

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1218760-0

Total Deleted Page(s) = 207

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This document is made available through the declassification efforts  
and research of John Greenewald, Jr., creator of:

# The Black Vault



The Black Vault is the largest online Freedom of Information Act (FOIA)  
document clearinghouse in the world. The research efforts here are  
responsible for the declassification of hundreds of thousands of pages  
released by the U.S. Government & Military.

**Discover the Truth** at: <http://www.theblackvault.com>

Memorandum



C-12

To : DADIC/SAC, DIVISION  
(ATT; SUPERVISOR, SECTION

Date 4/3/91

From : ADIC, NEW YORK (66-7566)



b6  
b7C

Subject : NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by

4/12/91  
(Date)

9

PURGE \_\_\_\_\_

Date of Recovery \_\_\_\_\_

Date of Arrest \_\_\_\_\_

MAINTAIN  \_\_\_\_\_

MODIFY \_\_\_\_\_  
(Updated \_\_\_\_\_)

CASE AGENT \_\_\_\_\_ (C-12)

SUPERVISORY SA \_\_\_\_\_

DATE RETURNED: 196A-1774-531X1

b6  
b7C

DDMO: Rev. 6/6/86

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-00-01 BY [Redacted]  
01-081

SEARCHED INDEXED SERIALIZED FILED  
MAY 14 1991  
FBI-NEW YORK

4/26/91

BROADCAST "APTS" IF REGIONAL DISTRIBUTION MI [REDACTED] IN THE TIMELY APPREHENSION OF THE WANTED PERSON; COMPUTER ONLY IF BRO [REDACTED] SERVES NO USEFUL PURPOSE.

QFBC WMOD

08113 FILE 5 FBI NEW YORK NYFBIN [REDACTED] APR 26-91 ADDED INFO  
TO APB

REF QFBC6671

b6  
b7C

WANTED PERSON MODIFICATION

:MKE/\*:DRI/NYFBINYO0

:NAM/GREEN,PINCUS

:OCA/QFBC6671

MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 1968-1774 [REDACTED] C12.

ADDITIONAL COMMENTS

AUTH SA [REDACTED]

[REDACTED] 10-01AM

N 1001-1001 04/26/91 01471423 QFBC37692 PART 001 OF 001

NYSP QFBC

ACK SEQ NUM 08113

WMOD : NAM/GREEN,PINCUS

OCA/QFBC6671

WPR/0307502

2

N 1006-1006 04/26/91 01478536 QFBC37696 PART 001 OF 001  
NYIS WMOD QFBC  
ACK 08113  
NYFBINYO0

DCJS & NCIC MODIFY RESULTS

MODIFIED ON BOTH FILES  
NAM/GREEN, PINCUS  
DATA MODIFIED: MIS

DCA/QFBC6671

-----  
-----  
YOUR RECORD AS IT NOW APPEARS ON FILE WILL FOLLOW.

N 1006-1006 04/26/91 01478571 QFBC37697 PART 001 OF 001  
NYIS WMOD QFBC  
ACK 08113  
NYFBINYO0

DCJS & NCIC MODIFY RESULTS

WANTED PERSON

ORI/NYFBINYO0 FBI NEW YORK  
NAM/GREEN, PINCUS SEX/M RAC/W DOB/[REDACTED]  
SOC/[REDACTED] POB/NY HGT/510 WGT/180 HAI/GRY  
LAW CAT/F NCIC OFF/2699 FRAUD-  
QCA/QFBC6671 WARRANT TYPE/ARREST WARRANT  
WARRANT STATUS/ACTIVE  
DOW/091983 EXT/Z  
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 1968-1774 [REDACTED] C12

b6  
b7c

WPR/0307502 NIC/W324077114

THE WANTING AGENCY HAS INDICATED IT WILL EXTRADITE THROUGHOUT  
NEW YORK STATE AND OUTSIDE THE STATE.

[REDACTED]

③

*Please*

Date \_\_\_\_\_

To:  Director

Att: \_\_\_\_\_

FILE # \_\_\_\_\_

- SAC
- ASAC
- Supv.
- Agent
- OSM
- Rotor #
- Steno
- Typist
- M. Room

Title \_\_\_\_\_

b6

b7C

RE: \_\_\_\_\_

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Acknowledge                              | <input type="checkbox"/> For information                               | <input type="checkbox"/> Return assignment card                      |
| <input type="checkbox"/> Assign <input type="checkbox"/> Reassign | <input type="checkbox"/> Handle  | <input type="checkbox"/> Return file <input type="checkbox"/> serial |
| <input type="checkbox"/> Bring file                               | <input type="checkbox"/> Initial & return                              |  |
| <input type="checkbox"/> Call me                                  | <input type="checkbox"/> Leads need attention                          | <input type="checkbox"/> Return with action taken                    |
| <input type="checkbox"/> Correct                                  | <input type="checkbox"/> Open case                                     | <input type="checkbox"/> Return with explanation                     |
| <input type="checkbox"/> Deadline _____                           | <input type="checkbox"/> Prepare lead cards                            | <input type="checkbox"/> Search and return                           |
| <input type="checkbox"/> Delinquent                               | <input type="checkbox"/> Prepare tickler                               | <input type="checkbox"/> See me                                      |
| <input type="checkbox"/> Discontinue                              | <input type="checkbox"/> Recharge file <input type="checkbox"/> serial | <input type="checkbox"/> Type  |
| <input type="checkbox"/> Expedite                                 |  |  |
| <input type="checkbox"/> File                                     | <input type="checkbox"/> Send to _____                                 |  |

This printout in its entirety should be placed in the following file (Case file) + FD 487 filled out

Thank you

SAC \_\_\_\_\_  
Office \_\_\_\_\_

See reverse side

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]  
01-081

Please place in File

(H)

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date APR 12 1991

1 TO : DIRECTOR, FBI (196A-NY-1774)

2 FROM : ADIC, NEW YORK (196A-NY-1774) (P\*) (C-12)

3 SUBJECT : MARC RICH -

4 FUGITIVE (B);

5 PINCUS GREEN -

6 FUGITIVE (B);

7 FBW; MF; RICO;

8 INCOME TAX EVASION;

9 TRADING WITH THE ENEMY;

10 OO:NY

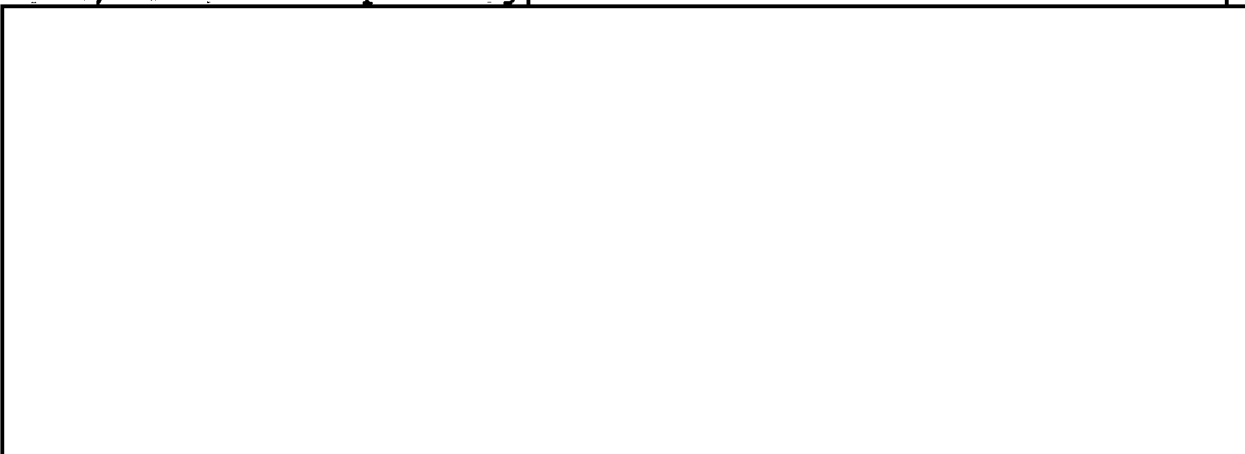
11 UACB this matter is being placed in P\* status as

12 all leads have been exhausted and both subjects reside in

13 Switzerland.

14 This matter was opened by the NYO during October,

15 1981, when two cooperating [redacted]



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b7D  
b7E

(X)

16 2 - Bureau (196A-NY-1774)

17 1 - New York (196A-NY-1774)

18 JCM:axh

19 (4)

*Return*

*Place in Done 4-18-91*

*P\* for 6 months (10/12/91) CE*

*4/11/91*

196A NY 1774 - 532

SEARCHED \_\_\_\_\_

SERIALIZED 7C

INDEXED \_\_\_\_\_

(Number) (Time) LED 7C

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

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The FGJ in NY began with a presentation of volumes of subpoenaed documents and testimony, which resulted in the 51 count indictment in the SDNY of MARC RICH, PINCUS GREEN, CLYDE MELTZER, MARC RICH AND COMPANY, A.G. and MARC RICH AND COMPANY, INTERNATIONAL, charging RICH and GREEN with FBW, MF, RICO, Income Tax Violations, and Trading With The Enemy as it related to over \$200,000,000 in crude oil transactions with Iran while Iran held 52 US hostages. The indictment charges RICH and GREEN with illegally moving at least \$100,000,000 out of the US from a RICH company to a RICH company in Switzerland, of which \$48,000,000 was owed in income taxes. The \$100,000,000 consisted mainly of proceeds from fraudulent domestic crude oil transactions consisting of unlawfully overcharging customers in those transactions.

For information, the US Government, in an effort to help consumers from skyrocketing oil prices during the 1973 oil embargo, imposed elaborate price controls on domestic crude oil. The government set up price classifications depending on the location and age of the well. "Old" oil from about 1976-1981 averaged \$8.00 per barrel, "new" oil averaged about \$13.00 per barrel, and "stripper" oil was exempt from price controls and ranged from about \$13.00 to \$38.00 a barrel, the world market price. The controls were abolished during January, 1981.

In brief, MARC RICH AND COMPANY INTERNATIONAL sold volumes of price-controlled crude to the WEST TEXAS MARKETING CORPORATION, of which [REDACTED]

[REDACTED] The West Texas company illegally switched the certificate claiming the crude was unregulated and then sold the crude at vastly inflated prices, thereby generating enormous profits. The profits were held for RICH and GREEN and to avoid the income tax on the enormous profits, MARC RICH AND COMPANY, A.G. subsequently fabricated bogus invoices with the West Texas company showing non-existent transactions of losses to RICH's companies which offset the profits. RICH and GREEN, who had been living in NY, fled the US to reside in Switzerland and work at RICH's company in Zug, Switzerland.

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The Swiss Government refused the US request to extradite both RICH and GREEN, and a settlement was reached whereby on 10/11/84, MARC RICH AND COMPANY, INTERNATIONAL and MARC RICH AND COMPANY, A.G. pled guilty to felony counts of Income Tax Evasion and paid a fine of \$150,000,000. This allowed the RICH companies to continue doing business in the US.



Leads have developed from time to time and efforts have been made through several sources to lure RICH and GREEN from Switzerland to no avail. Those outstanding leads have been vigorously pursued over the years until each has been exhausted. The most recent effort allegedly failed due to the turmoil in the Middle East. RICH and GREEN continue working at their headquarters in Zug, Switzerland and RICH is occasionally interviewed or featured in a financial periodical, etcetera. His companies are active in metal and oil trading and RICH is considered one of the world's wealthiest men.

The matter is assigned to AUSA [redacted] in the SDNY, and no outstanding leads exist from his office. The attitude of the USA's office for the SDNY has been to maintain the present indictment against both RICH and GREEN despite the fact the indictment is replete with tax counts for which there is no extradition from Switzerland.

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Both RICH and GREEN are in NCIC. INS stops have been placed and red notices placed through INTERPOL for both RICH and GREEN.

In the event leads are developed, the matter will be made pending and the leads pursued.

*JPW*

196A-NY-1774

JRW:dp

1

On April 1, 1991, Special Agent (SA) [redacted] contacted [redacted] who then provided the following information:

b6  
b7C  
b7D

Confidential Witness (CW) stated that [redacted]

[redacted]

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 2-20-01 BY [redacted]

01-081

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196A NY 1774-533

SEARCHED	INDEXED
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FBI - NEW YORK	

File - Serial Charge Out  
FD-5 (Rev. 6-17-70)

File 196A-1774 Date 7-1-91  
Class. Case No. Last Serial

Pending  Closed

Serial No. Description of Serial Date Charged

536

LHM 5-20-91

TO: HQ FROM: NY

537

Airtel DTG-5-20-91

TO: HQ

FROM: NY

[Redacted]

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Employee

RECHARGE

Date \_\_\_\_\_

To \_\_\_\_\_ From \_\_\_\_\_

Initials of Clerk {

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date. {

\_\_\_\_\_  
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\_\_\_\_\_

Date charged \_\_\_\_\_

Employee \_\_\_\_\_

Location \_\_\_\_\_

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ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY 01-001

[Redacted]

Memorandum



To : SAC, New York (196A-NY-1774)P

Date: 6/25/91

From : SA [redacted] (C-12)

b6  
b7C

Subject : MARC RICH - FUG. (B);  
PINCUS GREEN - FUG (B);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING WITH  
THE ENEMY;  
OO: NY

The following description of the subjects  
is set forth to prepare the index cover memo:

NAME	:	<u>MARC RICH</u>
SEX	:	<u>Male</u>
RACE	:	<u>white</u>
DOB	:	<u>12/18/34</u>
POB	:	<u>ANTWERP, BELGIUM</u>
Height	:	<u>5'10"</u>
SSAN	:	<u>496-34-3075</u>
RESIDENCE	:	<u>ZUG, SWITZERLAND</u>

152  
✓

196A-1774-539

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 26 1991	
FBI - NEW YORK	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]  
01-001

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NAME 3 PINCUS GREEN  
SEX 3 MALE  
RACE 3 white  
Dob 3   
POB 3 BROOKLYN, NEW YORK  
Height 3 5'10"  
EYES 3 GREEN  
SSAN 3   
RESIDENCE 3 ZUG, SWITZERLAND

b6  
b7C

0049 MRI 00871

PP RUCNFB FRINY

DE BER #0003 1841629

ZNR UUUUU

P 031332Z JUL 91

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

UNCLAS

CITE: //5550:BER263.184 03 JUL 91//

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW; MF;  
RIDU; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY.

RENYTEL, 6/4/91.

LEGAT HAS OBTAINED TWO PRESS PHOTOGRAPHS OF MARC RICH AND  
WILL FORWARD SAME TO NEW YORK BY AIRTEL. NO RECENT PHOTO OF  
PINCUS GREEN HAS BEEN LOCATED TO DATE, HOWEVER, EFFORTS ARE STILL  
CONTINUING.

LEGAT RECALLS READING A NEWS ARTICLE FROM AN UNRECALLED  
NEWSPAPER OR MAGAZINE FROM SEVERAL MONTHS AGO WHICH STATED THAT

(X)

196A-NY-1774-540

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SEARCHED	INDEXED
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C-12	
JUL 03 1991	
[Signature]	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

[redacted]

01-081

OPERATIONS CENTER  
Initials: CMS  
Date: 7-3-91

PAGE TWO DE BER 0003 UNCLAS

PINCUS GREEN IS IN FACT WITHDRAWING FROM ACTIVE PARTICIPATION IN THE MARC RICH COMPANY AND ESSENTIALLY GOING INTO RETIREMENT. LEGAT IS ATTEMPTING TO IDENTIFY AND LOCATE THIS ARTICLE FOR FURTHER DETAILS.

FOR INFORMATION NEW YORK, [REDACTED]

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DURING A [REDACTED]

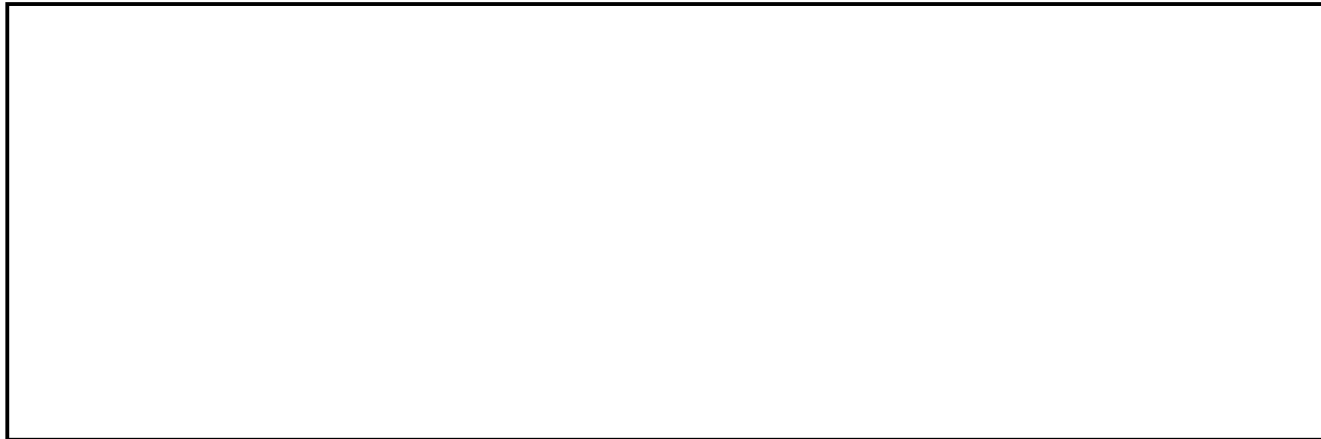
b6  
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PAGE THREE DE BER 0003 UNCLAS



LEGAT IS NOT AWARE OF WHAT POSSIBILITIES MAY EXIST TO VERIFY

b7D



INVESTIGATION CONTINUING AT BERN.

BT

#0003

NNNN



196A-1774-542

SEARCHED	INDEXED
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JUL 24 1991	
FBI - NEW YORK	



196A-1774-543

SEARCHED	INDEXED
SERIALIZED <i>LE</i>	FILED <i>LE</i>
JUL 24 1991	
FBI - NEW YORK	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]  
01-084

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [REDACTED]

01-081

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 7/8/91

TO: DIRECTOR, FBI

FROM: LEGAT, BERN (196A-NY-1774) (P)

SUBJECT: MARC RICH - FUGITIVE (B);  
 PINCUS GREEN - FUGITIVE (B);  
 FBW; MF; RICO; INCOME TAX EVASION;  
 TRADING WITH THE ENEMY;  
 OO: NY

Re New York teletype, 6/5/91 and Bern tel, 7/3/91.

Enclosed for New York are two newspaper photos of MARC RICH. According to sources who know RICH, [redacted]

b7D

- 5 - Bureau
- ② - New York Encs. 2) 2cc+enc w/ FBIHQ 7/17/91
- (1 - OIA)
- 1 - Bern (196A-NY-1774)
- RMF/hac
- (6)

196A-1774-544

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 24 1991	
FBI NEW YORK	

*[Handwritten initials]*

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ Per \_\_\_\_\_  
 (Number) (Time)

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ALL INFORMATION CONTAINED  
 HEREIN IS UNCLASSIFIED  
 DATE 2-20-01 BY 01-08

Memorandum



To : SAC, NEW YORK (196A-NY-1774)P Date 9/18/91

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG. (B);  
PINCUS GREEN - FUG. (B);  
FBW (A);  
OO: NY

b6  
b7C  
b7D

On 9/17/91, in response to a telephone call from the FBIHQ complaint that they had received a call from one [redacted] in [redacted] with information concerning [redacted] was called and furnished the following:

b6  
b7C  
b7D

[redacted] was reached at his home at [redacted] telephone [redacted] and [redacted] advised [redacted]

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

b6  
b7C

196A-1774-545

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 18 1991	
FBI - NEW YORK	

b6  
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b7D

[Redacted]

resides in

[Redacted]

He said,

[Redacted]

[Redacted]

an affiliate of

[Redacted]

[Redacted]

b6  
b7C  
b7D

[Redacted]

[Redacted]

[Redacted]

[Redacted]

b6  
b7C  
b7D

will have more information on  
today.

[Redacted]

10-55

FD-36 (Rev. 5-22-78)

FBI

TELETYPE

PRIORITY

UNCLAS

9/20/91

/PRIORITY/

NEW YORK (196A-NY-1774) (P) (C-12)

/PRIORITY/

DIRECTOR FBI (196A-NY-1774) ( ) ( )

LONDON (196A-NY-1774) ( ) ( )

MADRID (196A-NY-1774) ( ) ( )

BT

UNCLAS

*12/28/07 Correction  
1728 m. 2*

*connections  
done*

*1728*

*(5)*

*(45)*

196A-1774-546

SEARCHED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_ *LE*  
INDEXED \_\_\_\_\_ *LE*  
FILED \_\_\_\_\_ *LE*

*9/23/91*

*(170)*

1 - New York  
1 - Supervisor C-12  
JCM:lcs180.v3  
(2)

Approved: *JMK* Transmitted *9/20* Per \_\_\_\_\_

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

b6  
b7C

WP Initials: \_\_\_\_\_

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 01-08-01 BY 01-081

*10/29/91*



CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); RICO;  
FRAUD BY WIRE, MAIL FRAUD, TAX EVASION, TRADING WITH THE ENEMY;  
OO:NY.

REFERNCE FBIHQ COMPLAINT DESK TELCAL TO NYO ON SEPTEMBER 17,  
1991.

FOR INFORMATION OF LEGATS LONDON AND MADRID, SUBJECTS WERE  
INDICTED IN THE SDNY ON SEPTEMBER 19, 1983 ON A FIFTY ONE COUNT  
INDICTMENT CHARGING MASSIVE TAX FRAUD, FRAUD BY WIRE, MAIL FRAUD,

ETC. NEITHER RETURNED TO NY TO ANSWER THE INDICTMENT AND CONTINUED THEIR RESIDENCE IN ZUG, SWITZERLAND WHERE THEY HAVE BEEN SINCE 1983.

RICH AND GREEN OPERATE AS MARC RICH AG IN ZUG IN THE WORLDWIDE BUSINESS OF TRADING COMMODITIES. GREEN HAS ASSUMED A SUBSTANTIALLY LESSER ROLE IN THE COMPANY BUSINESS BEGINNING THIS YEAR.

INTERPOL RED NOTICES ARE OUTSTANDING FOR BOTH SUBJECTS.

ON SEPTEMBER 17, 1991, [REDACTED] FROM [REDACTED] CONTACTED THE COMPLAINT DESK AT THE BUREAU REGARDING MARC RICH AND THE MATTER WAS REFERRED TO THE NYO.

ON THE SAME DATE, [REDACTED] WAS CONTACTED TELEPHONICALLY AND

b6  
b7C  
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ADVISED

[REDACTED]

RESIDING IN

[REDACTED]

HE HAS BECOME AWARE THAT

[REDACTED]

[REDACTED]

b6  
b7C  
b7D

THE NEXT

[REDACTED]

[REDACTED]

[REDACTED] IS EXPECTED TO [REDACTED]

[REDACTED] ADVISES THAT [REDACTED]

b6  
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[REDACTED]

[REDACTED]

[REDACTED] US MARSHALL, ASSIGNED TO OCDEF AT THE NYO WHO HAS WORKED THE RICH-GREEN FUGITIVE CASE FOR YEARS AT THE REQUEST OF IRS WAS APPRISED OF THE INFORMATION PROVIDED BY [REDACTED]

b6  
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b7D

ON SEPTEMBER 18, 1991, [REDACTED] WAS AGAIN TELEPHONICALLY CONTACTED AND HE ADVISED [REDACTED]

[REDACTED]

US MARSHALL [REDACTED] HAS CONTACTED [REDACTED] US MARSHALL SERVICE, INTERNATIONAL BRANCH, WASHINGTON, DC, WHO HAS CONTACTED THE

OFFICE OF INTERNATIONAL AFFAIRS (IA) AND THE REQUEST FOR A


b6  
b7C  
b7E

THE FOLLOWING IS A DESCRIPTION OF RICH AND GREEN:

NAME:	MARC RICH
SEX:	MALE
RACE:	WHITE
DOB:	DECEMBER 18, 1934
POB:	ANTWERP, BELGIUM
HEIGHT:	5'10"

BUILD: MEDIUM  
HAIR: BLACK AND THINNING  
EYES: BROWN  
RESIDENCE: ZUG, SWITZERLAND  
CITIZENSHIP: US AND SPAIN  
NAME: PINCUS GREEN  
SEX: MALE  
RACE: WHITE  
DOB:   
POB: BROOKLYN, NY

b6  
b7c

HEIGHT: 5'10"  
BUILD: MEDIUM  
HAIR: BROWN/GREY  
EYES: GREEN  
CITIZENSHIP: US AND POSSIBLY ISRAEL  
RESIDENCE: ZUG, SWITZERLAND

b6  
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[REDACTED] ADVISED THAT [REDACTED]

[REDACTED]

THE NYO IS MAINTAINING CONTACT WITH [REDACTED] AND THE AUSA



ASSIGNED THIS MATTER.

0023 MRI 01268

PP FBINY

DE FBINY #0017 2631750

ZNR UUUUU

P 201727Z SEP 91

FM FBI NEW YORK (196A-NY-1774) (P)

TO DIRECTOR FBI (196A-NY-1774)/PRICRITY/

LEGAT LONDON (196A-NY-1774)/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR LEGAT, MADRID.

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B); RICO;  
FRAUD BY WIRE, MAIL FRAUD, TAX EVASION, TRADING WITH THE ENEMY;  
OO:NY.

REFERENCE FBIHQ COMPLAINT DESK TELCAL TO NYO ON SEPTEMBER 17,  
1991.

FOR INFORMATION OF LEGATS LONDON AND MADRID, SUBJECTS WERE  
INDICTED IN THE SDNY ON SEPTEMBER 19, 1983 ON A FIFTY ONE COUNT  
INDICTMENT CHARGING MASSIVE TAX FRAUD, FRAUD BY WIRE, MAIL FRAUD,

196A-1774-546  
SEARCHED \_\_\_\_\_  
SERIALIZED LE  
INDEXED \_\_\_\_\_  
FILED LE

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-01-01 BY \_\_\_\_\_

01-081

ETC. NEITHER RETURNED TO NY TO ANSWER THE INDICTMENT AND CONTINUED THEIR RESIDENCE IN ZUG, SWITZERLAND WHERE THEY HAVE BEEN SINCE 1983.

RICH AND GREEN OPERATE AS MARC RICH AG IN ZUG IN THE WORLDWIDE BUSINESS OF TRADING COMMODITIES. GREEN HAS ASSUMED A SUBSTANTIALLY LESSER ROLE IN THE COMPANY BUSINESS BEGINNING THIS YEAR.

INTERPOL RED NOTICES ARE OUTSTANDING FOR BOTH SUBJECTS.

ON SEPTEMBER 17, 1991, [REDACTED] FROM [REDACTED] CONTACTED THE COMPLAINT DESK AT THE BUREAU REGARDING MARC RICH AND THE MATTER WAS REFERRED TO THE NYO.

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ON THE SAME DATE, [REDACTED] WAS CONTACTED TELEPHONICALLY AND ADVISED [REDACTED]

b6  
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THE NEXT [REDACTED]

b6  
b7C  
b7D

[REDACTED] IS EXPECTED TO [REDACTED]

b6  
b7C  
b7D

[REDACTED] ADVISES THAT [REDACTED]

b6  
b7C  
b7D

[REDACTED] US MARSHALL, ASSIGNED TO OCDETF AT THE NYO WHO HAS WORKED THE RICH-GREEN FUGITIVE CASE FOR YEARS AT THE REQUEST OF IRS WAS APPRISED OF THE INFORMATION PROVIDED BY [REDACTED]

b6  
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ON SEPTEMBER 18, 1991, [REDACTED] WAS AGAIN TELEPHONICALLY CONTACTED AND HE ADVISED [REDACTED]

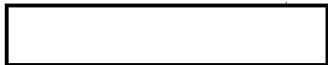
b6  
b7C  
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US MARSHALL [REDACTED] HAS CONTACTED [REDACTED] US MARSHALL SERVICE, INTERNATIONAL BRANCH, WASHINGTON, DC, WHO HAS CONTACTED THE OFFICE OF INTERNATIONAL AFFAIRS (IA) AND THE REQUEST FOR A



b6  
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NAME: MARC RICH  
SEX: MALE  
RACE: WHITE  
DOB: DECEMBER 18, 1934  
POB: ANTWERP, BELGIUM  
HEIGHT: 5'10"  
BUILD: MEDIUM  
HAIR: BLACK AND THINNING  
EYES: BROWN  
RESIDENCE: ZUG, SWITZERLAND  
CITIZENSHIP: US AND SPAIN  
NAME: PINCUS GREEN  
SEX: MALE  
RACE: WHITE  
DOB:   
POB: BROOKLYN, NY  
HEIGHT: 5'10"

b6  
b7C

PAGE FIVE DE FBINY 0017 UNCLAS

BUILD: MEDIUM  
HAIR: BROWN/GREY  
EYES: GREEN  
CITIZENSHIP: US AND POSSIBLY ISRAEL  
RESIDENCE: ZUG, SWITZERLAND

[REDACTED] ADVISED THAT [REDACTED]

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[REDACTED]

THE NYO IS MAINTAINING CONTACT WITH [REDACTED] AND THE AUSA  
ASSIGNED THIS MATTER.

BT

#0017

NNNN

196A-NY-1774-547

SEARCHED	_____
SERIALIZED	_____ <i>RE</i> _____
INDEXED	_____ <i>RE</i> _____
FILED	_____ <i>RE</i> _____

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 10/11/91

TO: DIRECTOR, FBI  
(ATTN: AD BILL BAKER, CID  
AD GILBERT, INTD)

FROM: ADIC, NEW YORK

SUBJECT: VENEZUELAN POLITICAL SITUATION  
INFORMATION CONCERNING

ReNYairtels to Director, 8/5/91, 8/9/91, and 9/11/91,  
captioned as above.

Specific reference is directed to 9/11/91 airtel, the  
enclosures to which speaks of the [redacted]

b7D

[redacted]

On 10/11/91 [redacted] previously described [redacted]  
[redacted] SAC WILLIAM Y. DORAN advised as follows:

b6  
b7C  
b7D

A highly placed and reliable contact of [redacted]  
advised [redacted]

[redacted]

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b7D

Secondly [redacted] advised that [redacted]

[redacted]

b6  
b7C  
b7D  
1,2  
of

- 2 - Bureau  
(1-AD BILL BAKER, CID)  
(1-AD GILBERT, INTD)
- 3 - New York

WYD:gdc  
(5)

b6  
b7C

SA [redacted] WCC  
(info)

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-26-01 BY [redacted]  
01-001



[Redacted]

b6  
b7C  
b7D

[Redacted] (as previously set forth in referenced airtels).

The possibility was discussed with [Redacted] that

[Redacted]

b6  
b7C  
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been provided to the New York case agent as well as any further information developed. It is provided to the Bureau for intelligence purposes.

FBI

10.34

TELETYPE

ROUTINE

UNCLAS

10/15/91

/ROUTINE/

NEW YORK (196A-NY-1774) (P) (C-12)

/ROUTINE/

DIRECTOR FBI (196A-NY-1774) ( ) ( )

BERN (196A-NY-1774) ( ) ( )

BT

UNCLAS

CITE: //3540//

2043/028

2344

(X)  
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196A-04-1774-549

- 1 - New York
- 1 - Supervisor C-12
- JCM:pth047.V3
- (2)

SEARCHED \_\_\_\_\_  
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 INDEXED \_\_\_\_\_  
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10/17/91

Approved: JMK Transmitted \_\_\_\_\_ Per \_\_\_\_\_

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

b6  
b7c

WP Initials: PTH 10-16-91 @ 2:53

ALL INFORMATION CONTAINED  
 HEREIN IS UNCLAS  
 DATE 2-20-01

01-081

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUG (B); FBW, MF;  
RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

FOR INFORMATION OF LEGAT BERN, THE NYO IS IN RECEIPT OF  
INFORMATION THAT MARC RICH WHO HAS SPANISH CITIZENSHIP MAY BE  
CONTEMPLATING A MOVE TO SPAIN OR HAS POSSIBLY ALREADY MOVED.

LEGAT BERN IS REQUESTED TO DETERMINE THE VERACITY OF THE ABOVE.

