident der Vereinigung für Familien der Strassenverkehrssopfer (VFS), gestern und

kündigte «geharnischten Protest» an. Überhöhte Geschwindigkeit wirke sich bei Verkehrsunfällen verheerend aus.

Auch SPS-Nationalrat Peter Vollmer wehrt sich gegen die vorgeschlagene Bussenliste aus dem Departement Koller. «Bei Verkehrsunfällen ist sehr oft überhöhte Geschwindigkeit im Spiel – deshalb muss der Gesetzgeber bei Geschwindigkeitsüberschreitungen, insbesondere bei wiederholten – härter durchgreifen.»

Der Ständerat hat das neue Ordnungsbussengesetz bereits gutgeheissen und damit die Kompetenz für die Festlegung der Bussen dem Bundesrat abgetreten. Im Nationalrat, der das Gesetz in der Herbstsession beraten wird, will Vollmer die EJPD-Tempovorschläge nun umkrempeln. Er beantragt im Ordnungsbussengesetz selbst festzuhalten, dass Geschwindigkeitsüberschreitungen von mehr als 15 km/h grundsätzlich bei einem Richter angezeigt und damit wie bisher auch registriert werden müssen.



Zusätzliche Staatsbürgerschaft: Rohstoffhändler Marc Rich. Foto: Markus Sonn

Israelischer Pass für Rich

US-Auslieferungsbegehren: Multimillionär sichert sich ab

ZUG/TEL AVIV – Der Zuger Rohstoffhändler Marc Rich hat die israelische Staatsbürgerschaft erworben. Damit sichert sich Rich gegen das hängige Auslieferungsbegehren der USA zusätzlich ab – und kann der Schweiz vermehrt den Rücken kehren.

Der in der Schweiz niedergelassene Multimillionär Marc Rich hat einen Pass, von dem man bisher nichts wusste – einen israelischen. Dies bestätigten Gewährleute von Marc Rich der Sonntagszeitung.

Die zusätzliche Staatsbürgerschaft ist deshalb wichtig, weil sie den Aktionsradius von Marc Rich erweitert. Rich drohen in den USA wegen Steuerhinterziehung 323 Jahre Gefängnis. Die USA haben den Rohstoffhändler schon seit mehreren Jahren international zur Fahndung ausgeschrieben.

Der gebürtige Jude Marc Rich kann sich damit nicht nur in der Schweiz – sie liefert Steuerflüchtlinge nicht aus – sondern auch in Israel ohne Angst vor der Auslieferung bewegen. Laut israelischen Zeitungen soll Marc Rich in den letzten Monaten denn auch immer häufiger in Israel aufgetaucht sein. Er gilt laut den Medienberichten als potentieller Kaufinteressent bei der Privatisierung der israelischen Chemie-Gruppe CIL. Seite 27

kson und Presley in Budapest

rbewirksamer Besuch des US-Popsängers und der Elvis-Tochter



Trotz Aufsehen...

übergesetzt und hat ihn übergeben. Die Niederlassungsberechtigungen. Rich wohnt im luzernischen Meggen in einer wunderschönen, schloßähnlichen Villa direkt am Vierwaldstättersee. Die Schweiz liebt Sauerhinterzieher nicht aus.

Israel tut dies unter gewissen Bedingungen schon - ausser bei eigenen Staatsbürgern.

Der gebürtige Jude Marc Rich ist, so wurde der *Sonntagszeitung* von Richs Büro bestätigt, in den Besitz der israelischen Staatsbürgerschaft gelangt. Einem Juden wird das Gesuch um die Erteilung der Staatsbürgerschaft in Israel in der Regel problemlos bewilligt.

Unternehmer mit wechselvoller Nationalitätenkarriere

Der zusätzliche Pass war schon bald einmal von Nutzen. Den Amerikanern nämlich waren die Ausflüge des Zuger Rohstoffhändlers in den Nahe Osten ebenfalls aufgefallen. So starteten sie eine informelle Anfrage an die israelischen Behörden, ob man denn Marc Rich, den «grössten Steuerflüchtling der US-Geschichte» - so das Wirtschafts-magazin «Fortune» - nicht den USA übergeben könne.

Das Ansinnen wurde abgelehnt. Am 17. Juni titelte die grösste israelische Tageszeitung «Yedioth Ahronoth»: «Israel teilt den USA mit: Wir liefern euch den Multimillionär Rich nicht aus.» Diese Antwort hatte der Justizberater und oberste Befehlshaber Israels, Michael Ben Yair, persönlich den Amerikanern zukommen lassen. In Israel - in Unkenntnis der neuen Staatsbürgerschaft - vermutete man vor allem politische Protektion hinter der kla-

Mit dem Verschwinden des Firmennamens scheint auch die Person der Schweiz langsam, aber sicher den Rücken zu kehren: Rohstoffhändler Marc Rich

Foto: Markus Senn

ren Antwort des obersten israelischen Justizberaters.

So ist die Erlangung des israelischen Passes ein weiterer Teil in der wechselvollen Nationalitätenkarriere des Marc Rich. 1941 war er mit seinem Vater David Reich, einem jüdischen Kaufmann, vor den Nazis in die USA geflüchtet und dort eingebürgert worden. Die Reichs änderten ihren Namen in Rich. 1982 schliesslich nahm Marc Rich nach einem Madrid-Aufenthalt für seine Firma die spanische Staatsbürgerschaft an. Auch die luzernische Fremdenpolizei führt Rohstoffhändler Rich heute als spanischen Staatsangehörigen.

Ausserhalb der Schweiz ist die Bewegungsfreiheit von Marc Rich eingeschränkt. Einmal musste Unternehmer Rich kurz vor seiner Landung in Finnland mit seinem Firmenjet durchstarten und umkehren, weil er erfuhr, dass am Flughafen die Behörden mit einem Haltbefehl auf ihn warteten.

Herzlicher Empfang in Israel für den gebürtigen Juden

Unter dieser erheblichen Einschränkung der Mobilität soll vor allem auch Marc Richs neue Partnerin Gisela Rossi gelitten haben. Die 42-jährige, blonde Witwe eines reichen italienischen Kaufmanns, Gisela Rossi gilt als Mitglied der internationalen Jet-set-Szene mit einem grossen Hang zur Internationalität.

Die Abschottung in der kleinen Schweiz kommt diesem Drang nicht gerade entgegen.

In Israel hingegen hat man Rich immer wieder mit offenen Armen empfangen. Kein Wunder, denn Rich verkörpert immer noch ein grosses wirtschaftliches Potential. Als Grund für seine gehäuften Israel-Besuche in der letzten Zeit gelten denn auch handfeste geschäftliche Gründe. Rich wird in den israelischen Medien vor allem als potentieller Interessent für das grosse Basischemie-Konglomerat CIL genannt, das momentan privatisiert wird. Inzwischen soll Marc Rich in Israel zudem ein enges politisches und wirtschaftliches Beziehungsnetz unterhalten.

Rich hat sich ausserdem in seiner neuen Zweitheimat als eigentlicher Wohltäter profiliert. Dem bekannten Doron-Fonds für Kunst und Wohlfahrt spendet er jährlich eine bis zwei Millionen Dollar, 14 Millionen sind es bisher insgesamt. Grosse Spenden hat auch das Israel-Museum und die Cinemathek in Tel Aviv erhalten. Rich fördert vor allem künstlerische und medizinische Organisationen.

Solche Grosszügigkeit dürfte aber andernorts eher für gemischte Gefühle sorgen. Marc Richs Ex-Partnerin Denise, die heute getrennt von ihrem Mann in New York lebt, fordert in einer Scheidungsklage bisher erfolglos 750 Millionen Franken.

Der Versuch, Marc Rich zusätzlich mit einer Betrugsanklage in die Knie zu zwingen, ist dabei Ende Juni vor dem Strafgericht Zug vorerst gescheitert. Weil der Strafantrag von Denise Richs Vertreter, dem Staranwalt Max Lebedkin, zu spät eingereicht wurde, wird das Verfahren gar nicht erhoben. Das Urteil ist allerdings noch nicht rechtskräftig.

Grosses Misstrauen zwischen Rich und seiner Ex-Frau

Die Parteien gingen an der Verhandlung unzimperlich aufeinander los. So bezeichnet Marc Richs Anwalt das Verfahren als «das einer rücksichtslosen und rachsüchtigen Frau». Der Richter musste die Beteiligten darauf hinweisen, dass «wir hier nicht in Amerika sind». Die Auseinandersetzung zwischen Marc und Denise Rich hat längst die Stufe eines veritablen Scheidungskriegs erreicht.

Das gegenseitige Misstrauen ist riesengross. Aus dem Lager um Denise Rich ist zu vernehmen, Marc Rich wolle sich mit der israelischen Staatsbürgerschaft und mit einer eventuellen Absetzung um weitere Verpflichtungen gegenüber Denise Rich drücken. Dies wäre beispielsweise in gewissen erbrechtlichen Teilbereichen möglich. Israel kennt eine grosse Testamentsfreiheit, wodurch sich Marc Rich theoretisch um gewisse Erbverpflichtungen für seine Frau drücken könnte.



Die schlechten Nachrichten der Woche

Gewinn geschmälert

Die grösste Schweizer Bank hat weniger verdient. Die Bankgesellschaft weist für das erste Halbjahr 1994 einen Rückgang des Gewinns um 27,9 Prozent auf 929 Millionen Franken aus.

Stolz verletzt

Das geht an den Nationalstolz. Ausgerechnet am 1. August hat ein Gericht in den USA entschieden, dass Schweizer Armeemesser vorderhand auch von einem chinesischen Billighersteller unter dem Namen «Swiss Army Knives» nach Nordamerika importiert werden dürfen.

Papier zerrissen

Mit Papier lässt sich kaum noch Geld verdienen. Die grossen drei Papierhersteller der Schweiz Biber, Hofvis, Sihl schrieben im letzten Jahr rote und sonders rote Zahlen. Die Sihl-Gruppe musste gar einen Abschreiber von 50 Millionen vornehmen.

Verkauf gebremst

Es ist einfach zu heiss. Selbst zum Shoppen hat kaum jemand Lust bei diesen Temperaturen. Deutschlands Läden haben deswegen den schlechtesten Ausverkauf seit 25 Jahren erlebt.

o-Kollektion

Uhren



MCM DEPENDANCEN

Storchengasse 7 / In Gossen
8001 Zürich, ☎ (01) 211 44 09

Theaterplatz 7
3011 Bern, ☎ (031) 312 55 17

Marc Rich devient Israélien

*L'homme d'affaires risque encore
moins d'être extradé.*

L'hebdomadaire allemand *SonnatgsZeitung* l'a révélé dans son édition d'hier: le commerçant en maudres premières Marc Rich, poursuivi aux Etats-Unis pour fraude fiscale, et qui a élu domicile à Zoug, a acquis récemment un passeport israélien. Rich, qui possède actuellement la nationalité espagnole, renforce ainsi ses moyens de défense contre son extradition demandée par la justice américaine.

Alors qu'en Suisse, il est à l'abri parce que ce pays n'accor-

de pas l'extradition pour des infractions fiscales, il pourra désormais également être en sécurité en Israël, puisque cet Etat, comme la plupart des autres, ne livre pas ses propres ressortissants. La semaine dernière, le groupe de Marc Rich à Zoug a annoncé avoir modifié sa raison sociale. Il s'appelle désormais Glencore International. Ce changement correspond au retrait de Marc Rich de l'opérationnel. L'homme d'affaires garde environ un quart des actions seulement en son nom propre. LNQ

toppe le rasquin. Américain a racheté les lignes sud-américaines de Pan Am, qui marchent très fort aujourd'hui. Nous sommes restés fixés sur l'Europe et l'Atlantique Nord, marché qui ne tire plus.» Le futur d'une compagnie à peine sortie des affres de la faillite (LNQ du 9 mai 1994) est-il menacé? Samuel Nakamura nuance: «Je pense que les décisions douloureuses que nous prenons aujourd'hui vont permettre d'assainir notre situation financière. Notre réseau intérieur nous apporte des satisfactions. Nous devons consolider nos positions bénéficiaires; nous n'avons pas les moyens de jouer une autre partition - surtout pas ceux d'investir dans des diversifications, vers d'autres continents.» D'autre part, Nakamura tient à préciser qu'à part les licenciements annoncés pour la Suisse, les autres chiffres sont encore sujets à négociations: en Europe, la coupe pourrait toucher moins de 300 personnes initialement annoncées. Aux Etats-Unis, si 500

Le Nouveau Quotidien, 8/8/1994

Le Nouveau complice des fes

● 28^e MONTREUX JAZZ FESTIVAL
1-16 JUILLET

● FESTIVAL BELLUARD BOLLWERK INTERNATIONAL FRIBOURG
30 JUIN-16 JUILLET

LE NOUVEAU

L'esprit d'

(X)

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B44

SubFUG. 1

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FBI - NEW YORK	

Trading with the enemy and corrupt Soviet officials and evading U.S. taxes, Marc Rich got fabulously rich. But his empire's turning to ashes now.

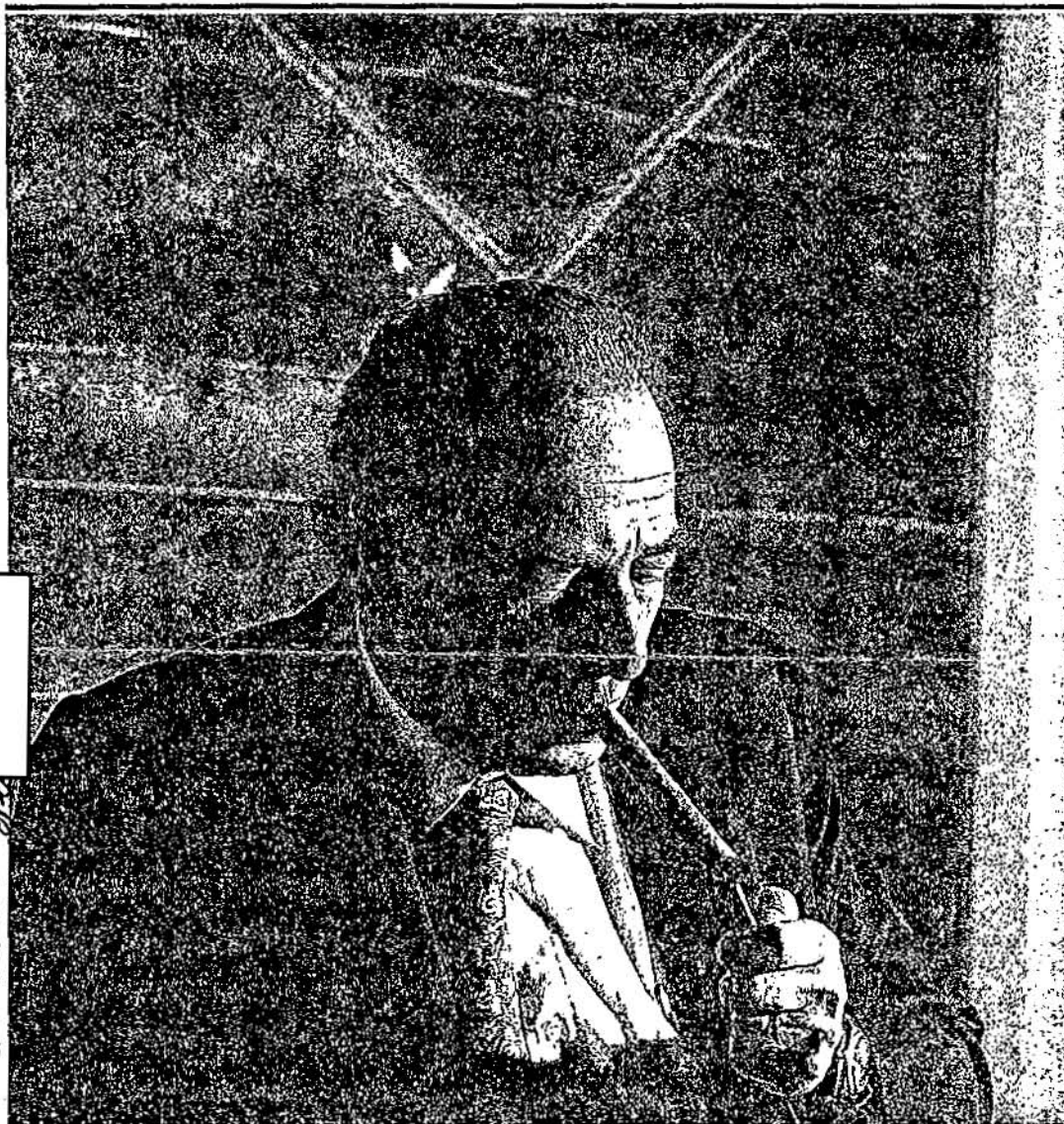
“You didn’t make the profit: The market did”

By Paul Klebnikov

ON SEPT. 1 Marc Rich & Co. AG will formally cease to exist. The secretive Zug, Switzerland-based multinational will no longer bear the name of the high-living playboy and fugitive from U.S. justice who built it into one of the world’s great trading companies. Henceforth it will be Glencore International AG.

Is the change merely cosmetic, designed to shed a tainted name? Apparently not. The 59-year-old Rich has reduced his stake from 51% to 25%, and, says a well-informed former executive: “The top employees have taken over the company, and they want to distance themselves as much as possible from Marc Rich. Marc still works there, but he is weaning himself off the business.”

Besides cavorting with his girl-



Fugitive trader
Marc Rich
“All his old partners are gone—
he’s the last of
the Mohicans.”