0128 MRI 02043

RR FBI NY

DE FBI NY #0028 2892358

ZNR UUUUU

R 162344Z OCT 91

FM FBI NEW YORK (196A-NY-1774) (P)

TO DIRECTOR FBI (196A-NY-1774)/ROUTINE/

LEGAT BERN (196A-NY-1774)/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUG (B); FEW, MF;  
RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

FOR INFORMATION OF LEGAT BERN, THE NYO IS IN RECEIPT OF  
INFORMATION THAT MARC RICH WHO HAS SPANISH CITIZENSHIP MAY BE  
CONTEMPLATING A MOVE TO SPAIN OR HAS POSSIBLY ALREADY MOVED.

LEGAT BERN IS REQUESTED TO DETERMINE THE VERACITY OF THE ABOVE.

BT

#0028

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196A-NY-1774-549

SEARCHED	_____
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INDEXED	_____
FILED	LE _____

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-20-01 BY 91-081

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196A-NY-1774-550

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SEARCHED	INDEXED
SERIALIZED	FILED
OCT 18 1991	
FBI-NEW YORK	

~~SECRET~~

*[Faint, mostly illegible handwritten text]*

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~~SECRET~~

~~SECRET~~

MARC RICH - FUG. (B);  
PINCUS GREEN - FUG. (B);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING WITH  
THE ENEMY

b6  
b7C

OO: NY

196A-NY-1774

CASE AGENT: SA [REDACTED]

C-12

FBIHQ should carefully scrutinize  
the attached information and use  
discretion before disseminating. IT  
is requested THAT all information  
furnished by [REDACTED] sources NOT be  
disseminated.

b7D

CLASSIFIED BY [REDACTED]

REASON: 1.5 (d) 1

DECLASSIFY ON: X6

200-01 01-081

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ALL INFORMATION CONTAINED  
HERE IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

~~SECRET~~

ALL INFORMATION CONTAINED  
HERE IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

200-01 01-081

~~SECRET~~

When Marc Rich and Pinus GREEN became Fugitives during September, 1983, and it became known they were residing in Switzerland, appropriate stops were placed in NCIC and INS.

Initially, contact was maintained with the assigned Assistant United States Attorney [redacted] for the Southern District of New York with respect to the possibility of extradition from Switzerland.

Within a reasonably short period of time it became clear from AUSA [redacted] that neither Rich nor Green could be extradited.

At this juncture, it became

SECRET

clear it would be necessary  
 to apprehend Rich and Green  
 in a country more favorably  
 disposed to extraditing both.  
 It was the recommendation  
 of The United States Attorney's office  
 with concurrence by OIA that  
 West Germany and The United  
 Kingdom (UK) would be preferable.

The [redacted] had a long-time  
 source of substantial value who

[redacted]

b7D

[redacted] ~~7/28~~

[redacted]

During this time other [redacted]  
 sources were utilized but with



~~SECRET~~

no success.

An opportunity Presented itself

during

[Redacted]

[Large Redacted Area]

b6  
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b7D

During 1990 a source

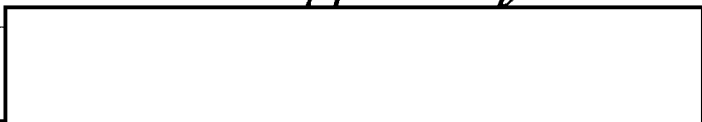
[Redacted]

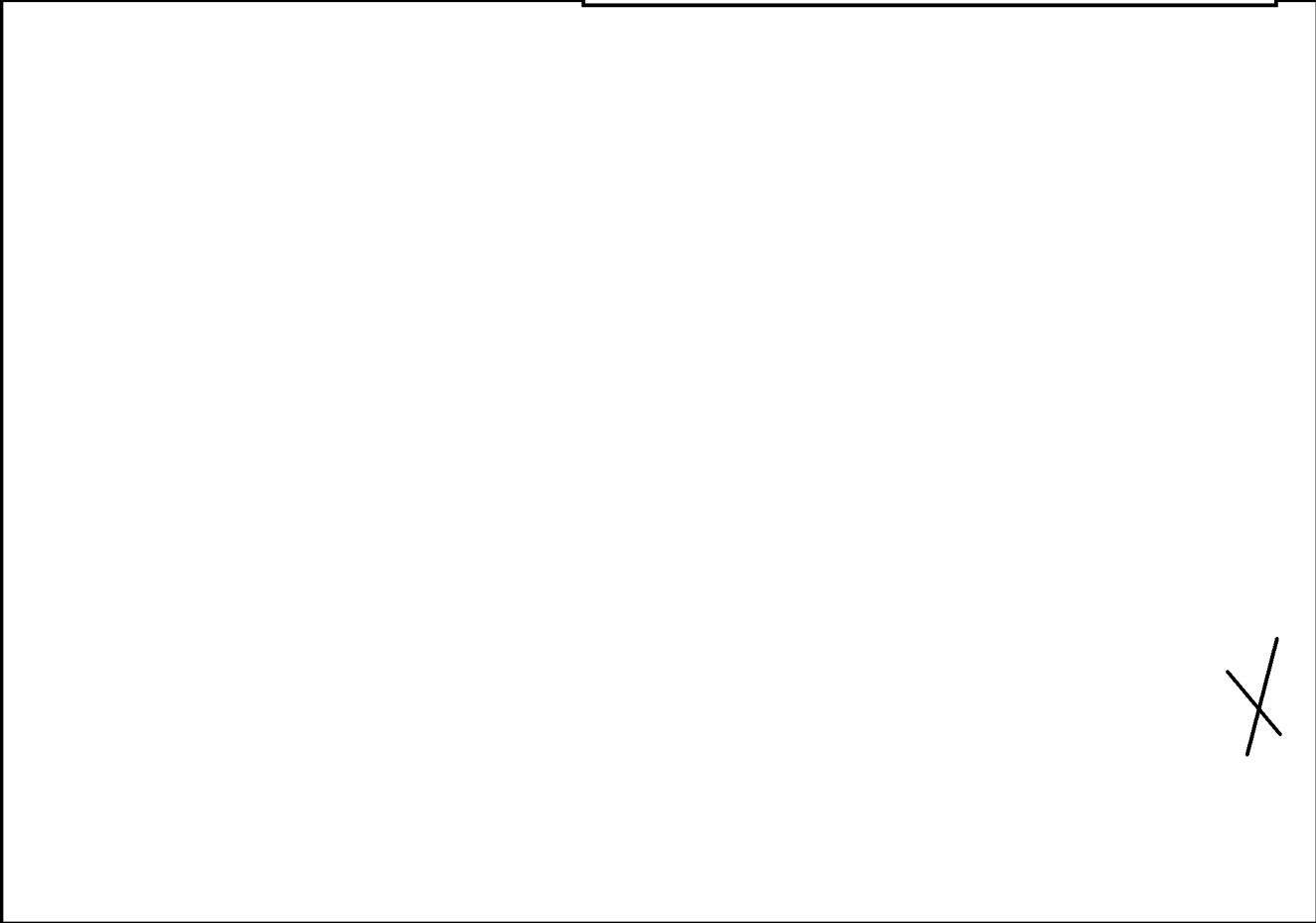
~~SECRET~~

~~SECRET~~



b7D  
b7E

The same source again furnished information to 



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b7D  
b7E

X

[Redacted]

b7D  
b7E

The most recent effort to  
[Redacted] occurred during

[Redacted]

[Redacted] contacted FBIHQ and FBIHQ

b7D

referred the caller to the NYO.

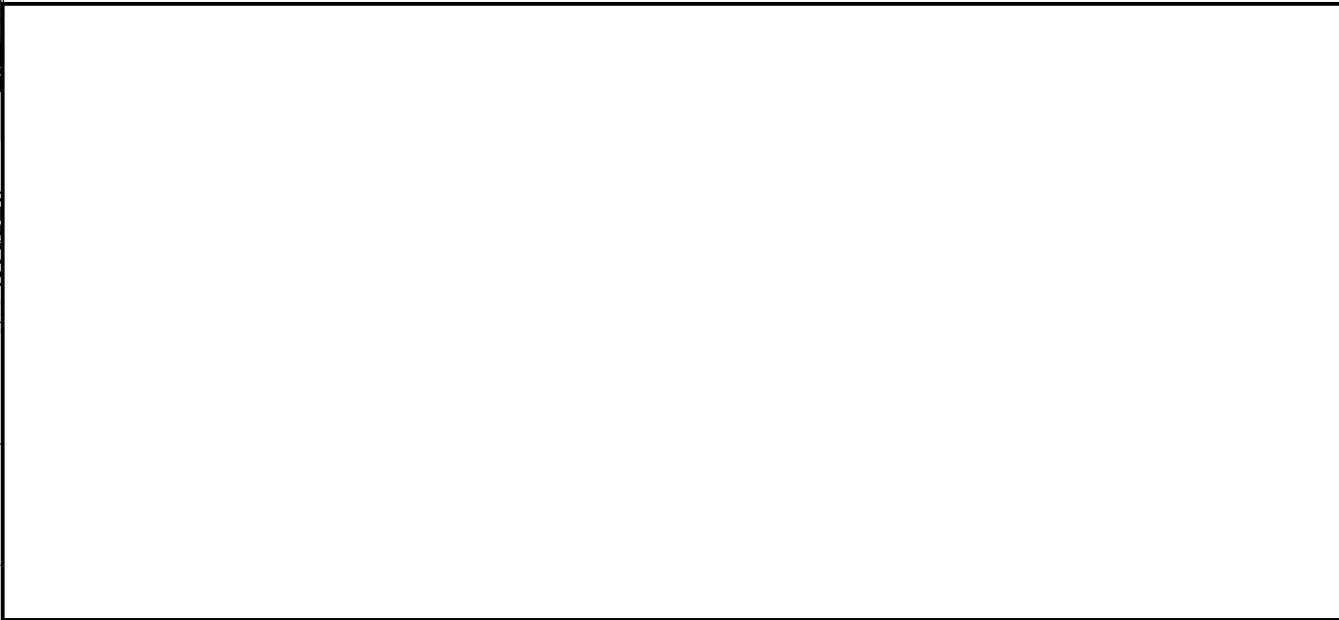
U.S. Marshals were contacted in  
a spirit of cooperation and the  
caller was interviewed telephonically

on [Redacted]

~~SECRET~~

[Redacted]

~~SECRET~~



b7D

~~SECRET~~

Memorandum



To : SAC, NEW YORK (196A-NY-1774) p Date 10/18/91

From : SA [redacted] (C-12)

b6  
b7C

Subject : MARC RICH - FUG (B);  
PINCUS GREEN - FUG (B);  
FBCW, MF, RICO

OO: NY

On 10/18/91, an individual identifying himself as [redacted] telephonically contacted the NYO and advised the following:

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[redacted] an independent television station called Yorkshire Television which produces a show called "FIRST TUESDAY" in England.

1

2

[redacted] film by Marc Rich and is looking

b6  
b7C

b6  
b7C

196A-NY-1774-551

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 18 1991	
FBI-NEW YORK	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]  
01-00

For information about Rich. He mentioned being particularly interested in Rich's trading activities today as markets are opening in Eastern Europe.

[redacted] has spoken briefly to AUSA [redacted], SDNY and U.S. Marshal [redacted] from whom [redacted] got SA [redacted] NAME.

b6  
b7C

[redacted] was advised little if any information could be furnished to him and he advised he may be traveling to the U.S. in the near future concerning this

[redacted] can be reached at [redacted] (W) or [redacted] (H).

b6  
b7C

\*\*\*\*\* UF-250 \*\*\*\*\* -JOURNAL- \*\*\*\*\* DATE OCT-10-1991 \*\*\*\*\* TIME 16:22 \*\*\*\*\*

NO.	CON	DOC	DURATION	X/R	IDENTIFICATION	DATE	TIME	DIAGNOSTIC
13	OK	08	00:03'48	XMT	202 324 3089	OCT-10	16:18	042490AC7820

-FBI NYO OPERATIONS CENTER-

\*\*\*\*\* -PANASONIC- \*\*\*\*\*

- \*\*\*\*\*





0023 MRI 01712

FBINY

DE RUCNFB #0149 29903.

7 UUUUU

R 252149Z OCT 91

FM DIRECTOR FBI

TO FBI NEW YGRK (196A-NY-1774)/ROUTINE/

BT

UNCLAS

CITE: //0615//

SUBJECT: MARC RICH - FUGITIVE (3); PINCUS GREEN - FUGITIVE (3);  
FEW, MF; RICO, INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:  
NEW YORK.

RE TELETYPE OF NEW YORK TO DIRECTOR, FBI, DATED 10/16/91.

DUE TO CONTINUING INQUIRIES FROM THE DEPARTMENT OF  
JUSTICE (DOJ) REGARDING CAPTIONED MATTER, NEW YORK IS REQUESTED  
TO SUBMIT A SUMMARY TELETYPE OF (PART 60-DAY INVESTIGATION TO  
DATE AND PROJECTED INVESTIGATION FOR NEXT 30-DAYS.) SUMMARY  
TELETYPE SHOULD BE RECEIVED AT THE FUGITIVE/GOVERNMENT  
RESERVATION CRIMES UNIT NO LATER THAN 11/01/91.

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01

8x  
1  
10/21/91

196A-1774-552

b6  
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SEARCHED	INDEXED
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OCT 26 1991	
FBI - NEW YORK	

JM  
10-26-91

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

PAGE TWO DE RUCNFB 0149 UNCLAS

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#0149

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FD-36 (Rev. 5-22-78)

~~SECRET~~ FBI

TELETYPE

PRIORITY

~~UNCLAS~~

10/29/91

/PRIORITY/

NEW YORK (196A-NY-1774) (P) (C-12)

/PRIORITY/

DIRECTOR FBI (196A-NY-1774) ( ) ( )

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

2/002  
corrections  
DIME  
196A-1774-553

(X)

1 - New York  
1 - Supervisor C-12  
JM:lcs169.v3  
(2)

b6  
b7c

SEARCHED \_\_\_\_\_  
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INDEXED \_\_\_\_\_  
FILED \_\_\_\_\_

Approved: JAK Transmitted 10/30 @ 8:40 Per \_\_\_\_\_

NOTE: AFTER APPROVAL, PLEASE ROUTE THIS DOCUMENT BACK TO THE WORD  
PROCESSING SUPERVISOR, NOT TO THE TELETYPE ROOM.

WP Initials: LCS

~~SECRET~~

CLASSIFIED BY: [redacted]  
REASON: 1.5  
DECLASSIFY ON: X  
01-08  
8-20-01

~~SECRET~~

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN-FUG (B); FBQ, MF, RICO;  
INCOME TAX EVASION, TRADING WITH ENEMY; OO:NY.

RE BUREAU TELETYPE TO NY DATED OCTOBER 25, 1991. NY AIRTEL TO  
BUREAU DATED OCTOBER 11, 1991 TO ATTENTION OF AD BAKER AND AD  
GILBERT.

REFERENCED TELETYPE REQUESTS THE SUBMISSION OF A SUMMARY OF THE  
INVESTIGATIVE ACTIVITY IN THIS MATTER DURING THE PAST 60 DAYS AND  
THE PROSPECTIVE INVESTIGATION DURING THE NEXT 30 DAYS. THE  
FOLLOWING RESPONSE IS SUBMITTED:

ON SEPTEMBER 17, 1991,

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~~SECRET~~

RESIDING IN [REDACTED] TELEPHONED FBIHQ CLAIMING TO HAVE  
INFORMATION CONCERNING MARC RICH. THE CALLER WAS REFERRED TO THE NYO.

THE NYO TELEPHONICALLY CONTACTED [REDACTED] AT HIS RESIDENCE IN

[REDACTED] AND [REDACTED]

[REDACTED] STATED THAT [REDACTED]

[REDACTED] WAS ALSO CONFIDENT THAT [REDACTED]

b6  
b7C  
b7D

~~SECRET~~

~~SECRET~~

[REDACTED]

[REDACTED]

b6  
b7C  
b7D

THE NYO AND U.S. MARSHAL [REDACTED] OF NY HAVE SHARED INFORMATION  
IN THE PAST SO U.S. MARSHAL [REDACTED] WAS APPRISED OF THE [REDACTED] CONTACT.

ON SEPTEMBER 18, 1991, [REDACTED] SUPRA, WAS TELEPHONED AGAIN BY  
THE NYO WITH U.S. MARSHAL [REDACTED] PRESENT. [REDACTED] FURNISHED MORE  
INFORMATION ABOUT [REDACTED]

[REDACTED]

~~SECRET~~

~~SECRET~~

[REDACTED]

ON SEPTEMBER 19, 1991, [REDACTED] WAS AGAIN CONTACTED TELEPHONICALLY  
AND HE ADVISED WITH [REDACTED]

[REDACTED]

b6  
b7C  
b7D

[REDACTED] WAS TO CONTACT NYO AND U.S. MARSHALS [REDACTED]  
[REDACTED] HE ALSO SAID HE WOULD CONTACT [REDACTED] IF  
THEY WERE NOT [REDACTED]

IN THE MEANTIME, AUSA [REDACTED] SDNY, RESPONSIBLE FOR  
HANDLING MATTERS IN THE ABSENCE OF [REDACTED] THE ASSIGNED AUSA,

~~SECRET~~

~~SECRET~~

WAS APPRISED OF WHAT WAS HAPPENING.

OIA REPRESENTATIVES, [REDACTED]

[REDACTED] WERE TELEPHONICALLY CONTACTED ON SEPTEMBER 19, 1991 BY

NYO AND U.S. MARSHAL [REDACTED]

ASSURED BOTH A [REDACTED]

b6  
b7C  
b7D  
b7E

THE ABOVE INFORMATION WAS FURNISHED TO THE BUREAU, LEGATS  
LONDON AND MADRID.

[REDACTED]  
INDICATION [REDACTED]

THERE WAS SOME

~~X~~



~~SECRET~~

[REDACTED]

WITHIN THE PAST 60 DAYS,

[REDACTED]

b6  
b7C  
b7D

[REDACTED]

ACCORDING TO

SOURCE,

[REDACTED]

[REDACTED]

SOURCE IS ALSO

[REDACTED]

~~SECRET~~

~~SECRET~~

[REDACTED]

[REDACTED]

THE NYO EXPECTS TO DIRECT THE SOURCE <sup>IF</sup> IS THERE IS

[REDACTED]

b6  
b7C  
b7D

[REDACTED]

[REDACTED]

~~(S)~~ (U)

REFERENCED NYO AIRTEL TO BUREAU ADVISED

[REDACTED]

SOURCE BELIEVES

[REDACTED]

[REDACTED]

~~SECRET~~

~~SECRET~~

b7D

THE NYO PLANS WITHIN THE NEXT 30 DAYS TO ACTIVELY PURSUE

EFFORTS BY

[Redacted]

FD-36 (Rev. 5-22-78)

FBI

TELETYPE

PRIORITY

9

~~UNCLAS~~

10/29/91

b6  
b7C

~~SECRET~~

0009 MRI 00002

PP FBINY

DE FBINY #0002 3040009

ZNR UUUUU

P 310001Z OCT 91

FM FBI NEW YORK (196A-NY-1774) (P)

TO DIRECTOR FBI (196A-NY-1774)/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT.

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN-FUG (B); FBQ, MF, RICO;  
INCOME TAX EVASION, TRADING WITH ENEMY; OO:NY.

RE BUREAU TELETYPE TO NY DATED OCTOBER 25, 1991. NY AIRTEL TO  
BUREAU DATED OCTOBER 11, 1991 TO ATTENTION OF AD BAKER AND AD  
GILBERT.

REFERENCED TELETYPE REQUESTS THE SUBMISSION OF A SUMMARY OF THE  
INVESTIGATIVE ACTIVITY IN THIS MATTER DURING THE PAST 60 DAYS AND  
THE PROSPECTIVE INVESTIGATION DURING THE NEXT 30 DAYS. THE  
FOLLOWING RESPONSE IS SUBMITTED:

~~SECRET~~

196A-1774-553

b6  
b7C

SEARCHED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_ LE \_\_\_\_\_  
INDEXED \_\_\_\_\_

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]~~

[redacted]

2-20-01

CLASSIFIED BY [redacted]

REASON: 1.5 (C) 2-20-01  
DECLASSIFY ON: X 01-081

01-081

~~SECRET~~

PAGE TWO DE FBINY 0002 ~~SECRET~~

ON SEPTEMBER 17, 1991, [REDACTED] CITIZEN,  
RESIDING IN [REDACTED] TELEPHONED FBIHQ CLAIMING TO HAVE  
INFORMATION CONCERNING MARC RICH. THE CALLER WAS REFERRED TO THE  
NYO.

b6  
b7C  
b7D

THE NYO TELEPHONICALLY CONTACTED [REDACTED] AT HIS RESIDENCE IN

[REDACTED] AND HE ADVISED HE IS [REDACTED]

b6  
b7C  
b7D

[REDACTED] STATED THAT [REDACTED]

[REDACTED]

[REDACTED] WAS ALSO CONFIDENT THAT [REDACTED]

[REDACTED]

b6  
b7C  
b7D

THE NYO AND U.S. MARSHAL [REDACTED] OF NY HAVE SHARED INFORMATION  
IN THE PAST SO U.S. MARSHAL [REDACTED] WAS APPRISED OF THE [REDACTED] CONTACT.

b6  
b7C  
b7D

ON SEPTEMBER 18, 1991, [REDACTED] SUPRA, WAS TELEPHONED AGAIN BY  
THE NYO WITH U.S. MARSHAL HILL PRESENT. [REDACTED] FURNISHED MORE

PAGE THREE DE FBINY 0002 UNCLAS

[REDACTED]

b6  
b7C  
b7D

ON SEPTEMBER 19, 1991, [REDACTED] WAS AGAIN CONTACTED TELEPHONICALLY AND HE ADVISED WITH [REDACTED]

[REDACTED]

b6  
b7C  
b7D

[REDACTED] WAS TO CONTACT NYO AND U.S. MARSHALS [REDACTED]

[REDACTED] HE ALSO SAID HE WOULD CONTACT [REDACTED] IF

THEY WERE NOT [REDACTED]

IN THE MEANTIME, AUSA [REDACTED] SDNY, RESPONSIBLE FOR HANDLING MATTERS IN THE ABSENCE OF [REDACTED] THE ASSIGNED AUSA, WAS APPRISED OF WHAT WAS HAPPENING.

b6  
b7C  
b7D  
b7E

DIA REPRESENTATIVES, [REDACTED]

[REDACTED] WERE TELEPHONICALLY CONTACTED ON SEPTEMBER 19, 1991 BY NYO AND U.S. MARSHAL [REDACTED] ASSURED BOTH A [REDACTED]

[REDACTED]

THE ABOVE INFORMATION WAS FURNISHED TO THE BUREAU, LEGATS LONDON AND MADRID.

[REDACTED]

THERE WAS SOME

b7D

~~SECRET~~

PAGE FOUR DE FBINY 0002 UNCLAS

INDICATION

[REDACTED]

b7D

[REDACTED]

WITHIN THE PAST 60 DAYS,

[REDACTED]

b6  
b7C  
b7D

ACCORDING TO

SOURCE,

[REDACTED]

[REDACTED]

SOURCE IS ALSO

[REDACTED]

b7D

[REDACTED]

THE NYO EXPECTS TO DIRECT THE SOURCE IF THERE IS

[REDACTED]

b7D

[REDACTED]

[REDACTED]

~~(S)~~(U)

REFERENCED NYO AIRTEL TO BUREAU ADVISED

[REDACTED]

SOURCE BELIEVES

[REDACTED]

b6  
b7C  
b7D

[REDACTED]

~~X~~

~~SECRET~~

PAGE FIVE DE FBINY 0002 UNCLAS

[REDACTED]

b7D

THE NYO PLANS WITHIN THE NEXT 30 DAYS TO ACTIVELY PURSUE

EFFORTS BY

[REDACTED]

[REDACTED]

BT

#0002

NNNN



File - Serial Charge Out  
FD-5 (Rev. 6-17-70)

File 196B-1774 Date 11/6/91  
Class. Case No. Last Serial

Pending  Closed

Serial No. Description of Serial Date Charged

554 Fax STD 10-18-91  
RE: Marc Rich

Employee

b6  
b7C

RECHARGE

Date \_\_\_\_\_

To \_\_\_\_\_ From \_\_\_\_\_

Initials of Clerk

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Date charged

Employee

b6  
b7C

Location

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

Memorandum



To : SAC, NEW YORK (196A-NY-1774)P

Date: 11/7/91

From : SA [redacted] (C-12)

b6  
b7C

Subject : MARC RICH - FUG. (B);  
PINCUS GREEN - FUG. (B);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING  
WITH THE ENEMY.  
OO: NY

This case is currently in pending inactive status but in view of recent events involving the case it is requested the matter be placed in a pending status.

*Noted. Done 11-12-91  
Pls change status to Pending  
hx*

(X)  
g

b6  
b7C

196A-1774-556

SEARCHED	INDEXED
SERIALIZED <u>UE</u>	FILED <u>UE</u>
NOV 07 1991	
FBI-NEW YORK	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-22-01 BY [redacted]  
01-001

Memorandum



To : SAC, NEW YORK (196A-NY-1774) P Date 11/14/91

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG. (LB);  
PINCUS GREEN - FUG. (LB);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING WITH  
THE ENEMY  
OO: NY

On 11/14/91, [redacted]

[redacted]

b6  
b7C  
b7D

was telephonically contacted by the NYO after he initiated contact with the Bridgeport RA on or about 11/11/91.

[redacted] said he is [redacted] born in [redacted]

①  
4

b6  
b7C

196A-1774-557

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 14 1991	
FBI-NEW YORK	

① FUG. sub file

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

[redacted] and they

currently reside in [redacted]

b6  
b7C  
b7D

a [redacted]

said he recalled saw a

[redacted]

said he

b6  
b7C  
b7D

[redacted]

said

which he will gladly make available.

b6  
b7C  
b7D

and furnish it to the NYO.

He has agreed to speak to SA  
while he is in NYC.

1WS

FBI

TRANSMIT VIA:  
 Teletype  
 Facsimile  
 AIRTEL

PRECEDENCE:  
 Immediate  
 Priority  
 Routine

CLASSIFICATION:  
 TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 11/18/91

FM FBI NEW YORK (196A-NY-1774)

TO DIRECTOR FBI ()/ROUTINE/

LEGAT BERN ()/ROUTINE/

BT

UNCLAS.

CITE: //3540//

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW;  
MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

REFERENCE NEW YORK TELETYPE TO BUREAU, DATED JUNE 3,  
1991. LEGAT, BERN AIRTEL TO BUREAU, DATED JULY 9, 1991.

THE NYO RECEIVED EXCELLENT PHOTOS OF MARC RICH AS  
ENCLOSURES TO REFERENCED AIRTEL BUT HAS RECEIVED NO WORD  
CONCERNING CURRENT PHOTOS OF PINCUS GREEN

b6  
b7C

FURTHERMORE, THE NYO HAS NOT RECEIVED ANY INFORMATION  
CONCERNING THE CURRENT STATUS AND ACTIVITIES OF PINCUS GREEN

1 - New York  
1 - Supervisor C-12

JCM:jmj003W.322  
(2)

96A-1774-558  
SEARCHED \_\_\_\_\_  
SERIALIZED KE  
INDEXED \_\_\_\_\_  
FILED LP 11/19/91

Approved: JMK Original filename: JMJ 003W.322

Time Received: \_\_\_\_\_ Telprep filename: JMJ 00850.322

MRI/JULIAN DATE: 65/323 ISN: 005

FOX DATE & TIME OF ACCEPTANCE: 0045

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 20-01-91 BY 01-0

(X)  
d

b6  
b7C

^PAGE 2 (196A-NY-1774) UNCLAS

SINCE REPORTEDLY SELLING A LARGE PORTION OF HIS INTEREST IN RICH'S COMPANIES.

THE NYO IS REQUESTING LEGAT BERN TO ACKNOWLEDGE THE FEASIBILITY OF REPORTING TO THE NYO THE ITINERARY OF RICH AND/OR GREEN, THAT IS, TO REPORT EACH TIME THEY LEAVE THE COUNTRY AND WHERE THEY DECLARE THEY ARE GOING.

BERN IS REQUESTED TO ADVISE THE NYO AS TO THE FEASIBILITY OF THE AFOREMENTIONED.

BT

0024 MRI 00065

RR FBI NY

DE FBI NY #0005 3230049

ZNR LULUU

R 190045Z NOV 91

FM FBI NEW YORK (196A-NY-1774)

TO DIRECTOR FBI ( )/ROUTINE/

LEGAT BERN ( )/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW;  
MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

REFERENCE NEW YORK TELETYPE TO BUREAU, DATED JUNE 3,  
1991. LEGAT, BERN AIRTEL TO BUREAU, DATED JULY 9, 1991.

THE NYO RECEIVED EXCELLENT PHOTOS OF MARC RICH AS  
ENCLOSURES TO REFERENCED AIRTEL BUT HAS RECEIVED NO WORD  
CONCERNING CURRENT PHOTOS OF PINCUS GREEN

b6  
b7C

FURTHERMORE, THE NYO HAS NOT RECEIVED ANY INFORMATION  
CONCERNING THE CURRENT STATUS AND ACTIVITIES OF PINCUS GREEN

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLAS  
DATE 2-20-01 BY

01-081

196A-1774-558

SEARCHED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_  
INDEXED \_\_\_\_\_  
FILED \_\_\_\_\_

PAGE TWO DE FBINY 0005 UNCLAS

SINCE REPORTEDLY SELLING A LARGE PORTION OF HIS INTEREST IN RICH'S COMPANIES.

THE NYO IS REQUESTING LEGAT BERN TO ACKNOWLEDGE THE FEASIBILITY OF REPORTING TO THE NYO THE ITINERARY OF RICH AND/OR GREEN, THAT IS, TO REPORT EACH TIME THEY LEAVE THE COUNTRY AND WHERE THEY DECLARE THEY ARE GOING.

BERN IS REQUESTED TO ADVISE THE NYO AS TO THE FEASIBILITY OF THE AFOREMENTIONED.

BT

#0005

NNNN



0100 MRI 01078

PP FBINY

DE RUCNFB #0028 3232016

ZNR LULUU

P 191545Z NOV 91

FM DIRECTOR FBI

TO FBI NEW YORK (196A-NY-1774) (P) / PRIORITY /

BT

UNCLAS

SECTION 1 OF 2

CITE: //0615//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);  
FBW, MF, RICO; INCOME TAX EVASION, TRADING WITH THE ENEMY; CO:  
NEW YORK.

RE BUREAU TELETYPE TO NEW YORK , DATED 10/25/91 AND NEW YORK  
TELETYPE TO DIRECTOR, FBI, DATED 10/31/91.

FOR THE INFORMATION OF NEW YORK, CONGRESSMAN ROBERT E. WISE,  
JR., CHAIRMAN, GOVERNMENT INFORMATION, JUSTICE AND AGRICULTURAL  
SUBCOMMITTEE, COMMITTEE ON GOVERNMENT OPERATIONS, U.S. HOUSE OF  
REPRESENTATIVES, HAS FORWARDED 1,022 QUESTIONS TO THE U.S.

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-03 BY [redacted]

01-081

19 [redacted] NY-1774-559

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 19 1991	
FBI NEW YORK	

[initials]

DPC/RA 11/19/91 1713

REF 0028 UNCLAS

DEPARTMENT OF JUSTICE, OFFICE OF INTERNATIONAL AFFAIRS, REGARDING THE ABOVE CAPTIONED MATTER. TWENTY-TWO OF THESE QUESTIONS HAVE BEEN REFERRED TO THE FBI FOR A RESPONSE.

NEW YORK IS REQUESTED TO PROVIDE THE ANSWERS TO BELOW LISTED QUESTIONS AND SHOULD ENSURE THAT ALL RESPONSES ARRIVE AT THE FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT, ROOM 5064, TL 233, NO LATER THAN 12/02/91.

THE FOLLOWING SHOULD BE ANSWERED FOR EACH QUESTION WITH THE EXCEPTION OF QUESTIONS 60, 1112 AND 1113 WHICH SHOULD BE ANSWERED ACCORDINGLY.

- A.
- B.
- C.
- D.
- E.