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DATE 9/22/01 BY [redacted]



Glencore's
Willy Strothotte
**The employees
have taken over
the company,
but the exodus
of talent
and capital
has continued.**

friend (FORBES, Oct. 18, 1993), Rich is fighting his wife's divorce action. Denise Rich is suing in Switzerland for half of Rich's assets.

The trading company, meanwhile, is shrinking. Its trading in such materials as oil, aluminum and coal has slumped from \$30 billion in 1990 to \$20 billion last year, according to a company spokesperson. Net profits sank to about \$30 million last year, a sad comedown from the \$500 million the company made in 1978 and the \$200 million or so it averaged in the late 1980s.

Gone are the windfall profits reaped on the Iranian oil trade in the 1970s and breaking the South African embargo in the 1980s. The company has also been badly hurt by a mass exodus of talent and capital that has been going on for the past four years. According to former executives, the equity in Marc Rich & Co. used to be divided something like this: Marc Rich had about 35%, Pincus (Pinky) Green about 25%, Alexander Hackel an estimated 15%, Felix Posen 5%, and the 70 or so top traders the rest.

First Marc Rich's three original partners—Green, Hackel and Posen—cashed out and retired. How much did they take with them? A fair

guess would be \$900 million, enough to badly deplete the coffers and weaken the firm's ability to wheel and deal.

After Green, Hackel and Posen cashed out, Marc Rich apparently took out most of his own capital, putting the cash into Marc Rich Holding AG, which also owns a lot of real estate in Spain, Switzerland and Eastern Europe. To keep the trading company afloat, he put back in a relatively modest amount of capital to allow the smaller employees to raise their equity stake to 40%.

But as long as Rich is involved, the shrinkage will probably continue. The original 1983 indictment by the U.S. government charged Rich with racketeering, fraud, tax evasion and trading with the enemy (Iran). Switzerland is unwilling to extradite Rich to face the charges, but the U.S. has strong extradition treaties with most other countries in Europe. Interpol has a "red notice" on Rich, which means it is giving the highest possible priority to his apprehension. Thus he travels only at great risk of arrest. It's not easy to run a business like his on the phone and fax alone.

There have been close calls. A few years ago, Finnish police received a tip that a private plane supposedly carry-

ing Rich would be arriving in Helsinki. They alerted U.S. authorities and prepared to make an arrest. To their dismay some reporters also showed up at the airport; the information had been leaked. The plane made a U-turn and returned to the Continent. U.S. law enforcement officials say that there have been at least five times when they were "moments away" from apprehending Rich during his travels.

Nor is he particularly welcome in Russia these days. As communism was collapsing, the lucrative commodities trade in the Soviet Union was Rich's most important market. His company sold the Soviets zinc concentrate, bauxite, sugar and grain in exchange for oil, aluminum, copper, nickel and other metals. Marc Rich kept a staff of about 150 in the Soviet Union. Rich boasted to a Russian newspaper in 1992: "[We are providing] Russian companies with investment, know-how and help in entering the world market at a time when other Western firms are either turning away from Russia or are making intolerable commercial demands."

In fact, Rich gouged the Russians, buying Soviet commodities at the ridiculously low domestic prices and reselling them much higher on the world market. Illegal according to Soviet and Russian laws, but Rich had astute collaborators on the inside. One of the company's trading partners was Artem Tarasov, Russia's commodities kingpin (FORBES, June 8, 1992). Both Tarasov and Rich benefited from the patronage of the notoriously corrupt former Russian Prime Minister Ivan Silayev.

In 1992 the honeymoon ended. Marc Rich was accused in Russia of massive bribery, illegal export of raw materials, aiding in capital flight, even laundering drug dollars. Inquiries were started in the Russian government. By this time, Artem Tarasov had taken his fortune and fled to London while Prime Minister Silayev had been forced to resign; he is said to be living now in his villa in Switzerland.

At home in Zug, Switzerland, a new generation of Rich traders, headed up by Willy Strothotte, was coming to the fore. They felt they should get

the equity stake that the three old partners had relinquished, but Rich has always been stingy about rewarding his top employees, even with praise. A former Rich executive, Arye Berest, remembers one incident from the late 1970s: "One trader came running to Marc Rich to say that he had just made a \$10 million profit on an oil deal. Rich said: 'You didn't make the profit. The market did.'"

In the summer of 1992 Marc Rich and Willy Strothotte clashed on management issues and equity stakes. Strothotte was fired.

Virtually all the company's top managers followed him out: Claude Dauphin, head of oil trading; Manny Weiss, head of aluminum and sugar trading; Eric de Turckheim, chief financial officer for trading operations; Daniel Posen, head of the Moscow office; and many others.

Claude Dauphin established a new trading company called Trafigura Beheer BV and brought in dozens of former Marc Rich employees; the offices in Bulgaria, Romania, China and Argentina defected to him en masse.

Less than a year after he had fired him, Marc Rich brought Willy Strothotte back. After that Rich began playing a smaller role in the trading activities, although apparently staying involved in general corporate strategy.

But the exodus has continued. This May, Omar Shah, a top ferrous metals trader, and his team of traders left the company, voicing dissatisfaction over the distribution of the equity.

Lucio Genovese, the new head of the Marc Rich office in Moscow, plays down the importance of the defections, declaring: "There's no problem with morale. People are always coming and going—that's the nature of the business."

So maybe the firm will survive—but eventually without Rich, who is becoming an increasingly isolated figure. These days, Rich seems more focused on living the high life in his villa in Marbella, Spain, and in chic ski resorts like St. Moritz. Says an acquaintance from Rich's old Philipp Brothers days: "I think he has lost interest in the business. All his old partners are gone—he's the last of the Mohicans." You can say of Marc Rich that he won a fortune but lost his soul.

Starting life as an embargo-buster, Alan Clingman, at 34, is on his way to becoming a top commodities trader.

Buy cheap, sell dear

By Paul Klebnikov

AS MARC RICH fades, new players emerge in the international commodities markets. A prominent newcomer is 34-year-old Alan Clingman, a native South African now living and working in New York, where Rich dares not tread.

Clingman's metals trading company, AIOC Corp., has come out of nowhere to build a worldwide trading network in a commodities market that was severely depressed for years. It already boasts 340 employees, 24 offices around the world and \$3 billion in sales on an estimated equity base of over \$100 million; Clingman

says he is getting close to a 100% return on equity. Owning 77% of the company, he is on his way to becoming rotten rich.

Had he not been a South African, Clingman might well have chosen a different business, because commodities were in the tank when he started with AIOC in 1988. "People thought we were crazy," Clingman recalls. "Most of our competitors were doing badly or going out of business. Here we were expanding and opening up new offices."

Clingman was born in Johannesburg, a son of South Africa's largest



Alan Clingman, chairman of AIOC, his own metal trading firm
He flourished at a time when most traders were suffering.

manufacturer of flags. In 1978 the 19-year-old Clingman was just completing his first year of college, studying business at the University of Witwatersrand. South Africa was under a U.N. embargo covering the sale of oil, armaments and many other goods. But clever businessmen were making a fortune running the embargo. Clingman decided to become an embargo-buster.

He quit school and hung around the offices of the South African Strategic Fuel Fund until he finally convinced the administrators that he had a viable plan for secretly importing oil from the Persian Gulf. They agreed to have Clingman serve as broker and gave him a letter of credit to present to the supplier.

Busting the South African embargo, as honored in the breach as in the observance, was not terribly difficult. Iran, Iraq and Oman all happily flouted the embargo, as long as some discretion was observed. Shippers would simply declare that the oil was destined for, say, Singapore, and then switch the documentation en route. Sometimes the deal would be done through shell companies registered in the Caribbean or elsewhere.

In his first year Clingman says he brokered several shipments of oil, totaling 10 million barrels, with enormous profits. In his first year of business Clingman garnered some \$10 million in commissions. A 19-year-old college dropout!

Next, he established a company to export South African coal and steel to Europe and Asia. By 1988 Clingman was trading about \$100 million a year, but the embargo of South Africa wouldn't go on forever. He needed new business.

He teamed up with a former Philipp Brothers employee named Alexander Krasner, a Soviet émigré who helped him into the Soviet and American metals trade. Clingman bought \$50 million (sales) AIOC, moved to New York and took the company into a period of heady growth, trading aluminum, copper, nickel, zinc, steel and ferroalloys; AIOC also traded in precious metals and coal.

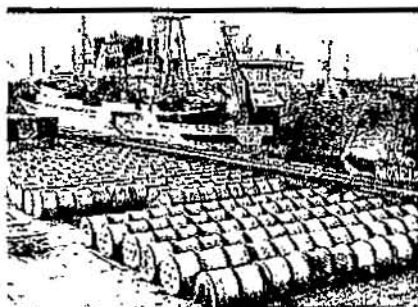
Commodities prices were sinking, and most traders in physical markets—as opposed to options traders—were suffering. Clingman handled the

down market by keeping inventories to a minimum and shorting the market by selling metals before he bought them or borrowing commodities and paying the producers later.

He flourished at a time when most of his competitors were suffering. Cargill went out of the nonferrous metals business in the late 1980s and Philipp Brothers got out of the metals business altogether. Last year Germany's Metallgesellschaft took a \$1.3 billion loss because of a series of bad calls on oil futures.

Clingman just rubbed his hands. He hired over a dozen former Philipp Brothers and Marc Rich traders.

Then, at the beginning of this year, commodities prices turned up. Japa-



Wire exports in Kaliningrad harbor
Barter deals with 25% markups.

nese and American companies are restocking raw materials inventory. Western banks and hedge funds are rushing into commodities.

Clingman has stayed with physical trading, steering clear of derivatives and foreign exchange speculation. The biggest chunk of his business today is buying raw materials in the former Soviet Union and selling them to the U.S. or Western Europe.

Though most Russian exports now sell at world prices, leaving little margin for trading companies, there are still big returns to be made trading commodities in the former Soviet Union. The profit is in the financing. Russian mining and industrial companies are starved for cash, and Clingman is a whiz at this kind of deal.

Say you are the manager of a steel plant in Russia and need dollars abroad to buy iron ore, coal and sugar to feed your work force. You go to the banks for a loan; they refuse.

Along comes a trading company

like Marc Rich or AIOC, which offers to sell you on credit the iron ore, coal and sugar you need in return for, say, 12 months of the steel production. (Alternatively, the trading company could offer to lend you the raw materials, which you process for a fee. Or it might prepay on a shipment of steel, so you can go out and buy what you need yourself.)

In any case, the profit is built into the price the trading company agrees to pay for your steel, how much material it buys and, if it's a barter deal, the price it charges for the oil, grain or sugar it sells in return.

There are innumerable ways to fatten the profit margin. Trading profits of 25% on a single deal are not unusual. And if the Russians complain? They've nowhere else to turn. Russian banks, reluctant to lend, demand up to 30% interest on dollar loans to industrial enterprises.

For the Western trading companies, of course, there is credit risk. Alan Clingman says he has had some very scary moments. A Yugoslav state-owned metals company refused to pay up on a \$10 million deal after Yugoslavia split apart. Several of Clingman's customers in the U.S. were unable to pay after they went bankrupt. But in the much larger and much scarier market in the former Soviet Union, Clingman says that so far he hasn't had any big losses.

But he has his fingers crossed. If a business partner defaults in the former Soviet Union, you can try pulling political connections. Or you can hire hit men. Neither course is recommended. Clingman says his strategy to deal with laggard payments is to flaunt his market clout, letting business partners know that if they cheat on him he can hurt them. "We want to be a dominant player in the market and a major supplier to the company before we lend money or materials to a Russian company," he says.

Clingman has invested in a number of different assets in the former Soviet Union: commercial real estate in Moscow, a bank in Ukraine, two aluminum smelters and two steel mills. He has also bought metals companies in South Africa and Latin America.

Yesterday Marc Rich, today Alan Clingman. The king is dead, long live the king. Clingman.

Memorandum



To : SAC, NEW YORK (196A-NY-1774) Date 10/4/94

From : SA [redacted] (C-12) b6
b7C

Subject : MARC RICH - FUG.(B);
PINCUS GREEN - FUG.(B);
FBW, MF, RICO, INCOME
TAX EVASION, TRADING WITH
THE ENEMY
OO: NY

On 10/3/94, [redacted]

[redacted] AIOC Corporation,
230 Park Avenue, NY, NY. 27th Floor,

[redacted] who resides at [redacted]
[redacted] New York

b6
b7C

consented to be interviewed [redacted]

[redacted] by SA [redacted] and U.S. Deputy

196A-1774 SUB Fug 1 - 45

① Fug sub File 1
i- Fug sub File 2

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SEARCHED	INDEXED
SERIALIZED	FILED
OCT 05 1994	
FBI - NEW YORK	

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HEREIN IS UNCLASS

DATE 2/22/01 BY [redacted]

01-081

Marshal [redacted] concerning his knowledge of Marc Rich.

[redacted] an article in "Forbes Magazine" dated 9/12/94

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[redacted] Rich advised apparently the author Paul Klebnikov doesn't like Rich for some reason and chose to write a very flattering article about [redacted] which [redacted]

[redacted] added contains several inaccuracies. He noted [redacted] AIOC, does not compare in size to Rich's company which is now Glencore International. [redacted] employees about 300 people to Rich's 1308.

[redacted] said he has never met Rich nor any of [redacted] Plinius Green, [redacted]

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[redacted] said the only place he understands Rich travels to is Spain. He has no knowledge of travels to Russia or other eastern European countries. [redacted] noted Rich reportedly has investments in hotels, real estate, etc. in Spain and eastern Europe.

[redacted] said AIOC does business with [redacted] in Connecticut, covers trades for Rich as his company does for AIOC. That is, if they are short a commodity

b6
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AIOC will lend it and vice versa. also if Russia owes x amount of dollars to Rich and Rich owes AIOC then they agree to have Russia pay the money to AIOC to satisfy the debt. These dealings are done by AIOC traders with Rich company people and not Rich himself.

[redacted] paid AIOC basically deals in Metals and does not deal in oil. AIOC competes vigorously with Rich in eastern Europe particularly TADZHIKISTAN.

b6
b7C

[redacted] said he can't understand this country's position with Rich when he hears President Clinton giving aid to eastern European countries that trade heavily with Rich.

He said although reports circulate that Rich no longer occupies a dominant position in his company which appears to be

[redacted] feels [redacted] for Rich and that all major decisions are still made by Rich.

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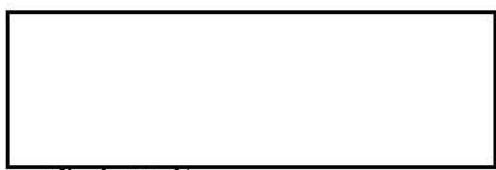
[redacted] advised he would assist the FBI in any situation he is able to.

The following is background info re

[redacted]
NAME : [redacted]
Race : White
Sex : Male

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b7C

Dob :
POB :
Height :
Weight :
Address :
Employment :

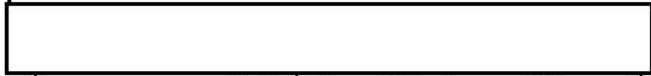


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6'0"
180 lbs.



ny.



AIOC

Corporation, 230 Park Ave, NY, NY.

Date: 08/24/99 Time: 16:42

Case ID: NY 196A-1774-FUG 1 Serial: 48

Description of Document:

Type : LETTER
Date : 01/21/99
To :
From :
Topic:

b7D

Reason for Permanent Charge-Out:

belongs in subfile

Employee:

b6
b7C

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01-081
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HEREIN IS UNCLASS
DATE 2/22/01 BY

NY 196A-1774-Fug 1 - 48

FD-315 (Rev. 8-16-88)		Federal Bureau of Investigation INS Lookout Notice		INS Use Only SOX-	
Name (Last, First, Middle) GREEN, PINCUS			Aliases (Last, First, Middle) b6 b7C		
Address (Number and Street, City, State) Switzerland			ALL FBI INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 03-13-2017 BY [redacted]		
Date of Birth (Include mo, dy, yr) [redacted]	Nationality POB: Brooklyn, NY Citizenship: U.S., Israeli	Passport Number: Country:	Reason for Lookout Notice <input type="checkbox"/> Security/Terrorism <input checked="" type="checkbox"/> Arrest Warrant (explain charge) <input type="checkbox"/> Other Reason (explain)		
Date of Request (Include mo, dy, yr) 7/24/00	Cancellation Date (Include mo, dy, yr) 7/23/05 b6 b7C	INS File Number A-			
Type of Request/Action <input type="checkbox"/> Caution (explain) <input type="checkbox"/> F-1 <input type="checkbox"/> Place <input checked="" type="checkbox"/> F-2 <input type="checkbox"/> Remove <input type="checkbox"/> F-3 <input type="checkbox"/> Amend	Requesting FBI Office NEW YORK FBI File Number 196D-NY-1774	Other Identifying Numbers U.S. SSAN [redacted] Possible Israeli passport [redacted]			
Miscellaneous: PINCUS GREEN, w/m, 5'10", gray hair, green eyes was indicted in U.S. District Court for the Southern District of New York on 9/19/83 for wire fraud, mail fraud, RICO, Income Tax Evasion and Trading with the Enemy. Mail To: Assistant Commissioner Inspections Immigration and Naturalization Service 425 "Eye" Street, N.W., Washington, D.C. 20536					

(See instructions on reverse)

RECEIVED 9/06

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JUL 24 2000	
FBI - NEW YORK	

Sub FUG 1

Instructions

INS Lookout Notices are only placed on foreign nationals between the ages of 14 and 90. The maximum time period is 5 years. The original FD-315 form is signed by the approving field supervisor and only it is mailed directly to INS at the address shown on the form. **The INS Lookout Notice is not in a classified system.**

- INS Use Only** This space is for INS to insert soundex letters of last name.
- Name** List full legal name or principal name of subject. Do not list spelling variations for any name.
- Aliases** List in alphabetical order only aliases which subject is known to use, or for which subject is known to have identification. Additional aliases can be listed under "Miscellaneous".
- Address** List only one address.
- Date of Birth** List only one birth date. **INS will not place a Lookout Notice without a date of birth.**
- Nationality** List country of birth and country of citizenship, if different. **INS will not place a Lookout Notice without a nationality.**
- Identifying Numbers** If known, show all numbers such as Passport, INS File Number, Social Security, Driver License, etc. Use "Miscellaneous" section for additional space.
- Date of Request** Enter date prepared. **INS will not place a Lookout Notice unless a date is shown.**
- Cancellation Date** Enter date Lookout Notice should expire. INS will not place a Lookout Notice for more than five (5) years. If no date appears, the INS Lookout Notice will automatically expire one (1) year from the date of request.
- Request Type and Action** Check the "Caution" block if subject is armed and dangerous, suicidal, or has any physical or mental problems. Explain fully under "Miscellaneous".
Check only one of the blocks identified F-1, F-2, or F-3. The INS will take the following action:
F-1. Notify nearest FBI Special Agent; **Do not detain.**
F-2. Notify nearest FBI Special Agent immediately; criminal process outstanding. (Under "Miscellaneous", specify the criminal process if F-2 lookout requested.)
F-3 Notify nearest FBI Special Agent. FBI requests Customs Search. (Under "Miscellaneous" identify contraband or reason for search if F-3 lookout requested.)
"Place", "Remove", or "Amend" blocks must be marked for each FD-315 before INS will take action.
- Requesting Office and File Number** Enter the FBI field office designator and office file number. **INS will not place a Lookout Notice without a requesting office and file number.**
- Reason for Lookout Notice** Check the appropriate reason. If the "Other" block is checked, explain the reason under "Miscellaneous". FBI requests for Lookout Notices must meet the INS criteria for posting unless the request for action is limited to notifying the appropriate authority of the facts of arrival or there are outstanding warrants of arrest. FBI investigative activity does not usually meet INS criteria for posting lookouts. **INS will not place a stop unless an appropriate block is checked.**
- Miscellaneous** Use this space to note additional information from other sections or for explanations.

FD-315 (Rev. 8-16-88)		Federal Bureau of Investigation INS Lookout Notice		INS Use Only SDX-	
Name (Last, First, Middle) Green, Pincus			Aliases (Last, First, Middle) <i>[Handwritten]</i>		
Address (Number and Street, City, State)			ALL FBI INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 03-13-2017 BY 		
Date of Birth (include mo, dy, yr) 	Nationality POB: Brooklyn, NY Citizenship: U.S.	Passport Number: Country:	Reason for Lookout Notice <input type="checkbox"/> Security/Terrorism <input type="checkbox"/> Arrest Warrant (explain charge) <input type="checkbox"/> Other Reason (explain)		
Date of Request (include mo, dy, yr) b6 b7C	Cancellation Date (include mo, dy, yr)	INS File Number A-			
Type of Request/Action <input type="checkbox"/> Caution (explain) <input type="checkbox"/> F-1 <input type="checkbox"/> Place <input checked="" type="checkbox"/> F-2 <input checked="" type="checkbox"/> Remove <input type="checkbox"/> F-3 <input type="checkbox"/> Amend	Requesting FBI Office New York FBI File Number 196A-NY-1774	Other Identifying Numbers			
Miscellaneous: <p style="text-align: center;">INS Lookout Notice previously placed should be removed as Pincus Green is no longer wanted by the U.S. Government.</p> <p style="text-align: center;"><i>[Handwritten]</i> Mail To: Assistant Commissioner Inspections Immigration and Naturalization Service 425 "Eye" Street, N.W., Washington, D.C. 20536</p>					

(See instructions on reverse)

C-3

[Handwritten]
10/2/01

- Fug 1

196A-1774-Fug 1

SEARCHED	SERIALIZED
<i>[Handwritten Signature]</i>	
FBI - NEW YORK	

- 50

Instructions

INS Lookout Notices are only placed on foreign nationals between the ages of 14 and 90. The maximum time period is 5 years. The original FD-315 form is signed by the approving field supervisor and only it is mailed directly to INS at the address shown on the form. **The INS Lookout Notice is not in a classified system.**

- INS Use Only** This space is for INS to insert soundex letters of last name.
- Name** List full legal name or principal name of subject. Do not list spelling variations for any name.
- Aliases** List in alphabetical order only aliases which subject is known to use, or for which subject is known to have identification. Additional aliases can be listed under "Miscellaneous".
- Address** List only one address.
- Date of Birth** List only one birth date. **INS will not place a Lookout Notice without a date of birth.**
- Nationality** List country of birth and country of citizenship, if different. **INS will not place a Lookout Notice without a nationality.**
- Identifying Numbers** If known, show all numbers such as Passport, INS File Number, Social Security, Driver License, etc. Use "Miscellaneous" section for additional space.
- Date of Request** Enter date prepared. **INS will not place a Lookout Notice unless a date is shown.**
- Cancellation Date** Enter date Lookout Notice should expire. INS will not place a Lookout Notice for more than five (5) years. If no date appears, the INS Lookout Notice will automatically expire one (1) year from the date of request.
- Request Type and Action** Check the "Caution" block if subject is armed and dangerous, suicidal, or has any physical or mental problems. Explain fully under "Miscellaneous".
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- Miscellaneous** Use this space to note additional information from other sections or for explanations.

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 01/24/2001

To: New York

Attn: Operations Center

From: New York

C-3

Contact: SA [redacted]

Approved By: [redacted]

b6
b7C

Drafted By: [redacted]

Case ID #: 196A-NY-1774 (Pending)

Title: MARC RICH-FUG (B);
PINCUS GREEN-FUG (B);
FBW, MF, RICO, INCOME TAX EVASION,
TRADING WITH THE ENEMY;

Synopsis: It is requested that both subjects be removed from NCIC.

Details: It is requested that MARC RICH born 12/18/34 and PINCUS GREEN born [redacted] be removed from NCIC since both have received a Presidential pardon from President Clinton.

b6
b7C

♦♦

NCIC	SEARCH AGENT
ENTER <input type="checkbox"/>	
MODIFY <input type="checkbox"/>	
CLEAR <input checked="" type="checkbox"/>	<i>RP</i>
CANCEL <input type="checkbox"/>	
LOCATE <input type="checkbox"/>	
DATE	NCIC

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FBI - NEW YORK	

① SubFug 1

Clinton Pardons Deutch but Not Milken or Hubbell

By MARC LACEY

WASHINGTON, Jan. 20 — With just hours to go in his presidency today, Bill Clinton issued pardons to 140 people, including John Deutch, a former director of central intelligence; Henry G. Cisneros, a former secretary of Housing and Urban Development; and Susan H. McDougal, a onetime Clinton business partner who was jailed in the Whitewater scandal.

Others receiving presidential pardons in one of Mr. Clinton's last official acts were his half-brother, Roger, who pleaded guilty to distributing cocaine in Arkansas, and Patricia Hearst Shaw, the heiress who

robbed a bank in 1974 after being kidnapped by a small band of political radicals that called itself the Symbionese Liberation Army.

Aides to the president said those on the list fit no pattern. Some, in Mr. Clinton's view, had been victims of overzealous prosecutors. Others had made a convincing case in their written petitions that they had already paid their debt to society.

The offenses of those on the list varied widely. There were white-collar criminals and drug offenders, killers and an accused spy. Arnold Paul Prospero, a fund-raiser for the former president, had been convicted of embezzling money from a law client. David Ronald Chandler, who

long insisted he was innocent, was the first person sentenced to death under the federal drug kingpin law. Both had their sentences commuted. Norman Lyle Prouse, who received a pardon, was among the first commercial pilots to be convicted of flying while intoxicated. Witnesses testified that Mr. Prouse had consumed 15 to 20 rum-and-cois at the Speak Easy bar in Moorhead, Minn., the night before a 6:30 a.m. flight.

Just as significant as the pardons the president issued were the ones he did not hand down. Without explaining his decisions, Mr. Clinton declined to issue pardons to the one-

Continued on Page 19

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On-Last Day, Clinton Clears 140, including Hearst bi

Continued From Page 1

time Wall Street financier Michael R. Milken; Leonard Peltier, the American Indian who was convicted of killing two F.B.I. agents in 1975; the former Justice Department official Webster L. Hubbell, who was a close Clinton friend and a former law partner of Hillary Rodham Clinton's; and the spy Jonathan Pollard.

The pardon of Mr. Deutch spares the former spy director any criminal charges for mishandling secret information on his home computer. Mr. Deutch, who resigned in 1996, has already had his security clearance stripped. He had been considering a deal with the Justice Department in which he would plead guilty to a misdemeanor charge of keeping classified data on home computers.

Mr. Deutch's lawyer, Terrence O'Donnell, declined to comment.

Mr. Cisneros pleaded guilty in 1999 to a misdemeanor count of lying to F.B.I. agents about payments to a former mistress while he was being considered for a job in the Clinton cabinet. By agreeing to the plea deal with the independent counsel David M. Barrett, he avoided a trial on 18 felony charges.

The pardon of Ms. McDougal came just as the independent counsel Robert W. Ray agreed to close his investigation of Mr. Clinton's misstatements during his deposition in the Paula Jones sexual harassment case. When the original investigation began in 1994, it focused on whether the Clintons had received special treatment from Ms. McDougal and her husband, fellow investors in the Whitewater land venture.

Ms. McDougal spent 21 months in jail for refusing to testify about Mr. Clinton to Mr. Ray's predecessor, Kenneth W. Starr.

"She's absolutely delighted," said her lawyer, Mark Geragos. "She is speechless for once in her life. And I think it is especially poignant that it was one of the last acts of Bill Clinton's administration."

Mr. Clinton's half-brother, Roger,

PARDONS IN NEW YORK AREA

A former terrorist was among a dozen or so people remembered by former President Bill Clinton. The Metro Section, Page 32.

served time in prison after pleading guilty in 1985 to a charge of conspiracy to distribute cocaine. He had tried to sell the drug to an undercover state trooper in Hot Springs, Ark. The former president has said in the past that the conviction, while unfortunate, helped straighten out his brother's life.

Mrs. Shaw's bank robbery conviction has been challenged for more than two decades. Former President Jimmy Carter commuted her seven-year jail sentence in 1979 but she continued to pursue a full pardon. She participated in the holdup of the Hibernia Bank in San Francisco on April 15, 1974, but said from the start that her kidnappers coerced her.

Others who were pardoned by Mr. Clinton today included the former Arizona governor Fife Symington, a Republican, who was convicted in 1997 on six of counts of bank and wire fraud. The convictions were overturned on appeal but prosecutors asked for a rehearing.

Also pardoned was Peter MacDonald, a former Navajo chief convicted of conspiracy and burglary in a 1989 riot in Arizona that left two of his followers dead. He also served a six-year sentence for bribery.

The conviction stemmed from an unsuccessful five-month struggle by Mr. MacDonald to reverse a 1989 decision by the Navajos' Tribal Council to suspend him as chairman after he was accused of corruption at hearings before a Senate committee.

Departing presidents often issue pardons, though Mr. Clinton's latest batch was unusual in that it came just hours before the inauguration of George W. Bush as his successor. Christmas Eve is a traditional date for White House pardons. On Dec. 24, 1992, former President George Bush pardoned six officials from the ad-

ministration of Ronald Reagan, including Caspar W. Weinberger, the former secretary of defense; and Robert C. McFarlane, the former national security adviser.

Mr. Weinberger had been accused of misleading Congress about the Iran-Contra affair, and Mr. McFarlane had pleaded guilty to withholding information from Congress about the affair.

The latest pardons brought the total number issued over the course of Mr. Clinton's presidency to nearly 400 — a smaller number per year than his recent predecessors issued.

Mr. Clinton, who was barraged with pardon requests in recent weeks, left more than a thousand petitions pending for the new president. As for commutations, which reduce the punishment doled out, Mr. Clinton issued 36 of those today, bringing his total to 61.

Four of those on the president's list were caught up in the investigation of the former agriculture secretary Mike Espy, an inquiry that resulted in the acquittal of the ex-cabinet member himself.

Ronald H. Blackley, a former aide to Mr. Espy, was convicted in late 1997 of making false statements about \$22,000 in outside income he received while serving as the secretary's chief of staff. He was sent to prison for 27 months, a sentence Mr. Clinton commuted.

John J. Hemmingson, a former chief executive of a Kansas crop insurance company, was convicted in 1997 of laundering a \$20,000 campaign contribution to Mr. Espy's brother, Henry, who was trying to win Mike Espy's old Congressional seat. Mr. Hemmingson received a presidential pardon.

Richard Douglas, the former head of a fruit cooperative, was convicted the same year of giving \$7,000 in illegal gifts to the agriculture secretary. He also received a pardon.

Alvarez Ferroulliet, who also received a pardon, was a lawyer for Henry Espy. He pleaded guilty in 1997 to conspiracy and making false statements to a bank in connection with a \$75,000 campaign loan.

Others who received pardons or commutations from Mr. Clinton:

¶ Marc Rich and Pinus Green were once among the world's leading commodity traders. Their companies pleaded guilty in 1984 to evading millions of dollars in taxes by hiding profits on crude-oil trading. But Mr. Rich and Mr. Green avoided prosecution themselves by flying to Switzerland, which has refused to extradite them to the United States. Mr. Rich's former wife, Denise, is a songwriter and has been a fund-raiser for the Democratic Party.

¶ Adolph Schwimmer, an Israeli arms dealer and friend of the former Israeli prime minister Shimon Peres, became ensnarled in the Iran-contra scandal during the Reagan administration.

¶ Howard Mechanic threw cherry bombs at the authorities in 1970 during a protest in St. Louis. Mr. Mechanic was sentenced to five years in prison but he fled, living under an alias for decades. He revealed his true identity when he decided to run for public office.

¶ Derrick Curry was among many drug defendants to receive pardons. Mr. Curry was arrested in 1990 in a drug sting in suburban Washington. He received a sentence of nearly 20 years in prison for conspiracy and distribution of crack cocaine. His case was seized upon by critics of mandatory minimum sentence laws,

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John Deutch
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THE PROCESS

Protests May Have Kept Milken From Pardon Roll

By NEIL A. LEWIS

WASHINGTON, Jan. 20 — President Clinton's final pardon list today was notable for names not included, especially that of Michael R. Milken, the convicted Wall Street financier whose appeal for clemency was a source of great debate.

Mr. Clinton had signaled his willingness to pardon Mr. Milken as recently as last week, based on his philanthropic record. But the president was apparently persuaded not to do so because of protests from law enforcement officials and administration aides who counseled that a Milken pardon would not be appropriate.

Mr. Milken's spokesman, Geoffrey Moore, said that upon learning he would not receive a pardon, Mr. Milken had told him: "Back in '93, I was given a year to live, so in that context, it's hard to be disappointed about this." Mr. Mil-

ken had prostate cancer then but has enjoyed good health recently.

Mr. Clinton began considering a pardon after being pressed to consider the idea by Ron Burkle, a supermarket magnate who has been a generous donor to the Democratic Party and has pledged, fund-raisers said, to support the Clinton Presidential Library in Little Rock, Ark.

But the White House received strong protests, principally from the United States Attorney's office in Manhattan, which had initially prosecuted Mr. Milken, and from the Securities and Exchange Commission, which brought an action against him after he served two years in jail.

Mr. Milken, who pleaded guilty to securities fraud in 1990, served nearly two years in prison, paid more than \$1 billion in fines, penalties, restitution and civil settlements and was barred from the securities business for life.

Giuliani questions Clinton pardon of fugitive

By MICHAEL GORMLEY
The Associated Press

ALBANY, N.Y. — New York Mayor Rudolph Giuliani said Monday that Congress should look into former President Bill Clinton's pardon of a multibillionaire fugitive whose ex-wife is a Clinton friend and Democratic fundraiser.

"I don't see a pardon for somebody who ran away as a fugitive," Giuliani said in Albany about Clinton's weekend pardon of commodities trader Marc Rich. "He's involved in \$150 million in tax evasion and the president just wiped that away."

The Republican mayor said the pardon is questionable, particularly because Rich's "family members raised enormous

amounts of money for the president."

"I think it's worth Congress looking into it," said Giuliani, who as a federal prosecutor had pursued Rich.

Congress could review Saturday's pardon, but has no authority to overturn Clinton's action.

Rich's ex-wife, songwriter Denise Rich, wasn't involved in the pardon and "was as surprised as anyone" by it, said her spokesman, Bobby Zarem. He said Giuliani is "just trying to pull a political thing. It has no basis in reality."

On Sunday, Clinton said Rich's Washington attorney, Jack Quinn, convinced him of the merits of a pardon.

"I spent a lot of personal time talking [about this request] be-

cause it's an unusual case, but Quinn made a strong case, and I would suggest he was right on the merits," Clinton said.

Quinn, who once worked at the White House for Clinton, couldn't be reached for comment Monday.

Rich was convicted in the early 1980s on 51 counts of conspiracy, tax evasion, racketeering, and trading with the enemy. Before his trial, he fled to Switzerland to escape the charges. Switzerland has refused to extradite him.

The indictment accused him of making huge profits through an illegal pricing scheme in the wake of the 1973 oil crisis and evading taxes. He also was accused of making oil deals with Iran during the U.S. Embassy hostage crisis in Tehran, according to the U.S.