--	--

b7E

QUESTION 16:

--

--

QUESTION 18:

--

b7E

--

[REDACTED]

b7E

QUESTION 21:

[REDACTED]

[REDACTED]

QUESTION 24:

[REDACTED]

[REDACTED]

b7E

QUESTION 26:

[REDACTED]

[REDACTED]

b7E

QUESTION 28:

[REDACTED]

[REDACTED]

b7E

QUESTION 30:

[REDACTED]

[REDACTED]

b7E

QUESTION 34:

[REDACTED]

[REDACTED]

b7E

QUESTION 36:

[REDACTED]

[REDACTED]

b7E

QUESTION 38:

[REDACTED]

[REDACTED]

b7E

QUESTION 40:

[REDACTED]

[REDACTED]

b7E

QUESTION 42:

[REDACTED]

[REDACTED]

b7E

QUESTION 45:

[REDACTED]

[REDACTED]

b7E

QUESTION 47:

[REDACTED]

[REDACTED]

b7E

QUESTION 50:

[REDACTED]

[REDACTED]

b7E

QUESTION 53:

[REDACTED]

[REDACTED]

b7E

QUESTION 55:

[REDACTED]

[REDACTED]

b7E

QUESTION 57:

[REDACTED]

[REDACTED]

b7E

QUESTION 59:

[REDACTED]

[REDACTED]

b7E

QUESTION 60:

[REDACTED]

[REDACTED]

b7E

A.

[REDACTED]

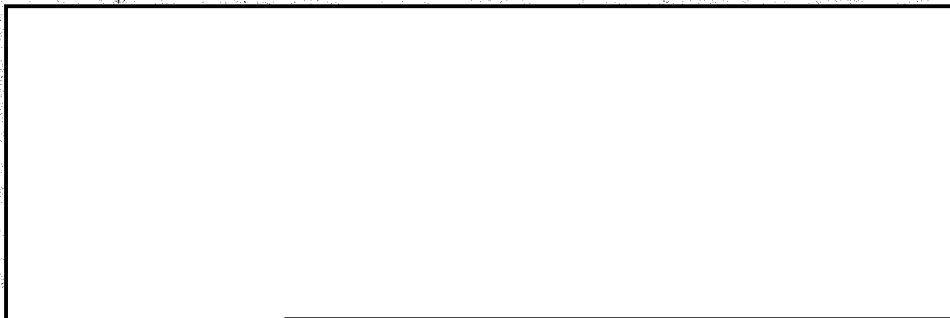
05. RUCNFB 0028 UNCLAS

B.

C.

D.

E.



b7E

QUESTION 1112:



BT

#0028

NNNN

0101 MRI 01079

PP FBINY

DE RUCNFB #0029 3232017

ZNR UUUUU

P 191545Z NOV 91

FM DIRECTOR FBI

TO FBI NEW YORK (196A-NY-1774)(P)/PRIORITY/

BT

UNCLAS

SECTION 2 OF 2

CITE: //0615//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (3);  
FEW, MF, RICH; INCOME TAX EVASION, TRADING WITH THE ENEMY; CC:  
NEW YORK.

[REDACTED]

b7E

QUESTION 1113: [REDACTED]

[REDACTED]

BT-

#0029

NNNN

Memorandum



To : SAC, NEW YORK (196A-NY-1774)P

Date 11/21/91

From : SA [redacted] (C-12)

b6  
b7C

Subject : MARC RICH - FUG.(B);  
PINCUS GREEN - FUG.(B);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING WITH  
THE ENEMY.  
OO: NY

[redacted] on 11/21/91 [redacted]  
[redacted] telephonically contacted the NYO and  
furnished the following:

b6  
b7C  
b7D

[redacted] maintains his interest in [redacted]

[redacted]

[redacted] said [redacted]

[redacted]

(X)  
✓

b6  
b7C  
b7D

196A-1774-560

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 21 1991	
FBI-NEW YORK	

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081



[Redacted]

b6  
b7C  
b7D

[Redacted]

also said he understands

b6  
b7C  
b7D

The federal authorities in the U.S. have  
in custody an individual [Redacted]

[Redacted]

Nyo will contact [Redacted] during the  
week of [Redacted] concerning [Redacted]

In the event [Redacted] is able to  
provide more specific information then  
The Nyo will provide information to  
Legats, London & Paris.

b6  
b7C  
b7D



~~SECRET~~

^PAGE 2 196A-NY-1774

~~UNCLAS~~

FORTH IN THE TELETYPE AND THE RESPONSES TO THOSE QUESTIONS ARE  
ENUMERATED BELOW:

16) [REDACTED]  
[REDACTED]

b7E

[REDACTED]

18) [REDACTED]  
[REDACTED]

A. [REDACTED]  
[REDACTED]

b7D  
b7E

B. [REDACTED]  
[REDACTED]

C. [REDACTED]  
D. [REDACTED]

E. [REDACTED]

[REDACTED]

~~(S)~~ (U)

~~X~~

~~SECRET~~

~~UNCLAS~~

[Redacted]

b7D  
b7E

21)

[Redacted]

[Redacted]

<

A.

[Redacted]

[Redacted]

b7E

B.

C.

D.

E.

[Redacted]

[Redacted]

24)

[Redacted]

[Redacted]

b7E

26)

[Redacted]

[Redacted]

~~SECRET~~

~~SECRET~~

28)

[Redacted]

b7E

[Redacted]

A.

[Redacted]

[Redacted]

B.

[Redacted]

b7E

C.

D.

E.

[Redacted]

30)

[Redacted]

[Redacted]

A.

[Redacted]

b7E

[Redacted]

B.

[Redacted]

[Redacted]

C.

[Redacted]

D.

~~SECRET~~

~~SECRET~~

UNCLAS

E.

[Redacted]

[Redacted]

b7E

34)

[Redacted]

[Redacted]

[Redacted]

b7D  
b7E

[Redacted]

36)

[Redacted]

[Redacted]

b7E

38)

[Redacted]

[Redacted]

X

40)

[Redacted]

b7E

[Redacted]

42)

[Redacted]

[Redacted]

A.

[Redacted]

[Redacted]

b7E

B.

[Redacted]

C.

D.

E.

[Redacted]

45)

[Redacted]

b7E

[Redacted]

47)

[Redacted]

b7E

[Redacted]

~~SECRET~~  
~~UNCLAS~~

A.

[Redacted]

[Redacted]

B.

[Redacted]

[Redacted]

C.

[Redacted]

D.

[Redacted]

E.

[Redacted]

[Redacted]

b6  
b7C  
b7D  
b7E

b7E

~~SECRET~~



~~SECRET~~

[Redacted]

b7D  
b7E

50)

[Redacted]

[Redacted]

b7E

53)

[Redacted]

[Redacted]

57)

[Redacted]

[Redacted]

59)

[Redacted]

b7E

[Redacted]

60)

[Redacted]

[Redacted]

b7E

A.

[Redacted]

[Redacted]

X

~~SECRET~~

^PAGE 9 196A-NY-1774

~~UNCLAS~~

B.

[Redacted]

[Redacted]

C.

[Redacted]

D.

E.

b7E  
b7D

[Redacted]

[Redacted]

AS OF THE

DATE OF THIS TELETYPE.

LEGAT BERN HAS ADVISED THERE IS VIRTUALLY NO WAY OF

[Redacted]

ALTHOUGH A

SOURCE

[Redacted]

b7D

[Redacted]

THAT

SOURCE HAS BEEN UNPRODUCTIVE TO DATE. (~~S~~) (U)

LEGAT ROME HAS BEEN REQUESTED TO PROVIDE ASSISTANCE IN

[Redacted]

A. LEGAT ROME IN

[Redacted]

For

WERE ASSISTANCE ON

[Redacted]

b7E

[Redacted]

~~SECRET~~

~~SECRET~~

^PAGE 10 196A-NY-1774

~~UNCLAS~~

B. [REDACTED]

[REDACTED]

C. [REDACTED]

D. [REDACTED]

E. [REDACTED]

b7D  
b7E

[REDACTED]

[REDACTED]

THAT SOURCE HAS NOT BEEN

PRODUCTIVE TO DATE.

~~(S)~~ (U)

THE MIAMI OFFICE OF THE FBI RESPONSIBLE FOR PROVIDING ASSISTANCE [REDACTED] HAS BEEN ASKED TO FURNISH ASSISTANCE.

A. MIAMI HAS BEEN ASKED TO FURNISH ASSISTANCE IN [REDACTED]

[REDACTED]

b7D  
b7E

B. [REDACTED]

C. [REDACTED]

D. [REDACTED]

E. [REDACTED]

[REDACTED]

~~SECRET~~

~~SECRET~~

^PAGE 11 196A-NY-1774

~~UNCLAS~~

[Redacted]

1112)

[Redacted]

b7D  
b7E

[Redacted]

1113)

[Redacted]

[Redacted]

BT

~~SECRET~~

~~SECRET~~

PP FBIN

DE FBIN #0017 3362126

ZNR UUUUU

P 021940Z DEC 91

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

SECTION ONE OF TWO SECTIONS

CITE: //3540//

PASS: FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT, ROOM 5064;

TL 293.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE;

(B); FRAUD BY WIRE (FBW); MAIL FRAUD (MF); RICO;

INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

REFERENCE BUREAU TELETYPE TO NEW YORK, NOVEMBER 19, 1991.

REFERENCED TELETYPE REQUESTED RESPONSES TO QUESTIONS SET FORTH IN THE TELETYPE AND THE RESPONSES TO THOSE QUESTIONS ARE ENUMERATED BELOW:

(X)  
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~~SECRET~~

196A-1774-501

CLASSIFIED BY [redacted]  
REASON: 1.5 (S)  
DECLASSIFY ON: X1,6  
01081 2-20-01

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SEARCHED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_  
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FILED \_\_\_\_\_

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE~~

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE~~ [redacted]

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16)

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PAGE SIX DE FBINY 0017 UNCLAS

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FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

~~ENCLAS~~

SECTION TWO OF TWO SECTIONS

CITE: //3540//

PASS: FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT, ROOM 5064;

TL 233.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE;

(B); FRAUD BY WIRE (FBW); MAIL FRAUD (MF); RICO;

INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

TEXT CONTINUES:



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11) [Redacted]

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50) [Redacted]

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53) [Redacted]

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AS OF THE

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DATE OF THIS TELETYPE.

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LEGAT BERN HAS ADVISED THERE IS VIRTUALLY NO WAY OF

[REDACTED]

ALTHOUGH A

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SOURCE

[REDACTED]

[REDACTED]

THAT

SOURCE HAS BEEN UNPRODUCTIVE TO DATE. ~~(S)~~ (U)

LEGAT ROME HAS BEEN REQUESTED TO PROVIDE ASSISTANCE IN

[REDACTED]

A. LEGAT ROME IN

[REDACTED]

FOR ASSISTANCE ON

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B.

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[REDACTED]

[REDACTED]

THAT SOURCE HAS NOT BEEN

PRODUCTIVE TO DATE. ~~(S)~~ (U)

THE MIAMI OFFICE OF THE FBI RESPONSIBLE FOR PROVIDING

ASSISTANCE

[REDACTED]

HAS BEEN ASKED TO FURNISH ASSISTANCE.

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A. MIAMI HAS BEEN ASKED TO FURNISH ASSISTANCE IN

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R 061013Z DEC 91

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

SECTION ONE OF TWO SECTIONS

CITE: //5550:BER480.339 05 DEC 91//

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW; MF;  
RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY.

RENYTEL, 11/19/91.

LEGAT. BERN HAS BEEN UNABLE TO LOCATE ANY PHOTOS OF PINCUS GREEN [REDACTED] NEW YORK SHOULD BE AWARE IF NOT ALREADY AWARE THAT THE BACKGROUND TO THIS CASE ESSENTIALLY MAKES OFFICIAL COOPERATION WITH [REDACTED] VERY DIFFICULT IF NOT IMPOSSIBLE. THE BITTER DISPUTE WITH [REDACTED]

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12-11-91

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196A-1774-562

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FBI - NEW YORK	

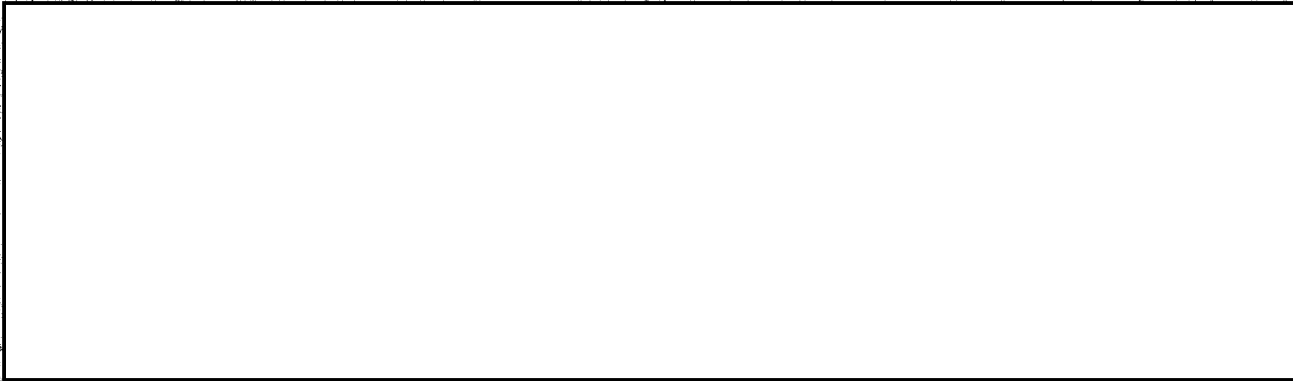
*C12*

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [REDACTED]

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RICH, GREEN, ET AL ARE SOMEWHAT CONTROVERSIAL PERSONS IN SWITZERLAND. RICH MAKES IT A POINT TO BE MOST GENEROUS TO VARIOUS LOCAL CAUSES AND CHARITIES AND TRIES TO MAINTAIN A POSITIVE IMAGE. OPINIONS ARE SPLIT AMONG [REDACTED] HOWEVER,

LEGAT PERSONALLY KNOWS [REDACTED] IN BERN.



b7D

[REDACTED] HAS NOT BEEN ABLE TO DEVELOP ANY SIGNIFICANT INFORMATION, HOWEVER.

ANOTHER [REDACTED] HAS EXPRESSED TO LEGAT AND TO OTHER EMBASSY OFFICERS [REDACTED]

b7D



PAGE THREE DE BER 0003 UNCLAS

THE SITUATION IS THAT LEGAT IS IN A VERY POOR POSITION, BASED ON PAST EVENTS, TO OFFICIALLY ASK FOR [REDACTED] ASSISTANCE IN DEVELOPING INFORMATION ON RICH AND GREEN. ASIDE FROM THIS SITUATION, THE PRACTICALITIES OF THE MATTER ARE THAT RICH, AT LEAST, CAN COME AND GO FROM SWITZERLAND AT WILL AND EVEN IF [REDACTED] WERE MOST WILLING, THERE WOULD BE LITTLE THEY COULD DO SHORT OF INSTITUTING A FULL-TIME SURVEILLANCE OF RICH AND/OR GREEN. ALTHOUGH RICH APPEARS FAIRLY OFTEN IN PUBLIC IN AND AROUND ZUG AND IN ZURICH OCCASIONALLY, HE OTHERWISE LEADS A FAIRLY SECLUDED LIFE-STYLE. AS WITH MOST VERY WEALTHY EUROPEANS, PERSONAL SAFETY AND SECURITY IS A BIG CONCERN - KIDNAPPINGS OF WEALTHY PERSONS ARE STILL FAIRLY COMMON IN WESTERN EUROPE - AND RICH IS KNOWN TO TAKE APPROPRIATE SECURITY MEASURES.

b7D

RICH NORMALLY USES A SPANISH PASSPORT. AS SUCH THERE ARE LITERALLY NO CONTROLS OR RECORDS OF HIS COMINGS AND GOINGS. HE CAN ENTER ANY OF THE SURROUNDING COUNTRIES BY CAR IN AN HOUR OR LESS WITH VIRTUALLY NO BORDER-CROSSING CONTROLS, CARS ARE TYPICALLY "WAVED-THROUGH" WITHOUT EVEN A GLANCE AT THE OCCUPANTS OR THEIR PASSPORTS. RE-ENTRY TO SWITZERLAND IS JUST AS EASY.

AT THE AIRPORT, ZURICH BEING THE NEAREST, THERE IS NO "FACE

PAGE FOUR DE BER 0003 UNCLAS

FOUR DE BER 196A-NY-1774 UNCLAS

CONTROL OF OUTGOING PASSENGERS ON EITHER SCHEDULED AIRLINES OR  
PRIVATE AIRCRAFT. LEGAT HAS HEARD FROM THE [REDACTED]  
MENTIONED ABOVE THAT [REDACTED]

b7D

AS TO GREEN, HE IS EVEN MORE OBSCURE. RARELY MAKING PUBLIC  
APPEARANCES THAT MAKE IT INTO THE PRESS OR OTHER MEDIA. NEITHER  
RICH NOR GREEN'S PERSONAL RESIDENCES OR PHONE NUMBERS ARE IN THE  
ZUG PHONE BOOK, THERE IS ONLY A LISTING FOR MARC RICH AND CO. AG.  
UNLISTED NUMBERS IN SWITZERLAND ARE RARE.

TO ANSWER NEW YORK'S QUESTION AS TO THE FEASIBILITY OF  
REPORTING EACH TIME RICH AND/OR GREEN LEAVE SWITZERLAND AND WHERE  
THEY DECLARE THEY ARE GOING, THE ANSWER IS SIMPLY IT IS  
IMPOSSIBLE. THEY HAVE ABSOLUTELY NO NEED OR REQUIREMENT TO

PAGE FIVE DE BER 0003 UNCLAS

ADVISE ANYBODY AS TO THEIR TRAVELS. NEW YORK SHOULD ALSO BE AWARE THAT THE CANTON OF ZUG IS A VERY SMALL-TIME OPERATION. THE ENTIRE PHONE BOOK FOR ZUG IS SIXTY-SIX PAGES IN LENGTH. IT HAS A VERY SMALL [REDACTED] ONLY PART-TIME PROSECUTORS AND MAGISTRATES, VERY LITTLE CRIME AS CRIME IS NORMALLY THOUGHT OF, I.E. THEFT, ROBBERY, ASSAULTS, ETC. AND THE MORE SOPHISTICATED TYPES OF WHITE-COLLAR OR FINANCIAL CRIMES ARE LARGELY IGNORED. IT IS AN IDYLIC HAVEN FOR THE VERY WEALTHY INSIDE A COUNTRY THAT IS IN ITSELF SUCH A HAVEN. GIVEN THIS SITUATION, OFFICIAL INQUIRIES THROUGH NORMAL [REDACTED] HAVE LITTLE CHANCE OF OBTAINING POSITIVE RESULTS. [REDACTED] ZUG WOULD HAVE LITTLE OR NO CAPABILITY TO CONDUCT ANY SORT OF SURVEILLANCE AND IT WOULD BE A CLEAR VIOLATION OF SWISS LAW FOR LEGAT OR ANY FBI EMPLOYEE OR AGENT (I.E. SOURCE OR INFORMANT) TO ACTIVELY ATTEMPT TO DEVELOP INFORMATION ON SUBJECTS WITHOUT GOING THROUGH [REDACTED]

b7D

AS OF MID-TO-LATE OCTOBER, 1991, RICH IS STILL LIVING IN AND OPERATING OUT OF ZUG. GIVEN HIS SPANISH CITIZENSHIP, THE INTERNATIONAL NATURE OF HIS BUSINESS, THE IMPLEMENTATION OF EUROPEAN COMMUNITY TRACK AND COMMERCIAL POLICIES BEGINNING IN 1992 AND SWITZERLAND NOT BEING A MEMBER OF THE EC, IT IS A PACE

PAGE SIX DE BER 0003 UNCLAS

SIX DE BER 196A-NY-1774 UNCLAS

CERTAINLY FEASIBLE THAT RICH MAY BE MOVING OR CONSIDERING A MOVE TO SPAIN, WHICH IS AN EC MEMBER.

LEGAT HAS TASKED THE EMBASSY PRESS ATTACHE AND THE CONSULATE IN ZURICH TO BE ALERT FOR INFORMATION IN THE MEDIA ABOUT RICH AND/OR GREEN. IN ADDITION, LEGAT WILL APPROACH SEVERAL POTENTIAL SOURCES [REDACTED]

LEGAT REALIZES THIS IS A SOMEWHAT UNUSUAL FUGITIVE INVESTIGATION - THE LOCATION OF THE FUGITIVES IS WELL-KNOWN. IT IS BERN'S UNDERSTANDING THAT AS OF A YEAR OR SO AGO, RICH WAS NEGOTIATING WITH THE SDNY U.S. ATTORNEY'S OFFICE TO RESOLVE THE CHARGES AGAINST HIM AND IN DISCUSSING THIS MATTER WITH [REDACTED] CONTACTS SEVERAL HAVE INDICATED SURPRISE THAT WE ARE STILL ATTEMPTING TO ARREST RICH AND GREEN AS IT WAS BELIEVED THEY HAD RESOLVED THEIR LEGAL PROBLEMS WITH THE U.S. THROUGH NEGOTIATIONS AND AGREEMENTS WITH THE SDNY.

b7D

LEGAT WOULD APPRECIATE AN UPDATE ON ANY NEGOTIATIONS OR AGREEMENTS RICH/GREEN MAY HAVE MADE WITH THE SDNY.

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DE BER #0001 3151123

ZNR UNULU

R 111105Z DEC 91

FM LEGAT BERN (1960-NY-1774) (P)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

SECTION TWO OF TWO SECTIONS

CITE: //5550:BER480.339 05 DEC 91//

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW; MF;

RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO: NY.

TEXT CONTINUES:

LEGAT WOULD ALSO APPRECIATE ANY SPECIFIC SUGGESTIONS FOR  
RESOLVING THIS MATTER FROM FBIHQ OR NEW YORK AND WOULD WELCOME A  
DISCUSSION OF THE MATTER. LEGAT BERN'S TELEPHONE NUMBER IS 011-  
41-31-437292.

BT

SEARCHED	INDEXED
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PAGE TWO DE DER 0001 UNCLAS

#0001

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RR BUCHNER FBINY

DE BER #0005 3391306

ZNR UUUUL

R 051238Z DEC 91

FM LEGAT BERN (U25A-NY-1774) (P)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

SECTION TWO OF TWO SECTIONS

CITE: //5550/BER450.339.05 DEC 91//

SUBJECT: MARG RICH - FUG (3); PINCUS GREEN - FUG (3); FBI: NY;

RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; COI NY.

TEXT CONTINUES:

LEGAT WOULD ALSO APPRECIATE ANY SPECIFIC SUGGESTIONS FOR RESOLVING THIS MATTER FROM FBIHQ OR NEW YORK AND WOULD WELCOME A DISCUSSION OF THE MATTER. LEGAT BERN'S TELEPHONE NUMBER IS 011-41-31-437292.

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SEARCHED	INDEXED
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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY 01-081

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12-05-91



FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 12/6/91

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/IMMEDIATE/

FBI LEGAT BERN/IMMEDIATE/

LEGAT BONN/IMMEDIATE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT, <sup>VCMOS;</sup> <sup>ECONOMIC</sup> <sup>CRIMES</sup>  
 INTERPOL UNIT, OLIA. <sub>UNIT, WCCS;</sub>

SUBJECT: MARC RICH - FUG. (B); PINCUS GREEN - FUG. (B); FBW,  
 MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY. *00:NY*

REFERENCE BUREAU TELCAL FROM SSA  TO NEW YORK

1 - New York

1 - Supervisor

JCM:txs010W.340

(2)

*196A-1774-564*

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Approved: *JMF* Original filename: txs 010W.340

Time Received: \_\_\_\_\_ Telprep filename: txs 010 50.340

MRI/JULIAN DATE: 2054/340 ISN: 061

FOX DATE & TIME OF ACCEPTANCE: 2239 **ALL INFORMATION CONTAINED**

01-081

DATE: 2001 B

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^PAGE 2

U N C L A S

ON DECEMBER 6, 1991.

FOR INFORMATION, RELIABLE INFORMATION HAS BEEN RECEIVED THAT MARCH RICH, U.S. FUGITIVE, IS PRESENTLY IN CZECHOSLOVAKIA ATTEMPTING TO PURCHASE THE SLOVAK ALUMINUM COMPANY.

MARC RICH WAS INDICTED SEPTEMBER 1983 IN THE SDNY ON A 51 COUNT INDICTMENT WHICH RECEIVED WIDE SPREAD PUBLICITY IN NEW YORK DURING THE MID 1980S AND RICH IS GENERALLY REGARDED AS THE MAJOR WHITE COLLAR FUGITIVE WANTED BY THE U.S. TODAY. THE FBI ~~HAS BECOME~~<sup>IS</sup> THE LEAD AGENCY IN SEEKING THE ARREST OF RICH AND HIS PARTNER, PINCUS GREEN.

RICH HAS RECENTLY BECOME THE SUBJECT OF A CONGRESSIONAL INQUIRY BY CONGRESSMAN WISE OF WEST VIRGINIA REGARDING RICH'S INFLUENCE IN NUMEROUS COMPANIES IN THE U.S. IN SPITE OF HIS FUGITIVE STATUS.

EFFORTS ARE UNDERWAY TO OBTAIN A

LEGAT, BONN IS REQUESTED TO

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DE FBIN NY

DE FBIN NY # 100-341291

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FM FBI NEW YORK 196A-NY-1774 (P) 2-12

TO DIRECTOR FBI IMMEDIATE

LEGAT BERLIN IMMEDIATE

LEGAT BONNA IMMEDIATE

BT

UNCLAS

DATE: 1/7/84

RE: [REDACTED] POSITIVE GOVERNMENT REGISTRATION CRIMINAL UNIT;

WANTS, ECONOMIC MATTERS, INTEREST, [REDACTED]

SUBJECT: [REDACTED] (S); [REDACTED] - [REDACTED]; [REDACTED]

MR. [REDACTED] INCOME TAX EXEMPT, TRAINING WITH THE BRITISH; [REDACTED]

REFERENCE BUREAU TELETYPE FROM [REDACTED] NEW YORK

DECEMBER 1991

FOR INFORMATION, RELIABLE INFORMATION HAS BEEN RECEIVED

THAT [REDACTED] U.S. CITIZEN, IS PRESENTLY IN [REDACTED] VAKKA

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 1-20-08 BY [REDACTED]

[REDACTED]

01-081

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196A-NY-564  
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U.S. Department of Justice

Federal Bureau of Investigation  
26 Federal Plaza  
New York, New York 10278

In Reply, Please Refer to  
File No.

January 2, 1992

[Redacted]

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Dear [Redacted]

The New York Office of the Federal Bureau of Investigation (FBI) respectfully requests the opportunity to interview [Redacted] pursuant to an official investigation.

Special Agent [Redacted] of our office plans to appear at your [Redacted] with another law enforcement official to see [Redacted]

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In the event there is a problem with this arrangement please contact Special Agent [Redacted] at (212) 335-2700, extension 3258.

Your cooperation in this matter is appreciated.

Sincerely,

JAMES M. FOX  
Assistant Director In Charge

By: [Redacted]  
Supervisory Special Agent

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1- Addressee  
① 196A-NY-1774  
JCM;jcm

196A-1774-565  
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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-20-01 BY [Redacted]

01-08

Memorandum



To : SAC, NEW YORK (196A-NY-1774)P Date 1/3/92

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG. (B);  
PINCUS GREEN - FUG. (B);  
FBW, MF, RICO, INCOME  
TAX-EVASION, TRADING WITH  
THE ENEMY  
OO: NY

On 1/2/92, [redacted]

[redacted]

appeared at the NYO while visiting [redacted]

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[redacted]

advised he has [redacted]

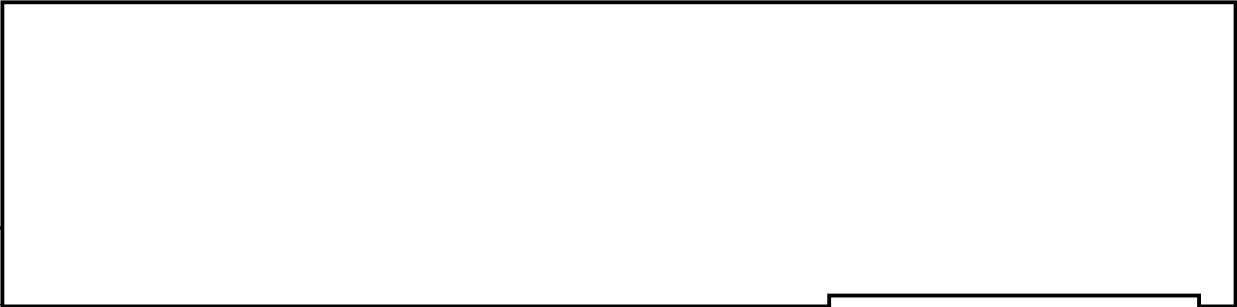
[redacted]

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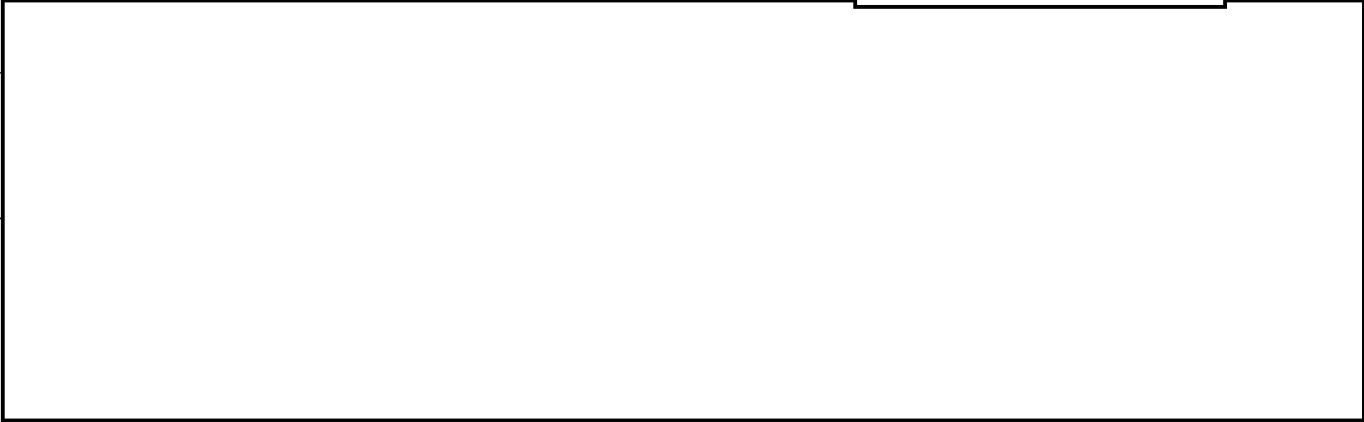
ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]  
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FBI-NEW YORK



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On January 3, 1992, closed, [redacted] was contacted by Special Agent (SA) [redacted] and United States Marshal [redacted] New York. He furnished the following:

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Source advised [redacted]

[redacted]

b7D

Source saw the Business Week article about MARC RICH recently [redacted] after reading the article.

Source said [redacted]

[redacted]

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Source said there is also a possibility of [redacted]

[redacted]

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of

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

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FBI-NEW YORK	

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FM LEGAT LONDON (196A-NY-1774) (RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

CITE: //5200:LON202.037 6 FEB 1992//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B).

RICO; FBW, MF, INCOME TAX EVASION, TRADING WITH THE ENEMY, OO:NY.

RE NY TEL DATED 9/27/91.

A REVIEW OF THE FILE IN THIS MATTER INDICATES THERE ARE NO  
OUTSTANDING LEADS AT LONDON; THEREFORE, THIS MATTER WILL BE  
CONSIDERED RUC'D.

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

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R 071953Z FEB 92

FM LEGAT LONDON (196A-NY-1774) (RUC)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

CITE: //5200:LON202.037 6 FEB 1992//

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);

RICO; FBW, MF, INCOME TAX EVASION, TRADING WITH THE ENEMY, OO:NY.

RE NY TEL DATED 9/27/91.

A REVIEW OF THE FILE IN THIS MATTER INDICATES THERE ARE NO  
OUTSTANDING LEADS AT LONDON; THEREFORE, THIS MATTER WILL BE  
CONSIDERED RUC'D.

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#0020

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

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196 MRI 9/27/91

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*Inspected  
checked*

PAGE TWO DE BOM 0010 UNCLAS

INFORMATION BUT WOULD FURNISH ANY INFORMATION AS HE OBTAINED  
IT FROM THE [REDACTED]

b7D

INVESTIGATIVE RESPONSIBILITY FOR [REDACTED] HAS BEEN  
ASSIGNED TO LEGAT VIENNA AND ALL FURTHER COMMUNICATIONS IN  
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SUBJECT: MARC RICH - FUG. (B); PINCUS GREEN - FUG. (B); FBW.  
MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NY.

RE NEW YORK TELETYPE TO THE DIRECTOR DATED 12/6/91.