Department of Justice's Fugitive Lookout notice.

Denise Rich has not only contributed to Democrats, but he also been a financial supporter of Giuliani.

Federal records show she contributed at least \$7,000 to Hillary Rodham Clinton's successful U.S. Senate campaign as well as \$1,000 to Giuliani's aborted Senate run. A financial supporter of the Gore presidential campaign, Denise Rich also gave more than \$200,000 to the Democratic National Committee.

On Jan. 29, she is hosting welcome home party at her Fif Avenue penthouse for Andre Cuomo, Clinton's housing secretary who is considering running for governor of New York 2002.

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goods through a small number of auction houses, like eBay-owned Butterfields. Today's agreement, with the London-based company icollector, founded in 1994, will greatly expand the available live auctions and will expose eBay's 22.5 million registered users to those sites.

Calling the agreement between the two companies a "winning com-

Geoff Iddison, general manager of eBay Premier, said that the new agreement with icollector would change the face of live auctions worldwide by making an online audience an essential element.

"Auction houses will not be able to do without something like this," he said. Mr. Iddison noted that the deal would extend eBay's growing business in Europe, where many of

the bids during the auction. A picture of the item appears on the screen, along with the current high bid and the "ask price" — the amount the auctioneer is pushing for the next increment of bidding.

In most auctions now, participants enter their bids over several days until the auction closes. During that time, they receive e-mails alerting them when they are outbid.

eBay has been embarrassed in

visual," he said.

The deal between the two companies "is almost an ideal marriage of the traditional auction world with the reach of the Internet," said Derek Brown, an analyst with W. R. Hambrecht. Because many auction houses have long allowed telephone bidding, he said, "It almost seems like a natural extension of the traditional way of doing business."

The Fugitive Commodities Trader Who Can Go Home Again

By MILT FREUDENHEIM

They are both billionaire philanthropists who enhanced their fortunes in financial deals that put them into big trouble with the federal justice system. But when President Clinton issued 140 pardons on Saturday as one of his last official acts, Marc Rich made the list and Michael R. Milken did not.

Mr. Milken, a premier junk bond financier of the 1980's, was apparently bumped after prosecutors and securities regulators protested. In the case of Mr. Rich, the commodities trader who went to live in Switzerland after he was charged with evading \$48 million in taxes and violating United States sanctions by trading oil with Iran, the protests may have arrived too late.

Mayor Rudolph W. Giuliani, who was the United States attorney whose office prosecuted Mr. Rich in 1983, said yesterday that he was "shocked that the president would pardon him," because, "after all, he never paid a price."

"He ran away" and flouted American law, the mayor added. "He was one of the most wanted fugitives by the F.B.I. for years and years."

Other financiers who also received presidential pardons included Mr. Rich's former partner, Pincus Green, who went with him to Switzerland and Salim B. Lewis, a trader who pleaded guilty to criminal charges that he had helped manipu-

President Clinton pardons a financier still hiding in Switzerland.

late a stock price in 1986.

Mr. Rich, 65, was indicted on charges of trading in Iranian oil at a time when Americans were being held hostage in Iran. If he had been found guilty of all the charges against him, he could have faced more than 300 years in prison. He reportedly offered to pay fines to settle the charges but refused to agree to Justice Department officials' insistence that he serve time in prison.

Later, he was accused separately, but not charged, with skirting sanctions against South Africa by selling oil there from the Soviet Union for above-market prices and helping Russian oligarchs to extract millions of rubles from the foundering Russian economy.

His former wife, Denise Rich, a songwriter and a philanthropist, is a fund-raiser for Democrats, including President Clinton and Senator Hillary Rodham Clinton. Ms. Rich declined to discuss her financial agreement with Mr. Rich in a recent interview with The New York Times. According to one of her lawyers, their di-



Liaison

Marc Rich, the pardoned fugitive commodities trader, in 1983.

voice was bitterly disputed.

Neither of the Riches could be reached for comment yesterday.

Marc Rich was born in Belgium and came to the United States with his parents during World War II.

After the indictment, he moved to Zug, Switzerland, where he cut a wide swath, financing local sports teams and befriending officials. Switzerland did not recognize extradition requests based on charges of infractions of financial laws.

He has traded in crude oil, aluminum and lead as well as real estate in Spain, Switzerland and Eastern Europe. His philanthropic gifts have included several million dollars to museums in Jerusalem, gifts to concert halls in Switzerland, an eye clinic in Zimbabwe and a health program for Palestinian children, according to Bloomberg News.

He told the Israeli newspaper Ma'ariv last year that he had offered to pay \$100 million in fines to settle the tax fraud case.

Mr. Lewis, who was once a special adviser to the Securities and Exchange Commission, was indicted, along with his brokerage firm, in 1988 on charges of stock manipulation, conspiracy and related offenses. He was charged with driving up the price of shares of the Fireman's Fund Corporation before a public offering of that company's shares.

Mr. Lewis pleaded guilty to three counts of stock manipulation, violating margin requirements and keeping false books and records. Mr. Lewis's actions benefited the American Express Company, which Mr. Lewis had assisted in earlier transactions. There were no charges brought against American Express, which said at the time that an internal investigation had uncovered no wrongdoing.

Mr. Lewis was sentenced to three years' probation and several thousand hours of community service.

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Allele	ER
Amazon.com	C4
America West	ER
Americredit	ER
Ameritrade	C1
AMR	C9
AmSouth Bancorp	ER
Annaly Mortgage	
Management	C14
AOL Time Warner	C4
Apple Computer	C9
Applied Industrial	
Technologies	ER
Archer Daniels Midland	ER
Arriba	ER
ArvinMeritor	ER
AT&T	C1, C4, C12
ATI Technologies	ER
Aurora Foods	C12

Bank of America	ER
Bayer	C12
BB&T	ER
Bcom3	C12
Becton Dickinson	ER
Belo	C1
Biogen	ER
Black Box	ER
BlackRock	ER
Boise Cascade	ER
BP Amoco	C4
Brill Media	C1
Buckeye Technologies	ER
Burlington Resources	ER

Canon Kabushiki Kaisha	C6
Caterpillar	ER
Charles Schwab	ER
Cheyron	C4
Cisco Systems	C4
Clifgroup	ER
Citizens Banking	ER
Clarcor	ER
Clayton Homes	ER
ClubMorn	C12
Coastal Bancorp	ER
Collaborative Media	C4
Commerzbank	C6
Community First	
Bankshares	ER
Compaq Computer	C9
Consolidated Edison	ER
Constellation Energy	ER
Cox Communications	C1
Cylink	C12

Dell Computer	C9
Delta Air Lines	ER
Deutsche Bank	C6
Disney (Walt)	C1
Dow Jones	C1
D.R. Horton	ER
DreamWorks	C1
Drug Emporium	ER

Earthlink	C1
eBay	C2
Ebay	ER
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EMC	C4
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Ericsson	C1
Expedia	C9

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Memorandum



To : SAC, NEW YORK (196A-NY-1774) p Date 10/16/91

From : SA [redacted] (C-12)

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Subject : MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO
OO: NY

It is requested that a fugitive sub file be opened for subject Pincus Green Marc Rich.

It is also requested that sub A be closed.

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DATE 2/21/01 BY [redacted]
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OPEN OR REOPEN CASE: 196A-NY-1774 Fug 2
ORIGIN: NY DATE: 10/16/91
SUPV. [redacted] SECT. C-12
CLASS OF UNCLAS. [redacted]

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Universal Case File Number

196D-NY-1774-Fug

Field Office Acquiring Evidence

Serial # of Originating Document

Date Received

10/16/98

From

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VCMO FBI HQ

(Address of Contributor)

By

To Be Returned Yes No

Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

Yes No

Title: Marc Rich - Fug. (B);
Pincus Green - Fug. (B);
FBW

Reference:

(Communication Enclosing Material)

Description: Original notes re interview of

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FD-302 (Rev. 8-14-97)

Universal Case File Number 196D-NY-1774-Sub 2

Field Office Acquiring Evidence: LEGAT, Moscow

Serial # of Originating Document: _____

Date Received 1/23/99

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From Legat, Moscow
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Receipt Given Yes No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

Yes No

Title:

MARC RICH - FUG. (LB);
PINCUS GREEN - FUG. (LB);
FBW

Reference:

(Communication Enclosing Material)

Description: Original notes re interview of

Copies of documents from [Redacted]

[Redacted]

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Enclosed *John [unclear]*

Memorandum



To : SAC, NEW YORK (196A-NY-1774) P Date: 11/26/91

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG. (B);
PINCUS GREEN - FUG. (B);
FBW, MF, RICO, INCOME
TAX EVASION
OO: NY

Attached is a Three page article from BUSINESS WEEK dated 11/11/91 entitled "Making Marc Rich Seivum."

The article explains the basis for the congressional inquiry initiated by Representative Bob Wise (D-W.VA.).

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① - Fugitive sub-File 2

MAKING MARC RICH SQUIRM

The Steelworkers could hinder his attempts to return to the U.S.

Four officials of the United Steelworkers got a nasty reception on Oct. 8, when they crashed the annual shindig for traders on the London Metal Exchange (LME). As the unionists handed out "Wanted: Marc Rich" posters to black-tie guests at London's fashionable Grosvenor House Hotel, four men emerged from a black BMW and threatened to "hurt" the leafleters if they didn't withdraw, claims Joseph B. Uehlein, one of the USW four. The next day, two of the Americans say, their

These are standard tactics in labor disputes—and ordinarily about as bothersome as a gnat to someone of Rich's estimated \$3 billion net worth. Except for this: Rich has wanted to return home ever since he fled the U.S. eight years ago, just ahead of a 65-count federal indictment for criminal tax evasion and racketeering. Because his ailing mother lives in Manhattan, as does his estranged wife, Denise, who recently returned with the youngest of their three daughters, he has recently stepped up these efforts.

Indeed, Rich's lawyers, including Washington's Leonard Garment, make regular overtures to the Justice Dept. "to negotiate terms of his surrender," says a Justice official. That's a matter of some urgency, because the U.S. government is offering a \$750,000 reward for Rich. And because the USW dispute is dragging Rich back into the limelight—and providing an unusual look at his secretive U.S. empire, which has rarely been scrutinized before.

Born in Belgium, Rich moved to the U.S. with his family in 1941 at the age of eight. In 1954, he joined Philipp Brothers Inc., a leading commodity trader. Credited with developing the independent spot market for oil in the early 1970s, Rich later started his own company, which grew to \$10 billion in sales.

Then, in 1983, his troubles began. The U.S. Attorney's office in Manhattan indicted Rich, his partner Pincus "Pinky" Green, and Marc Rich & Co. on charges of rigging a huge, illegal oil-pricing scheme, evading \$48 million in taxes, and illegally buying oil from Iran during the 1979 hostage crisis. Shortly before the indictments, Rich claimed to have sold his U.S. operating arm, Marc Rich & Co. International Ltd., to Alex Hackel, an unindicted partner in Switzerland. The company was renamed Clarendon Ltd., and another unindicted Rich employee, a top aluminum trader named



Willy R. Strothotte, was made chief operating officer and later, president.

The new entity seems to have existed in name only. The judge hearing the indictments called the maneuvers a "ploy" to avoid the \$50,000-a-day fine he had slapped on Rich's U.S. company. He noted that Clarendon employed the same people as before and was still headquartered in Rich's offices in Zug—as it is today. Ultimately, Rich paid the fine as part of a \$171 million settlement of civil charges against Clarendon.

CLEAN FACE. Ever since, Clarendon officials have insisted that Rich no longer controls the company. They have good reason to do so: Rich's indictment drove away customers, say federal prosecutors in the case. By distancing himself from the company—he still owns 49%—Rich gave Clarendon a clean face. Then, he used it to expand vigorously in the U.S., first in commodity trading and subsequently, in aluminum production.


The first major step came in 1986, when Clarendon signed a 10-year agreement to buy all 800,000 tons of alumina produced annually by a Jamaican company. Clarendon sells most of the semi-refined white powder, which is used in smelting aluminum, to major U.S. producers. Then, Clarendon got into smelting. It took a part ownership in at least four smelters, then signed agreements for each to process alumina that Clarendon supplies. These were often sweet deals. For instance, Clarendon paid a reported \$40 million to buy 27% of an Alumax of South Carolina Inc. plant in Mt. Holly, S.C. Clarendon's share of output

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- FUGITIVE -



\$750,000 REWARD

Note: Each bond of the Inlet Bank Group Corporation is valued by the U.S. Government at \$100,000.00. A \$750,000 reward is offered for the arrest, on a \$100,000 bond, of:

- The bond
- Complete
- Endorsement
- Trading with the enemy

This is also illegal in:

- United States at the State Dept. during the 18 years embargo of 1973-74.
- Member of or branch office during the embargoed time embargo.

RECOMMENDATION: This information is classified as "SECRET" by the U.S. GOVERNMENT. It is to be controlled by special agents.

A USW FLYER DISTRIBUTED AT A RECENT GATHERING OF LONDON METAL TRADERS

hotel rooms were entered and searched. What beef does a U.S. union have with Rich, a commodities whiz who controls a \$30 billion-a-year trading operation in 40 countries? The USW claims Rich is an influential absentee owner of Ravenswood Aluminum Corp., a private West Virginia company that's locked in a violent labor dispute with 1,700 USW members. The union has picketed his six-story headquarters in Zug, Switzerland, enlisted the aid of European unions, and called for a congressional investigation of Rich's U.S. government contracts.

PHOTOGRAPH BY ARNOLD GARDNER

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from Kaiser, for \$170 million in cash and \$180 million in assumed liabilities, was financed with a \$205 million loan from Ridgeway Commercial, a Swiss affiliate of Clarendon. The investors also lined up a \$140 million line of revolving credit led by Amsterdam's NMB Postbank, which regularly does business with Rich. "It's understood that Rich is behind the ownership [of Ravenswood and Ormet], although I never knew him to get involved in the management," says Thomas J. McGinty, who sold his 29% Ormet stake to Strothotte in 1989.

'NOBODY'S PUPPET.' Boyle adamantly denies that Rich is connected to Ravenswood. He argues that Rinoman is simply Strothotte investing as an individual. "I resent anybody saying I'm a puppet" of Marc Rich, Boyle snorts. "I'm nobody's puppet. I run this company." Rich himself declined to discuss the issue. A letter BUSINESS WEEK sent to him in Zug elicited a response from Robert Thomajan, a New York lawyer on leave from his firm to work for Rich in Zug. Thomajan says Rich's company "has not and does not comment publicly on its business activities."

Strothotte sent BUSINESS WEEK a letter, faxed from Rich's Zug headquarters, denying any "ownership link" between Ravenswood and Clarendon or Rich. Strothotte also says that "efforts by the [USW] to involve Clarendon and its shareholders in a resolution [of the Ravenswood dispute], their conduct at the LME dinner, and the rather absurd reference to threats are all misdirected and serve no useful purpose." In response to a second query, he issued further general denials but did not reply in detail before this issue went to press.

The USW concedes that Boyle is the primary architect of Ravenswood's labor policies. The friction began when the company's labor contract expired a year ago. After Boyle demanded pay cuts, talks over a new pact deadlocked. Boyle then locked out union members and hired 1,100 replacements.

Since then, there have been hundreds of acts of vandalism and clashes between Ravenswood security

RALLYING IN RAVENSWOOD: A WARNING TO OTHER STEELMAKERS

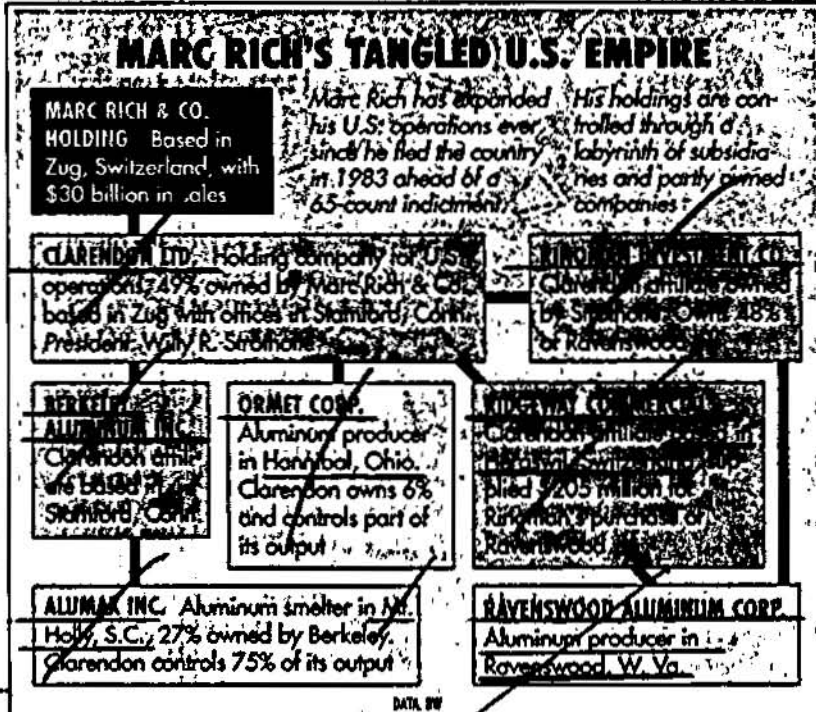
is 45,000 tons of aluminum a year. Analysts say a smelter to produce that much would cost about \$220 million to build.

With such deals, Clarendon bought major positions in the U.S. aluminum industry. Today, it controls more than a third of the world market for independent primary aluminum and alumina, according to major rivals. "You cannot do business in the aluminum industry without doing business with Marc Rich," says a senior Alcan Aluminum official.