ON 12/9/91, [REDACTED] LEGAT BONN, SPOKE TO AMERICAN

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58

# US-Business macht Marc rich

Trotz Verurteilung beliefert Rohstoffhändler Marc Rich die amerikanische Münzstätte

Zwar gilt Marc Rich für die US-Justiz als der grösste Steuerhinterzieher aller Zeiten, und bei einer Rückkehr in die USA warten insgesamt 325 Jahre Gefängnis



DREHT US-JUSTIZ DIE LANGE NASE: Rohstoffhändler Marc Rich.

auf den Rohstoffhändler. Das hindert aber die amerikanische Münzstätte nicht daran, seit Jahren mit der Rich-Firma Clarendon lukrative Geschäfte abzuwickeln.

Für einmal beschäftigen sich nicht die amerikanischen Richter mit Rich, sondern ein Unterausschuss des Kongresses unter der Leitung des demokratischen Abgeordneten Bob Wise. Der Kongress hat eine Untersuchung angeordnet. Sie soll abklären, warum es möglich ist, dass der gleiche Staat, für den Rich ein Wirtschaftskrimineller übelster Sorte ist, von der Firma Clarendon Kupfer, Nickel und Zink zur Prägung von Münzen kauft.

Dabei geht es wie üblich nicht um kleine Summen. Gemäss dem US-Magazin «Fortune» hat die amerikanische Münzstätte bei der Rich-Firma Clarendon seit 1988 Metalle für 25 Millionen Dollar erworben. Willy Strothotte, Direktor für die Metallgruppe im Rich-Imperium und Chef der Clarendon, bestätigt die Geschäftsbeziehungen mit der US-Mint.

## Rich ist seit vielen Jahren Lieferant der US-Münzstätte

Laut Strothotte befindet sich Rich nicht erst seit vier, sondern schon seit vielen Jahren unter den Lieferanten der Münzstätte. «Bei den alle drei Monaten öffentlich ausgeschriebenen Tendern bieten wir natürlich auch mit. Wenn wir billiger sind als die Konkurrenz, spart der amerikanische Steuerzahler sogar Geld.» Dieser Argumentation haben sich die Münzenpräger in der Vergangenheit häufig ange-

schlossen, denn Strothotte ist sicher, dass in den letzten Jahren für weit mehr als die zitierten 25 Millionen Dollar Staatsgelder legal in die Tassen von Rich geflossen sind.

## Rich zieht den Zorn der Gewerkschaften auf sich

Rich ist nicht nur wegen der Münzenaffäre in den Schlagzeilen. Der Kongressausschuss, der den Fall Rich untersucht, wurde schon vor einigen Monaten auf Drängen der US-Stahlarbeitergewerkschaft eingesetzt. Rich hat den Zorn der Gewerkschaft auf sich gezogen, weil er Drahtzieher bei der Aussperrung von Gewerkschaftern sein

soll. Bei der in West Virginia behelmten Ravenswood Aluminium Corp. herrscht seit anderthalb Jahren ein heftiger Kampf zwischen Besitzern und Gewerkschaften.

Strothotte bestreitet jedoch jegliche eigentümliche Verflechtung zwischen Rich und dem Werk in Ravenswood. Die Vorwürfe der Gewerkschaften seien haltlos.

Rich hält sich währenddessen in der Schweiz schadlos. Nachdem dem Trader der Erwerb eines grossen Grundstückes in Risch am Zugersee verwehrt worden ist, baut er seit einigen Monaten im luzernischen Meggen die schlossähnliche Villa Rose am Vierwaldstättersee nach seinem Geschmack um.

Kaspar Baumann

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Ein Star als Geburtstagsgeschenk: Heinz Zimmer, Christiane Hörbiger.



Helen Zimmer, Gäste Max und Celia Frey.

FOTOS: RUEDI KUBLI



Geburtstagsgäste Doris Ammann, Rheumaspezialist Beat Raaflaub.



Johanna und Jens Kothes: Käch, Christoph «Dodi» Dicken von Oetinger (v.l.)



«Doron»-Preis-Donator Marc Rich, Gäste Antja Horta und John Schnell (v.r.n.l.)



«Doron»-Kulturpreisgewinner Marc Belfort, Gwyneth-Jones Ehemann Till Haberfeld (v.r.).



Rich-Freundin Gisela Rossi mit Laudatio-Lesern Michael Kohn (r.) und Franz Reichenbach sowie Margot Bodmer (l.).

mat- r ih- feinz iger, I im nen-, wie lan- vercin I zu- dant hin- bissi- van- und char Rei- t: eter ston ster etin- und arlo füh- cra- alle- hlig rle- und aus Mi- gis- am

Freitag in Männedorf die Journalistin Marie-Louise Bischofberger, Tochter der Modedesignerin und Malerin Verena Bischofberger, den Opern-, Theater- und Filmregisseur Luc Bondy, Enkel von Schriftsteller N.O. Scarpi, heiratete. Beim Hochzeitsfest in der «Oberen Flühgasse» brillierte der von einem Schlaganfall glücklich genesene Bräutigamvater François Bondy mit einem selbstverfassten Gedicht.

Verlobung? Am honorigsten Anlass, zu dem Milliardär Marc Rich jeden Herbst lädt – der Verleihung der drei von ihm gesponserten und mit je 100.000 Franken dotierten «Doron»-Preise – erregte letzte Woche die Frau an seiner Seite mehr Aufsehen als die Preisträger selbst. (u. a. Marc Belfort für seine Verdienste ums Internationale Opernstudio Zürich): Gisela

Rossi, die bislang erst im engsten Freundeskreis eingeführte neue Lebensgefährtin des Zuger Rohstoffhändlers.

Um dem ungeliebten Versteckenspiel ein Ende zu bereiten, hatte sich die attraktive Gisela, Inbegriff des deutschen Fräuleinwanders und Witwe eines italienischen Grosskaufmanns, vergangenen Sommer nach Amerika abgesetzt, wo sie für Marc Rich während Wochen unerreichbar gewesen war. Denn Rich, auf den in den USA wegen wirtschaftskrimineller Delikte 300 Jahre Gefängnis warten und ein Kopfgeld von 750 000 Dollar ausgesetzt ist, wird nie mehr wieder den Boden seiner Heimat betreten können.

Die Entzugstherapie wirkte schlagartig: Heute lobt Gisela Rossi offiziell in Zugerbereitschaft auf den gemeinsamen Einzugszug in die Meggener Traumvilla des ver-

storbenen Claus Cramer vor und tritt, siehe oben, offiziell als die-Frau an Marc Richs Seite auf.

Vertreter aus Regierungs- und Nationalrat lernten Gisela Rossi bei der «Doron»-Preisvergabe im Casino Zug letzte Woche ebenso kennen wie «Doron»-Stiftungsrat André Aloys Wicki, die brillantesten Laudatio-Leser Michael Kohn und Franz Reichenbach, a. Regierungsrat Hans Künzi und Banquier Rudolf E. Bär, die Berufsmäzene Margot Bodmer, Henry F. Levy und Ellen Ringier, Halsarzt Fritz Langraf und Fotogalerist Kaspar M. Fleischmann sowie die engsten Freunde des Paares aus den St. Moritzer Anfangstagen seiner Liebe, Zahnarzt John Schnell, Visagistin Chris Silber, Bauanwalt Albert Schellenberg und «Gott- hard»-Hotelière Ljuba Manz.

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**Marc Rich** – Rohstoffpreise und Konjunktur sind schwach, diverse Affären noch wach, Marc Rich und sein Handelshaus in Zug von allen Gründern und deren Kapitalanteil verlassen. Doch die Welt ist voller Opportunities, vorab im Osten. Rich und seiner neuen Crew gelingt ein

# FULMINANTER NEUSTART

VON BEAT BIERI UND MICHAEL VAN ORSOIJ

**D**er Himmel über Zug ist leicht bewölkt an jenem 3. April 1974. Bei mässigem Nordwind, vermag sich der Frühling mit angenehmen 19 Grad bemerkbar zu machen. Und doch will nicht alles spriessen. Die Stadt Zug zählt gerade noch 23 500 Einwohner, 416 weniger als ein Jahr zuvor. Sollte es so weitergehen, würde Zug 32,5 Jahre später nur noch als Dorf gelten.

Aber es geht nicht so weiter.

An der Gartenstrasse 2 in einem Büroraum der Anwaltskanzlei Barth, Mosimann und Stadlin machen sich, so das Protokoll, ein «Fräulein Cécile Unternährer, von Romos in Cham» und Anwalt Rudolf Mosimann an die Gründung einer Firma. Über die weitere Karriere des «Fräuleins Unternährer», wohl eine Sekretärin der Anwaltskanzlei, ist nichts bekannt. Von Rudolf Mosimann hingegen wissen wir, dass er noch «einige weitere Jahre Beachtung und Auskommen als Zuger Staats- und Wirtschafts-anwalt finden wird. Ein weiterer Part kommt der Kreditanstalt Zug zu, die schriftlich bestätigt, dass die Gründer ein Aktienkapital von 1 055 000 Franken auf ein SKA-Konto einbezahlt haben. Fräulein Unternährer und Anwalt Mosimann erklären sodann zuhänden des Protokolls mit klarer Bestimmtheit: «Wir wählen als Mitglied des Verwaltungsrates der Gesellschaft für eine erste Amtsdauer von einem Jahr, Herrn Marc Rich, Staatsangehöriger der USA, wohnhaft in Somosguas, Madrid, diesen gleichzeitig zum Präsidenten des Verwaltungsrates.» Komplettiert wird der Verwaltungsrat durch den Amerikaner Pincus Green und die drei Schweizer Anwälte (Wiki, Gayler, Mosimann). Als Direktor sieht sich der Deutsche Alexander Hackel von Unternährer/Mosimann ins Amt eingesetzt.

Damit ist die Marc Rich & Co AG gegründet.

Allein, die Welt nimmt keine Kenntnis davon, nicht mal die Zuger Lokalpresse, die sich vielmehr der Diplomfeier an der Zuger Kantonsschule zuwendet, wo Erziehungsdirektor Anton Scherer den Abgängern der Handelsabteilung einen Rat mit In-

die Zukunft gibt: «Sagen Sie ja zu Ihrer Existenz.» Derweil berichten die Schlagzeilen auf den Frontseiten von einem Steuer-skandal, nein, nicht von jenem der eben gegründeten Marc Rich AG, das kommt erst neun Jahre später, sondern: «Wie Richard Nixon das Steueramt übers Ohr gehauen hat.»

Der unspektakuläre, unbeachtete Beginn der Marc Rich & Co AG an der Zuger Gartenstrasse findet eine um so fulminantere Fortsetzung. Knapp 18 Jahre, nachdem Marc Rich und fünf weitere Abtrünnige des Rohstoffhandelshauses Philipp Brothers (heute Phibro) sich zur Marc Rich Company formiert haben, hat sich diese Gesellschaft im Handel mit Öl, Metall und Agrarprodukten zu einer der grössten der Welt entwickelt. Fast wundersam mutet an, dass diverse Affären die rapide Besetzung der Rohstoffmärkte nicht zu beeinträchtigen vermochten. Nicht einmal eine Reduktion der eigenen Mittel um einen geschätzten Milliardenbetrag (zwecks Auszahlung der scheidenden Partner) vermag den Gang der Geschäfte zu bremsen – die Firma Rich scheint wirtschaftlich so potent dazustehen wie nie zuvor.

«Der Gruppenumsatz beträgt zirka 30 Milliarden Dollar, und wir beschäftigen etwa 1300 Mitarbeiter in 48 Büros weltweit.» Mit diesen Ziffern umschreibt Marc Rich prosaisch die Dimensionen seines Reiches. Völlig Interpretationsbedürftig bleibt die Grösse des Ertrages, den wir zurückhaltend auf 300 Millionen Franken schätzen. Dazu die Hilfe von Marc Rich: «Während am Anfang die Ölaktivitäten ertrags- und umsatzmässig an der Spitze lagen, ist das Metall- und Erzgeschäft seit einigen Jahren ertragsmässig vorn, wobei das Ölgeschäft nach wie vor das umsatzstärkste ist. Der Getreidehandel ist stabil

geblieben, während die Zuckeraktivitäten neu für uns sind. Jedoch bereits vielversprechende Resultate zeigen.»

Das Jahr 1991 setzt für die Rich Company einen der bedeutendsten Marksteine ihrer Geschichte, wenngleich die Firmenleitung sich bemüht, jegliches Aufsehen zu vermeiden. Mit Felix Posen hat sich im Herbst der vorletzte der Gründer aus der Firma verabschiedet – geliebt ist einzig Marc Rich selbst.

Der deutschstämmige Posen, wegen seines aristokratischen Habitus auch Sir Felix genannt, leitete die wichtige Londoner Niederlassung und gilt als Architekt von Richs Sowjetunion-Geschäft. Durch merkantiles Geschick und eine pflegliche Behandlung der Londoner Mitarbeiter von Raznoimport, der sowjetisch-staatlichen Metallhandelsfirma, gelang es Posen, die Rich-Gruppe nach heute bedeutendsten westlichen Metallhändler in der vormaligen Sowjetunion zu machen. Bereits vor Posen haben sich mit Pincus Green und Alexander Hackel die zwei anderen Mitglieder des inneren Viererzirkels aus der Firma zurückgezogen. Green: wie Rich 57 Jahre alt, mit Wohnsitz im zugerischen Walchwil (wo er 1988 ein Steuervermögen von 167 Millionen Franken herzeigte) und in Israel, zusammen mit Rich der originäre Impetus des hochprofitablen Unternehmens – und zusammen mit Rich wegen Steuerbetrugs seit 1983 auf der Flucht vor der amerikanischen Justiz. Deshalb wurde aus dem einstigen Amerikaner zuerst ein

Bolivianer, um seit Dezember 1988 im Zuger Handelsregister wie Rich als Spanier geführt zu werden.

Alexander Hackel, Deutscher und ebenfalls seit Anbeginn dabei, war insbesondere 1983 der passende Mann, da auf ihn, der nicht im Kreuzfeuer der amerikanischen Staatsanwälte stand, die 51-Prozent-Mehrheit der amerikanischen Tochter Marc Rich International (fortan um den Namen Rich erleichtert Clarendon geheissen) überschrieben werden konnte. Obschon sich 1991 Hackel

aus dem Geschäft zurückgezogen hat und sich nun von seinem lauschigen Megger Wohnsitz am Vierwaldstättersee aus der Kunst widmet, ist er gemäss offiziellen Firmenangaben immer noch Besitzer dieser 51 Prozent an Clarendon.

Nun, wie regelt man das, wenn sich gleich drei Partner, die mit Gewissheit mindestens 50 Prozent der Firmenanteile halten, zurückziehen? Wobei verteuern dazukommt, dass nie Dividenden ausbezahlt worden sind, diese ganze Substanzmehrung über all die 17 Jahre hinweg also nun für die Scheidenden beim Kassemachen herrlich konkret werden müsste.

«UNSER  
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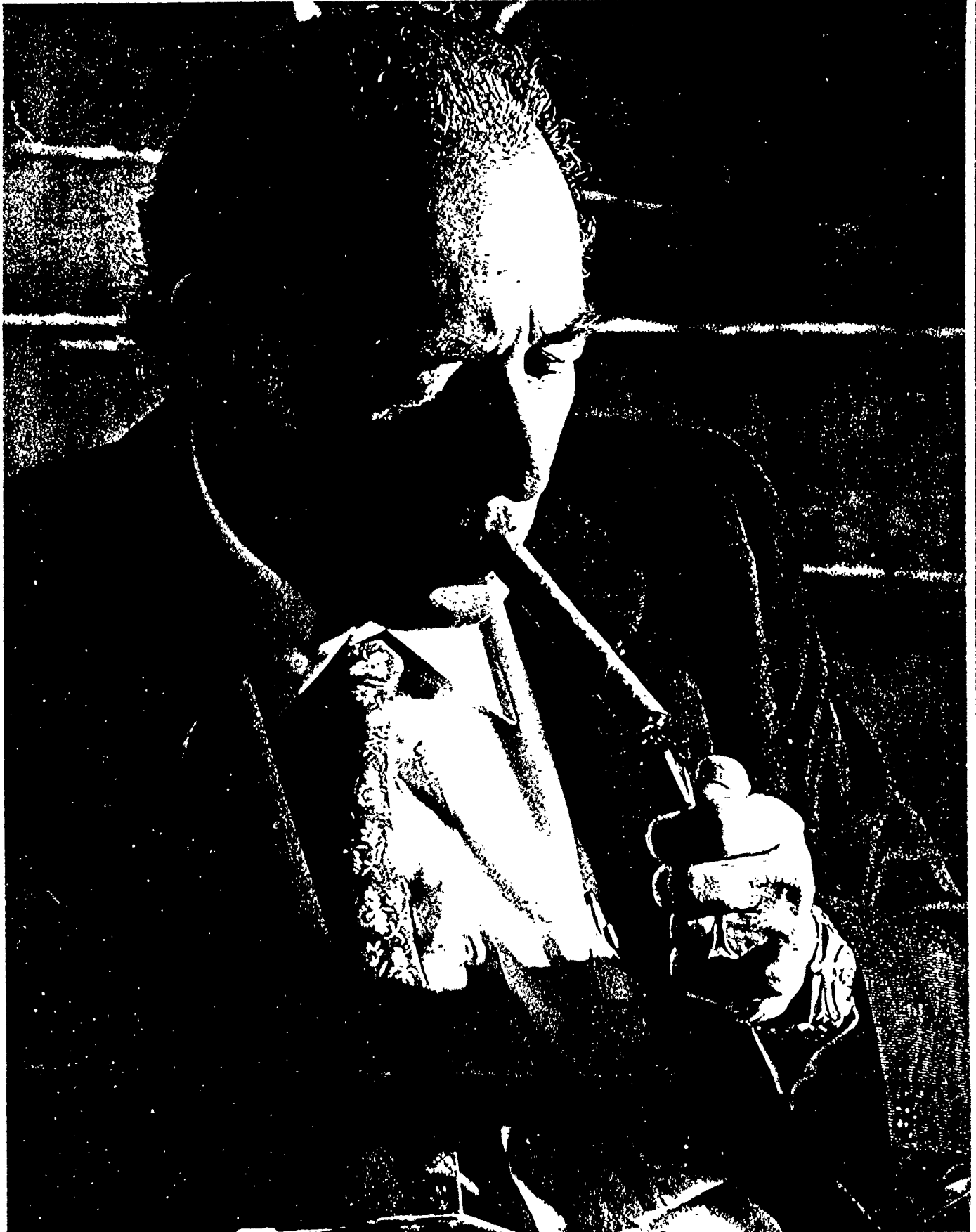
Marc Rich

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FOTOS MARCUS SENN



Marc Rich, letztverbliebener Gründer, hält nach dem Abgang seiner Partner nun die Mehrheit am Zuger Rohstoffhandelshaus.

Weitere Bedingung bei der Lösung der anspruchsvollen Aufgabe: Der verbliebene Gründer, Marc Rich, möchte künftig die Mehrheit der Firma innehaben. Zwei Möglichkeiten bieten sich an. Die banal-übliche: Rich kauft die Anteile seiner Partner, wozu er sich indes verschulden oder mit anderen Geldgebern verbinden müsste. Die andere, von Rich gewählte Möglichkeit steht nur Firmen mit einer fantastischen finanziellen Potenz offen, ansonsten sie einer Teilliquidierung gleichkäme: Die Aktien werden von der Firma zurückgekauft, vernichtet und das Aktienkapital entsprechend reduziert – so geschehen sowohl bei der Rich Holding als auch der Rich & Co AG. Letztere hat im Januar 1991 ihr Aktienkapital von 150 auf 90 Millionen vermindert. Dafür dürften (bei einem hypothetischen Firmenwert von drei Milliarden Franken) schätzungsweise rund 1,2 Milliarden Franken an die Gründer a. D. ausgeschüttet worden sein.

Das ziemlich einmalige Milliardenmanöver hat, ganz nach Stil des Hauses, kein öffentliches Aufsehen erregt. Ärgerlicherweise ist da noch das Schweizer Gesetz, das bei einem Abbau des Aktienkapitals die Publikation eines Schuldenerlasses verlangt. Tatsächlich meldeten sich darauf zwei ungläubige Rich-Gläubiger. Doch die beiden Tradinggesellschaften, die türkisch lizenzierte Baytur in Genf und die mit nigerianischem Geld ausgestattete Holdtrade in Glattbrugg, konnten von der Firma Rich mittels einer Bürgschaft der SBG Zug beruhigt werden.

Für Marc Rich hat sich letztes Jahr die geschäftliche Lage angenehm geklärt: Mit der von ihm mehrheitlich beherrschten Marc Rich Holding kontrolliert er heute eine 51-Prozent-Mehrheit an der Handelsgesellschaft Marc Rich & Co. AG (siehe Grafik auf dieser Seite). Die restlichen 49 Prozent werden von 150 Mitarbeitern ge-

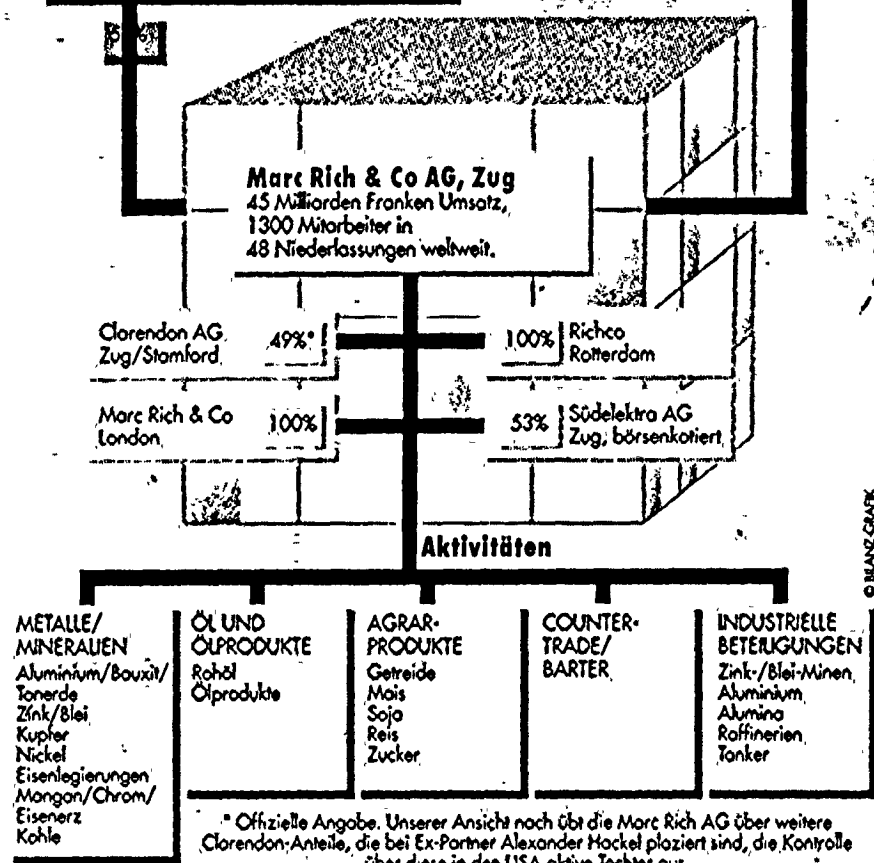
## FEST IM GRIFF VON MARC RICH

Nach dem Austritt seiner Gründerpartner hat Marc Rich die Besitzverhältnisse des Handelsmultis neu geordnet, so dass er heute klar die Zügel in den Händen hält.

**Marc Rich & Co Holding AG, Zug**  
Mehrheitlich im persönlichen Besitz von Marc Rich, weiter hält der neue Partner Bob Thomajan Holding-Aktien.

Finanzgeschäfte, Immobilien und Mehrheitsbeteiligung an der Marc Rich & Co AG.

Willy Strothotte, Manny Weiss, Claude Dauphin, Daniel Dreyfuss und weitere 150 Mitarbeiter



\* Offizielle Angabe. Unserer Ansicht nach übt die Marc Rich AG über weitere Clorendon-Anteile, die bei Ex-Partner Alexander Mocketl platziert sind, die Kontrolle über diese in den USA aktive Tochter aus.

halten. Nach drei- bis fünfjähriger Firmenzugehörigkeit erhält ein Rich-Trader nämlich die Möglichkeit, Aktien zu erwerben (die bei einem Austritt aus der Firma wieder zurückverkauft werden müssen).

Personell war die Firma vorbereitet auf den Abgang der alten Kameraden. Nur zögerlich erhalten wir jedoch die Namen der neuen Chefs, die allesamt bereits seit 15 Jahren für Rich arbeiten: Der Franzose Claude Dauphin leitet das Ölgeschäft, der Amerikaner Manny Weiss den Zucker- und Aluhandel; Dauphin und Weiss stehen überdies dem Londoner Office vor. Der Schweizer Daniel Dreyfuss ist für den Getreidehandel zuständig und der Deutsche Willy Strothotte für den Bereich Metalle und Erze. Strothotte ist in der neuen Leitung nicht nur der grösste (1,88 Meter) und Älteste (47 Jahre), er ist überdies der neue starke Mann der Firma – gleich nach

sel «einer der ehrbarsten ethischen Menschen».

Marc Rich selbst, 57 Jahre alt, will sich vorerst noch nicht verabschieden: «Als meine Partner ausschieden, habe ich mir überlegt, ob ich das auch tun sollte. Doch das hat nicht lange gedauert. Ich habe eine gute Gesundheit, und das Geschäft ist mein Hobby.» Seine Nachfolgersuche werde vermutlich ähnlich vonstatten gehen wie der Abgang seiner alten Partner; ein Börsengang der Rich Company jedenfalls sei nicht vorgesehen. Von den drei Töchtern Richs zeigt sich keine am väterlichen Geschäft interessiert; pikanterweise bemerkt indes Marc Rich: «Herrn Strothottes Sohn mag Geschäfte, will Ökonomie studieren und Russisch lernen.»

Das Rich-Stammhaus in Zug, gleich neben dem Bahnhof gelegen, ist ein Nachtschatten-

Marc Rich. Bei unserem Gespräch mit Rich und Strothotte fällt auf, dass letzterer zuweilen Informationen preisgibt (wie die obigen Namen), die Rich lieber un- ausgesprochen gelassen hätte.

Zur Topcrew zählt seit einem Jahr auch Bob Thomajan, ein 50-jähriger New Yorker Anwalt. Der drahtige Amerikaner ist Richs Partner in der Holding, betreut beispielsweise die Investitionen der Holding in Osteuropa, ist aber ebenso für die Frachtgeschäfte im Trading zuständig. Thomajan war zuvor Partner der grossen New Yorker Anwaltskanzlei Milgrim Thomajan & Lee, zu deren lukrativsten Kunden seit den siebziger Jahren Rich gehört. Sein Wechsel zu Rich provozierte in amerikanischen Juristenkreisen die Kontroverse, ob es mit dem Ehrenkodex für Anwälte vereinbar sei, wenn sich ein US-Anwalt zum Partner eines flüchtigen Steuerdelinquenten mache. Anwalt Milgrim wirft sich für seinen ehemaligen Geschäftspartner in die Bresche: Thomajan,

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gewächs. In den gläsernen Aussenwänden des Würfels spiegelt sich die Umgebung, wodurch das Gebäude, die Gestalt der Nachbarhäuser annehmend, während des Tages unsichtbar wird. Erst wenn in Zug die anderen Geschäfte schliessen, die Anwälte und Treuhänder ihre Mandate im Tresor versorgen, und bei Marc Rich, die Lichter angehen, wird das Haus transparent. Man sieht bis spät abends Männer, die hinter der Glaswand an Computern und an Telefonen sitzen und den Passanten solcherart zu verstehen geben, dass ihr Business über die Grenzen des kleinen Kantons Zug hinausgeht.

Im obersten Stock befindet sich in einem geräumigen Eckraum das Office von Marc Rich. Die Klimaanlage säuselt, Marc Rich lässt sich in die Ecke des weissen Ledersofas fallen, legt die Beine übereinander, die dicke Zigarre zwischen den Fingern. Der Spannteppich ist weiss, die Wände sind mit weissem Stoff bezogen, die Lamellen sind weiss. Nur das grosslächtige abstrakte Bild eines spanischen Künstlers ist merkwürdig graudüster. Auf der langen Aktenablage stehen zahlreiche Bilder, sie zeigen vor allem Frauen, ältere und jüngere, Familienmitglieder und sind mit dicken goldenen oder silbernen Rahmen versehen. Und überall Zigarren: auf dem Pult eine geöffnete Holzschachtel, hinten eine randvoll gefüllte, rauchbraun, transparente Plexiglastiste.

Auf einem Aktenschränken liegt eine Schiffsglocke. Diese sowie die aufgehängte Fotografie eines Tankers und das meterlange Tankernmodell vor dem Office sind Illustrationen zu Richs Bekenntnis: «Ich liebe Schiffe.» Über 12 bis 15 Tanker, eigene und gecharterte, mit zwei Millionen Tonnen Frachtraum gebietet er.

Pincus Green baute in der zweiten Hälfte der sechziger Jahre mit Rich zusammen bei Phibro das Ölgeschäft auf. Und Öl - anfänglich stammte es vor allem aus den Quellen des persischen Schahs - machte auch die Firma Rich gross und stark. Viele andere Öltrader sind in den letzten Jahren verschwunden: der Finanzbedarf und die Kreditlimiten sind ihnen über den Kopf gewachsen. Heute gibt es gerade zwei Worldplayers im Ölgeschäft: Phibro mit einem durchschnittlichen Tagesumsatz von drei Millionen Barrel, Rich mit der Hälfte davon. Dabei handelt es sich um physisch vorhandene Ölmen gen, nicht um Börsenspekulationen. Erstaunlicherweise ist Rich nicht selbst an der New Yorker Öl Börse aktiv, während Phibro 13 eigene Leute dort hat. Ein Energy-Trader erklärt sich das damit, dass der misstrauische Rich auf eine Kontrolle seiner Leute verzichten könne, wenn er die Börsenaufträge an Dritte verbe. Rich nennt einen

anderen Grund: «Wir sind keine Makler, das Börsengeschäft ist eine Dienstleistung, für die wir uns entschlossen haben zu bezahlen.» Bis letzten Herbst hat vor allem Phibro Börsen-Hedging (Absicherung) in riesigen Umsätzen, so ein Insider, für die Firma Rich betrieben. Bis eben der neue Salomon-Phibro-Boss Warren

Buffett aus ethischen und aus handfesten eigenmütigen PR-Gründen jegliche Geschäftskontakte mit Rich medienwirksam abbrach.

Aus dem Ölhandel Richs mit dem Iran - zur Zeit der Teheraner Geiselauffäre, als US-Präsident Carter jegliche Handelskontakte mit Iran untersagte - stammte die (inzwischen aufgehobene) amerikanische Anklage wegen «Handels mit dem Feind». Rich argumentierte erfolgreich: «Wir sind eine schweizerische Gesellschaft, der es keineswegs verboten war, mit Iran Handel zu treiben.» Bei der finanziellen Abwicklung der Iran-Deals war übrigens eine weitere schweizerische (und damit ebenfalls befugte) Gesellschaft, die Bankgesellschaft, wesentlich mitbeteiligt.

Wenn das Geschäft ruft, vermögen weder religiöse Differenzen - jüdischer Ölhändler handelt mit antiisraelischen Multis - noch politisch-ethische Schranken dämpfend zu wirken. So wird Rich ebenso vorgeworfen, zu den bedeutendsten Versorgern Südafrikas mit Öl und damit zu den aktivsten Missachtern des Uno-Em-

bargos zu gehören. Nach den Beobachtungen des Amsterdamer Shipping Research Bureaus hat die Firma Rich zwischen 1979 und 1988 mindestens 78 Schiffsloadungen mit rund elf Millionen Tonnen Rohöl in klandestinen Manövern nach Südafrika verfrachtet.