As Clarendon expanded, it spawned a labyrinth of subsidiaries and partially owned companies aimed, prosecutors say, at insulating the fugitive executive from notice of his U.S. activities (table). Take Ormet Corp., Clarendon's next big investment after Mt. Holly, Ormet was an alumina and smelting operation jointly owned by Revere Copper Products Inc. and Alusuisse, a Swiss aluminum company. In 1986, an investment group including Strothotte bought the company for a cheap \$98 million. Clarendon used a similar approach in early 1989, when Strothotte and others bought Ravenswood, then a unit of Kaiser Aluminum & Chemical Corp.

The Ormet investors joined forces again, including R. Emmett Boyle, the former president of Ormet, who became Ravenswood's chairman; Stanwich Partners in Stamford, Conn.; and Rinoman Investment in Amsterdam. Rinoman, which owns 48% of Ravenswood, is 100% owned by Strothotte, Clarendon's president.

There are other links between Ravenswood and Rich. Clarendon supplies Ravenswood with most of its alumina. Clarendon also buys some aluminum produced by Ravenswood. The acquisition



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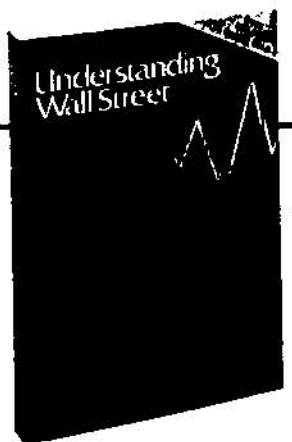
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PHOTOGRAPH BY GARY TRAVAN

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guards and USW members. Boyle has spent more than \$1.5 million to fortify the plant, ringing it with tractor-trailers, railcars, and a 10-foot-high barbed-wire fence. The union has spent an estimated \$11 million on its campaign, largely strike benefits.

Insiders say the USW wants to send a message to other aluminum and steel producers not to try the same thing. Indeed, they may now think twice. "The two sides are in a death grip," says Peter W. Merner, an aluminum analyst at Merner Research. USW President Lynn Williams says he recently persuaded Ravenswood's biggest customer for aluminum-can sheet, American National Can, to cancel future orders that represent 23% of Ravenswood's sales. Boyle denies this. American National won't comment. **LONG ROPE.** The USW also hired a private investigator to look into Ravenswood's tangled ownership—which is what led it to Rich. To rope him into the dispute, the union complained to Representative Bob Wise (D-W. Va.), whose district includes Ravenswood. Now, Wise's subcommittee on government operations is investigating allegations that Rich & Co. may have traded in Iraqi oil during the Persian Gulf war. This would have violated both U. N. sanctions and Swiss law. European unionists are also attacking. They're trying to thwart Rich's attempts to buy Slovak State Aluminum Co. And pro-union politicians in the European Parliament have questioned his ethics.

Rich's lawyers continue to press for his return to the U. S., offering to pay multimillion-dollar fines he still owes. Rich's one condition is that he avoid prison. He may have allies in the State Dept. U. S. marshals have tried several times to trap Rich, most recently in September, when they alerted officials in Finland that he was due to arrive by private plane. But in that instance, as in previous ones, Rich got away. A. Craig Copestas, author of a 1985 book on Rich, says the marshals suspect that someone in State, which must be notified of such operations, is leaking their plans to Rich because they value his high-level contacts around the world. The Justice Dept., however, "will never make a deal that will let Rich buy his way out of a possible prison term," says Morris "Sandy" Weinberg, a former U. S. prosecutor who led the case against Rich.

Implicit in the USW's strategy is that if Ravenswood is settled, the spotlight on Rich will go dark. Maybe so. But it's just as likely that, win or lose, the union has ensured that the fugitive from New York will long remain a prisoner in Zug.

By Michael Schroeder and Maria Mallory in Pittsburgh, with John Templeman in Bonn.

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*Corrections
p. 4*

BT

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CITE: //3540//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
(B); FBW, MF, RICO, INCOME TAX EVASION TRADING WITH THE ENEMY;
OO:NY.

REGARDING LONDON TELETYPE TO NEW YORK APRIL 22, 1992.

ON OCTOBER 8, 1991, [redacted] FROM THE UNITED STEEL

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WORKERS UNION FROM THE U.S. DISTRIBUTED WANTED POSTERS FOR

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^PAGE 2 196A-NY-1774 UNCLAS

MARC RICH AT THE ANNUAL DINNER FOR TRADERS ON THE LONDON METAL EXCHANGE HELD AT THE GROVESNOR HOUSE HOTEL IN LONDON. THE POSTERS DEPICTED RICH AS A U.S. FUGITIVE WANTED FOR SEVERAL CRIMES AND CLAIMED THE U.S. GOVERNMENT IS OFFERING A \$750,000 REWARD. [REDACTED] WERE REPORTEDLY THREATENED BY FOUR PERSONS WHO APPEARED APPARENTLY ON BEHALF OF RICH.

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THE BASIS FOR THE STEELWORKERS' COMPLAINT IS RICH'S ALLEGED OWNERSHIP AND CONTROL OVER THE RAVENSWOOD ALUMINUM CORPORATION IN WEST VIRGINIA RESULTING IN A LABOR DISPUTE INVOLVING 1700 UNITED STEEL WORKERS. THIS HAS LED TO A CONGRESSIONAL COMMITTEE LOOKING INTO U.S. GOVERNMENT CONTRACTS PRESENTLY IN EFFECT WITH RICH, A FEDERAL FUGITIVE. MOREOVER, THE UNITED STEELWORKERS HAVE GALVANIZED THEIR COUNTERPARTS IN EUROPE RESULTING IN THE PICKETING OF RICH'S HEADQUARTERS IN ZUG, SWITZERLAND.

SINCE THE DISSEMINATION OF THE WANTED FLYERS CLAIMING THERE IS A REWARD OF \$750,000, THE NYO HAS RECEIVED SEVERAL INQUIRIES AS TO ITS AUTHENTICITY.

THE FBI, IRS AND U.S. MARSHALS HAVE OFFERED NO REWARD AND ARE PROBABLY PROHIBITED FROM DOING SO. HOWEVER, IT IS FAIR TO

^PAGE 3 196A-NY-1774 UNCLAS

SAY THE FBI AND, PERHAPS, THE OTHER AFOREMENTIONED AGENCIES ARE WILLING TO MAKE ONETIME LUMP SUM PAYMENTS OF AN UNDETERMINED AMOUNT FOR INFORMATION LEADING TO RICH'S ARREST.

AUSA [REDACTED] SDNY, HAS STATED THE JUSTICE DEPARTMENT THROUGH THE ATTORNEY GENERAL, CAN PAY UP TO \$250,000 FOR SUCH INFORMATION.

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IT CAN, THEREFORE, BE ASSUMED ANYONE PROVIDING RELEVANT INFORMATION LEADING TO RICH'S ARREST WILL BE ABLE TO RECEIVE SOME REMUNERATION. THE AMOUNT IS UNKNOWN.

THE NYO BELIEVES IT IS AWARE OF THE IDENTITY OF THE

[REDACTED]

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b7C
b7D

THE NYO HAS BEEN IN CONTACT WITH AN ASSOCIATE OF HIS IN

[REDACTED]

[REDACTED] AUSA, SDNY, ASSIGNED THE RICH/GREEN CASE, IS UNAVAILABLE FOR SEVERAL DAYS BUT IT IS THE BELIEF OF THE NYO THAT PROVISIONAL ARREST WARRANTS FOR MARC RICH ARE FILED AND OUTSTANDING IN GREAT BRITAIN, FINLAND, RUSSIA AND CZECHOSLOVAKIA.

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^PAGE 4 196A-NY-1774 UNCLAS

THE NYO IS UNAWARE OF ANY ARTICLES RELATING TO RICH'S ACTIVITIES IN LONDON ALTHOUGH, IT IS KNOWN HE HAS A COMPANY BY HIS NAME IN LONDON.

THE NYO HAS RECEIVED INFORMATION RECENTLY THAT A BRITISH MAGAZINE CALLED "SPECTATOR" CARRIED AN ARTICLE SOMETIME DURING APRIL 1992 UNDER THE BYLINE OF TAKI, WHOSE FULL NAME IS TAKIDOPOULIS (PH), AND OFTEN WRITES GOSSIP ABOUT THE ROYAL FAMILY IN ENGLAND. THE ARTICLE BY TAKI SUPPOSEDLY SAYS SENATOR CLAYBORNE PELL, LONG TIME SENATOR FROM RHODE ISLAND, WAS RECENTLY INTRODUCED TO MARC RICH AT A GATHERING IN SAINT MORITZ.

THE NYO WOULD LIKE TO HAVE THE SENATOR INTERVIEWED BUT WOULD LIKE A COPY OF THE ARTICLE TO CONFIRM THE EVENT TOOK PLACE.

LEADS:

LEGAT LONDON

AT LONDON, ENGLAND.

1. OBTAIN WHATEVER INFORMATION

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^PAGE 5 196A-NY-1774 UNCLAS.

2. OBTAIN A COPY OF THE ARTICLE WRITTEN BY TAKI IN THE
BRITISH MAGAZINE "SPECTATOR" DURING APRIL 1992 AND FORWARD IT
TO THE NYO IF IN FACT IT MENTIONS RICH MEETING SENATOR PELL.

BT

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 5/7/92

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b7c

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/ (ATTN:SSA [redacted])

*FUG./GOV..Reservation Crimes
(unit)*

LEGAT BERN/ROUTINE/

BT **LEGAT, LONDON**
LEGAT, MADRID

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:
NY.

ON MAY 5, 1992, [redacted] MARC RICH,
WHO CURRENTLY RESIDES AT [redacted] NY,
VOLUNTARILY APPEARED AT THE NYO WITH [redacted]

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b7c

- 1 - New York
- 1 - Supervisor C-12

b6
b7c

*gJCM:pg
(2)*

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
#01-081

Searched _____
Serialized _____
Indexed _____
Filed _____

*196A-1774 Eng 2
Cont. made BS
5/12/92*

① *sub FUG. File 2*

Approved: JWF Original filename: PX6002W.128

Time Received: _____ Telprep filename: PX6002W.128

MRI/JULIAN DATE: 1249/132 ISN: 024

FOX DATE & TIME OF ACCEPTANCE: 2028

[REDACTED] AND FURNISHED THE FOLLOWING:

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SHE CURRENTLY RESIDES IN [REDACTED]
THE ABOVE ADDRESS WITH [REDACTED]

SHE HAS BEEN [REDACTED] FOR ABOUT [REDACTED] MARC
RICH WHICH INCLUDED A PORTION OF TIME IN [REDACTED] FOR THE
LAST [REDACTED] SHE HAS BEEN [REDACTED]

b6
b7C

[REDACTED] THE U.S. AND DURING THE LAST YEAR SHE HAS BEEN
A PERMANENT RESIDENT IN [REDACTED]

SHE IS [REDACTED] WHICH, ACCORDING
TO [REDACTED] MAY TAKE [REDACTED] BEFORE [REDACTED]

b6
b7C

[REDACTED] SINCE RICH IS CAPABLE OF STALLING AND BLOCKING
EFFORTS TO DETERMINE HIS TRUE NET WORTH.

MARC RICH IS CURRENTLY [REDACTED]
[REDACTED] NATIONALITY. RICH CURRENTLY RESIDES AT 28
HIMMELREICH, BAR SWITZERLAND (SUBURB OF ZUG) AND IS PRESENTLY
BUILDING A HOME ALONG THE LAKE IN MEGGIN, SWITZERLAND. HIS

b6
b7C

[REDACTED]

^PAGE 3 196A-NY-1774 UNCLAS

RICH ALSO MAINTAINS HOMES AT 27 OR 29 CHASA LA DINA (PH), ST. MORITZ, SWITZERLAND, PUERTA DE HIERRO, MADRID, SPAIN (CALLED EL RINCON), AND MARBELLA, SPAIN.

SHE STATED SHE KNOWS OF NO BOAT OR VESSEL OWNED BY RICH AS A PLEASURE VEHICLE OTHER THAN A SMALL SPEEDBOAT [REDACTED] HAD IN SPAIN. SHE WAS UNFAMILIAR WITH ANY VESSEL CALLED HAPPICOAT.

b6
b7C

SHE STATED PINCUS GREEN HAS RETIRED FROM THE COMPANY DUE TO FAILING HEALTH AND THE FACT HE HAS ACCOMPLISHED WHAT HE SET OUT TO DO. GREEN [REDACTED] WILL BE GETTING [REDACTED] [REDACTED] GREEN AND RICH REMAIN CLOSE FRIENDS.

SHE EXPRESSED SURPRISE WHEN TOLD THE U.S. GOVERNMENT BELIEVES RICH TRAVELS FREQUENTLY. SHE ADDED UP UNTIL THE TIME [REDACTED] SHE NEVER KNEW HE TRAVELED ANYWHERE OUTSIDE OF SWITZERLAND OTHER THAN SPAIN (WHERE HE IS A CITIZEN). SHE ADDED HE DID NOT TRAVEL FOR FEAR OF BEING ARRESTED. SHE COULD NOT OFFER AN OPINION CONCERNING THE LAST THREE YEARS. THEREFORE, SHE COULD NOT FURNISH ANY INFORMATION REGARDING ADDITIONAL PASSPORTS HE MIGHT HAVE OR NAMES HE MIGHT USE WHEN TRAVELING.

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b7C

^PAGE 4 196A-NY-1774 UNCLAS

SHE SAID WHEN RICH'S FATHER DIED IN NEW YORK IN 1986, SHE

[REDACTED] BUT RICH DID NOT ATTEND THE

b6
b7c

FUNERAL FOR FEAR OF BEING ARRESTED. RICH'S MOTHER, WHO
RESIDES IN NEW YORK CITY AND IS ABOUT 80, TRAVELS FREQUENTLY
TO SEE HER SON IN SWITZERLAND.

SHE ADVISED PINCUS GREEN HAS A HOME IN LONDON BUT SHE
DOES NOT KNOW THE ADDRESS.

SHE IS CURRENTLY [REDACTED]

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b7c

SHE WAS ASKED WHETHER SHE WOULD HELP THE U.S. GOVERNMENT
IN APPREHENDING RICH AND HER ATTORNEY SAID HE DID NOT FEEL
THAT WAS A FAIR QUESTION. HE SAID HE WOULD STAY IN TOUCH AND
BE COOPERATIVE IN ANY WAY HE COULD.

SHE SAID BOTH RICH AND GREEN WOULD LIKE TO RETURN TO THE
U.S. AND RESOLVE THE CRIMINAL CHARGES BUT HAVE NOT DONE SO FOR
FEAR OF GOING TO JAIL.

BT

Memorandum

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~~SECRET~~

(S) To : SAC, DIVISION I [redacted] (P) Date 6/17/92 b1
From : SA [redacted] (I-6) (S) b3
Subject: [redacted] (S) b7E
OO: NEW YORK (S) b6
b7C

This document is classified "SECRET" in its entirety.

[redacted] (S) (U) b7E

For information of the above file, on 6/16/92, [redacted] (S) (U)
(reliable) advised writer that [redacted]

[redacted]

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[redacted]

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~~SECRET~~
Classified by G-3
Declassify on OADR

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

2/21/01
CLASSIFIED BY: [redacted] b6
REASON: 1.5 (ed) b7C
DECLASSIFY ON: X 1/6
#01-081

(S) 4- New York
(1) [redacted] (S)
(1) [redacted] (Info)
(1) 196A-1774 FUG 2) (C-12)
TPW:tpw
(4)

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196A-1774 Fug 2 -19
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SERIALIZED FILED
JUN 17 1992
[redacted] (S) (U)
C-12

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DECLASSIFICATION
AUTHORITY DERIVED FROM
FBI AUTOMATIC DECLASSIFICATION GUIDE
EXEMPTION CODE: 25X(1)
DATE 03-28-2017 BY:

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~~DECLASSIFIED
DATE 03-28-2017
BY: [redacted]~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 03-28-2017 BY: [redacted]

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196A-NY-1774-21

SEARCHED	INDEXED
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JUL 03 1992	
FBI - NEW YORK	

RESPONDENCE, LOVE LETTERS & ADVICE

REFERENCED ARTICLES

come for... Printing unfounded gos... only adds more fuel to the fire.

IBENE BUDOFF
Houston, Texas

ROCK & ROLL used to be an escape. Then it became art, and soon it will be an endless, politically correct Jerry Lewis telethon. I'm switching to country or rap.

ANDY CAO
Merritt Island, Florida

THE AUTHORS' OMISSION of Sebastian Bach's apology for wearing a T-shirt that says AIDS - KILLS FAGS DEAD, was... MTV and even... a gay friend... and said the message he conveyed in public was important. Bach admitted that... friends, which... first-rate homophobes... and out of music, will never care about AIDS and gay rights. At least ask the artists their feelings on the subject first.

C. YCOM

I'VE BEEN WRITING... and recording... Aeronaut's best album... haven't done any interviews... gives you the right to make... ground... assumption that... available... into being... to be part of an article because it's about AIDS? For the record, AIDS sucks. Like too many of us, I have... and I am being friends... this terrible disease... Perhaps... conditions... by... of... political... to... they spent half the energy combating AIDS that they have... bailing out the... and... to the Kennedy assassination, might have the disease beaten by now.

STEVEN TYLER

WAGNER

Steve Hochman and Mary Hering... Steve Tyler was... through... and...

come for... Printing unfounded gos... only adds more fuel to the fire.