Die grösste Geschäftigkeit hat Rich in den letzten Jahren im Geschäft mit Aluminium und Tonerde entwickelt. Gute Gelegenheit dazu bot sich Mitte der achtziger Jahre, als wegen der darniederliegenden Alupreise viele notleidende Produzenten besonders in den USA versuchten, ihre Hütten abzustossen. Rich trat - ohne selbst Besitzer werden zu wollen - als Geldgeber, quasi als Bank, auf den Plan und finanzierte die Übernahme von unrentablen Hütten. Die Vorteile sind evident: Rich erhielt zu vergleichsweise günstigen Bedingungen Lieferverträge sowie Verarbeitungskapazitäten für eigene Tonerde, und er partizipierte an der Kontrolle, ohne das Besitzerrisiko tragen zu müssen. So ist die Rich-Tochter Clarendon gemäss Angaben von Willy Strothotte nur an zwei Aluhütten als Besitzerin beteiligt: zu 27 Prozent an der Hütte Mount Holly in South Carolina, die jährlich 200 000 Tonnen Alu produziert (zum Vergleich: Der schweizerische Alubedarf liegt bei 160 000 Tonnen), und zu 21 Prozent an der sardischen Eurallumina.

Eigentumsverhältnisse an der Hütte im amerikanischen Ravenswood, wo seit über einem Jahr 1700 gewerkschaftlich organisierte Mitarbeiter in einem aggressiven Arbeitskampf ausgesperrt sind, dementiert Strothotte vehement. Doch die Geschäftsbeziehungen, basierend auf langfristigen Verarbeitungsverträgen, sind ungemein eng: Rich liefert den Grossteil des Tonerdebedarfs von Ravenswood.

Richs Tonerde stammt unter anderem aus dem eigenen Werk Vialco von den US-Virgin Islands (Jahresproduktion 600 000 Tonnen) sowie aus Jamaika. Mit der dortigen Regierung unterschrieb Rich 1986, also in schlechten Aluzeiten, einen vorteilhaften Zehn-Jahres-Vertrag, womit Rich zum Hauptabnehmer der jamaikanischen Tonerde geworden ist. Durch eine grosszügige Vorfinanzierung hatte Rich zuvor die Jamaikaner günstig eingestimmt.

Der Sturz des Aluminiumpreises nährt in der Branche Gerüchte, Rich wolle seine Mittel aus dem Alusektor abziehen. Das ist falsch, im Gegenteil. Die Höhe des Rohstoffpreises ist für den Trader - anders als für den Produzenten und den Endabnehmer - nicht existentiell entscheidend - oder um es mit den Worten Strothottes zu sagen: «Es ist nicht unbedingt richtig, davon auszugehen, dass wir bei steigenden

## «DIE ALTEN STRUKTUREN IM OSTEN FALLEN, DAS GIBT UNS ENORME MÖGLICHKEITEN.»

Willy Strothotte



Richs neue Männer: der New Yorker Anwalt Bob Thomas (l.), der deutsche Metallmann Willy Strothotte.

Preisen gewinnen oder, mehr gewinnen, und bei fallenden Preisen gar verlieren.» Entscheidend ist vielmehr, die Bewegung des Preises richtig vorauszusehen und entsprechend zu disponieren.

Die Zuger Firma hat sich anboten, die einzige tschechische Aluhütte zu erneuern, und mitzu helfen, deren Produktionskapazität von 70 000 auf 105 000 Tonnen auszubauen. Es ist heute Gegenstand von Verhandlungen, ob Rich Eigentümer, der einst staatlichen Hütte wird oder lediglich als Geldgeber und Abnehmer verbleibt.

Von der (westlichen) Jahres-Weltproduktion von 15 Millionen Tonnen Primäraluminium gelangen rund 60 Prozent nicht in den Handel, da die Produzenten vertikal integriert sind, dieses Metall also selbst verarbeiten (Beispiel Alusuisse). Von den restlichen sechs Millionen Tonnen werden bis 2,5 Millionen Tonnen durch Rich bewegt – eine beträchtliche Marktmacht.

Und das nun noch verstärkt nach Westen drängende,

einst sowjetische Metall, dessen Überangebot an der gegenwärtigen Alubaisse mitschuldig ist, wird mit Sicherheit auch zu einem schönen Teil durch die Rich-Kanäle gehen. Zwar meinen einige Konkurrenten, die Zusammenarbeit der Zuger mit den oftmals korrupten Razno-Leuten habe nach der Abdankung der Sowjetunion und der Übernahme der Rohstoffgeschäfte durch die Republiken auch Rich selbst ins Abseits befördert. Das ist Wunschdenken. Rich ist mit vier Niederlassungen in den rohstoffreichen Republiken Aserbaidshan, Kasachstan, Ukraine und Russland vor Ort nicht nur vital präsent. Seine Finanzkraft, die breite Produktpalette und – heute besonders wichtig – die ausgebaute Countertrade-Abteilung statten ihn im anbrechenden wildöstli-



Nächtliche Transparenz des Zuger Rich-Sitzes, untypisch für den verschwiegene Handelsmulti.

chen Goldrausch auch mit kräftigen Ellbogen aus. Ein Cheftrader der Frankfurter Metallgesellschaft meint denn auch: «Rich ist in Russland nicht wegzudrängen.» Metallchef Strothotte jedenfalls zeigt Zuversicht: «Die alten Strukturen im Osten fallen, das verschafft uns enorme Möglichkeiten, unsere verschiedenen geschäftlichen Stärken anzuwenden.»

*Rich redet. Er redet leise in Hochdeutsch. Seine Stimme ist merkwürdig dürr, zerbrechlich fast. Der Tonfall ist ruhig und freundlich. Eine bescheidene Mimik, Rich, setzt seine Hände sparsam ein, sein Hals versinkt im weissen Hemdkragen. Der Krawattenknopf ist amerikanisch breit. Fragen hört er aufmerksam zu. Wenn Direktor Willy Strothotte spricht, lehnt er sich noch weiter zurück.*

Zuweilen wirkt sein Blick gelangweilt. Richs Koteletten sind grau, die Augenbrauen grau und schwarz und vor allem buschig, die Haare schliesslich sind schwarz und zurückgekämmt. Es sind nicht mehr viele, der rötliche Hautton drückt durch. Im Nahen kräuseln sie sich leicht. Manchmal macht er Spässe, dann schnellen die Mundwinkel nach aussen, die Zähne, sonst hinter dünnen Lippen kaum sichtbar, treten hervor.

Wir wechseln ins Restaurant «Glashof» gleich gegenüber, das ebenfalls Marc Rich gehört. Rich geht leicht gebeugt. Draussen wartet der Sicherheitsbeamte. Der lässt die rechte Hand permanent in der Manteltasche. Wir betreten das Restaurant durch einen Privateingang, den Marc Rich mit einer Zulassungskarte öffnet. Rich bestellt zur Vorspeise Austern, dann Exempl. Er kann sehr charmant und sehr amerikanisch «Tschuldigung» sagen oder «Ul Widerluoge».

Marc Rich war in den sechziger Jahren Leiter des Madrider Phibro-Büros. Diese Jahre kamen ihm zu

Beginn der Auseinandersetzungen mit der US-Justiz zupass. So wurde es ihm möglich, am 16. August 1982 vor das Madrider Zivilstandesamt zu treten, einen Eid auf den spanischen König und einen Schwur auf das spanische Gesetz abzulegen sowie der US-Bürgerschaft zu entsagen.

Marc Rich – als Sohn des kleinen jüdischen Händlers David Reich 1934 in Belgien geboren, nach der Flucht vor den Nazis unter dem Namen Rich Amerikaner geworden, um schliesslich den spanischen Passport zu führen. Spanisch ist auch die Sprache, die er mit seinen drei Töchtern spricht.

Spanien ist in den letzten Jahren für Marc Rich zum Land der goldenen Gelegenheiten geworden. Seine Villa in Marbella ist dabei nur eine besonders luxuriös

geformte Investition, denn da sind eigentlich ganz andere Anlageschwerpunkte. Abgesehen davon, dass die Rich Company in zwei Offices an der Madrider Prachtstrasse Paseo de la Castellana im angestammten Commodity-Business mitwirkt, sind vor allem die Aktivitäten der Rich Holding in Spanien bemerkenswert. Doch Schätzungen von Madrider Finanzkreisen, wonach er sechs Milliarden Franken in Spanien investiert habe, bezeichnet Marc Rich als «stark übertrieben»: «Zurzeit beträgt das Volumen rund 500 Millionen Franken», angelegt in Immobilien, vor allem in Tourismusprojekten, und in Industriebeteiligungen. Eine Vier-Prozent-Beteiligung an der Banco Hispano Americano hat Rich – mit Millionengewinn – wieder veräussert, weil ihm eine aktive Mitgestaltung verwehrt worden war. Und gewinnend weiter: Drei Jahre nach dem Kauf hat er das Madrider Luxushotel «Tryp Palacio» der holländischen Versicherungsgruppe Pertonor mit einem geschätzten Profit von 50 Millionen Franken abgetreten. Noch immer besitzt er jedoch in Iberien fünf grössere Hotels. Zu einem erspriesslichen Geschäft – gemeinsam mit dem Financier Ramon Fiter – wurde auch der Kauf der bankrotten Fleischhandelsunternehmung Carnicas des Cadi, die nach geschätzten Investitionen von 140 Millionen Franken kürzlich wieder intakt veräussert werden konnte. Das aktuellste Projekt verfolgt Rich zusammen mit der Madrider Bank Caja de Madrid: die Erstellung von Altersresidenzen, vorerst im Umfange von «50 bis 100 Millionen Dollar» (Rich). Schliesslich sei noch Ron Investment erwähnt, eine Madrider Merchant-Bank, an der Rich die Mehrheit hält.

Der spanische Hotelkonzern Tryp, mit dem Zuger Milliardär seit langem geschäftlich verbunden, liefert das Know-how für Richs Vorstoss ins osteuropäische Tourismus- und Immobiliengeschäft. Aus der dortigen Konkursmasse sollen einstige Prachthotels und heruntergekommene Bürohäuser übernommen und aufgemöbelt werden. Der Start hierzu erfolgte im letzten April, als mit der rumänischen Regierung ein Joint Venture für die Übernahme des legendären Bukarester Hotels «Palace Athénée» unterschrieben wurde. Ein aus der Westschweiz eingeflogener Maître de Cuisine zauberte zu diesem festlichen Anlass unter Verwendung einer halben Tonne ebenfalls eingeflogener Ingredienzen lukullische Köstlichkeiten aus

den Töpfen, woran auch die staunende rumänische Bevölkerung teilnehmen durfte – wenigstens via TV-Übertragung. Fünf Projekte laufen inzwischen mit einer Investitionssumme von, so Bob Thomajan, 250 bis 300 Millionen Dollar.

Doch noch lieber als auf osteuropäischen möchte Marc Rich seinen Fuss wieder auf amerikanischen Boden setzen können. So sagt er es allerdings nicht, sondern weniger drängend: «Ich würde gerne die USA besuchen.» Dort lebt nicht nur seine Mutter, sondern Inzwischen auch, mit jüngster Tochter, Gattin Denise, mit der sich Marc Rich in Scheldung befindet. Zwar konnte sich die Firma Rich durch das Bezahlen einer Busse von 170 Millionen Dollar von der Steuerbetrugsanklage befreien, doch Rich selbst (wie auch Green) sind immer noch zur Verhaftung ausgeschrieben. Es droht eine mehrjährige Gefängnisstrafe. Rich hat einen der einflussreichsten Anwälte engagiert, um, so ist zu vermuten, die Affäre finanziell zu regeln: Leonard Garment, unter anderem vormals Anwalt von Präsident Nixon (siehe oben), von Reagan-Justizminister Edwin Meese und von Sicherheitsberater Robert McFarlane. Wie beurteilt Marc Rich die Chancen, Amerika einst von der Justiz unbehelligt betreten zu können? «Everything is possible.»

Das wäre dann allerdings ein hochkarätiges, teures Juristenkunststück. Denn das Image von Marc Rich ist in den USA angeschlagener als in Europa. Die Ravenswood-Affäre hat den Mann wieder unvorteilhaft in die Schlagzeilen gespült. Und nachdem publik geworden ist, dass die Rich-Tochter Clarendon als Siegerin von öffentlichen Submissionen der US-Münzanstalt für 26 Millionen Dollar Metalle zur Herstellung von Münzen verkauft hatte, kritisierte kürzlich der Abgeordnete Bob Wise: «Das Geld, das wir zur Bezahlung unserer Steuern brauchen, macht den

grössten Steuerbetrüger in der Geschichte Amerikas noch reicher.»

Rich dementiert, auch nach Beginn der Sanktionen noch Geschäfte mit dem Irak gemacht zu haben («eine bösartige Lüge»), er dementiert, in Waffen- und Urangeschäfte verwickelt zu sein («Waffen oder Uran haben keinen Platz innerhalb unserer Aktivitäten»), er kann dementieren, soviel er will – die ganze Welt hält all dies für möglich oder gar wahrscheinlich.

Andererseits erhält Rich von seinen Kunden und Geschäftspartnern nur beste Referenzen; so meint einer: «Ich kann mir keinen zuverlässigeren Vertragspartner vorstellen.» Die Konkurrenz hingegen stellt ein «eigentliches Territorialgehebe der Rich-Leute» fest, wie ein Cheitradler einer grossen deutschen Rohstoffhandelsfirma meint: «Die sind extrem aggressiv bis zum kleinsten Händler hinunter.»

Die Frage nach der Moral in diesem Geschäft fördert eine technische Antwort zutage. Marc Rich: «Die geltenden Gesetze stecken objektive Grenzen ab, und unsere Gruppe hält sich strikte daran. Unser Geschäft ist nicht nach politischen Überzeugungen ausgerichtet, da man diese teilen kann oder nicht.» Darüber hinaus bemühe sich die Firma Rich, in allen Ländern, in denen sie tätig sei, soziale und karitative Aufgaben wahrzunehmen.

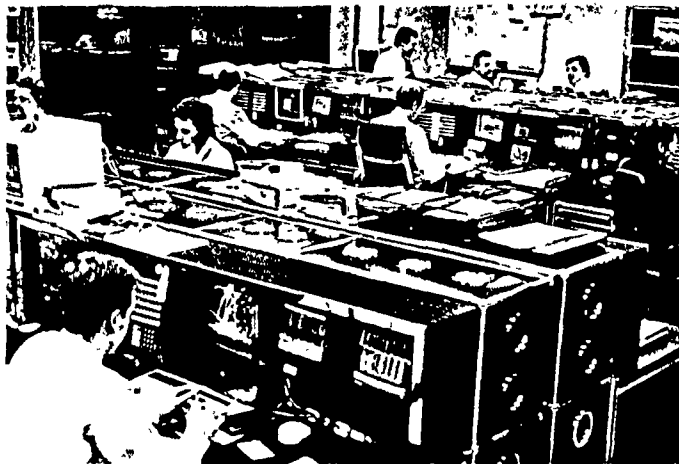
*Preisverleihung der karitativen Zuger Doron-Stiftung, von Marc Rich vor sechs Jahren ins Leben gerufen und generös mit fünf Millionen Franken ausgestattet. Schön und auch schön bizarr, wenn der Walliser Entwicklungshelfer Jean-Joseph Raboud 100 000 Franken für seine Überlebenshilfe zugunsten verarmter Bauern im Norden Brasiliens erhält. Die Campesinos sind doch auch Opfer der sich dramatisch verschlechternden Bedingungen im Handel mit der Ersten Welt. Marc Rich ist nicht der Erlinder des Welthandels, und zu dessen Nutzniessern gehören wir alle. Doch Marc Rich ist ein besonders virtuoser, mächtiger Gestalter dieses Genres. Weiter stiftet Rich, einer der grössten Ölhändler der Welt, schön und schön bizarr, 100 000 Franken dem Solarenergieforscher Helmut Kiess, der an der Preisverleihung sagt: «Die Umweltverschmutzung vor allem durch fossile Brennstoffe ist akut.» Der dritte Preisträger, Marc Belfort, Leiter des Zürcher Opernstudios, hingegen ist nicht von diesem Stern, denn er sagt tatsächlich: «Auch wenn ich für das, was ich tue, kein Geld bekäme, ich würde es trotzdem mit Hingabe tun.»*

Marc Rich sitzt leicht gebeugt im Stuhl daneben, ein merkwürdiger Mann, unscheinbar, undurchdringbar. Seine einzigen Signale sind die Rauchsignale seiner Zigarre.

Mitarbeit: José-Fernando Marín

## «EVERYTHING IS POSSIBLE.»

Marc Rich über die Chancen, dereinst die USA wieder besuchen zu können.



Rich-Zentrale: Verbunden mit weltweit 48 Niederlassungen.

196A-1774-511

SEARCHED	INDEXED
SERIALIZED	FILED 561
FEB 02 1967	
FBI NEW YORK	

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# BILANZ

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# RICH, DAS BIN ICH

**MARC RICH: MIT NEUER CREW ZU NEUEN DEALS** Seite 52

Marc Rich  
Mann des Monats

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- UNCLAS

Date 2/10/92

TO: DIRECTOR, FBI  
(ATTN: CID, WCC SECTION)

FROM: LEGAT, BERN (196A-NY-1774) (P)

SUBJECT: MARC RICH - FUGITIVE;  
PINCUS GREEN - FUGITIVE;  
FBW; MF; RICO; INCOME TAX EVASION;  
TRADING WITH THE ENEMY;  
OO: NY

Enclosed for New York and FBIHQ are the following:

- 1) Copy of news article from "Zueriwoche", 11/14/91.
- 2) Copy of news article from unknown Zurich newspaper dated 1/17/92.
- 3) Copy of "Bilanz" article concerning RICH, 2/92.

As New York and FBIHQ will note from enclosed articles, MARC RICH is alive and well in Switzerland.

The first article enclosed, from a sort of "society page", reflects that RICH held his annual awards of the "Doron" prize, three Sfr. 100,000 awards for outstanding achievements, at the Casino in Zug. The article further describes his new girlfriend, GISELA ROSSI, widow of an Italian wholesaler. She is to move into RICH's villa with him.

The second article reports on the congressional inquiries surrounding the fact that RICH's firm Clarendon has sold large amounts of metals to the U.S. mint, amounting to over \$25 million. The articles also mentions the labor union problems related to the RICH-owned Ravenswood Aluminum Co. in West Virginia. This article also mentions that he has started renovating the palace-like Villa Rose in Meggen after he was prevented from buying a large parcel of land on Lake Zug.

- 5 - Bureau (Encs. 3)
- ② - New York (Encs. 3)
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- 1 - Bern (196A-NY-1774)
- RMF/hac
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Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ (Number) \_\_\_\_\_ (Time)

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The third article from the monthly Swiss Economics Magazine "Bilanz" contains a lengthy article about RICH, who is the magazine's "man of the month". Among items of note from this article are the following:

1) MARC RICH AND COMPANY was founded in Zug 4/30/74, with RICH, PINCUS GREEN and three Swiss attorneys as board members. Director was a German, ALEXANDER HACKEL.

2) RICH AND COMPANY has about 1300 employees and 48 offices world-wide, with yearly sales volume of about \$30 billion.

3) 1991 was a year of big changes for RICH AND COMPANY. PINCUS GREEN and ALEXANDER HACKEL have withdrawn from the firm, leaving only RICH among the original founders still active.

4) GREEN, 57 years old, has his official residence in Walchwil and in Israel. In 1988 GREEN declared for tax purposes a net worth of Sfr. 167 million. The article indicates he obtained Bolivian citizenship, but is now carried as a Spanish citizen, as is RICH, in official documents of the Canton of Zug.

5) Page 54 shows an organization of the RICH HOLDINGS, RICH owns 51% of MARC RICH AND COMPANY HOLDING AG, the other 49% owned by 150 other employees. The HOLDING COMPANY also owns 51% of MARC RICH AND COMPANY AG, which in turn owns 100% of MARC RICH AND COMPANY, London, 100% of RICHCO, Rotterdam, 53% of SUEDELEKTRA AG, Zug and 49% of CLARENDEEN AG, Zug and Stanford, Connecticut. The article notes, however, that RICH personally owns additional shares of CLARENDEEN, which are in possession of former partner, ALEXANDER HACKEL.

6) RICH's new key men are: ~~French citizen CLAUDE DAUPHIN (oil business); U.S. citizen MANNY WEISS (sugar and aluminum trading); Swiss citizen DANIEL DREXFUSS (grain trading) and German citizen WILLY STROTHOTTE (metal and ores). Also a key figure in the firm is New York attorney BOB THOMAJAN, who is RICH's partner in the holding company and handles East European business as well as freight operations.~~

7) The "Trading with the Enemy" indictment has reportedly been withdrawn, based on RICH's argument that as a Swiss company they were not prevented from trading with Iran.

8) The article reports that neither religion nor politics seems to play a role in RICH's business ethics. In addition to a Jewish oil-trader buying oil from the anti-Israel Mullahs in Iran, he is also accused of violating the U.N. embargo against South Africa, according to a report of the Shipping Research Bureau in Amsterdam, who cite at least 78 shiploads of oil to South Africa between 1979 and 1988.

9) The collapse of the Soviet Union has opened many opportunities for RICH. He reportedly has four branch offices in the resource-rich republics of Aserbeidjan, Kazakhstan, Ukraine and Russia.

10) RICH owns a villa in Marbella, Spain and has offices in Madrid on the famous "Paseo de la Castellano". He owns five hotels in Spain; is now building retirement homes there and owns a majority interest in the Madrid Merchant's Bank, Ron Investment.

11) Along with the Spanish hotel firm, Tryp, RICH is investing heavily in East European hotels. Last April, he started a joint venture with the Romanian government to take over and renovate the grand hotel of Bucharest, the "Palace Athenee".

12) Regarding his legal problems in the U.S., RICH is quoted as saying he would like to visit the U.S., his divorced wife, DENISE and his youngest daughter live there, however, arrest warrants exist for both him and PINCUS GREEN. He has reportedly engaged well-known Attorney LEONARD GARMENT to try and resolve the charges. His response to the question of what are the chances of his being able to return safely to the U.S. - "Everything is possible".

13) RICH denies being involved in any trade with Iraq and also denies any involvement whatsoever in weapons and uranium deals.

Legat has been unable to locate any photos of PINCUS GREEN [redacted] to date. He seems to maintain a much lower profile than RICH:

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It is noted that this is a most unusual fugitive case in that the whereabouts of the fugitives, at least MARC RICH, is well known. The problem is that RICH and most probably GREEN also are located in a country, Switzerland, which, because of the major disagreements in 1983-84 in handling this case, has

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refused to consider their extradition. According to a diplomatic note dated 9/25/84, [redacted] advised that the offenses set forth in the indictments of subjects do not allow extradition under the U.S. - Swiss Extradition treaty.

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Legat will follow with attempts to develop information on the activities, travels, etc. of RICHARD GREEN in an effort to develop information leading to their apprehension.

Date \_\_\_\_\_

To:  Director  
Att: \_\_\_\_\_

FILE # \_\_\_\_\_

- SAC
- ASAC
- Supv.
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- OSM
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| <input type="checkbox"/> Call me                                  | <input type="checkbox"/> Leads need attention                          | <input type="checkbox"/> Return with explanation                     |
| <input type="checkbox"/> Correct                                  | <input type="checkbox"/> Open case                                     | <input type="checkbox"/> Search and return                           |
| <input type="checkbox"/> Deadline _____                           | <input type="checkbox"/> Prepare lead cards                            | <input type="checkbox"/> See me                                      |
| <input type="checkbox"/> Delinquent                               | <input type="checkbox"/> Prepare tickler                               | <input type="checkbox"/> Type  |
| <input type="checkbox"/> Discontinue                              | <input type="checkbox"/> Recharge file <input type="checkbox"/> serial |  |
| <input type="checkbox"/> Expedite                                 | <input type="checkbox"/> Send to _____                                 |  |
| <input type="checkbox"/> File                                     |  |  |

This printout in its entirety should be placed in the following file (Case file) + FD 487 filled out.

Thank you [Signature]

SAC \_\_\_\_\_  
OMIS Ron/TKK

See reverse side

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-23-01 BY \_\_\_\_\_

01-081

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b7C

196A-1274-574

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 26 1907	
FBI NEW YORK	

Memorandum

b6  
b7C

To : DADIC/SAC, DIVISION  
(ATTN: SUPERVISOR, SECTION C-12)

Date

From : ADIC, NEW YORK (66-7566)

[Redacted box]

Subject : NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

Following proper procedures for validation of these computerized records will serve to minimize the possibility of the New York State Police, or a terminal agency from being involved in litigation because of inaccurate or obsolete information in the data base files.

Please review all information contained on these records and, utilizing this form, notify NCIC/NYSPIN of all changes to be made, updated information to be added, and whether or not the records regarding a particular item should be maintained or purged. If a record is to be purged, it is required that a date of recovery and/or arrest be provided. Where active records do not contain all available information, data should be added directly to printout in the appropriate field.

Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by \_\_\_\_\_  
(Date)

PURGE \_\_\_\_\_

Date of Recovery \_\_\_\_\_

Date of Arrest \_\_\_\_\_

MAINTAIN  \_\_\_\_\_

MODIFY \_\_\_\_\_  
(Updated information attached)

CASE AGENT [Redacted]

SUPERVISORY SA *[Signature]*

DATE RETURNED \_\_\_\_\_

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*[Signature]* DDMO:  
Rev. 6/6/86

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b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [Redacted]

01-081

196A-NY-1774

QFBC WTST  
ORI/. \* .NAM/RICH, MARC  
.SEX/M. RAC/W. DOB/121834.  
IND/

N 0811-0811 02/01/92 01067534 QFBC09601 PART 001 OF 001  
NYSP WTST QFBC 0812  
NYFBINYOO  
NAM/RICH, MARC  
.SEX/M. RAC/W. DOB/121834

CONFIRM RECORD WITH ORIGINATOR

MKE/WANTED PERSON

ORI/NYFBINYOO - U.S. FBI NEW YORK CITY 212-335-2700  
NAM/RICH, MARC SEX/M RAC/W DOB/121834  
SID/. CCH/. SOC/496343075 POB/BG  
HGT/510 WGT/180 EYE/. HAI/XXX SKN/.  
LCD/ LCT/F OFF/2608 FRAUD BY WIRE-

WST/1 - ACTIVE

WTP/1 - ARREST WARRANT

EXT/2- WITHIN AND OUTSIDE NEW YORK STATE

RCV/O PFL/O VIC/O RSP/.

OCA/QFBC5851 WAR/. DKT/. DOW/091983

MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774

FBI/. MNU/. SMT/. FPC/.

DLN/. OLS/. OLY/.

LIC/. LIS/. LIY/. LIT/.

VIN/. VYR/. VMA/. VMO/. VST/. VCO/.

ARI/. ACA/. DOA/. RES/.

WPR/0302454

C12

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774-574



MKE/WANTED PERSON

ORI/NYUSC4900 NAM/RICH, MARC SEX/M RAC/W POB/BG DOB/121834 HGT/509  
WGT/160 EYE/XXX HAI/BLK  
SOC/496343075 OFF/TAX REVENUE DOW/091983 OCA/CS83NY003

MIS/WANTED INCOME TAX EVASION TRADING WITH ENEMY IF ENCOUNTERED CALL

SA [redacted] 212 466 2910

ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY

NIC/W318412622

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

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MKE/WANTED PERSON

ORI/NYFBINYO0 NAM/RICH, MARC SEX/M RAC/W POB/BG DOB/121834 HGT/510  
WGT/180 EYE/XXX HAI/XXX  
SOC/496343075 OFF/FRAUD - BY WIRE DOW/091983 OCA/0FBC5851

MIS/TAX EVASION IF ENCOUNTERED NOTIFY WILL EXTR 196B-1774



b12

ORI IS FBI NEW YORK NY

NIC/W321531799

MKE/WANTED PERSON

ORI/DCIRS9600 NAM/RICH, MARC SEX/M RAC/W POB/BG DOB/121834 HGT/509  
WGT/160 EYE/BRO HAI/BLK  
MNU/PP-23045402 SOC/496343075 OFF/INCOME TAX DOW/030584 OCA/920154P

MIS/IRS FUG VIOL 26 7201 IS RESIDIND IN ZUG SWITZERLAND CONT

[redacted] 212-264-0794 EXT 77 202-566-2120

ORI IS IRS CRIMINAL INVESTIGATION DIV HQ WASHINGTON DC

NIC/W369016859

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON

ORI/M00390000 NAM/ [redacted] SEX/M RAC/W POB/ [redacted] DOB/ [redacted] HGT/604

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b7c

WGT/218 EYE/BRO HAI/BRO

SMT/TAT LF ARM SOC [redacted] OLN [redacted] OLS/MO OLY/93

OFF [redacted] DOW/101191 OCA/390CF1445

MIS/JUDGE DON BONACKER CIR DIV III CAPIAS WARRANT HOLD WITHOUT BOND

ORI IS GREENE CO SO SPRINGFIELD MO

SMT/TAT RE ARM

AKA [redacted]

NIC [redacted]

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON

ORI/M01130000 NAM [redacted] SEX/M RAC/W DOB [redacted] HGT/604

WGT/218 EYE/BRO HAI/XXX

SOC [redacted] OLN [redacted] OLS/MO OLY/93

OFF [redacted] DOW/120691 OCA/333F

MIS/BOND \$2500-00 CASH OR SURETY ASSOC CIR CRT DATE ANY WED 10AM

JUDGE KNUST

ORI IS WEBSTER CO SO MARSHFIELD MO

NIC [redacted]

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

106A-NY-1774-57481

SEARCHED	INDEXED
SERIALIZED: <u>50</u>	FILED: <u>50</u>
APR 04 1967	
FBI - NEW YORK	





To : DADIC/SAC, DIVISION [redacted]  
(ATTN: SUPERVISOR, SECTION [redacted])

Date

3/26/82

From : ADIC, NEW YORK (66-7566)

b6  
b7C

C-12

Subject : NCIC/NYSPIN VALIDATIONS

Attached are copies of monthly NYSPIN/NCIC validations re New York Division entries.

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Supervisory SA and Case Agent must certify to the accuracy of this validation by initially below and returning form and printout to NYSPIN by 4/2/82.

(Date)

PURGE \_\_\_\_\_

Date of Recovery \_\_\_\_\_

Date of Arrest \_\_\_\_\_

MAINTAIN  NY 195402

MODIFY  APR 3 1982  
(Updated information attached)

CASE AGENT [redacted]

SUPERVISORY SA [redacted]

DATE RETURNED 4/2/82

196A-NY-1774

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DDMO: Rev. 6/6/86

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_ FILED \_\_\_\_\_

QFBC WTST  
ORI/. \* . NAM/GREEN, PINCUS  
. SEX/M. RAC/U. DOB [REDACTED]  
INQ/

[REDACTED]

3/20/92

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C.B.

N 1627-1627 03/25/92 02299243 QFBC29786 PART. 001 OF 001  
NYSP WTST QFBC 1628  
NYFBINY00  
NAM/GREEN, PINCUS  
. SEX/M. RAC/U. DOB [REDACTED]

CONFIRM RECORD WITH ORIGINATOR

b6  
b7C

MKE/WANTED PERSON  
ORI/NYFBINY00 - US FBI NEW YORK CITY 212-335-2700  
NAM/GREEN, PINCUS SEX/M RAC/W DOB [REDACTED]  
SID/. CCH/. SOC [REDACTED] POB/NY  
HGT/510 WGT/180 EYE/. HAI/GRY SKN/.  
LCD/ LCT/F OFF/2699 FRAUD-  
WST/1 - ACTIVE  
WTP/1 - ARREST WARRANT  
EXT/2- WITHIN AND OUTSIDE NEW YORK STATE  
RCV/O PFL/O VIC/O RSP/.  
DCA/QFBC6671 WAR/. DKT/. DOW/091983  
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [REDACTED] C12  
FBI/. MNU/. SMT/. FPC/.  
GNN/. QLS/. DLY/.  
LIC/ LIS/ LIT/  
VINX VYR/ VMA/ VMO/ VST/ VOO/.  
ARI/. ACA/. DOA/. RES/.  
WPR/0307502

4/9/92

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D-1650-  
D-1195/100

N 1627-1627 03/25/92 02299473 QFBC29787 PART 001 OF 001  
NCIC WTST QFBC 1628  
NYFBINYO0

MKE/WANTED PERSON  
ORI/NYUSC4900 NAM/GREEN, PINCUS SEX/M RAC/W POB/NY DOB [REDACTED] HGT/510  
WGT/180 HAI/GRY  
SOC [REDACTED] OFF/TAX REVENUE DOW/091983 OCA/CSB3NY004  
MIS/IF ENCOUNTERED CONTACT S/A [REDACTED] VIA SECTOR II FTS 6685472/FBI  
AGENT [REDACTED] 212 5532700  
ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY  
NIC/W318619755  
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

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b7c

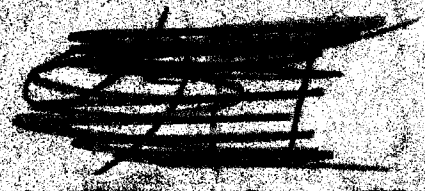
MKE/WANTED PERSON  
ORI/NYFBINYO0 NAM/GREEN, PINCUS SEX/M RAC/W POB/NY DOB [REDACTED] HGT/510  
WGT/180 EYE/XXX HAI/GRY  
SOC [REDACTED] OFF/FRAUD DOW/091983 OCA/QFBC6671  
MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774 [REDACTED] C12  
ORI IS FBI NEW YORK NY  
NIC/W324077114

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b7c

MKE/WANTED PERSON  
ORI/DCIRS9600 NAM/GREEN, PINCUS SEX/M RAC/W DOB [REDACTED] HGT/510 WGT/180  
EYE/GRN HAI/BRN  
MNU/PP-24229195 SOC [REDACTED] OFF/INCOME TAX DOW/030584 OCA/13830168P  
MIS/IRS FUG VIOL 26 7201 IS RESIDING IN ZUG SWITZERLAND CONT S-A  
[REDACTED] 212-264-0794 EXT 77 202-566-2120  
ORI IS IRS CRIMINAL INVESTIGATION DIV HQ WASHINGTON DC  
NIC/W369018253  
IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [REDACTED]

01-08



Memorandum



To : SAC, NEW YORK (196A-NY-1774) P Date 3/24/92

From : SA [redacted] (C-12)

b6  
b7C

Subject : MARC RICH - FUG. (LB);  
PINCUS GREEN - FUG. (LB);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING WITH  
THE ENEMY

OO: NY

b6  
b7C

[redacted] U.S. Marshal, assigned to the Marc Rich case at request of the IRS advised during March, 1992 he has been transferred to his Tampa office so the Marc Rich case will be reassigned to [redacted] U.S. Marshal.

(X)  
g

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196A-1774-577

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 24 1992	
FBI - NEW YORK	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

Memorandum



To SAC, NEW YORK (196A-NY-1774) p Date 2/19/92

From SA [redacted] (C-12)

b6  
b7C

Subject: MARC RICH - Fug. (B);  
PINCUS GREEN - Fug. (B);  
FBW, MF, RICO  
OO: NY

b6  
b7C

On 2/10/92, [redacted]

who is [redacted]

[redacted] The Green party (political) appeared at 26 Federal Plaza, 41<sup>st</sup> floor, NYC and furnished the following to SA [redacted] and U.S. Marshal [redacted].

He has for years opposed the presence of Marc Rich in Switzerland and finally

196A-NY-1774-519

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SEARCHED	INDEXED
SERIALIZED	FILED
FEB 19 1992	
FBI-NEW YORK	

① Fug sub File

ALL INFORMATION CONTAINED  
HEREIN IS UNCLAS.  
DATE 2-20-01 BY [redacted]

01-051

after 10 years it is becoming more acceptable to criticize Rich.

b6  
b7C

[redacted] provided a copy of *Economica* a Spanish Magazine dated October 1989 and a current German or Swiss Magazine called *Bilanz*. [redacted] said the German language Magazine corresponds to FORTUNE in the U.S.

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b7C

According to [redacted] the German Magazine article states that Alexander Hackel, Felix Posen and Pincus Green have retired from the Marc Rich companies. All were extremely high level executives. [redacted] added Green is rumored to be living in Israel.

# BILANZ

BILANZ Das Schweizer Wirtschafts Magazin 2/92

**WIE DIE KRISE KARRIEREN KNICKT.** Seite 62

## AUFRUHR

**WARUM TIERVERSUCHE UMSTRITTEN SIND** Seite 32

## STOCKSAURER

**WIE SICH TETTAMANTI VERRANNT HAT** Seite 12

## 100-MIO-KLAGE

**EUROACTIVIDADE: SWISSAIR-CHEFS ANGESCHULDIGT**  
Seite 80

## RÜCKWÄRTS MARSCH

**AUCH BÜHRLES NEUER CHEF WIDMER SETZT AUF WAFFEN** Seite 84

# RICH, DAS BIN ICH

**MARC RICH: MIT NEUER CREW ZU NEUEN DEALS** Seite 52

Marc Rich  
Mann des Monats

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

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b7c



Marc Rich – Rohstoffpreise und Konjunktur sind schwach, diverse Affären noch wach, Marc Rich und sein Handelshaus in Zug von allen Gründern und deren Kapitalanteil verlassen. Doch die Welt ist voller Opportunities, vorab im Osten. Rich und seiner neuen Crew gelingt ein

# FULMINANTER NEUSTART

VON BEAT BIERI UND MICHAEL VAN ORSOUV

**D**er Himmel über Zug ist leicht bewölkt an jenem 3. April 1974. Bei mässigem Nordwind vermag sich der Frühling mit angenehmen 19 Grad bemerkbar zu machen. Und doch will nicht alles spriessen. Die Stadt Zug zählt gerade noch 23 500 Einwohner, 416 weniger als ein Jahr zuvor. Sollte es so weitergehen, würde Zug 32,5 Jahre später nur noch als Dorf gelten.

Aber es geht nicht so weiter. An der Gartenstrasse 2 in einem Büroraum der Anwaltskanzlei Barth, Mosimann und Stadlin machen sich, so das Protokoll, ein «Fräulein Cécile Untermähler, von Romoos in Cham» und Anwalt Rudolf Mosimann an die Gründung einer Firma. Über die weitere Karriere des «Fräuleins Untermähler», wohl eine Sekretärin der Anwaltskanzlei, ist nichts bekannt. Von Rudolf Mosimann hingegen wissen wir, dass er noch einige weitere Jahre Beachtung und Auskommen als Zuger Staats- und Wirtschafts-anwalt finden wird. Ein weiterer Part kommt der Kreditanstalt Zug zu, die schriftlich bestätigt, dass die Gründer ein Aktienkapital von 1 055 000 Franken auf ein SKA-Konto einbezahlt haben. Fräulein Untermähler und Anwalt Mosimann erklären sodann zuhänden des Protokolls mit klarer Bestimmtheit: «Wir wählen als Mitglied des Verwaltungsrates der Gesellschaft für eine erste Amtsdauer von einem Jahr, Herrn Marc Rich, Staatsangehöriger der USA, wohnhaft in Somosguas, Madrid, diesen gleichzeitig zum Präsidenten des Verwaltungsrates.» Komplettiert wird der Verwaltungsrat durch den Amerikaner Pincus Green und die drei Schweizer Anwälte (Wiki, Gayler, Mosimann). Als Direktor sieht sich der Deutsche Alexander Hackel von Untermähler/Mosimann ins Amt eingesetzt.

Damit ist die Marc Rich & Co AG gegründet.

Allein, die Welt nimmt keine Kenntnis davon, nicht mal die Zuger Lokalpresse, die sich vielmehr der Diplomfeier an der Zuger Kantonsschule zuwendet, wo Erziehungsdirektor Anton Scherer den Abgängern der Handelsabteilung einen Rat mit in

die Zukunft gibt: «Sagen Sie ja zu Ihrer Existenz.» Derweil berichten die Schlagzeilen auf den Frontseiten von einem Steuer-skandal, nein, nicht von jenem der eben gegründeten Marc Rich AG, das kommt erst neun Jahre später, sondern: «Wie Richard Nixon das Steueramt übers Ohr gehauen hat.»

Der unspektakuläre, unbeachtete Beginn der Marc Rich & Co AG an der Zuger Gartenstrasse findet eine um so fulminantere Fortsetzung. Knapp 18 Jahre, nachdem Marc Rich und fünf weitere Abtrünnige des Rohstoffhandelshauses Philipp Brothers (heute Phibro) sich zur Marc Rich Company formiert haben, hat sich diese Gesellschaft im Handel mit Öl, Metall und Agrarprodukten zu einer der grössten der Welt entwickelt. Fast wundersam mutet an, dass diverse Affären die rapide Besetzung der Rohstoffmärkte nicht zu beeinträchtigen vermochten. Nicht einmal eine Reduktion der eigenen Mittel um einen geschätzten Milliardenbetrag (zwecks Auszahlung der scheidenden Partner) vermag den Gang der Geschäfte zu bremsen – die Firma Rich scheint wirtschaftlich so potent dazustehen wie nie zuvor.

«Der Gruppenumsatz beträgt zirka 30 Milliarden Dollar, und wir beschäftigen etwa 1300 Mitarbeiter in 48 Büros weltweit.» Mit diesen Ziffern umschreibt Marc Rich prosaisch die Dimensionen seines Reiches. Völlig interpretationsbedürftig bleibt die Grösse des Ertrages, den wir zurückhaltend auf 300 Millionen Franken schätzen. Dazu die Hilfe von Marc Rich: «Während am Anfang die Ölaktivitäten ertrags- und umsatzmässig an der Spitze lagen, ist das Metall- und Erzgeschäft seit einigen Jahren ertragsmässig vorn, wobei das Ölgeschäft nach wie vor das umsatzstärkste ist. Der Getreidehandel ist stabil

geblieben, während die Zuckeraktivitäten neu für uns sind. Jedoch bereits vielversprechende Resultate zeigen.»

Das Jahr 1991 setzt für die Rich Company einen der bedeutendsten Marksteine ihrer Geschichte, wenngleich die Firmenleitung sich bemüht, jegliches Aufsehen zu vermeiden. Mit Felix Posen hat sich im Herbst der vorletzte der Gründer aus der Firma verabschiedet – geblieben ist einzig Marc Rich selbst.

Der deutschstämmige Posen, wegen seines aristokratischen Habitus auch Sir Felix genannt, leitete die wichtige Londoner Niederlassung und gilt als Architekt von Richs Sowjetunion-Geschäft. Durch merkantiles Geschick und eine pflegliche Behandlung der Londoner Mitarbeiter von Raznoimport, der sowjetisch-staatlichen Metallhandelsfirma, gelang es Posen, die Rich-Gruppe zum heute bedeutendsten westlichen Metallhändler in der vormaligen Sowjetunion zu machen. Bereits vor Posen haben sich mit Pincus Green und Alexander Hackel die zwei anderen Mitglieder des inneren Viererzirkels aus der Firma zurückgezogen. Green: wie Rich 57 Jahre alt, mit Wohnsitz im zugerischen Walchwil (wo er 1988 ein Steuervermögen von 167 Millionen Franken herzeigte) und in Israel, zusammen mit Rich der originäre Impetus des hochprofitablen Unternehmens – und zusammen mit Rich wegen Steuerbetrugs seit 1983 auf der Flucht vor der amerikanischen Justiz. Deshalb wurde aus dem einstigen Amerikaner zuerst ein

Bolivianer, um seit Dezember 1988 im Zuger Handelsregister wie Rich als Spanier geführt zu werden.

Alexander Hackel. Deutscher und ebenfalls seit Anbeginn dabei, war insbesondere 1983 der passende Mann, da auf ihn, der nicht im Kreuzfeuer der amerikanischen Staatsanwälte stand, die 51-Prozent-Mehrheit der amerikanischen Tochter Marc Rich International (fortan um den Namen Rich erleichtert Clarendon geheissen) überschrieben werden konnte.

Obschon sich 1991 Hackel aus dem Geschäft zurückgezogen hat und sich nun von seinem lauschigen Megger Wohnsitz am Vierwaldstättersee aus der Kunst widmet, ist er gemäss offiziellen Firmenangaben immer noch Besitzer dieser 51 Prozent an Clarendon.

Nun, wie regelt man das, wenn sich gleich drei Partner, die mit Gewissheit mindestens 50 Prozent der Firmenanteile halten, zurückziehen? Wobei verteuert dazukommt, dass nie Dividenden ausbezahlt worden sind, diese ganze Substanzmehrung über all die 17 Jahre hinweg also nun für die Scheidenden beim Kassemachen herrlich konkret werden müsste.

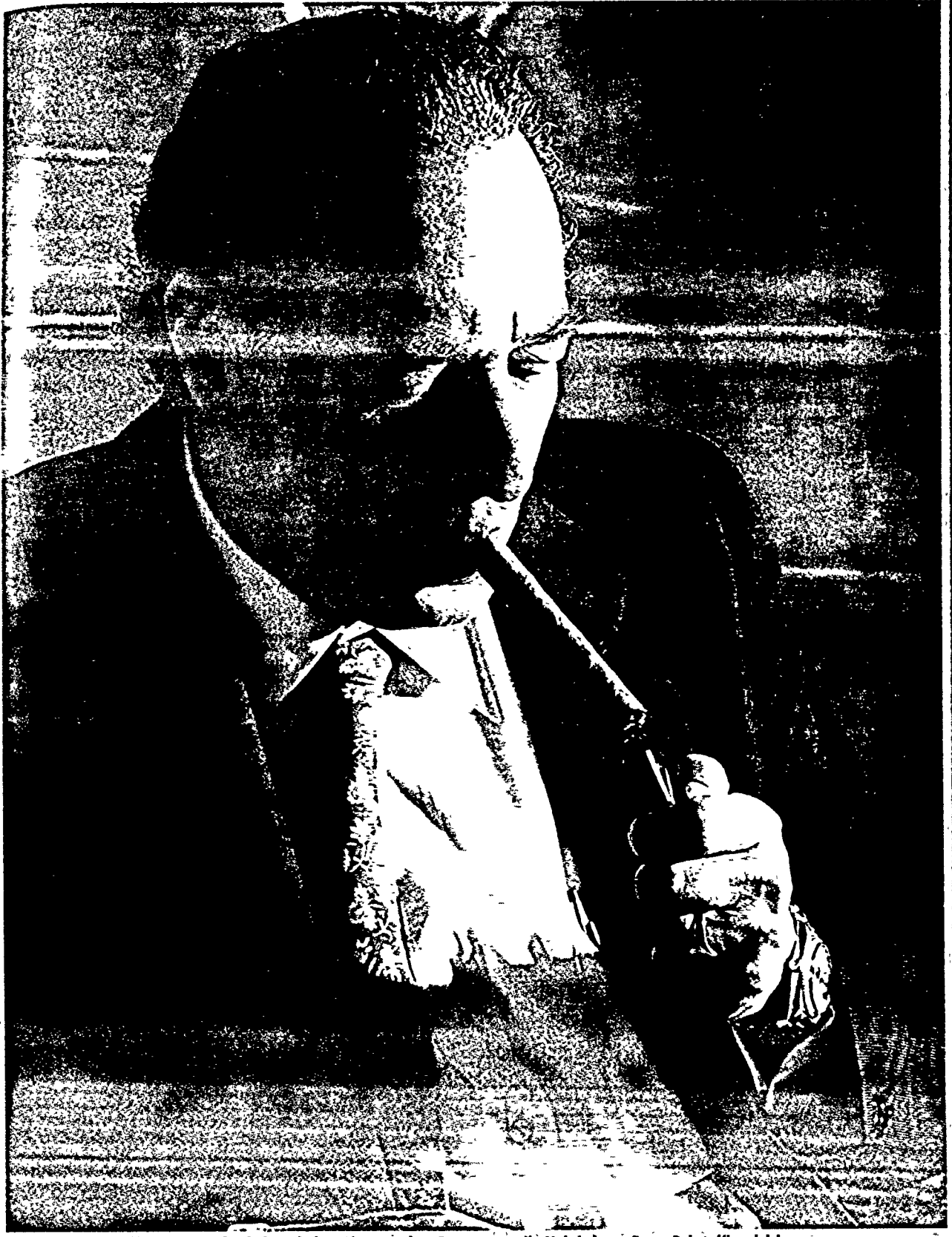
**«UNSER GESCHÄFT IST NICHT NACH POLITISCHEN ÜBERZEUGUNGEN AUSGERICHTET.»**

Marc Rich

Marc Rich, le



PHOTO: HANFEL/S. KERN



Marc Rich, letztverbliebener Gründer, hält nach dem Abgang seiner Partner nun die Mehrheit am Zuger Rohstoffhandelshaus.

eraktivitäten  
reits, vielver-  
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die Firmen-  
Aufsehen zu  
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Bereits vor  
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ideren Mit-  
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zeigte) und  
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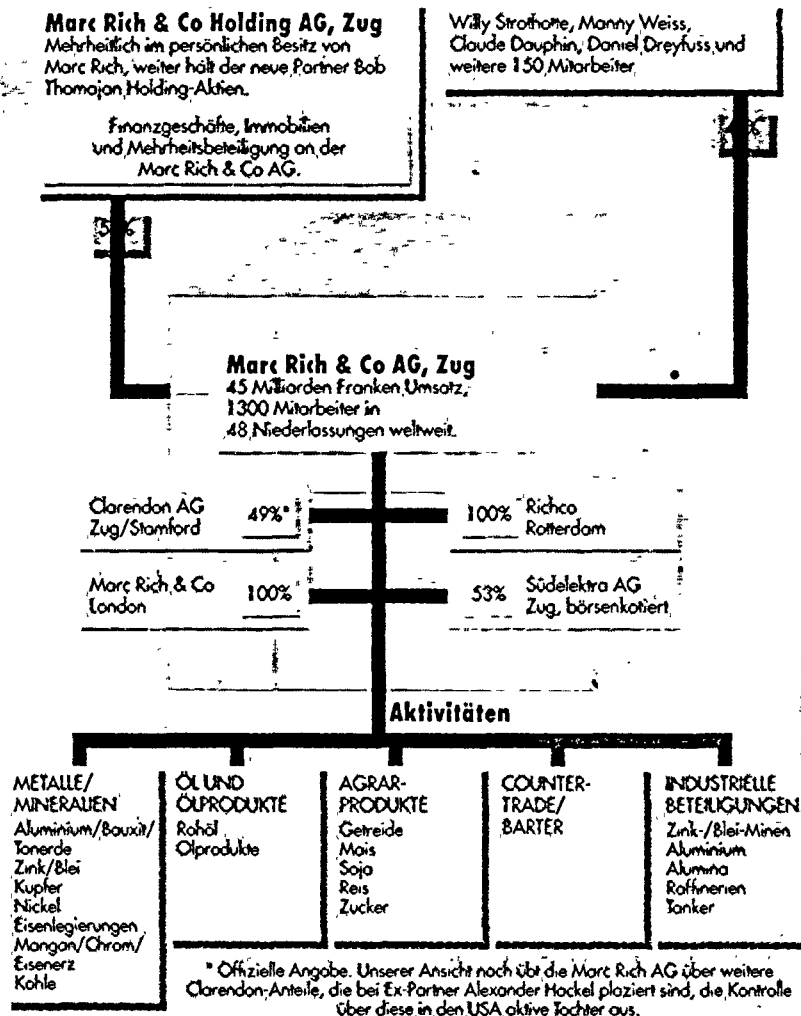
Weitere Bedingung bei der Lösung der anspruchsvollen Aufgabe: Der verbliebene Gründer, Marc Rich, möchte künftig die Mehrheit der Firma innehaben. Zwei Möglichkeiten bieten sich an. Die banal-übliche: Rich kauft die Anteile seiner Partner, wozu er sich indes verschulden oder mit anderen Geldgebern verbinden müsste. Die andere, von Rich gewählte Möglichkeit steht nur Firmen mit einer fantastischen finanziellen Potenz offen, ansonsten sie einer Teilliquidierung gleichkäme: Die Aktien werden von der Firma zurückgekauft, vernichtet und das Aktienkapital entsprechend reduziert – so geschehen sowohl bei der Rich Holding als auch der Rich & Co AG. Letztere hat im Januar 1991 ihr Aktienkapital von 150 auf 90 Millionen vermindert. Dafür dürften (bei einem hypothetischen Firmenwert von drei Milliarden Franken) schätzungsweise rund 1,2 Milliarden Franken an die Gründer a. D. ausgeschüttet worden sein.

Das ziemlich einmalige Milliardenmanöver hat, ganz nach Stil des Hauses, kein öffentliches Aufsehen erregt. Ärgerlicherweise ist da noch das Schweizer Gesetz, das bei einem Abbau des Aktienkapitals die Publikation eines Schuldenerufes verlangt. Tatsächlich meldeten sich darauf zwei ungläubige Rich-Gläubiger. Doch die beiden Tradinggesellschaften, die türkisch lüerte Baytur in Genf und die mit nigerianischem Geld ausgestattete Holdtrade in Glattbrugg, konnten von der Firma Rich mittels einer Bürgschaft der SBG Zug beruhigt werden.

Für Marc Rich hat sich letztes Jahr die geschäftliche Lage angenehm geklärt: Mit der von ihm mehrheitlich beherrschten Marc Rich Holding kontrolliert er heute eine 51-Prozent-Mehrheit an der Handelsgesellschaft Marc Rich & Co. AG (siehe Grafik auf dieser Seite). Die restlichen 49 Prozent werden von 150 Mitarbeitern ge-

## FEST IM GRIFF VON MARC RICH

Nach dem Austritt seiner Gründerpartner hat Marc Rich die Besitzverhältnisse des Handelsmultis neu geordnet, so dass er heute klar die Zügel in den Händen hält



halten. Nach drei- bis fünfjähriger Firmenzugehörigkeit erhält ein Rich-Trader nämlich die Möglichkeit, Aktien zu erwerben (die bei einem Austritt aus der Firma wieder zurückverkauft werden müssen).

Personell war die Firma vorbereitet auf den Abgang der alten Kameraden. Nur zögerlich erhalten wir jedoch die Namen der neuen Chefs, die allesamt bereits seit 15 Jahren für Rich arbeiten: Der Franzose Claude Dauphin leitet das Ölgeschäft, der Amerikaner Manny Weiss den Zucker- und Aluhandel; Dauphin und Weiss stehen überdies dem Londoner Office vor. Der Schweizer Daniel Dreyfuss ist für den Getreidehandel zuständig und der Deutsche Willy Strothotte für den Bereich Metalle und Erze. Strothotte ist in der neuen Leitung nicht nur der grösste (1,88 Meter) und älteste (47 Jahre), er ist überdies der neue starke Mann der Firma – gleich nach

sei «einer der ehrbarsten ethischen Menschen».

Marc Rich selbst, 57 Jahre alt, will sich vorerst noch nicht verabschieden: «Als meine Partner ausschieden, habe ich mir überlegt, ob ich das auch tun sollte. Doch das hat nicht lange gedauert. Ich habe eine gute Gesundheit, und das Geschäft ist mein Hobby.» Seine Nachfolgelösung werde vermutlich ähnlich vonstatten gehen wie der Abgang seiner alten Partner; ein Börsengang der Rich Company jedenfalls sei nicht vorgesehen. Von den drei Töchtern Richs zeigt sich keine am väterlichen Geschäft interessiert; pikanterweise bemerkt indes Marc Rich: «Herrn Strothottes Sohn mag Geschäfte, will Ökonomie studieren und Russisch lernen.»

Das Rich-Stamnhaus in Zug, gleich neben dem Bahnhof gelegen, ist ein Nachschatten-

Marc Rich. Bei unserem Gespräch mit Rich und Strothotte fällt auf, dass letzterer zuweilen Informationen preisgibt (wie die obigen Namen), die Rich lieber unausgesprochen gelassen hätte.

Zur Topcrew zählt seit einem Jahr auch Bob Thomajon, ein 50-jähriger New Yorker Anwalt. Der drahtige Amerikaner ist Richs Partner in der Holding, betreut beispielsweise die Investitionen der Holding in Osteuropa, ist aber ebenso für die Frachtgeschäfte im Trading zuständig. Thomajon war zuvor Partner der grossen New Yorker Anwaltskanzlei Milgrim Thomajon & Lee, zu deren lukrativsten Kunden seit den siebziger Jahren Rich gehört. Sein Wechsel zu Rich provozierte in amerikanischen Juristenkreisen die Kontroverse, ob es mit dem Ehrenkodex für Anwälte vereinbar sei, wenn sich ein US-Anwalt zum Partner eines flüchtigen Steuerdelinquenten mache. Anwalt Milgrim wirft sich für seinen vormaligen Geschäftspartner in die Bresche: Thomajon

gewäch- des Wu- wodurch- Nachbar- Tages in- anderen- und Tre- versorge- angehen- sieht bis- Glaswai- sitzen u- stehen- Grenze- geht.

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Pincus- Hälfte- zusam- schäft- stamm- len de- machte- und st- sind in- schwun- die Kre- den Ko- gerade- schäft- schnitt- drei Mi- Hälfte- um pi- gen, z- nen. I- nicht- Ölbörs- eigene- Trader- der mi- Kontro- könne- an Dritt-

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gewächs. In den gläsernen Aussenuänden des Würfels spiegelt sich die Umgebung, wodurch das Gebäude die Gestalt der Nachbarhäuser annehmend, während des Tages unsichtbar wird. Erst wenn in Zug die anderen Geschäfte schliessen, die Anwälte und Treuhänder ihre Mandate im Tresor versorgen, und bei Marc Rich die Lichter angehen, wird das Haus transparent. Man sieht bis spät abends Männer, die hinter der Glaswand an Computern und an Telefonen sitzen und den Passanten solcherart zu verstehen geben, dass ihr Business über die Grenzen des kleinen Kantons Zug hinausgeht.

Im obersten Stock befindet sich in einem geräumigen Eckraum das Office von Marc Rich. Die Klimaanlage säuselt, Marc Rich lässt sich in die Ecke des weissen Ledersofas fallen, legt die Beine übereinander, die dicke Zigarre zwischen den Fingern. Der Spannleppich ist weiss, die Wände sind mit weissem Stoff bezogen, die Lamellen sind weiss. Nur das grossflächige abstrakte Bild eines spanischen Künstlers ist merkwürdig graudüster. Auf der langen Aktenablage stehen zahlreiche Bilder, sie zeigen vor allem Frauen, ältere und jüngere. Familienmitglieder und sind mit dicken goldenen oder silbernen Rahmen versehen. Und überall Zigarren: auf dem Pult eine geöffnete Holzschachtel, hinten eine randvoll gefüllte, rauchbraun transparente Plexiglastische.

Auf einem Aktenschränkchen liegt eine Schiffsglocke. Diese sowie die aufgehängte Fotografie eines Tankers und das meterlange Tankermodell vor dem Office sind Illustrationen zu Richs Bekenntnis: «Ich liebe Schiffe.» Über 12 bis 15 Tanker, eigene und gecharterte, mit zwei Millionen Tonnen Frachtraum gebietet er.

Pincus Green baute in der zweiten Hälfte der sechziger Jahre mit Rich zusammen bei Phibro das Ölgeschäft auf. Und Öl – anfänglich stammte es vor allem aus den Quellen des persischen Schahs – machte auch die Firma Rich gross und stark. Viele andere Öltrader sind in den letzten Jahren verschwunden: der Finanzbedarf und die Kreditlimiten sind ihnen über den Kopf gewachsen. Heute gibt es gerade zwei Worldplayers im Ölgeschäft: Phibro mit einem durchschnittlichen Tagesumsatz von drei Millionen Barrel, Rich mit der Hälfte davon. Dabei handelt es sich um physisch vorhandene Ölmen gen, nicht um Börsenspekulationen. Erstaunlicherweise ist Rich nicht selbst an der New Yorker Öl Börse aktiv, während Phibro 13 eigene Leute dort hat. Ein Energytrader erklärt sich das damit, dass er misstrauische Rich auf eine Kontrolle seiner Leute verzichten könne, wenn er die Börsenaufträge an Dritte verbe. Rich nennt einen

anderen Grund: «Wir sind keine Makler, das Börsengeschäft ist eine Dienstleistung, für die wir uns entschlossen haben zu bezahlen.» Bis letzten Herbst hat vor allem Phibro Börsen-Hedging (Absicherung) in «riesigen Umsätzen», so ein Insider, für die Firma Rich betrieben. Bis eben der neue Salomon-Phibro-Boss Warren Buffett aus ethischen und aus handfesten eigennütigen PR-Gründen jegliche Geschäftskontakte mit Rich medienwirksam abbrach.

Aus dem Ölhandel Richs mit dem Iran – zur Zeit der Teheraner Geisellafäre, als US-Präsident Carter jegliche Handelskontakte mit Iran untersagte – stammte die (inzwischen aufgehobene) amerikanische Anklage wegen «Handels mit dem Feind». Rich argumentierte erfolgreich: «Wir sind eine schweizerische Gesellschaft, der es keineswegs verboten war, mit Iran Handel zu treiben.» Bei der finanziellen Abwicklung der Iran-Deals war übrigens eine weitere schweizerische (und damit ebenfalls befugte) Gesellschaft, die Bankgesellschaft, wesentlich mitbeteiligt.

Wenn das Geschäft ruft, vermögen weder religiöse Differenzen – jüdischer Ölhändler handelt mit antiisraelischen Mullahs – noch politisch-ethische Schranken dämpfend zu wirken. So wird Rich ebenso vorgeworfen, zu den bedeutendsten Versorgern Südafrikas mit Öl und damit zu den aktivsten Missachtern des Uno-Em-

bargos zu gehören. Nach den Beobachtungen des Amsterdamer Shipping Research Bureaus hat die Firma Rich zwischen 1979 und 1988 mindestens 78 Schiffsladungen mit rund elf Millionen Tonnen Rohöl in klandestinen Manövern nach Südafrika verfrachtet.

Die grösste Geschäftigkeit hat Rich in den letzten Jahren im Geschäft mit Aluminium und Tonerde entwickelt. Gute Gelegenheit dazu bot sich Mitte der achtziger Jahre, als wegen der darniederliegenden Alupreise viele notleidende Produzenten besonders in den USA versuchten, ihre Hütten abzustossen. Rich trat – ohne selbst Besitzer werden zu wollen – als Geldgeber, quasi als Bank, auf den Plan und finanzierte die Übernahme von unrentablen Hütten. Die Vorteile sind evident: Rich erhielt zu vergleichsweise

günstigen Bedingungen Lieferverträge sowie Verarbeitungskapazitäten für eigene Tonerde, und er partizipierte an der Kontrolle, ohne das Besitzerrisiko tragen zu müssen. So ist die Rich-Tochter Clarendon gemäss Angaben von Willy Strothotte nur an zwei Aluhütten als Besitzerin beteiligt: zu 27 Prozent an der Hütte Mount Holly in South Carolina, die jährlich 200 000 Tonnen Alu produziert (zum Vergleich: Der schweizerische Alubedarf liegt bei 160 000 Tonnen), und zu 21 Prozent an der sardischen Euralumina.

Eigentumsverhältnisse an der Hütte im amerikanischen Ravenswood, wo seit über einem Jahr 1700 gewerkschaftlich organisierte Mitarbeiter in einem aggressiven Arbeitskampf ausgesperrt sind, dementiert Strothotte vehement. Doch die Geschäftsbeziehungen, basierend auf langfristigen Verarbeitungsverträgen, sind ungemein eng: Rich liefert den Grossteil des Tonerdebedarfs von Ravenswood.

Richs Tonerde stammt unter anderem aus dem eigenen Werk Vialco von den US-Virgin Islands (Jahresproduktion 600 000 Tonnen) sowie aus Jamaika. Mit der dortigen Regierung unterschrieb Rich 1986, also in schlechten Aluzeiten, einen vorteilhaften Zehn-Jahres-Vertrag, womit Rich zum Hauptabnehmer der jamaikanischen Tonerde geworden ist. Durch eine grosszügige Vorfinanzierung hatte Rich zuvor die Jamaikaner günstig eingestimmt.

Der Sturz des Aluminiumpreises nährt in der Branche Gerüchte. Rich wolle seine Mittel aus dem Alusektor abziehen. Das ist falsch. Im Gegenteil. Die Höhe des Rohstoffpreises ist für den Trader – anders als für den Produzenten und den Endabnehmer – nicht existentiell entscheidend – oder um es mit den Worten Strothottes zu sagen. Es ist nicht unbedingt richtig davon auszugehen, dass wir bei steigenden

## «DIE ALTEN STRUKTUREN IM OSTEN FALLEN, DAS GIBT UNS ENORME MÖGLICHKEITEN.»

Willy Strothotte



Richs neue Männer: der New Yorker Anwalt Bob Thomajan (l.), der deutsche Metallmann Willy Strothotte.

Preisen gewinnen oder mehr gewinnen, und bei fallenden Preisen gar verlieren. Entscheidend ist vielmehr die Bewegung des Preises richtig vorauszu-sehen und entsprechend zu disponieren.

Die Zuger Firma hat sich anerbotten, die einzige tschechische Aluhütte zu erneuern und mitzu-helfen, deren Produktionskapazität von 70.000 auf 105.000 Tonnen aus-zubauen. Es ist heute Gegenstand von Ver-handlungen, ob Rich Eigentümer der einst staatlichen Hütte wird oder lediglich als Geldgeber und Abnehmer verbleibt.