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STEVEN TYLER

WAGNER

Steve Hochman and Mary Hering... Steve Tyler was... through... and...

music again. I can't wait to see that.

SANDY WARD
Schertz, Texas

SOME STARS don't have to put out a... whining, crying project after a hard... time in order to get the credit they... deserve. David Fricke did a great job of... showing the Leps' attitude of putting... the past behind but not forgetting.

JILL O'BRIEN
De Pinnes, Illinois

DEFLEPPARD is the meaning of hope... and inspiration.

DIANE SCHENK
Moline, Illinois

THE DEFLEPPARD has been able to... carry on... the shadow of tragedy... says so much about the integrity of the... band... bands like... U2... that kick out members over petty squabbles could learn a few lessons from the... Lepards.

JIM COOK
Orlando, Florida

I DEEPLY RESPECT Rick Allen for hav... ing the courage to pose shirtless.

GABRIEL HOSSELTON
Flora, Illinois

THE PICTURE of Allen was the seti... est photograph I have seen this year. Not... the... the... balls, but... he has... to go...

JIM COOK
Orlando, Florida

State of Disunion

THE LITTLE GUY is standing up and... winning some rounds against the... bully ("Hope and Hard Times," RS... 629). The people of Ravenswood Alu... minum are examples that you can and... should stand up to your oppressor. Even... if they don't win this battle, in the eyes... of the American public they have won... something even more -- dignity.

MICHAEL ZACHERIO

A few days after "Hope and Hard... Times" appeared, a group led by the... Swiss financier Marc Rich and his part... ner Willy Storhutte seized control of... the Ravenswood Aluminum Cor... poration and forced R. Emmett Boyle... to resign as CEO. The board of direc... tors was then reconstituted by Rich and... Storhutte with the express purpose of... ending the labor dispute in Ravens... wood and, at long last, negotiating with... the union. Though the negotiations... have yet to begin, it is widely believed... that the result of these talks will be the... return of the unionized workers to... their jobs. — ED.

The... of the Aquarian Rescue Unit in the... & Roll section (RS 631). The photographer... was Jeff Eraser.

Address... correspondence to the Letters Editor, Boxed... 2004, at... to 212-697-6214. Include your address and... the... of... Queen's... to... Call 1-800-368-7601, 200 and to 300... To order back issues, send \$10 and your... number to Back Issues, P.O. Box 40, Hempstead, NY 11552.

THE CAMPAIGN By James T. Pendergrast

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 2/21/01 BY #01-087

MEMORANDUM

TO: JAMES T. PENDERGRAST

FROM: [Illegible]

SUBJECT: [Illegible]

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SubPg 2

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8

196A-NY-1774-22

SEARCHED	INDEXED
SERIALIZED <i>SA</i>	FILED <i>SA</i>
JUL 03 1992	
FBI - NEW YORK	



FBI FACSIMILE COVERSHEET

CLASSIFICATION

PRIORITY

- Immediate _____
- Priority _____
- Routine _____
- _____ Top Secret
- _____ Secret
- _____ Confidential
- _____ Sensitive
- _____ Unclassified

Time Transmitted: _____
 Sender's Initials: _____
 Number of Pages: _____

FBI New York

Date: **6-11-92**

Facsimile Number: **212 335 2999**

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b7C

Attention: **SSA [Redacted] C-4**

To: **FBI DETROIT**

*please research in index
TKJ*

Subject: **MARC RICH**

Special Handling Instructions: **PLS HANDCARRY TO [Redacted] THEN ROUTE TO SA WITH MARC RICH TICKET**

Originator's Name: [Redacted] Tel: **313 965-2323**

Originator's Facsimile Number: **313 965 2323 FAX OPER.**

Special Agent: **HNH/JA**

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [Redacted]
#011-081

① Sub. Fug. 2

196A-NY-1774-24

ALL INFORMATION CONTAINED
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DATE 2/21/01 BY [redacted]
#01-081

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SEP 28 1992	
FBI - NEW YORK	

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 7/6/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: SSA [redacted] FUGITIVE/GOVERNMENT RESERVATION CRIMES
 UNIT AND SSA [redacted] ECONOMIC CRIMES UNIT.

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b7c

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
 (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE
 ENEMY; OO:NY.

1 - NEW YORK

1 - SUPERVISOR C-12

JCM:SLT004W.188

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ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY [redacted]

#01-081

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196A-NY-1774-Fug 2-25

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 Indexed _____
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7/10/92

① sub. Fug. 2

Approved: Jsh/h Original filename: SLT004W.188

Time Received: _____ Telprep filename: SLT00451.188 to SLT004

MRI/JULIAN DATE: 1572 73 74 ISN: 080, 057, 058

FOX DATE & TIME OF ACCEPTANCE: 2044, 2045, 2046 dlb

^PAGE 2 NEW YORK (196A-NY-1774) UNCLAS

REFERENCE NEW YORK TELETYPES TO BUREAU, DATED
MAY 13, 1992 AND JUNE 8, 1992 AND NEW YORK TELEPHONE CALL TO
SSA [REDACTED] BUREAU, ON JULY 1, 1992.

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ON [REDACTED] SPECIAL AGENT [REDACTED] OF THE
NYO [REDACTED]

[REDACTED]

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THE [REDACTED]

[REDACTED]

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ON

AFTER A BRIEF

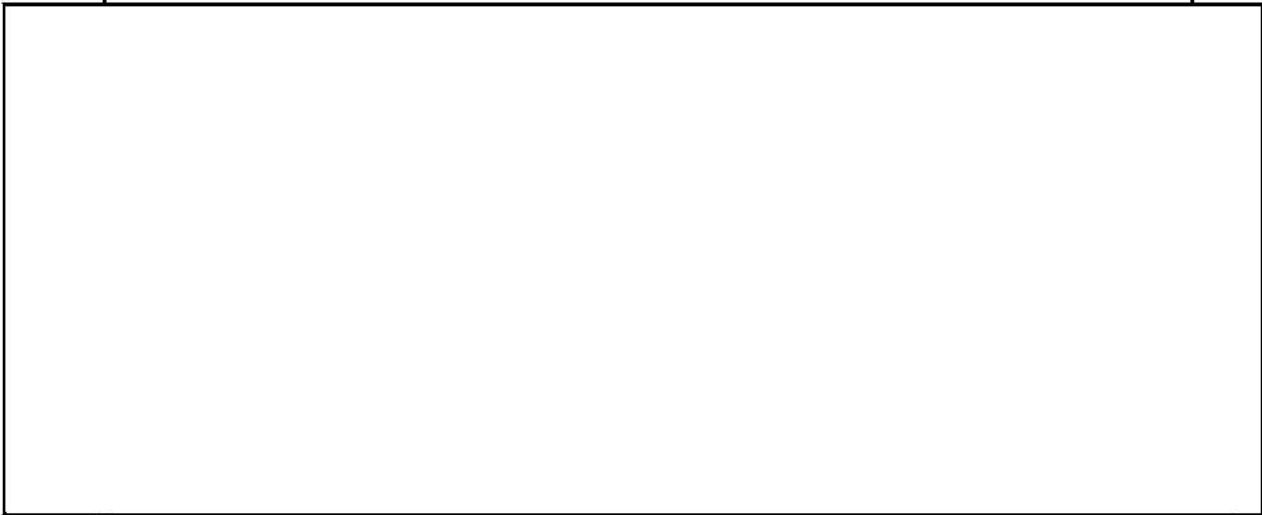
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[REDACTED]

AT APPROXIMATELY THE SAME TIME AS

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THE DISCUSSION SHIFTED FROM

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OVER THE NEXT TWO DAYS,

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[Redacted]

ALL OF THE ABOVE HAS ^{ARE} ~~BECOME~~ AWARE OF

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NONE OF THE AFOREMENTIONED

[Redacted]

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[Redacted]

ONE SUGGESTION OFFERED WAS

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IN CONCLUSION,

[REDACTED]

WAS CAN

BE SUMMARIZED AS FOLLOWS:

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IT WAS SOMEWHAT

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A CAUSE OF

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THE SIGNIFICANCE OF

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^PAGE 15 NEW YORK (196A-NY-1774) UNCLAS



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P 092042Z JUL 92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

SECTION ONE OF THREE SECTIONS

CITE: 77354077

PASS: SSA [redacted] FUGITIVE/GOVERNMENT RESERVATION CRIMES

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UNIT AND SSA [redacted] ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

REFERENCE NEW YORK TELETYPES TO BUREAU, DATED MAY 13, 1992 AND JUNE 8, 1992 AND NEW YORK TELEPHONE CALL TO SSA [redacted] BUREAU, ON JULY 1, 1992.

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ON [redacted] SPECIAL AGENT [redacted] OF THE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
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196A-NY-1774 File 2
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PAGE TWO IE FBINY 0056 UNCLAS

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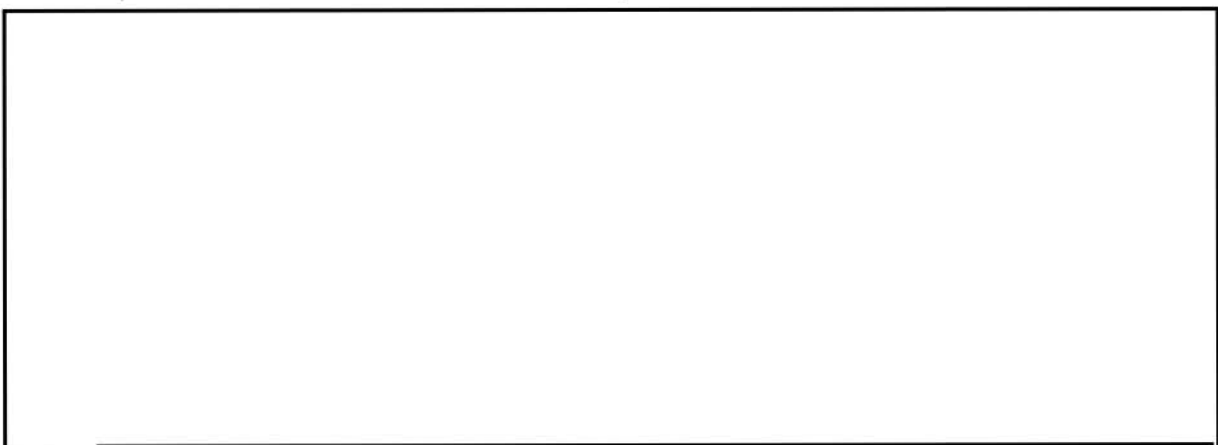
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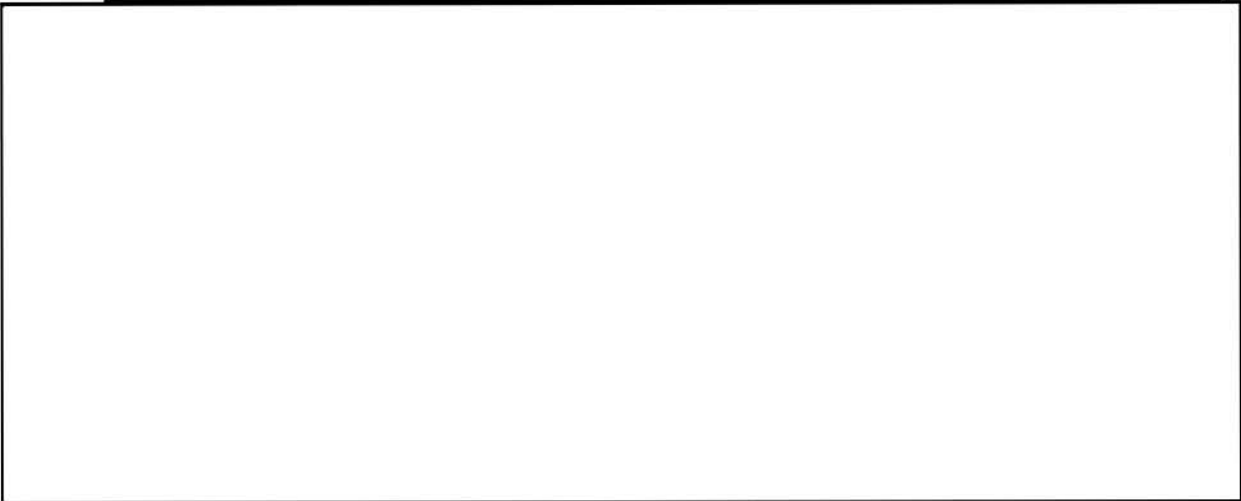
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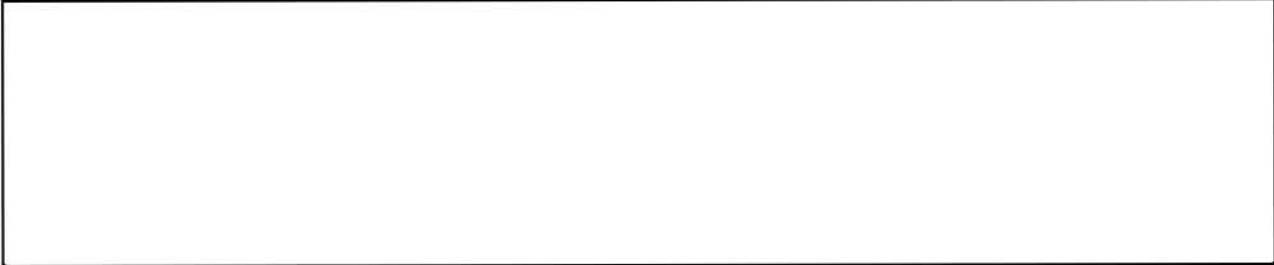


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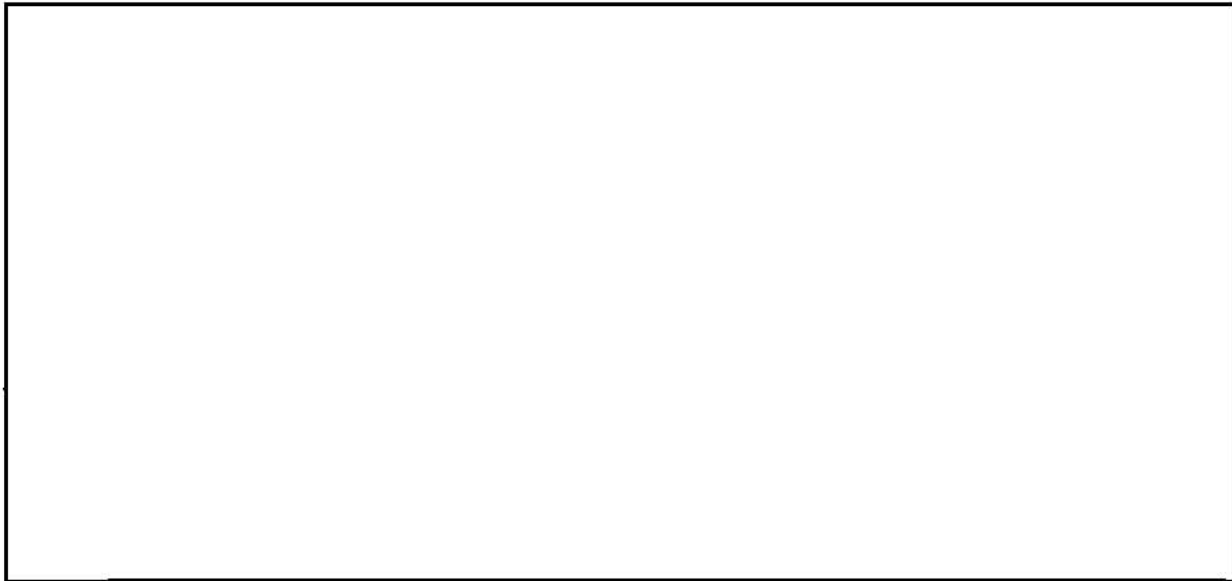


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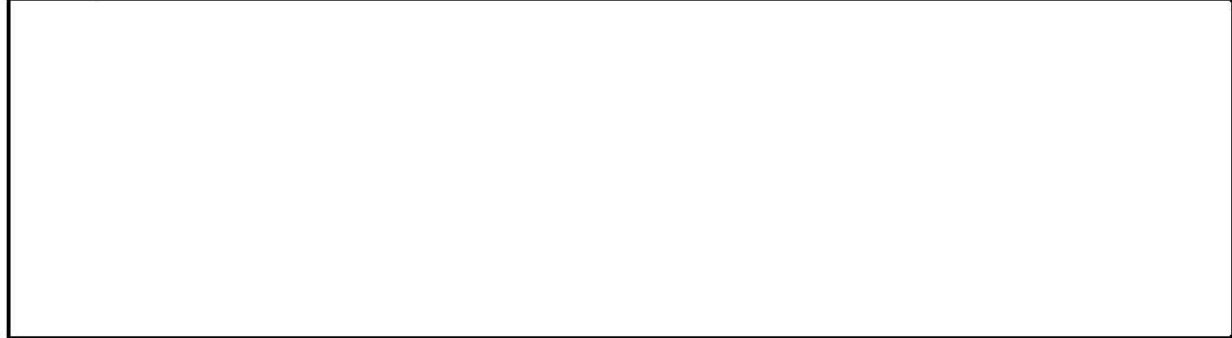
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FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

SECTION TWO OF THREE SECTIONS

CITE: //3540//

PASS: SSA [REDACTED] FUGITIVE/GOVERNMENT RESERVATION CRIMES

b6
b7C

UNIT AND SSA [REDACTED] ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
(B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE
ENEMY; OO:NY.