Von der (westli-chen) Jahres-Welt-produktion von 15 Millionen Tonnen Primäraluminium ge-langen rund 60 Pro-zent nicht in den Handel, da die Pro-duzenten vertikal in-tegriert sind, dieses Metall also selbst verarbeiten (Beispiel Alusuisse). Von den restlichen sechs Mil-lionen Tonnen werden bis 2,5 Millionen Tonnen durch Rich bewegt – eine be-trächtliche Markt-macht.

Und das nun noch verstärkt nach Westen drängende,

einst sowjetische Metall, dessen Überan-gebot an der gegenwärtigen Alubaisse mitschuldig ist, wird mit Sicherheit auch zu einem schönen Teil durch die Rich-Kanäle gehen. Zwar meinen einige Kon-kurrenten, die Zusammenarbeit der Zuger mit den oftmals korrupten Razno-Leuten habe nach der Abdankung der Sowjetuni-on und der Übernahme der Rohstoffge-schäfte durch die Republiken auch Rich selbst ins Abseits befördert. Das ist Wunschdenken: Rich ist mit vier Nieder-lassungen in den rohstoffreichen Republi-ken Aserbeidschan, Kasachstan, Ukraine und Russland vor Ort nicht nur vital prä-sent. Seine Finanzkraft, die breite Produk-tapalette und – heute besonders wichtig – die ausgebaut Countertrade-Abteilung statten ihn im anbrechenden wildöstli-



Nächtliche Transparenz des Zuger Rich-Sitzes, untypisch für den verschwiegene Handelsmulti.

chen Goldrausch auch mit kräftigen Ell-bogen aus. Ein Cheftrader der Frankfurter Metallgesellschaft meint denn auch: «Rich ist in Russland nicht wegzudrängen.» Met-talchef Strothotte jedenfalls zeigt Zuver-sicht: «Die alten Strukturen im Osten fal-len, das verschafft uns enorme Möglich-keiten, unsere verschiedenen geschäftli-chen Stärken anzuwenden.»

*Rich redet. Er redet leise in Hochdeutsch. Seine Stimme ist merkwürdig dürr, zerbrech-lich, fast. Der Tonfall ist ruhig und freundlich. Eine bescheidene Mimik, Rich setzt seine Hände sparsam ein, sein Hals versinkt im weissen Hemdkragen. Der Krauttenknopf ist amenkanisch breit. Fragen hört er auf-merksam zu. Wenn Direktor Willy Strothotte spricht, lehnt er sich noch weiter zurück.*

*Zuweilen wirkt sein Blick gelangweilt. Richs Koteletten sind grau, die Augenbrau-en grau und schwarz und vor allem buschig, die Haare schliesslich sind schwarz und zurück-gekämmt. Es sind nicht mehr viele, der rötliche Hutton drückt durch. Im Nak-ken kräuseln sie sich leicht. Manchmal macht er Spässe, dann schnellen die Mundwinkel nach aussen, die Zähne, sonst hinter dünnen Lippen kaum sicht-bar, treten hervor.*

*Wir wechseln ins Restaurant «Glashof- gleich gegenüber, das ebenfalls Marc Rich gehört. Rich geht leicht gebeugt. Draus-sen wartet der Sicher-heitsbeamte. Der lässt die rechte Hand per-manent in der Man-teltasche. Wir betre-ten das Restaurant durch einen Privatein-gang, den Marc Rich mit einer Zulassungs-karte öffnet. Rich be-stellt zur Vorspeise Austern, dann Scam-pl. Er kann sehr char-mant und sehr ameri-kanisch «Tschuldigung» sagen oder «Uf Widerluege».*

Marc Rich war in den sechziger Jahren Leiter des Madrider Phibro-Büros. Diese Jahre kamen ihm zu

Beginn der Auseinandersetzungen mit der US-Justiz zupass. So wurde es ihm mög-lich, am 16. August 1982 vor das Madrider Zivilstandesamt zu treten, einen Eid auf den spanischen König und einen Schwur auf das spanische Gesetz abzulegen, sowie der US-Bürgerschaft zu entsagen.

Marc Rich – als Sohn des kleinen jüdi-schen Händlers David Reich 1934 in Belgien geboren, nach der Flucht vor den Nazis unter dem Namen Rich Amerikaner gewor-den, um schliesslich den spanischen Pass-port zu führen. Spanisch ist auch die Sprache, die er mit seinen drei Töchtern spricht.

Spanien ist in den letzten Jahren für Marc Rich zum Land der goldenen Gele-genheiten geworden. Seine Villa in Marbel-la ist dabei nur eine besonders luxuriös

gefor-lich g. Abges- in zwe- strasse- stammt sind vt Holding Schätz- wonach Spanier Rich al trägt « Frank- lem in- striebe- teiligun- hat Rich veräuss- staltung- winnend- hat er Palaco- rungsgr- schätzte- abgetret- in Iberie- erspries- dem Fir- der Au- delsunt- nach ge- Millionei- veräusse- Projekt v- Madrider- lung voi- Umfange- (Rich). S- ment erv- Bank, an-

Der sj- dem Zug- lich vert- Richs Vi- mus- u- dortiger- Prachth- Bürohaus- belt wert- letzten Aj- der ru- Regierung- Venture- Übernahr- gendären- ster Hote- Athénée- schriebe- Ein aus- schweiz- ner Mai- ne zaub- sem fes- lass un- dung ein- Tonne eb- geflogene- dienzen 1- Köstlichke-



geformte Investition, denn da sind eigentlich ganz andere Anlageschwerpunkte. Abgesehen davon, dass die Rich Company in zwei Offices an der Madrider Prachtsstrasse Paseo de la Castellana im angestammten Commodity-Business mitwirkt, sind vor allem die Aktivitäten der Rich Holding in Spanien bemerkenswert. Doch Schätzungen von Madrider Finanzkreisen, wonach er sechs Milliarden Franken in Spanien investiert habe, bezeichnet Marc Rich als «stark übertrieben»: «Zurzeit beträgt das Volumen rund 500 Millionen Franken», angelegt in Immobilien, vor allem in Tourismusprojekten, und in Industriebeteiligungen. Eine Vier-Prozent-Beteiligung an der Banco Hispano Americano hat Rich – mit Millionengewinn – wieder veräussert, weil ihm eine aktive Mitgestaltung verwehrt worden war. Und gewinnend weiter: Drei Jahre nach dem Kauf hat er das Madrider Luxushotel «Tryp Palacio» der holländischen Versicherungsgruppe Pertonor mit einem geschätzten Profit von 50 Millionen Franken abgetreten. Noch immer besitzt er jedoch in Iberien fünf grössere Hotels. Zu einem erspriesslichen Geschäft – gemeinsam mit dem Financier Ramon Fiter – wurde auch der Aufkauf der bankrotten Fleischhandelsunternehmung Carnicas des Cadi, die nach geschätzten Investitionen von 140 Millionen Franken kürzlich wieder intakt veräussert werden konnte. Das aktuellste Projekt verfolgt Rich zusammen mit der Madrider Bank Caja de Madrid: die Erstellung von Altersresidenzen, vorerst im Umlange von «50 bis 100 Millionen Dollar» (Rich). Schliesslich sei noch Ron Investment erwähnt, eine Madrider Merchant-Bank, an der Rich die Mehrheit hält.

Der spanische Hotelkonzern Tryp, mit dem Zuger Milliardär seit langem geschäftlich verbunden, liefert das Know-how für Richs Vorstoss ins osteuropäische Tourismus- und Immobiliengeschäft. Aus der dortigen Konkursmasse sollen einstige Prachthotels und heruntergekommene Bürohäuser übernommen und aufgemöbelt werden. Der Start hierzu erfolgte im letzten April, als mit der rumänischen Regierung ein Joint Venture für die Übernahme des legendären Bukarester Hotels «Palace Athénée» unterschrieben wurde. Ein aus der Westschweiz eingeflogener Maître de Cuisine zauberte zu diesem festlichen Anlass unter Verwendung einer halben Tonne ebenfalls eingeflogener Zutaten lukullische Köstlichkeiten aus

den Töpfen, woran auch die staunende rumänische Bevölkerung teilnehmen durfte – wenigstens via TV-Übertragung. Fünf Projekte laufen inzwischen mit einer Investitionssumme von, so Bob Thomajan, 250 bis 300 Millionen Dollar.

Doch noch lieber als auf osteuropäischen möchte Marc Rich seinen Fuss, wie der auf amerikanischen Boden setzen können. So sagt er es allerdings nicht, sondern weniger drängend: «Ich würde gerne die USA besuchen.» Dort lebt nicht nur seine Mutter, sondern inzwischen auch, mit jüngster Tochter, Gattin Denise, mit der sich Marc Rich in Scheidung befindet. Zwar konnte sich die Firma Rich durch das Bezahlen einer Bussse von 170 Millionen Dollar von der Steuerbetrugsanklage befreien, doch Rich selbst (wie auch Green) sind immer noch zur Verhaftung ausgeschrieben. Es droht eine mehrjährige Gefängnisstrafe. Rich hat einen der einflussreichsten Anwälte engagiert, um, so ist zu vermuten, die Affäre finanziell zu regeln: Leonard Garment, unter anderem vormals Anwalt von Präsident Nixon (siehe oben), und von Reagan-Justizminister Edwin Meese und von Sicherheitsberater Robert McFarlane.

Wie beurteilt Marc Rich die Chancen, Amerika einst von der Justiz unbehelligt betreten zu können? «Everything is possible.»

Das wäre dann allerdings ein hochkarätiges, teures Juristenkunststück. Denn das Image von Marc Rich ist in den USA angeschlagener als in Europa. Die Ravenswood-Affäre hat den Mann wieder unvorteilhaft in die Schlagzeilen gespült. Und nachdem publik geworden ist, dass die Rich-Tochter Clarendon als Siegerin von öffentlichen Submissionen der US-Münzanstalt für 26 Millionen Dollar Metalle zur Herstellung von Münzen verkauft hatte, kritisierte kürzlich der Abgeordnete Bob Wise: «Das Geld, das wir zur Bezahlung unserer Steuern brauchen, macht den

grössten Steuerbetrüger in der Geschichte Amerikas noch reicher.»

Rich dementiert, auch nach Beginn der Sanktionen noch Geschäfte mit dem Irak gemacht zu haben («eine bössartige Lüge»), er dementiert, in Waffen- und Urangeschäfte verwickelt zu sein («Waffen oder Uran haben keinen Platz innerhalb unserer Aktivitäten»), er kann dementieren, soviel er will – die ganze Welt hält all dies für möglich oder gar wahrscheinlich.

Andererseits erhält Rich von seinen Kunden und Geschäftspartnern nur beste Referenzen; so meint einer: «Ich kann mir keinen zuverlässigeren Vertragspartner vorstellen.» Die Konkurrenz hingegen stellt ein «eigentliches Territorialgehebe der Rich-Leute» fest, wie ein Cheftrader einer grossen deutschen Rohstoffhandelsfirma meint: «Die sind extrem aggressiv bis zum kleinsten Händler hinunter.»

Die Frage nach der Moral in diesem Geschäft fördert eine technische Antwort zutage. Marc Rich: «Die geltenden Gesetze stecken objektive Grenzen ab, und unsere Gruppe hält sich strikte daran. Unser Geschäft ist nicht nach politischen Überzeugungen ausgerichtet, da man diese teilen kann oder nicht.» Darüber hinaus bemühe sich die Firma Rich, in allen Ländern, in denen sie tätig sei, soziale und karitative Aufgaben wahrzunehmen.

*Preisverleihung der karitativen Zuger Doron-Liftung, von Marc Rich vor sechs Jahren ins Leben gerufen und generös mit fünf Millionen Franken ausgestattet. Schön und auch schön bizarr, wenn der Walliser Entwicklungshelfer Jean-Joseph Raboud 100 000 Franken für seine Überlebenshülle zugunsten verarmter Bauern im Norden Brasiliens erhält. Die Campesinos sind doch auch Opfer der sich dramatisch verschlechternden Bedingungen im Handel mit der Ersten Welt. Marc Rich ist nicht der Erfinder des Welthandels, und zu dessen Nutzniessern gehören wir alle. Doch Marc Rich ist ein besonders virtuoser, mächtiger Gestalter dieses Genres. Weiter stiftet Rich, einer der grössten Oihändler der Welt, schön und schön bizarr, 100 000 Franken dem Solarenergieforscher Helmut Kiess, der an der Preisverleihung sagt: «Die Umweltverschmutzung vor allem durch fossile Brennstoffe ist akut.» Der dritte Preisträger, Marc Belfort, Leiter des Zürcher Opernstudios, hingegen ist nicht von diesem Stern, denn er sagt tatsächlich: «Auch wenn ich für das, was ich tue, kein Geld bekomme, ich würde es trotzdem mit Hingabe tun.»*

Marc Rich sitzt leicht gebückt im Stuhl daneben, ein merkwürdiges, Mann unscheinbar, undurchdringbar, seine einzigen Signale sind die Rauchsignale seiner Zigarre.

Mitarbeit: Jose-Fernando Marin

«EVERYTHING IS POSSIBLE.»

Marc Rich über die Chancen, dereinst die USA wieder besuchen zu können.



Rich-Zentrale: Verbunden mit weltweit 48 Niederlassungen.

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60 14.11.97 Nr. 112, 11.1.92

26. November 1991  
Peltours AG, in Zug: Übernahme, Ausführung und Vermittlung von Aufträgen auf dem Gebiet der Reisebranche usw. (SHAB Nr. 78 vom 16.9.1991, S. 400). Neue Geschäftsadresse: Baarerstrasse 37, 6300 Zug. Aktienkapital: Fr. 100'000.00. Völlig liberiert. 80 Stammaktien zu Fr. 1'000.00. Publikationsorgan: SHAB. Verwaltungsrat: 1 oder mehrere Mitglieder. Einziges Mitglied mit Einzelunterschrift ist Susanne Sahli, von und in Basel. DA 2001/112/11.1.92

26. November 1991  
Opti-Wert-Invest AG, in Zug: Depotverwaltung auf eigene und fremde Rechnung usw. (SHAB Nr. 21 vom 31.1.1991, S. 438). Neue Geschäftsadresse: Industriestrasse 9, 6300 Zug. Aktienkapital: Fr. 500'000.00. Völlig liberiert. 500 Stammaktien zu Fr. 1'000.00. Publikationsorgan: SHAB. Verwaltungsrat: 1 oder mehrere Mitglieder. Einziges Mitglied mit Einzelunterschrift ist Susanne Sahli, von und in Basel. DA 2001/112/11.1.92

26. November 1991  
Tess Personal AG, in Zug: Kolimplatz 9, 6305 Zug. Aktiengesellschaft (Neueintragung). Statuten: 22. November 1991. Zweck: Vermittlung von Temporär- und Dauerstellen für alle Berufe, Erwerb, Verwaltung und Verwertung von Patenten und Lizenzen sowie von Beteiligungen an anderen Unternehmungen einschliesslich deren Finanzierung; kann Liegenschaften erwerben, veräussern und verwalten. Aktienkapital: Fr. 100'000.00. Völlig liberiert. 200 Inhaberaktien zu Fr. 500.00. Publikationsorgan: SHAB. Verwaltungsrat: 1 oder mehrere Mitglieder. Einziges Mitglied mit Einzelunterschrift ist Susanne Sahli, von und in Basel. DA 2001/112/11.1.92

26. November 1991  
Grundstück-Plazierungen AG, in Zug (SHAB Nr. 78 vom 24.11.1991, S. 1698). Statutenänderung: 8. November 1991. Neue Firma: TGP AG. von und in Basel. DA 2001/112/11.1.92

26. November 1991  
Marc Rich & Co (Ukraine) AG (Marc Rich & Co (Ukraine) Ltd) (Marc Rich & Co (Ukraine) SA), in Zug: Baarerstrasse 37, 6300 Zug. Aktiengesellschaft (Neueintragung). Statuten: 20. November 1991. Zweck: Handel mit Waren aller Art sowie Kauf, Verkauf und Beteiligung von und an Immobilien in der Ukraine; kann in der Ukraine gleichartige oder ähnliche Unternehmen erwerben und veräussern, sich in jeder möglichen Form an solchen beteiligen sowie Grundstücke erwerben und veräussern. Aktienkapital: Fr. 50'000.00. Völlig liberiert. 50 Stammaktien zu Fr. 1'000.00. Publikationsorgan: SHAB. Verwaltungsrat: 1 oder mehrere Mitglieder. Einziges Mitglied mit Einzelunterschrift ist Claude Dauphin, französischer Staatsangehöriger, in Basel. Präsident: Dr. Rudolf Mosimann, von Lapperswil, in Zug, und Eberhard Krieger, von und in Steinhausen. Sie führen Kollektivunterschrift zu zweien. Kollektivunterschrift zu zweien führt Claude Dauphin, französischer Staatsangehöriger, in London (GB). von und in Basel. DA 2001/112/11.1.92

27. November 1991  
Incozu AG, in Zug: nationale und internationale Beratung auf dem Gebiet der Computerbranche usw. (SHAB Nr. 124 vom 2.6.1987, S. 2312). Statutenänderung: 20. November 1991. Zweck nun: nationale und internationale Beratung auf dem Gebiet der Computerbranche; kann Treuhand- und Vermögensverwaltungsfunktionen aller Art ausüben. Gesellschaftsbeteiligungen durchführen. Patente, Lizenzen, Know-how und Franchiserechte erwerben, verwalten und veräussern sowie sich an anderen Unternehmungen beteiligen. von und in Zug. Aktienkapital: Fr. 500'000.00. Völlig liberiert. 500 Stammaktien zu Fr. 1'000.00. Publikationsorgan: SHAB. Verwaltungsrat: 1 oder mehrere Mitglieder. Einziges Mitglied mit Einzelunterschrift ist Max Richard, von und in Basel. DA 2001/112/11.1.92

27. November 1991  
Autobars Holdings AG, in Wetzikon (SHAB Nr. 80 vom 13.2.1991, S. 609). Neue Verwaltungsrat mit Einzelunterschrift ist Michael John Davey, britischer Staatsangehöriger, in London (GB). von und in Wetzikon. Aktienkapital: Fr. 1'000'000.00. Völlig liberiert. 100'000 Stammaktien zu Fr. 10.00. Publikationsorgan: SHAB. Verwaltungsrat: 1 oder mehrere Mitglieder. Einziges Mitglied mit Einzelunterschrift ist Michael John Davey, britischer Staatsangehöriger, in London (GB). von und in Wetzikon. DA 2001/112/11.1.92

27. November 1991  
LMA Helva Peter und Bärbel Peter, in Zug: Kolimplatz 9, 6305 Zug. Aktiengesellschaft (Neueintragung). Statuten: 31. Oktober 1991. Zweck: Handel mit Chemikalien und anderen Waren; Gütertausch auf nationaler und internationaler Ebene sowie Erbringung von damit in Zusammenhang stehenden Dienstleistungen; kann Liegenschaften erwerben, veräussern und verwalten sowie sich an anderen Unternehmungen beteiligen. Aktienkapital: Fr. 500'000.00. Völlig liberiert. 500 Stammaktien zu Fr. 1'000.00. Publikationsorgan: SHAB. Verwaltungsrat: 1 oder mehrere Mitglieder. Einziges Mitglied mit Einzelunterschrift ist Max Richard, von und in Basel. DA 2001/112/11.1.92

# Actualidad Económica

MADRID-BARCELONA • NUM. 1.634 DEL 9 AL 15 DE OCTUBRE DE 1989 • 250 PTAS.

## EMPRESAS

Ranking  
**LAS MEJORES  
MEMORIAS**

## DINERO

Bolsa  
**LOS  
NUEVOS VALORES  
DE 1990**

H. Capital  
**HACHUEL LOCO  
POR HACER CAJA**

**EXCLUSIVA**  
**COMO ELEGIR  
EL MEJOR  
CREDITO  
PERSONAL**

## AL DIA

Deportes  
**TERAPIAS  
DE ALTO RIESGO**



FRANCIA # Fl. 45 INGLATERRA C. UK 23

## SUS NEGOCIOS EN ESPAÑA, UNO A UNO

# LOS PODERES OCULTOS DE MARC RICH



ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY 01-081

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b7c

**YA ESTA EN...**

Marc Rich & Co. España.  
Facturación:  
360.000 millones.

Ron Investment.  
Inversiones últimos años:  
25.000 millones.

Complejo Bellevue.  
Inversión:  
10.000 millones.

Hotel Luz Palacio.  
Inversión: 3.000 millones.

Hotel Fénix.  
Inversión: 2.500 millones.

Hotel Colón.  
Inversión: 2.800 millones.

Hotel María Pita.  
Inversión: 3.000 millones.

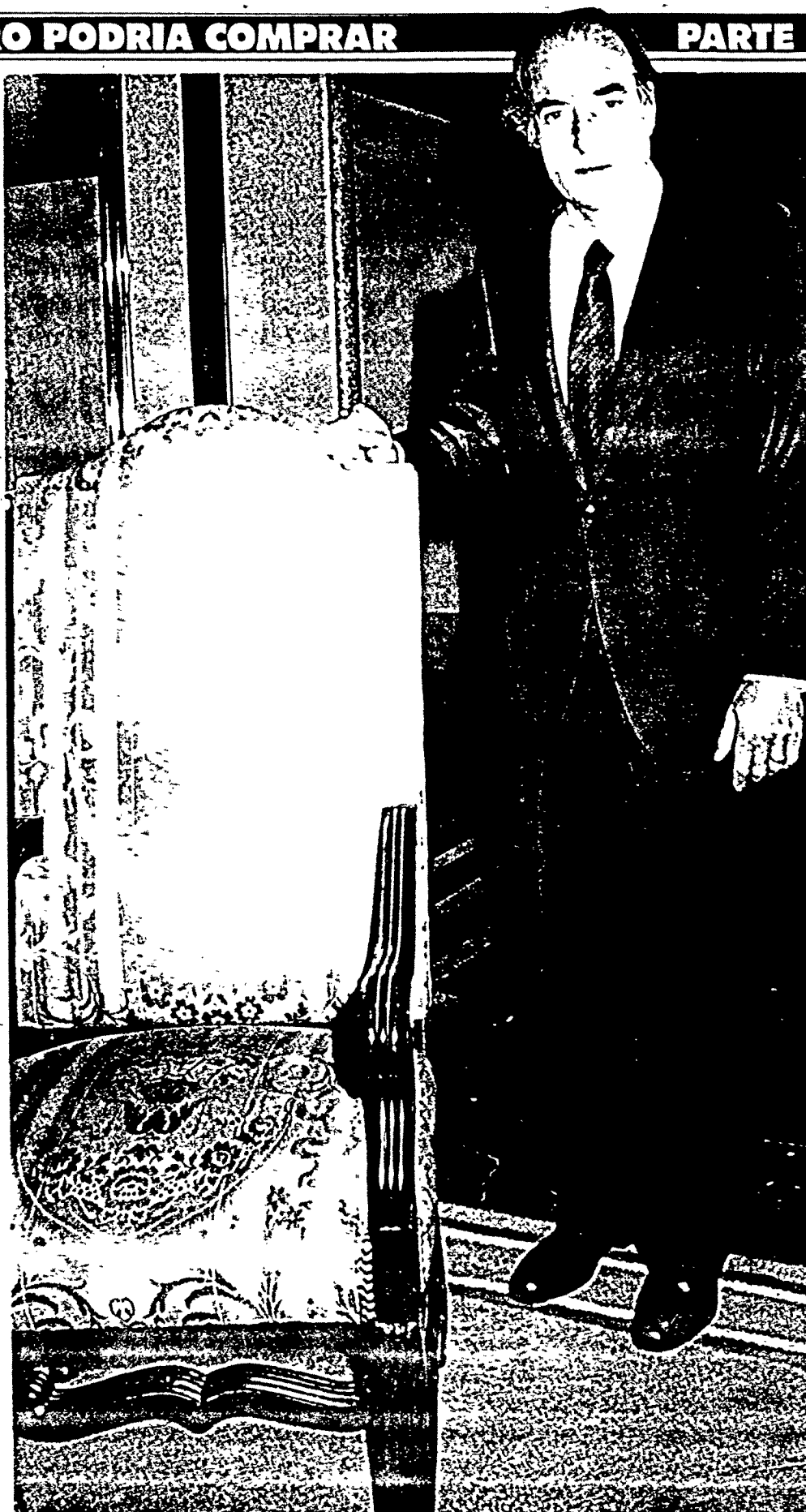
Explasa.  
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en Vich.

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en Alicante.

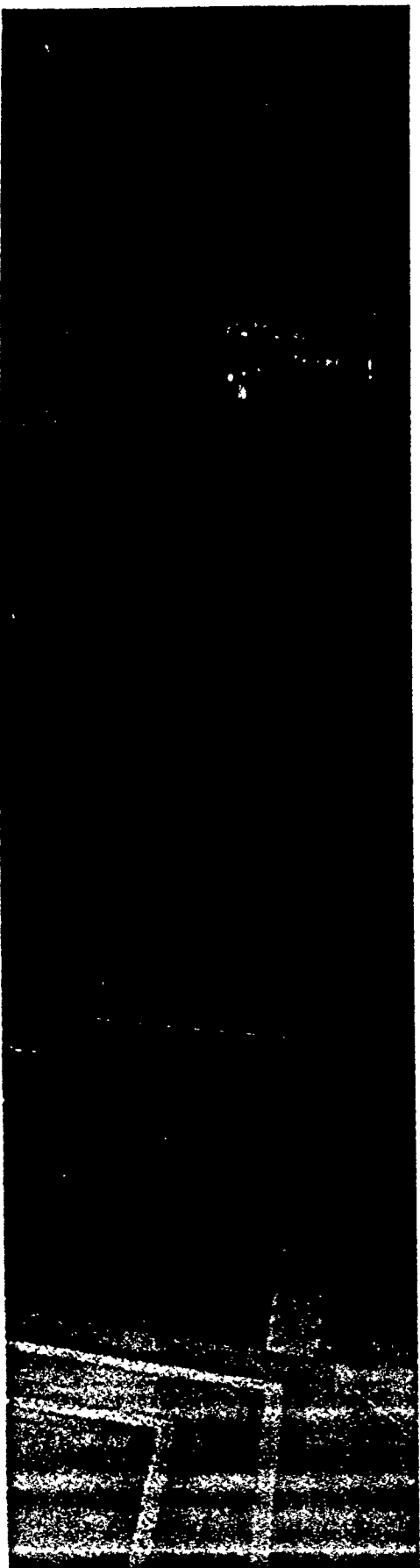
**PODRA ESTAR  
EN...**

Inespal, en caso de que Alcan venda su actual participación del 24 por ciento —unos 2.500 millones de pesetas— y el INI no decida comprarla o Salomon Brothers colocarla a otro comprador.

El negocio de la comunicación, un área que considera atractiva.







*Es uno de los hombres de negocios más enigmáticos y más ricos del mundo. Sus primeras armas como comerciante en materias primas las desarrolló en Madrid, para luego montar su propio grupo de «trading» en «commodities». Hoy tiene una cifra de negocio de unos 3,6 billones de pesetas, pero Marc Rich sigue contando con España como su enclave dorado, donde realiza el 10 por ciento de su facturación mundial y donde ha invertido, a través de Ron Investment, no menos de 25.000 millones de pesetas.*

# LOS NEGOCIOS ESPAÑOLES DE MARC RICH

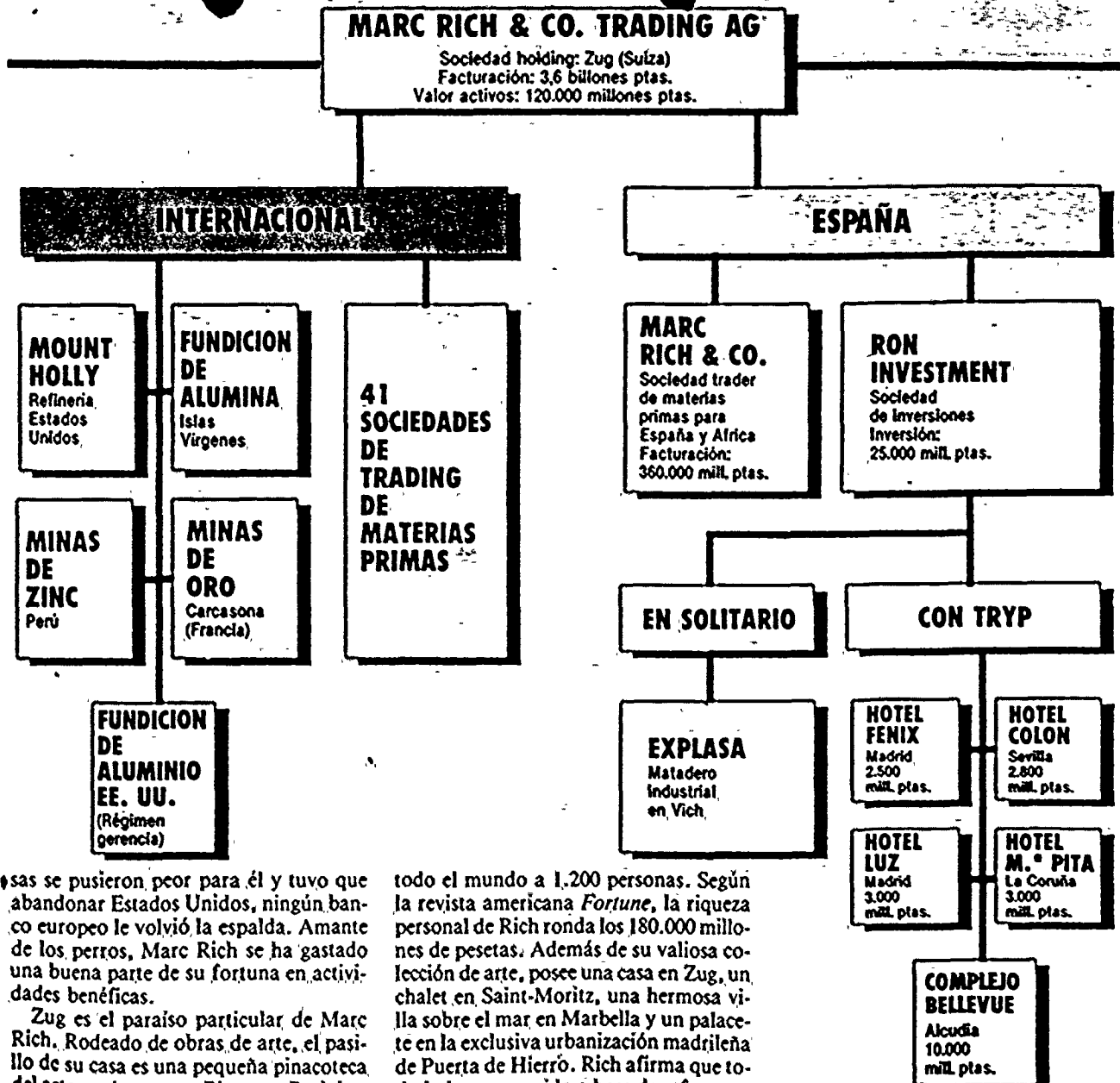
**T**odos los meses, en la primera clase de los vuelos regulares entre Madrid y la ciudad suiza de Zurich, viaja un hombre de 54 años, reservado, un poco calvo, de mirada penetrante, que pasa inadvertido entre el resto del pasaje. En el bolsillo derecho de su chaqueta, lleva siempre un folio de papel enrollado en forma de pergamino, donde constantemente anota observaciones, detalles, cifras. Cualquiera que tuviese acceso a él desvelaría la personalidad de Marc Rich. Así, podría saber que ese hombre que tose con frecuencia detrás de su habano es el propietario del segundo grupo empresarial de Suiza, que posee desde minas de oro hasta hoteles de lujo en Madrid. Y que, sólo en España, su negocio de materias primas factura en torno a los 360.000 millones de pesetas. En definitiva, uno de los hombres más ricos del mundo.

La simple evocación del nombre de Marc Rich en Estados Unidos desencadena tormentas. La justicia norteamericana ha levantado la veda contra él después de que en 1983 sus operaciones en Estados Unidos, a través de Marc Rich International principalmente, incurriesen, según aquella, en evasión fiscal y colaboración con el régimen iraní de Jomeini. A pesar de que, según la propia compañía, todo

fue un problema de diferencias entre la cuantía de los impuestos pagados y los exigidos por el fisco. En 1984, Rich pagó una multa de unos 27.500 millones de pesetas al Gobierno de Reagan y se defendió de las acusaciones sobre la compra de petróleo a Irán argumentando que se habían efectuado por medio de la central de Marc Rich en Suiza, una sociedad sobre la que las autoridades estadounidenses nunca han tenido jurisdicción. Todavía hoy, pesa sobre su persona una condena de 325 años de cárcel, en la que ha tenido mucho que ver el controvertido fiscal de Manhattan, Rudolph Giuliani, hoy candidato a la alcaldía de Nueva York, que ha contribuido a dibujar la imagen de un Marc Rich que personifica la maldad.