TEXT CONTINUES:

[REDACTED]

b6
b7C
b7D
b7E

[REDACTED]

b6
b7C
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[REDACTED]

[REDACTED]

SPECIAL

[REDACTED]

b6
b7C
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b7E

[REDACTED]

THE

[REDACTED]

[REDACTED]

ON

[REDACTED]

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b7E

[REDACTED]

[REDACTED]

PAGE THREE IE FBINY 0057 UNCLAS

[Redacted]

b6
b7C
b7D
b7E

[Redacted]

[Redacted]

b6
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b7E

[Redacted]

[Redacted]

[Redacted]

[Redacted]

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[Redacted]

[Redacted]

PAGE FOUR DE FEINY 0057 UNCLAS

[REDACTED]

ON

[REDACTED]

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[REDACTED]

THE DISCUSSION SHIFTED FROM

[REDACTED]

[REDACTED]

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THE

[REDACTED]

[REDACTED]

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THE

[REDACTED]

[REDACTED]

b7D
b7E

TD

PAGE FIVE DE FBINY 0057 UNCLAS

[REDACTED]

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OVER THE NEXT TWO DAYS, [REDACTED]

[REDACTED]

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b7C
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[REDACTED]

ALL OF THE ABOVE ARE AWARE OF [REDACTED]

[REDACTED]

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NONE OF THE AFOREMENTIONED [REDACTED]

[REDACTED]

PAGE SIX DE FBINY 0057 UNCLAS

[REDACTED]

b7D
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ONE SUGGESTION OFFERED WAS

[REDACTED]

[REDACTED]

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CN

[REDACTED]

[REDACTED]

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A

[REDACTED]

BT

#0057

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0103 MRI 01574

PP FBINY

DE FBINY #0058 1912050

ZNR UUUUU

F 092042Z JUL 92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

SECTION THREE OF THREE SECTIONS

DATE: //3540//

PASS: SSA [REDACTED] FUGITIVE/GOVERNMENT RESERVATION CRIMES

UNIT AND SSA [REDACTED] ECONOMIC CRIMES UNIT.

b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
(B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE
ENEMY; OO:NY.

TEXT CONTINUES:

b7D
b7E

[REDACTED]

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[REDACTED]

[REDACTED]

IN CONCLUSION,

[REDACTED]

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[REDACTED]

THE

[REDACTED]

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[REDACTED]

IT WAS SOMEWHAT

[REDACTED]

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[REDACTED]

A CAUSE OF

[REDACTED]



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THE SIGNIFICANCE OF



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196A-1774-28

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JUL 6 1963	
FBI - NEW YORK	

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LITTLE
FEDERAL BUREAU OF INVESTIGATION
UNIT EMERGENCY RESPONSE DIVISION



b6
b7c



FBI FACSIMILE COVERSHEET

CLASSIFICATION

PRECEDENCE

- Immediate
- Priority
- Routine

- Top Secret
- Secret
- Confidential
- Sensitive
- Unclassified

Time Transmitted: _____
 Sender's Initials: _____
 Number of Pages: _____

To: FBI
(Name of Office)

b6 Per FBI
b7C

Date: 7-8-92

Facsimile number: _____

Att: SSA C-12
(Via No) Room Telephone No.)

From: FBI - NYO
(Name of Office)

Subject: Immediate teletype
196A - NY - 1774

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY
 #01-081

Special Handling Instructions: hand carry to C-12 SSA
 or SA

b6 Per FBI
b7C

Originator's Name:

Telephone: X3710

Originator's Facsimile Number: _____

Approved: @mb

X
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196A - 1774 F 9 2 - 29

SEARCHED	INDEXED
SERIALIZED <u>SA</u>	FILED <u>SA</u>
JUL 08 1992	
FBI - NEW YORK	

FBI/DOJ

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① Sub Fig. 2

(X)

196A-NY-1774-32

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]
#01-081

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SEARCHED _____	INDEXED _____
SERIALIZED <u>Se</u>	FILED <u>Se</u>
JUN 18 1992	
FBI - NEW YORK	

Ravenswood robber in Russian ripoff

By Jim West

He is the billionaire who locked out the Ravenswood workers for more than a year and a half trying to bust their union and force their living standards down so he could make more profits.

He jumps at every chance to make megabucks coming to the aid of reactionary, anti-people governments, as when he helped South Africa get around the embargo by supplying it with oil.

He is the supreme example of capitalist-opportunist money-grubbing for whom corruption, bribery and lawlessness are tools for amassing billions.

He is a fugitive living in luxury in Switzerland evading prosecution under a 65-count indictment for tax fraud, racketeering and conspiracy — among other charges.

His name is Marc Rich and he does his slimy business through a Swiss-based firm which has a world-wide trading volume estimated at \$15 billion.

He established a toe-hold in Moscow in the early 1980s but quickly expanded it to thieving operations in 1990 when the doors were opened wide to capitalist inroads. Today, his Moscow office has some 50 employees, with dozens more in other Soviet cities.

Last year he arranged about \$1.2 billion in trade with the former Soviet Union, all of it in aluminum and oil. His profit margins come to two and three times above the average trade margins in world markets.

Forbes magazine writes, "True to form, Rich is shortchanging the Russians." (June 22, 1992). In receiving machinery and other commodities in exchange for their aluminum and oil, Soviet plants are known to lose 30 percent and more on the trade.

The breakdown in central planning and authority in

the former USSR was used by Rich and his ilk to play off and bribe local leaders and central authorities against each other.

Piotr Aven, Russian minister of foreign economic

relations, is reported as saying: "There have been several cases where long-term contacts have led to informal, often corrupt relationships. Firms that have long experience here know how much to pay to whom, what kind of presents to give, and then can purchase goods at cheaper prices than it costs to produce them. We have evidence against several such companies." (Ibid.)

All this corruption, bribery and ripoffs are at the expense of the Soviet people, whose living standards worsen from day to day.

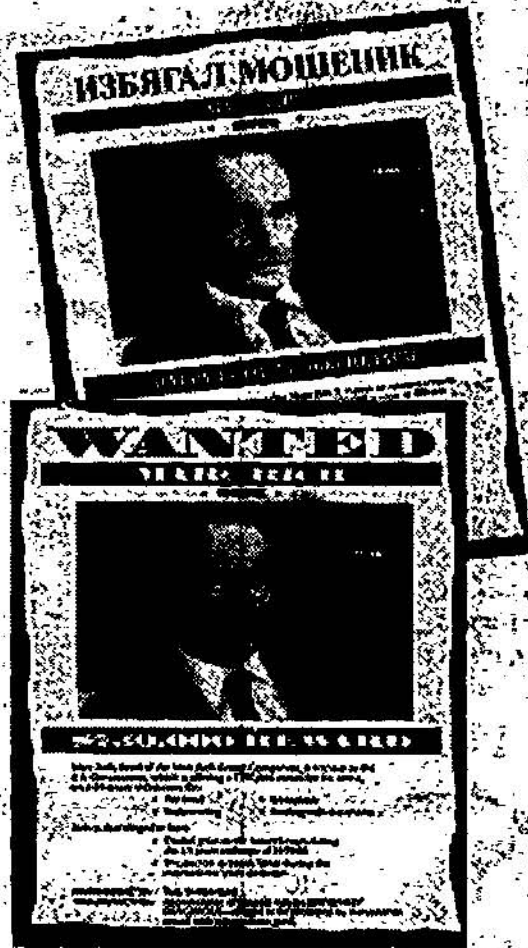
Rich has also moved into Romania and Bulgaria.

The Yeltsins and Gorbachevs are painting pictures of capitalism as a blessing. "Learn from America," they say. For Marc Rich and his corporate class cronies it is indeed a blessing. For the laboring masses it is a daily fight to stay alive.

Capitalism's reality as a system of exploitation and oppression, of corporate thievery and corruption is being brought home to the Soviet peoples by the likes of Marc Rich. The realization is dawning that the class struggle does, indeed, exist in their country as well.

Still, there is something to learn from the United States of America; from that other America represented by the workers of Marc Rich's Ravenswood Alumina Company. It is the lesson of class unity across national and racial lines, of solidarity and unbending determination to win against all odds, against starvation and the threat of loss of homes and all the gains made through years of struggle; Soviet workers had won far more in the 70 years of existence of Socialism in the land of Lenin. They have more to lose.

Jim West is chairperson of the education commission of the CPUSA.



Pg. 17

THE PEOPLE'S WEEKLY WORLD 6/27/92

0071 MRI 00842

PP RUCNFB FBINY

DE FBIWMFO #0018 2111623

ZNY SSSSS

P 291448Z JUL 92

FM FBI WMFO (196A-NY-1774) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

S E C R E T

SECTION ONE OF TWO SECTIONS

CITE: //3920//

PASS: FBIHQ CID SSA [redacted] FUGITIVE UNIT; SSA [redacted]

[redacted] INTD, CI-1B AND CI-3A; NEW YORK SA [redacted]

SQUAD I-6 AND NEW YORK SA [redacted] SQUAD C-12.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
(B) FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;
OO:NEW YORK.

RE WMFO TELETYPE, 5/29/92, AND TELCALLS OF WMFO SA

[redacted] WITH NEW YORK SAS [redacted]

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COPY: I-6

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196A-NY-1774-33

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 29 1992	
FBI - NEW YORK	

*BS/PL
7-29-92
① Fug sub File 2*

~~SECRET~~

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.~~

2/21/01
CLASSIFIED BY: [redacted]
REASON: 1.5 (cd)
DECLASSIFY ON: X 1/6

#01-081

[REDACTED] 7/22 AND 24/92.

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WARNING: FOREIGN INTELLIGENCE INFORMATION; THIS COMMUNICATION IS CLASSIFIED "SECRET" IN ITS ENTIRETY.

WARNING: INFORMATION FROM [REDACTED] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY.

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SOURCE IS A HIGH LEVEL INFORMANT [REDACTED]

[REDACTED] WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY. (S)

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WARNING: [REDACTED]

(S) (U)

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

WARNING: [REDACTED]

b7E

WARNING: FOR PROTECTION OF SOURCE, THE FOLLOWING HIGHLY SINGULAR INFORMATION IS NOT TO BE DISSEMINATED OUTSIDE THE FBI

~~SECRET~~

WITHOUT CONSULTING NEW YORK AND WMFO.

FOR INFORMATION OF FBIHQ FUGITIVE UNIT AND NEW YORK SA

[REDACTED]

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[REDACTED] (~~S~~) (U)

SOURCE EXPECTS

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[REDACTED] (~~S~~) (U)

REFERENCED TELETYPE NOTED SOURCE'S

[REDACTED]

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PROVIDED BY SOURCE TO WMFO SA [REDACTED] DURING THE PERIOD FROM

[REDACTED] (~~S~~) (U)

SOURCE

[REDACTED] (~~S~~) (U)

[Redacted]

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IN REGARD TO

[Redacted]

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SOURCE OBSERVED

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WHILE IN

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THROUGH CONTACTS

[Redacted]

[Large Redacted Area]

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[Redacted] ~~(S)~~ (U)

LEAD:

FBIHQ IS REQUESTED TO CONTACT APPROPRIATE OFFICIALS AT
U.S. DEPARTMENT OF STATE, U.S. CUSTOMS AND IMMIGRATION AND ~~(S)~~ (U)

~~SECRET~~

NATURALIZATION SERVICE

[REDACTED]

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[REDACTED]

[REDACTED]

~~(S)~~ (U)

ADMINISTRATIVE:

FOR INFORMATION OF FBIHQ AND NEW YORK, SOURCE ADVISED

[REDACTED]

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[REDACTED]

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IT IS POSSIBLE THAT

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PP RUCNFB FBINY

DE FBIWMFO #0019 2111624

ZNY SSSSS

P 291448Z JUL 92

FM FBI WMFO (196A-NY-1774) (P) (C-9)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

S E C R E T

SECTION TWO OF TWO SECTIONS

CITE: //3920//

PASS: FBIHQ CID SSA [redacted] FUGITIVE UNIT; SSA [redacted]

b6
b7C

[redacted] INTD, CI-1B AND CI-3A; NEW YORK SA [redacted]

SQUAD I-6 AND NEW YORK SA [redacted] SQUAD C-12.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE

(B) FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

OO: NEW YORK.

TEXT CONTINUES:

[redacted]

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196A-NY-1774
Fug 29 33
JUL 29 1992

[REDACTED]

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FBIHQ AND NEW YORK WILL BE KEPT APPRISED OF INFORMATION DEVELOPED BY SOURCE [REDACTED] IN VIEW OF THE EXTREME SENSITIVITY OF SOURCE, DISSEMINATION OF THE ABOVE INFORMATION OUTSIDE THE FBI COULD RESULT IN DISCLOSURE OF SOURCE'S IDENTITY. (S)(u)

C BY G-3 DECISION DADR.

BT

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196A-1774 Fug 2-36

SEARCHED	INDEXED
SERIALIZED <i>SW</i>	FILED <i>SW</i>
AUG 21 1992	
FBI NEW YORK	

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R 102345Z NOV 92
FM FBI WMFO (196A-NY-1774) (P) (C-9)
TO DIRECTOR FBI/ROUTINE/
FBI NEW YORK/ROUTINE/
BT

~~ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.~~

UNCLAS E F T O

CITE: //3920//

PASS: FBIHQ CID SSA [redacted] FUGITIVE UNIT, CID; ECONOMIC
CRIMES UNIT, CID; SSA [redacted] INTD, CI-1B AND CI-
3A; NEW YORK SA [redacted] SQUAD E-6 AND NEW YORK SA
[redacted] SQUAD C-12.

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2/21/01
CLASSIFIED BY: [redacted]
REASON: 1.5 (e) (1)
DECLASSIFY ON: X 1

#01-081

b6
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE
(B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE
ENEMY; OO:NEW YORK.



FOR INFORMATION OF FBIHQ AND NEW YORK, ON 11/10/92 A
SENSITIVE AND RELIABLE SOURCE PROVIDED THE FOLLOWING

to do so
11/10/92

T-6
C-12

[redacted] (S) (U)
196A-NY-1774 Fug 2-42
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SERIALIZED [initials] FILED [initials]
NOV 10 1992
NEW YORK (11)

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① Fugitive sub File + ~~SECRET~~

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DE FBIWMFO OOES UNCLAS E F T O

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ATION TO WMFO SA [REDACTED]

SOURCE LEARNED [REDACTED]

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~~(S)~~ (U)

SOURCE WILL CONTINUE EFFORTS TO DEVELOP INFORMATION

CONCERNING [REDACTED]

ADMINISTRATIVE:

SOURCE PROVIDING THE ABOVE INFORMATION IS [REDACTED]

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SOURCE LEARNED THAT [REDACTED]

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REF DE FBIWMFO 0059 UNCLAS E F T O

[REDACTED]

[REDACTED] (S) (U) (S) (U)

MINUTE INFORMATION FROM [REDACTED] IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY. SOURCE IS A HIGH LEVEL INFORMANT [REDACTED]

b6
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b7E

[REDACTED] WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY.

WARNING: [REDACTED]

[REDACTED]

[REDACTED] (S) (U)

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

WARNING: [REDACTED]

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[REDACTED]

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1.*

196A-NY-1774
JCM:mmb

On November 12, 1992, [redacted] furnished the following to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation:

Source said he/she has recently learned [redacted]
[redacted]

Source added he/she is [redacted]
[redacted]

b6
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b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

#01-081

196A-NY-1774-Sub File 2

SEARCHED	INDEXED
SERIALIZED	FILED
DEC 04 1992	
FBI-NEW YORK	

-45

1.*

196A-NY-1774
JCM:mmb

On November 12, 1992, [redacted] furnished the following to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation:

Source advised he/she does not [redacted]

[redacted]

Source added he/she [redacted]

[redacted]

Source further added he/she will [redacted]

[redacted]

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b7D

b6
b7C

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY [redacted]

#01-081

196A-1774-Sub fug 2-4/6

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DEC 04 1992	
FBI-NEW YORK	

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FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 12/17/92

TO : DIRECTOR, FBI

FROM : ADIC, NEW YORK (196A-NY-1774) (P)

SUBJECT : MARC RICH - FUG (B);
 PINCUS GREEN - FUG (B);
 FBW, MF, RICO, INCOME
 TAX EVASION, TRADING WITH
 THE ENEMY
 OO:NY

Re Bu Airtel to NY dated 11/17/92.

SA [redacted] C-12, NYO, will interview
 [redacted] pursuant to the [redacted] request.

[redacted] has
 been contacted as well as one [redacted] who has been
 [redacted] The
 interview is tentatively scheduled for 1/8/93.

NYO will furnish results of interview.

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/21/01 BY [redacted]
 #01-081

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P

- 4 - Bureau
 - (1 - Foreign Liaison Unit I, Room 7446)
 - (1 - Economic Crimes Unit)
 - (1 - Fugitive/Government Reservation Crimes Unit)

① - New York FUG sub 2

JCM:da
(5)

196A-1774-549 2-47
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 indexed _____
 filed _____
 12/17/92 ✓

NEW YORK POST
- 12/17/92

Did th

Page Six™

By FRANK D'GIACOMO,
FLORENCE ANTHONY
and BILL HOFFMANN

Joanna Molloy is on vacation

That's Rich

HAMPTONS hotlips are humming over news about fugitive financier Marc Rich. Rumors persist that Rich — who's been living in Switzerland and Spain, out of the reach of prosecution on tax evasion, racketeering and other charges — has quietly settled with the government and may be coming back to the States. The talk has been fueled by a recent report in the Long Island weekly Dan's Papers that Rich may be the mysterious prospective buyer for the Southampton Bath & Tennis Club on Gin Lane. A bank has moved to foreclose, but the club's current owner George Semerjian claims to have an anonymous buyer. Rich's ex-wife, Denise, has also been whispered as a possible purchaser. A source close to the Riches calls the reports "as far from the truth as possible."

196A-NY-1774-Sub Fig 2
48

(X)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/2/01 BY [redacted]
#01-081

SEARCHED INDEXED
SERIALIZED *Se* FILED *Se*
DEC 17 1992
FBI-NEW YORK

① sub Fig 2

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b7c

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1218760-0

Total Deleted Page(s) = 23

- Page 2 ~ Duplicate;
- Page 3 ~ Duplicate;
- Page 5 ~ Referral/Consult;
- Page 6 ~ Referral/Consult;
- Page 7 ~ Referral/Consult;
- Page 8 ~ Referral/Consult;
- Page 9 ~ Referral/Consult;
- Page 19 ~ Referral/Consult;
- Page 20 ~ Referral/Consult;
- Page 21 ~ Referral/Consult;
- Page 22 ~ Referral/Consult;
- Page 23 ~ Referral/Consult;
- Page 24 ~ Referral/Consult;
- Page 25 ~ Referral/Consult;
- Page 26 ~ Referral/Consult;
- Page 27 ~ Referral/Consult;
- Page 28 ~ Referral/Consult;
- Page 29 ~ Referral/Consult;
- Page 30 ~ Referral/Consult;
- Page 31 ~ Referral/Consult;
- Page 32 ~ Referral/Consult;
- Page 33 ~ Referral/Consult;
- Page 40 ~ Referral/Consult;

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X For this Page X  
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Original FD-302

Memorandum

P
3/20/91
JAR



8/27

C-12

To : SAC New York (196B-1774)

Date 8/16/90

ADD/AS
From : SAC Houston (196B-881)

RUC

Subject : Mark Rich; Pincus Green
(Title)

File Destruction Program

Enclosed are 4 items.
These items are forwarded your office since:

- All logical investigation completed in this Division
- You were OO at the time our case was RUC'd.

Enclosures are described as follows:

- 3 → FD-340's
- 1 → FD-302

No Record Last name..... Searches Completed: _____
 No Middle initials..... Automated & Manual.....
 Numerous Middle Names..... Automated Only.....
 Manual Only.....

196B-1774-520X2

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 27 1990	
FBI - NEW YORK	

(7)
C-12

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/27/01 BY 01-081



b6
b7C

See Exhibits
1A 29, 1A 30, 1A 31

Enc. 4

NOTE: DO NOT BLOCK STAMP ORIGINAL ENCLOSURES.

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 10/24/90

TO: SAC, NEW HAVEN

FROM: ADIC, NEW YORK (196A-NY-1774) P (C-12)

SUBJECT: MARC RICH-FUGITIVE(B);
 PINCUS GREEN-FUGITIVE(B);
 FBW, MF, RICO, INCOME TAX
 VIOLATION, TRADING WITH THE
 ENEMY;
 (OO:NY)

ReSFTeletype to NY of 10/19/90.

Enclosed for information of New Haven is a copy of the referenced teletype.

The NYO is conducting no investigation concerning the information in the teletype at this time. nor is any request being made of New Haven.

b6
b7C

ALL INFORMATION CONTAINED
 HEREIN IS UNCLASSIFIED
 DATE 2/27/01 BY 01-081

2- New Haven (encl.1)
 1- New York
 JCM:jcm

196A-NY-1774-522
 10/24/90

SEARCHED _____
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 FILED LE

(X) 9

Approved: _____ Transmitted _____ Per _____
 (Number) (Time)

0012 MRI 00048
PP FBINY
DE FBISF #0001 2920140
ZNR UUUUU
P 190038Z OCT 90
FM FBI SAN FRANCISCO (196B-1536) (RUC) (SQ 5)
TO FBI NEW YORK (196B-1774) (SQ C12) /PRIORITY/
BT
UNCLAS
CITE: //3790//

SUBJECT: MARC RICH (FUGITIVE) DBA MARC RICH AND COMPANY; ET AL; FRAUD
BY WIRE-ENERGY RELATED; OO: NEW YORK.

RE: SAN FRANCISCO PHONE CALL TO NEW YORK, SQ C12, DATED 10/18/90
AND SAN FRANCISCO AIRTEL TO NEW YORK, DATED 2/14/83.

THE FOLLOWING INFORMATION IS BEING PROVIDED FOR CONSIDERATION AT
NEW YORK AND THE SOURCE OF THIS INFORMATION SHOULD BE PROTECTED BY
REQUEST.

ON OCTOBER 15, 1990 THE SAN FRANCISCO DIVISION LEARNED FROM ONE
OF ITS [REDACTED] SOURCES THAT [REDACTED]

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lgm
10-19-90

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/27/01 BY 01-081

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AUTOMATED RECORD

196B-1774-523

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 18 1990	
FBI - NEW YORK	

[REDACTED]

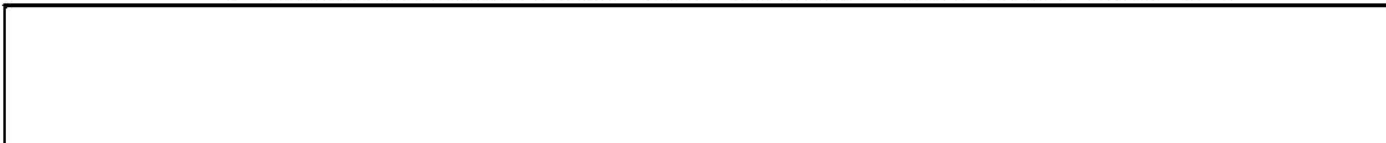
C12

PAGE TWO DE FBISF 0001 UNCLAS



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SAN FRANCISCO DIVISION HAS BEEN ADVISED THAT THE FOLLOWING



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[REDACTED]

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NEW YORK SHOULD NOTE THAT [REDACTED] HAS DATE OF BIRTH OF

[REDACTED] AND SOCIAL SECURITY ACCOUNT NUMBER OF [REDACTED] HE

POSSESSES [REDACTED] DRIVER'S LICENSE [REDACTED]

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[REDACTED]

[REDACTED] THE SAN FRANCISCO INDICES ARE NEGATIVE CONCERNING

A REVIEW OF NCIC INDICATES THAT RICH IS CURRENTLY A FUGITIVE AND THAT IF RICH IS ENCOUNTERED, NOTIFICATION SHOULD BE MADE TO AGENT [REDACTED] OF C1, THE NEW YORK DIVISION OF THE FBI. THIS NCIC PRINTOUT ALSO INDICATES THAT IF RICH IS LOCATED THAT [REDACTED] SHOULD ALSO BE CONTACTED AT [REDACTED]

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PAGE FOUR DE FBISF 0001 UNCLAS

SAN FRANCISCO DIVISION IS LEAVING NEW YORK THE DISCRETION AS TO WHETHER OR NOT THIS INFORMATION SHOULD BE DISSEMINATED TO NEW HAVEN FOR CONSIDERATION. SAN FRANCISCO MAY POSSIBLY BE OF FUTURE ASSISTANCE IN PROVIDING ADDITIONAL INFORMATION REGARDING THE AFDREMENTIONED

[REDACTED] SAN FRANCISCO IS RUC'ING THIS MATTER FOR ADMINISTRATIVE PURPOSES. SHOULD NEW YORK DESIRE ANY ADDITIONAL INFORMATION PLEASE CONTACT SA [REDACTED] SQ 5, FTS NUMBER 448-9429. THIS MATTER IS RUC'D.

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BT

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TO: SA [redacted]

SQ 61

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FROM [redacted]

SQ I-6

ENJOY

Marc Rich Buys Phibro Unit

LONDON, Nov. 8 (Reuters) — The commodity trading company Marc Rich & Company said today that it had bought the base metals business of Philipp Brothers, which has been dismantled by its parent, Salomon Inc.

The company, owned by the fugitive commodity trader Marc Rich, did not disclose how much it paid for Philipp, which is known in the industry as Phibro. Industry officials said the deal was worth around \$200 million.

In a statement from its head office in Switzerland, the company said: "Marc Rich has taken over contracts in the following metals: aluminum, copper, zinc, nickel and tin. It has also absorbed copper concentrates contracts."

Marc Rich & Company bought the non-American metals business of Philipp, while Clarendon Ltd., which is 49 percent owned by Marc Rich, has absorbed business based in the United States. The rest of Clarendon

is owned by Alexander Hackel, who was a partner of Marc Rich when the company was formed in early 1974.

Clarendon is based in Zug, Switzerland, but it has a large American operation in Stamford, Conn.

The Federal Government is believed to have scrutinized the sale, because Marc Rich faces criminal charges in one of the biggest United States tax evasion cases ever.

Mr. Rich is said to have traded Iranian crude oil during the 1979 Iran hostage crisis, defied White House-imposed price controls on oil and moved his profits to Switzerland.

In 1983, Mr. Rich and his partner, Pincus Green, fled the United States for Switzerland. The Swiss refused to extradite them, saying their crimes were not covered by the two countries' treaty.

A year later, Mr. Rich's company paid the Government more than \$200 million in back taxes, fines and interest stemming from illegal oil trading so Clarendon could resume business.

99D-18 NY TIMES 11/9/90

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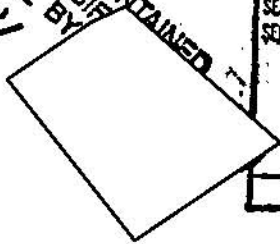
196A-1774-526

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 15 1990	
FBI - NEW YORK	

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196A-1774-527

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 1/27/01 BY 101-081



SEARCHED	INDEXED
SERIALIZED <u>LE</u>	FILED <u>LE</u>
NOV 27 1990	
FBI - NEW YORK	

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[redacted] This is from the Swiss Magazine "NOT" pronounced
as NOTE from OCTOBER 1990 [redacted]



Oben: Geburtstagskind Max Hen mit Gundel Fuchsberger, Ehefrau Sophie Hen und Star guest Joachim Fuchsberger (von links). Links: Noch einige Scheu vor dem Blitzlicht des Fotografen: Der frischgetrennte Marc Rich mit neuer Lebensgefährtin Gisela Rossi.

Zur Showtime spielte einer mit seiner kompete auf, der auch schon ein paar Ähren (68 1/2 genau) auf dem Buckel hat, aber noch immer swingt und singt wie in seinen besten Tagen - Huzy Osterwald, der dank seiner dritten Ehefrau Eleonore Osterwald aus dem tiefsten Tief seines Lebens glücklich herausgefunden hat und wieder europaweit mit seiner Band erfolgreich ist.

Gesprächsthema Nr. 1 allerdings war ein Paar, das wohl nicht so schnell den Weg zum Traualtar finden wird: Marc Rich, der beste Steuerzahler der Schweiz, und seine neue grosse Liebe Gisela Rossi. Ein Vermögen von drei Milliarden Schweizer Franken, so scheint's, ist schlicht zu gross, um halbiert zu werden. Deshalb haben sich der Züger Rohstoffhändler und seine Ehefrau, Sängerin Denise Rich, auf eine göttliche Trennung von Tisch und Bett, aber ohne Vermögenstreitigkeiten geeinigt.

AUTOMATED RECORD

196A NY-1774-528

SEARCHED	INDEXED
SERIALIZED <u>LE</u>	FILED <u>LE</u>
DEC 11 1990	
FBI - NEW YORK	

FEDERAL BUREAU OF INVESTIGATION

-1-

Date of transcription
12/10/90

[redacted] (Protect Identity) (PI), white
male, born [redacted]

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[redacted] voluntarily consented to be interviewed by Special Agent
(SA) [redacted] and United States Marshal [redacted]

[redacted] He furnished the
following:

[redacted] (PI) advised that [redacted]

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Investigation on 11/20/90 at New York, New York File # NY 196A-NY-1774

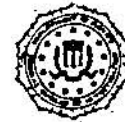
By SA [redacted] /kal

Date dictated 11/20/90

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Classified by [redacted]

Memorandum



To : DADIC/SAC, DIVISION II
(ATTN: SUPERVISOR, SECTION A7)

Date 2/1/91

From : ADIC, NEW YORK (66-7566)



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Subject : NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 2/14/91.

(Date)

PURGE _____

Date of Recovery _____

Date of Arrest _____

MAINTAIN _____

MODIFY _____
(Updated information attached)

CASE AGENT

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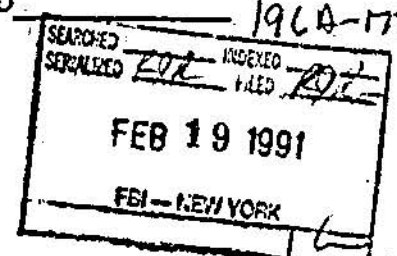
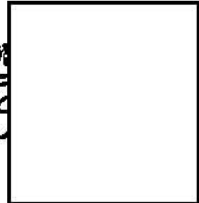
SUPERVISORY SA

DATE RETURNED _____

196A-1774-530

D JDMO:
Rev. 6/6/86

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DATE 2/2/01 BY dr-081



BROADCAST "APTS" IF REGIONAL DISTRIBUTION MIGHT AID IN THE TIMELY APPREHENSION OF THE WANTED PERSON; COMPUTER ONLY IF BROADCAST SERVES NO USEFUL PURPOSE.

QFBC WMOD

03569 FILE 5 FBI NEW YORK NYFBINYOO
TO APB

FEB 14-91 ADDED INFO

REF QFBC5851

WANTED PERSON MODIFICATION

:MKE/*:ORI/NYFBINYOO

:NAM/RICH, MARC

:OCA/QFBC5851

MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774

[REDACTED] C12.

ADDITIONAL COMMENTS

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AUTH SA [REDACTED]

[REDACTED] 9-20AM

N 0930-0930 02/14/91 02246088 QFBC16203 PART 001 OF 001

NYSP QFBC

ACK SEQ NUM 03569

WMOD NAM/RICH, MARC

OCA/QFBC5851

WPR/0302454

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HEREIN IS UNCLASSIFIED
DATE 2/21/01 BY
01-081

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N 0930-0930 02/14/91 02246334 QFBC16204 PART 001 DF 001
NYIS WMOD QFBC
ACK 03569
NYFBINY00

DCJS & NCIC MODIFY RESULTS

MODIFIED ON BOTH FILES

NAM/RICH, MARC

OCA/QFBC5851

DATA MODIFIED: MIS

YOUR RECORD AS IT NOW APPEARS ON FILE WILL FOLLOW.

N 0930-0930 02/14/91 02246369 QFBC16205 PART 001 DF 001
NYIS WMOD QFBC
ACK 03569
NYFBINY00

DCJS & NCIC MODIFY RESULTS

WANTED PERSON

ORI/NYFBINY00 FBI NEW YORK
NAM/RICH, MARC SEX/M RAC/W DOB/121834
SOC/496343075 POB/BG HGT/510 WGT/180 HAI/XXX
LAW CAT/F NCIC OFF/2608 FRAUD BY WIRE-
OCA/QFBC5851 WARRANT TYPE/ARREST WARRANT
WARRANT STATUS/ACTIVE
DOW/091983 EXT/Z
MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 1968-1774 C12

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WPR/0302454 NIC/W321531799

THE WANTING AGENCY HAS INDICATED IT WILL EXTRADITE THROUGHOUT
NEW YORK STATE AND OUTSIDE THE STATE.

FOLLOW-THROUGH

EDITED BY EDWARD GILTENAN

Busy fugitive

FOR ALMOST EIGHT YEARS, wily commodities trader Marc Rich has been a fugitive from U.S. justice, wanted on criminal tax evasion charges. Yet from his lair in Zug, Switzerland, Rich, estimated to be worth around \$800 million, keeps finding ways to do business with Uncle Sam. After a FORBES article (June 12, 1989) ex-



Rob Nagler

Fugitive commodities trader Marc Rich
In his metals we trust.

posed Rich's role as a middleman in the shipment of American grain to the Soviet Union, the U.S. government withdrew its business from his Richco grain trading outfit. But just last month another Rich affiliate, Clarendon Ltd., won bids to supply \$1.3 million worth of nickel and \$4 million of copper to the U.S. Mint. That's on top of some \$23 million of nickel, zinc and copper that Clarendon has sup-

plied to the Mint in the last two years.

Clarendon may now be the world's biggest trader of metals on the spot market. In November Rich bought various metals contracts belonging to Salomon, Inc.'s Philipp Bros. unit, where Rich got his start as a trader, almost two decades ago. The acquisition will enhance Rich's power in aluminum, copper, zinc, tin, nickel and copper concentrates. And Rich's strength in aluminum (FORBES, Dec. 29, 1986) will compound if a consortium that includes Clarendon gets the nod to build an aluminum smelter in Venezuela—just 650 miles across the Caribbean from the rich bauxite mines of Jamaica.

A busy fugitive, Rich. On the oil front, he's trading Soviet crude. During the American hostage crisis, Rich dealt heavily in embargoed Iranian crude. With that kind of record, it would be no surprise if Rich were behind any oil Saddam Hussein can spirit out of Iraq or Kuwait.

Leveraged givebacks?

THE BASS BROTHERS' leveraged buyout of Darling-Delaware Co. (FORBES, July 10, 1989) may not have such a happy ending for the financiers. Sid and Lee Bass and several partners took a \$180 million dividend out of the fat-rendering company in 1988. But since Darling defaulted last September on \$175 million in subordinated notes, bondholders have launched a fierce counterattack.

To pay themselves that massive div-

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FEB 26 1991
FBI - NY