### Retrato de dos caras

En todo caso, la figura perversa pintada por los americanos de este belga afinado en Suiza, que pasa diez días de cada mes en España, se desvanece en la ciudad helvética de Zug, donde Marc Rich tiene sus cuarteles generales. Allí, en su despacho de presidente de Marc Rich & Co. Trading AG, la sociedad *holding* de todo el grupo, el ambiente está presidido por una paloma del escultor español Muela. Sus compatriotas le consideran un héroe, los soviéticos le defienden, y los competidores le admiran. Incluso cuando las co-♦♦♦



...sas se pusieron peor para él y tuvo que abandonar Estados Unidos, ningún banco europeo le volvió la espalda. Amante de los perros, Marc Rich se ha gastado una buena parte de su fortuna en actividades benéficas.

Zug es el paraíso particular de Marc Rich. Rodeado de obras de arte, el pasillo de su casa es una pequeña pinacoteca del arte moderno, con Picassos, Barjolas, Chillidas, etcétera. Rich vive la mayor parte de su tiempo en el edificio de cristal azul donde el que controla las 42 compañías de trading de materias primas que componen esta rama de su actividad. El hijo de Zug es famoso por disfrutar del más bajo de impuestos de toda Suiza, una casualidad que 8.000 empresas de todo el mundo hayan escogido Zug para instalarse.

Marc Rich & Co. Trading no es una firma suiza. Esta firma de comercio de materias primas suiza es la segunda del mundo en volumen de ventas, después de la multinacional de la alimentación Nestlé. Su cifra de negocio que ronda los 3.600 millones de pesetas y un beneficio neto de 36.000 millones de pesetas. El grupo da trabajo en

todo el mundo a 1.200 personas. Según la revista americana *Fortune*, la riqueza personal de Rich ronda los 180.000 millones de pesetas. Además de su valiosa colección de arte, posee una casa en Zug, un chalet en Saint-Moritz, una hermosa villa sobre el mar en Marbella y un palacete en la exclusiva urbanización madrileña de Puerta de Hierro. Rich afirma que todo lo ha conseguido a base de esfuerzo y suerte. Sus admiradores añaden a eso que tiene una inteligencia para los negocios poco habitual.

La biografía de Rich empezó en la ciudad belga de Amberes, de donde el pequeño Marc tuvo que huir en 1941 con sus padres, un alemán y una francesa, como otras muchas familias judías amenazadas por el terror nazi. Tras pasar por Marruecos, los Rich se establecieron en Estados Unidos, donde el padre se dedicó al comercio de yute. Con poco más de 20 años, Marc Rich entró de aprendiz en Philipp Brothers, la conocida firma de trading de materias primas, fundada por judíos alemanes. «Allí nació al mundo de los negocios», recuerda un viejo colaborador suyo. El joven Marc se convirtió pronto en una estrella de la compañía neoyorquina.

Años más tarde, el entonces presidente de Philipp Brothers dirá de él: «es el único hombre que he conocido en mi vida al que nunca tuve que explicar una cosa dos veces».

Su papel en Phibro en relación con el petróleo, fue un poco como el de Michael Milken en Drexel, en la década de los ochenta, con los bonos basura. Rich introdujo una nueva línea de negocio, pues hasta ese momento los crudos no formaban parte de las materias primas con que negociaba la empresa, básicamente, metales y grano. Marc Rich se había convertido en el niño prodigio de Phibro y con 27 años, fue nombrado director de la filial española. Fue el comienzo de una relación especial con España y lo español, algo que sus amigos han calificado como

## AMIGOS EN ESPAÑA

**D**icen de Marc Rich que «quiere siempre que haya amigos que participen en sus negocios». Antonio Briones, Max Mazin y Rufino Calero, principales propietarios de la cadena hotelera Tryp —con un 30 por ciento cada uno, y el resto en manos de Diego García Carrasco y José Antonio Girón—, son los mejores amigos de Marc Rich en España. Creadores de un negocio que en realidad iniciaron a principios de los años setenta con la compra del restaurante madrileño *El Espigón*, hoy son dueños de 24 establecimientos en Madrid, Baleares, Canarias y Sevilla. El grupo factura más de 7.000 millones de pesetas, a través de 20.000 camas, de las cuales 12.000 son de hoteles de playa y el resto de ciudad. La política de Tryp es ir dando cada vez más importancia a esta última clase de establecimientos. La construcción del Hotel María Pita en La Coruña y otro próximamente en Mérida, con una

Rufino Calero.



Petra Mateos.

inversión de 3.000 millones de pesetas, va en este sentido. En Madrid, se está rehabilitando también el que será Gran Hotel Reina Victoria. Sólo en 1989, se han abierto más de 10.000 nuevas camas.

Antonio Briones, Max Mazin y Rufino Calero son accionistas en Tryp, S. A., pero sólo los dos primeros tienen participación en Promotora de Turismo y Hostelería, una sociedad equivalente a la anterior que, por ejemplo, intervino en el Hotel Puerto Playa, en Tenerife. Estos dos son socios igualmente de Fomento Centauro, una compañía creada en 1970 y cu-



Max Mazin.

ya actividad parece hoy paralizada. Max Mazin fundó Renta Inmobiliaria.

Otro buen amigo de Marc Rich ha sido Alfredo Santos Blanco, ex ministro de Industria con el primer gobierno de Carlos Arias Navarro, que ha estado en Zug participando con la compañía de trading, pero que actualmente asegura que ya no tiene nada que ver con Marc Rich. Y, por supuesto, Petra Mateos, ex directora general del gabinete de Miguel Boyer y luego directora general del Exterior cuando pasó allí su jefe, que ha estado presidiendo durante dos años Ron Investment. Jacques Hachuel, otro experto judío en materias primas que trabajó en la filial madrileña de Phibro con Marc, fue uno de los creadores de Marc Rich & Co. con el astuto negociante de Zug, pero le vendió su parte por una suma que se estima en 20.000 millones cuando las cosas se pusieron feas en 1983.

## LOS NEGOCIOS ESPAÑOLES DE MARC RICH

«una fijación anormal». En 1973, cuando se le consideraba ya el futuro presidente de Phibro, las diferencias de criterio con su jefe le hicieron abandonar la firma, y montar la suya propia en España.

El momento no podía ser más propicio. En 1973, había estallado la crisis del petróleo en los mercados mundiales. Los precios del crudo se disparaban, y aquellos comerciantes en materias primas que poseían *stocks* del negro mineral adquiridos con anterioridad a su encarecimiento, se veían ahora beneficiados de la situación, al vender sus existencias petrolíferas con márgenes fabulosos. Marc Rich & Co. era uno de ellos. En pocos años, la compañía se convirtió en la primera *trader* independiente de materias primas del mundo.

### Años dorados

Marc Rich & Co. de España actúa como la oficina del *holding* suizo para el mercado español y África. Durante mucho tiempo, estuvo al frente de la misma Pedro Bores, que la dejó hace unos años. Actualmente su director general es Pedro Echevarría, un vasco que lleva dieciséis años en la compañía. La filial tiene un volumen anual de negocio que representa el 10 por ciento de la facturación global del grupo, esto es, alrededor de 360.000 millones de pesetas, si bien en 1986 y 1987, años de coyuntura favorable para el comercio de materias primas, se alcanzó hasta el 20 por ciento de los 30.000 millones de dólares que mueve en todo el mundo Marc Rich.

El rey mundial del petróleo, el aluminio y la cebada tiene pasaporte español desde hace ocho años, una de las circunstancias de las que se siente más orgulloso. Le gusta la cocina española y los vídeos que ve en su casa son en español, a pesar de dominar también el francés, el inglés y el alemán.

La soltura con que Marc Rich ha salido adelante en el mundo de las materias primas puede hacer creer que éste es un negocio fácil. Pero, como dicen expertos del sector, «aquí, cada día se abre una compañía de trading y se cierran dos». La competencia es dura y fuerte. El 50 por ciento de sus operaciones, aproximadamente, las realiza con metales y minerales; los crudos y derivados suponen el 30 ó el 40 por ciento y el resto son granos. La tercera parte del mercado mundial del aluminio está bajo su control, ya que es el cuarto productor internacional, desde Estados Unidos, y el 10 por ciento del zinc ♦♦♦

EN SUS OJOS AUN BRILLABA UN

HALO DE ESPERANZA EN EL RE-

LOJ DE SU MUNECA LAS MANI-

LLAS SE ENFRENTABAN AL

DESTINO SE HABIA LEVANTADO

ALGO DE BRISA

TIGIAC, TIGIAC EL SONIDO

DEL SILENCIO SE EMPENABA EN

AGOLPARSE ENTRE SUS SIENES

EL TIEMPO INFLUYENDO SU PRE-

SENCIA INOPORTUNA PASABA

DE PUNTILLAS A SU ESPALDA

QUIEN TUVO LA CULPA?



UN FISCAL A LA ALCALDIA

**E**nemigo declarado del *insider trading*, el fiscal de Manhattan, Rudolph Giuliani, ha sido el instigador de la causa contra Rich. Fue él el que encarceló a Iván Boesky y Michael Milken. Ahora se presenta a la alcaldía de Nueva York por los republicanos.

♦♦♦ que se comercia en las cuatro esquinas del globo pasa por sus manos.

La estrategia de dominio de Marc Rich sobre los mercados de materias primas ha sido cuidadosa pero implacable. A Marc no le gusta la ambigüedad; es un maniático de la exactitud. Cuando algún colaborador le da una respuesta poco clara, se enfada y exige una respuesta exacta.

Su compañía no tiene nada que ver con un *broker* de materias primas, que se limita a actuar de intermediario entre un comprador y un vendedor de, por ejemplo, trigo. La diferencia del *trader* es que asume el riesgo de la operación, si ésta no sale bien.

El truco es saber comprar en el instante oportuno. Cuando el precio de las materias primas cae, casi todos los intermediarios del mercado recogen velas. Todo lo contrario que Marc Rich, que es cuando sale al toro a la espera de que los precios cambien de tendencia: entonces, vende. Eso es precisamente lo que hizo a finales de 1987 en el campo del aluminio. Las cotizaciones se habían desplomado hasta 1.600 dólares la tonelada. Marc Rich y otros *traders* astutos hicieron acopio de aluminio en volúmenes astronómicos y esperaron su hora. Esta llegó en marzo de 1988, cuando los precios del metal habían llegado a 4.200, y se dio salida

a 150.000 toneladas. Los listos se embolsaron medio billón de pesetas a cuenta de los que carecieron de olfato.

«Es un grupo de enorme prestigio en todo el mundo, no tanto por el volumen, como por la agresividad para meterse en campos nuevos», dice de Marc Rich el director general de una compañía competidora.

Estar al loro

El mercado de las materias primas no permite tampoco perder comba. Cuando la empresa pública Inespal pasó ciertos problemas hace unos años, Marc Rich estuvo comprándole, durante 1983 y 1984, 25.000 toneladas de aluminio, pagando el importe total desde el primer año, lo que supuso una pre-financiación implícita de unos 8.000 millones de pesetas. Otro ejemplo: cuando su competidor Phibro tuvo que retirarse de Sudáfrica por presión del Gobierno americano, Marc Rich & Co. llenó el hueco, convirtiéndose en el agente exclusivo del grupo Black Mountain, dueño de una de las mayores explotaciones de plomo del mundo.

En realidad, los pequeños clientes no existen para Marc Rich. Los márgenes reducidos que deja el comercio de materias primas aconsejan realizar sólo grandes operaciones para obtener determinada rentabilidad. Un uno por ciento sobre el precio de una transacción puede ser excelente si ésta asciende a varios miles de millones de pesetas. De una compraventa de un barril de petróleo a un precio de 20 dólares, se puede ganar tal vez sólo 30 centavos; o el *trader* puede darse con un plato en las narices si consigue realizar un beneficio de 10 dólares por cada 1.800 dólares de una operación en el mercado del aluminio. «No hemos ido al *prêt-à-porter* sino al hecho a la medida», dicen en Marc Rich.

Marc Rich no sabe lo que es repartir dividendos. Todo va a parar a las arcas inversoras de un grupo que no se ha conformado con negociar en materias primas. En Estados Unidos produce aluminio en su filial Clarendon, el nuevo nombre que tomó la antigua Marc Rich International cuando estalló el conflicto con las autoridades judiciales americanas. También en el mercado estadounidense, es copropietario de la refinería Mount Holly; posee una fundición de alúmina en las Islas Virgenes; ha comprado recientemente minas de zinc en Perú y Australia; y ostenta la propiedad de una mina de oro cerca de la localidad francesa de Carcasona.

Donde Marc Rich ha ido más lejos en

**UN HOMBRE SERIO**

**E**n el juego de las materias primas, tener una memoria de elefante como la de Marc Rich es fundamental. Sus colaboradores se asustan de que hoy pueda recordar perfectamente opiniones expresadas por los hombres de su equipo seis o siete años antes. Y es que una de las claves de la gestión de este hombre serio y escrutador es que cuando se le habla, mira fijamente a los ojos de su interlocutor. Nadie como él sabe escuchar. El presidente



está abierto, además, a cualquiera de sus subordinados. «El chico del telex de la compañía puede decir que quiere ver a Marc Rich», explica un ejecutivo de la filial española. Otra de las piezas mágicas de su puzzle ha sido la política de personal que ha puesto tradicionalmente en práctica Marc Rich.

Tiene fama de ser rápido en las decisiones y de haberse sabido rodear de un buen equipo. Como reconocen sus competidores, «suele tener gente muy buena porque paga muy bien». Su cantera de profesionales no sólo se nutre de las universidades, sino que algunos

han entrado de botones y han llegado a dirigir alguno de los cuatro departamentos en que se organiza la filial española: metales y minerales, crudos y refinados, África, y administración y finanzas. Los ejecutivos que actualmente trabajan en la compañía llevan como media entre 9 y 14 años.

Marc Rich conoce el nombre de todos sus empleados y sabe si están casados, acaban de tener un niño, o les gusta coleccionar mariposas. Por eso, cuando en 1982 se presentaron los sindicatos y preguntaron en la empresa si ya tenían representantes sindicales, las personas que trabajaban en Marc Rich dijeron: «Y, para qué los queremos?». Hasta tal punto ha llegado la identificación de su gente con la compañía, que cuando los desalojaron del edificio madrileño en que tiene su sede la firma, junto a otras empresas nacionales y extranjeras, se hicieron frecuentes por avisos de bomba, y el personal de Marc Rich pidió a la dirección medidas de seguridad «para no perder tanto el tiempo».

y en el que la inversión total es de unos 10.000 millones de pesetas. *Pecata minuta* es la adquisición de algunas calas en Mallorca.

Fuera del sector inmobiliario, Marc Rich ha tratado de hacer también sus pinitos, a través de Ron Investment, pero con desigual fortuna. En 1987, se hizo en bolsa con el 1 por ciento del Banco Hispano Americano, para venderlo un año después. En Marc Rich aseguran que se trató de una operación más de la cartera de participaciones de Ron, y que cuando el valor subió se decidió a obtener un beneficio. También hace un par de años, Ron Investment optó a la compra de las minas de cobre, zinc y plomo de Aznalcollar, propiedad del Central, pero el banco de Escámez se lo vendió al final a la sueca Boliden.

Los últimos escalones inversores los ha subido Ron en el campo industrial y agrícola. Desde el año pasado, entre los activos de la multinacional suiza figura Explasa, un matadero industrial de la localidad barcelonesa de Vich. En el campo industrial, se especula con la entrada de Marc Rich en Inespal. Los hombres de Ron Investment observan los movimientos de la canadiense Alcan, que desde hace un año quiere deshacerse del 24 por ciento que tiene en la empresa fabricante de aluminio del INI. El holding público tampoco tiene interés en comprar ese paquete. Si se les ofreciese su compra «podemos estudiarlo», afirman en Marc Rich. Ron Investment podría avanzar igualmente en el negocio de la comunicación, por el que Marc Rich siente cierta debilidad.

Marc Rich no para de pensar, y quienes le conocen de cerca aseguran que continuamente está cavilando. Su preocupación es hacer crecer más sus negocios. En España, ha sacado adelante la compañía con incrementos anuales del 10 por ciento a pesar de la lucha que supuso en los inicios combatir contra Phibro, un gigante que no veía con buenos ojos al hijo pródigo al otro lado de la red. Ni siquiera los golpes más duros, cuando se decreta su busca y captura en Estados Unidos, logran acabar con él. En 1983, nadie daba un duro por Marc Rich. Un periodista americano llegó a preguntar a uno de sus principales ejecutivos: «Y usted, ¿dónde trabajará el año que viene?». Hoy Marc Rich continúa proyectando cómo aumentar el tamaño de un grupo que vale 120.000 millones de pesetas, pero que él no vendería ni por 180.000 millones. ■

ALFREDO RODRIGUEZ-BERZOSA  
FOTOS: JOAQUIN TEJADA

♦♦♦ su política de inversión, ha sido en España, donde creó en 1986 Ron Investment. Aunque los accionistas que figuran como propietarios del capital de esta sociedad inversora son la firma holding de Zug, Marc Rich & Co. Trading AG y otras dos compañías helvéticas, Brattas Commercial AG y Latourag, lo cierto es que los socios de Marc en esta firma son Antonio Briones y Max Mazin, dueños junto a Rufino Calero de la cadena hotelera Tryp. De hecho, el dinero de Ron Investment, que inicialmente sale al ruedo de la mano de Petra Mateos, ex subdirectora del servicio de estudios de la Bolsa, directora general del gabinete de Boyer cuando estuvo al frente de Economía, y ex directora general del Banco Exterior, ha ido a parar en gran parte a proyectos con Tryp.

Ron interviene como socio inversor en muchas operaciones con Tryp, creando a menudo una sociedad participada por ambos, que a su vez arrienda los edificios a

Tryp. Así se hizo con el Hotel Fénix de Madrid, cuya adquisición y reforma supuso en su día unos 2.500 millones de pesetas, o con el Luz Palacio también de la capital.—3.000 millones—. Más recientemente, Ron ha colaborado con Tryp en la compra a la cadena Detursa del Hotel Colón, en Sevilla, por 2.800 millones. Ahora aporta su apoyo financiero en el Hotel María Pita de La Coruña, en el que se han invertido 3.000 millones, pero aquí se hace a través de Explotaciones Turísticas de Galicia, que es la sociedad que figura como asociada a Tryp. En todos estos casos, Tryp paga a Ron Investment los alquileres en función del número de habitaciones de los establecimientos, todos ellos de cuatro estrellas, salvo el Luz Palacio, que es de cinco, por lo que se trata de un precio fijo de arrendamiento.

La mayor operación que ha hecho coincidir a Ron y a Tryp fue la compra del complejo turístico Bellevue, de Alcudia (Mallorca), a Banesto por 7.500 millones

0126 MRI 01485

PP FBINY

DE RUCNFB #0096 0992302

ZNR UUUUU

P 081958Z APR 92

FM DIRECTOR FBI

TO FBI NEW YORK (196A-NY-1774) (P)/PRIORITY/

BT

UNCLAS

CITE: //0615//

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B);  
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:  
NEW YORK

FOR THE INFORMATION OF NEW YORK, ON THURSDAY, 3/12/92, AN  
INDIVIDUAL IDENTIFYING HIMSELF AS [REDACTED]

[REDACTED] TELEPHONICALLY CONTACTED THE FUGITIVE  
UNIT, FBIHQ, REGARDING MARC RICH. SPECIFICALLY, [REDACTED] ADVISED

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[REDACTED]

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b7C

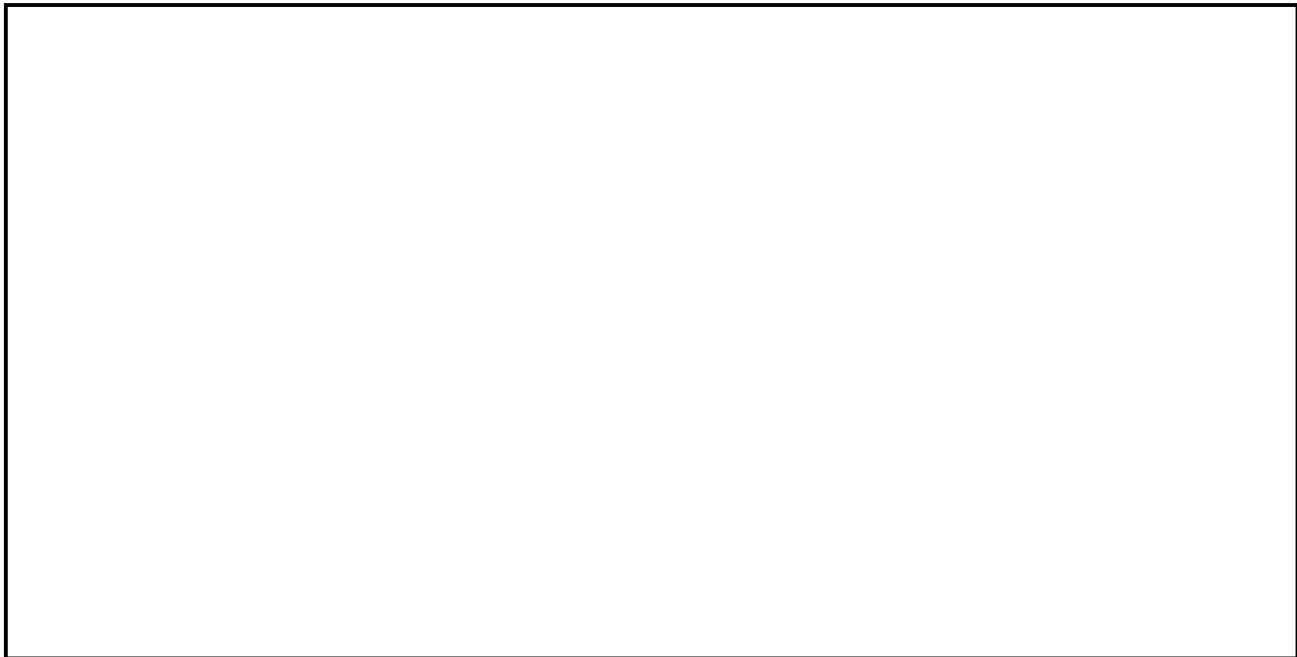
196-1774-585

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [REDACTED]

01-081

SEARCHED [ ] INDEXED [ ]  
SERIALIZED [ ] FILED [ ]  
APR 6 1992  
FBI - NEW YORK  
[REDACTED]

4-5-92  
d/aw



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REX YOUNG, DIRECTOR, DEPARTMENT OF JUSTICE, OFFICE OF INTERNATIONAL AFFAIRS, WAS ADVISED OF THE SITUATION AND FURNISHED A PUBLIC SOURCE DOCUMENT RELATING TO PENDING CHARGES AGAINST RICH



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b7E

ON FRIDAY, 3/13/92,



PAGE THREE DE RUCNFB 0096 UNCLAS

NEW YORK WILL BE KEPT ADVISED OF ANY FURTHER CONTACTS WITH



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b7D

BT

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0108 MRI 01594

RR-FBINY

DE FBINY #0035 1052107

ZNR UUUUU

R 142048Z APR 92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE(B); PINCUS GREEN-FUGITIVE(B);  
FBW, MF, R/CO, INCOME TAX EVASION, TRADING WITH THE ENEMY;  
OO:NY.

FOR INFORMATION, [REDACTED]

TOOK PLACE FOR [REDACTED]

[REDACTED] PINCUS GREEN, ON [REDACTED]

AT THE [REDACTED]

b6  
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INFORMATION RECEIVED FROM [REDACTED]

REVEALED HE IS

AWARE OF PINCUS GREEN'S FUGITIVE STATUS. HE ADVISED [REDACTED]

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196A-1774-586

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [REDACTED]

01-081

Searched \_\_\_\_\_  
Serialized \_\_\_\_\_  
Indexed \_\_\_\_\_  
Filed \_\_\_\_\_

PAGE TWO DE FBINY 0035 UNCLAS

IS [REDACTED] AND THE [REDACTED]  
NEW YORK. ALTHOUGH HE DID NOT KNOW [REDACTED] DATE, HE  
ADVISED IT IS TO TAKE PLACE [REDACTED] ON

[REDACTED] WAS HELD FOR THE BENEFIT OF [REDACTED]  
[REDACTED]

LEAD:

LEGAT BERN

THIS INFORMATION IS BEING FURNISHED TO LEGAT, BERN TO BE  
VIGILANT IN WATCHING FOR ANY ANNOUNCEMENT OF THE IMPENDING

[REDACTED] SPECIFICALLY, IN THE EVENT THE ANNOUNCEMENT INDICATES

[REDACTED] WILL TAKE PLACE SOMEWHERE OTHER THAN [REDACTED]

BT

#0035

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FBI

TRANSMIT VIA:  
 Teletype  
 Facsimile  
 AIRTEL

PRECEDENCE:  
 Immediate  
 Priority  
 Routine

CLASSIFICATION:  
 TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 4/10/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

SUBJECT: MARC RICH-FUGITIVE(B); PINCUS GREEN-FUGITIVE(B);  
FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY;  
OO:NY.

FOR INFORMATION, [redacted] PARTY TOOK PLACE FOR [redacted]  
[redacted] PINCUS GREEN, ON [redacted] AT THE

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① NEW YORK

1 - SUPERVISOR

JCM:MAC002W.101

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196A 1774  
Searched  
Serialized  
Indexed  
Filed

-586  
4/15/92  
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Approved: JWF/L Original filename: Mac 002W.101  
Time Received: \_\_\_\_\_ Telprep filename: MAC002SO.101  
MRI/JULIAN DATE: 1594/105 ISN: 035  
FOX DATE & TIME OF ACCEPTANCE: 2649

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 9-20-01 BY  
01-081

[REDACTED]

INFORMATION RECEIVED FROM [REDACTED] REVEALED HE IS  
AWARE OF PINCUS GREEN'S FUGITIVE STATUS. HE ADVISED [REDACTED]  
IS [REDACTED] AND THE [REDACTED]  
NEW YORK. ALTHOUGH HE DID NOT KNOW [REDACTED] DATE, HE  
ADVISED IT IS TO TAKE PLACE [REDACTED] ON  
[REDACTED] WAS HELD FOR THE BENEFIT OF [REDACTED]  
[REDACTED]

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b7C

LEAD:

LEGAT BERN

THIS INFORMATION IS BEING FURNISHED TO LEGAT, BERN TO BE  
VIGILANT IN WATCHING FOR ANY ANNOUNCEMENT OF THE IMPENDING  
[REDACTED] SPECIFICALLY, IN THE EVENT THE ANNOUNCEMENT INDICATES  
[REDACTED] WILL TAKE PLACE SOMEWHERE OTHER THAN [REDACTED]

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b7C

BT

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PP FBINY

DE FBINY #C025 1062048

ZNR UUUUU

P 152015Z APR 92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR FUGITIVE-GOVERNMENT RESERVATION CRIMES UNIT.

SUBJECT: MARC RICH-FUG (B); PINCUS GREEN-FUG (B); FBW, MC,  
RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

REBUTEL TO NY, DATED APRIL 6, 1992.

THE BELOW INFORMATION IS SUBMITTED IN RESPONSE TO  
REFERENCED TELETYPE CONCERNING CONGRESSMAN ROBERT WISE'S  
HEARINGS ON MARC RICH AND PINCUS GREEN.

WITH RESPECT TO WHETHER ANY INTERPOL MEMBER NATION DURING  
THE YEARS 1987-1990 CONTACTED DOJ OR COMPONENTS DIRECTLY OR  
INDIRECTLY CONCERNING THE PRESENCE OF MARC RICH IN THAT

196A-1774-587

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-087

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Searched \_\_\_\_\_  
Serialized \_\_\_\_\_  
Indexed \_\_\_\_\_  
Filed \_\_\_\_\_

PAGE TWO DE FBINY 0025 UNCLAS

COUNTRY THE FOLLOWING IS SUBMITTED:

ON JANUARY 8, 1987, [REDACTED] (PROTECT IDENTITY) PI,

[REDACTED]

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THIS INFORMATION WAS FURNISHED TO LEGAT, ROME, WHO ADVISED THE NYO.

[REDACTED] (PI) WAS INTERVIEWED BY LEGAT, ROME

SUBSEQUENTLY [REDACTED]

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b7C  
b7D

[REDACTED]

ON OCTOBER 19, 1990, DEPUTY LEGAT [REDACTED] LONDON

ADVISED THAT [REDACTED] FURNISHED HIM WITH INFORMATION THAT

[REDACTED]

THE NYO IS IN THE PROCESS OF SUBMITTING A REQUEST FOR THE ISSUANCE OF A CIRCULAR LETTER CONCERNING RICH AND GREEN.

BT

#0025

NNNN

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 4/10/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR FUGITIVE - GOVERNMENT RESERVATION CRIMES UNIT.

SUBJECT: MARC RICH - FUG (B); PINCUS GREEN - FUG (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:NY.

REBUTEL TO NY, DATED APRIL 6, 1992.

THE BELOW INFORMATION IS SUBMITTED IN RESPONSE TO REFERENCED TELETYPE CONCERNING CONGRESSMAN ROBERT WISE'S HEARINGS ON MARC RICH AND PINCUS GREEN.

① - NEW YORK

1 - SUPERVISOR (C-12)

JCM:AXH003W.101

(2)

*Corrections made 4-15-92 WH*

⊗

196A-1774-587 4/16/92

Searched \_\_\_\_\_

Serialized \_\_\_\_\_

Indexed \_\_\_\_\_

Filed \_\_\_\_\_

Approved: JWEL Original filename: AXH003W.101

Time Received: \_\_\_\_\_ Telprep filename: AXH00350.101

MRI/JULIAN DATE: 1515/105 ISN: 025

FOX DATE & TIME OF ACCEPTANCE: \_\_\_\_\_

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01

01-081

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WITH RESPECT TO WHETHER ANY INTERPOL MEMBER NATION DURING THE YEARS 1987-1990 CONTACTED DO<sup>J</sup> OR COMPONENTS DIRECTLY OR INDIRECTLY CONCERNING THE PRESENCE OF MARC RICH IN THAT COUNTRY THE FOLLOWING IS SUBMITTED:

ON JANUARY 8, 1987, [REDACTED] (PROTECT IDENTITY) PI,

[REDACTED]

b6  
b7C  
b7D

THIS INFORMATION WAS FURNISHED TO LEGAT, ROME, WHO ADVISED THE NYO.

[REDACTED] (PI) WAS INTERVIEWED BY LEGAT, ROME

SUBSEQUENTLY [REDACTED]

[REDACTED]

b6  
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ON OCTOBER 19, 1990, DEPUTY LEGAT [REDACTED] LONDON ADVISED THAT [REDACTED] FURNISHED HIM WITH INFORMATION THAT

[REDACTED]



^PAGE 3 196A-NY-1774

UNCLAS

THE NYO IS IN THE PROCESS OF SUBMITTING A REQUEST FOR THE  
ISSUANCE OF A CIRCULAR LETTER CONCERNING RICH AND GREEN.

BT

Memorandum



To: SAC, NEW YORK (196A-NY-1774) <sup>DATE</sup> 4/14/92

From: SA [redacted] (C-12)

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b7C

Subject: MARC RICH - FUG. (B);  
PINCUS GREEN - FUG. (B);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING WITH  
- THE ENEMY  
OO: NY

Due to the active nature of  
this case it is recommended it  
be placed in pending status.

(X)  
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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

[redacted]

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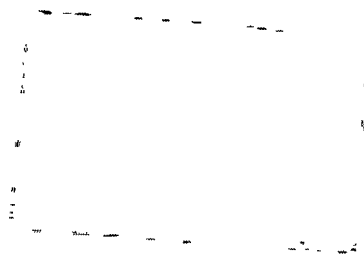
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196A-1774-589

SEARCHED	INDEXED
SERIALIZED	FILED
APR 15 1992	
FBI - NEW YORK	

196A-1774-591

Searched \_\_\_\_\_  
Serialized 81  
Indexed 82  
Filed 83



FBI

TRANSMIT VIA:  
 Teletype  
 Facsimile  
 AIRTEL

PRECEDENCE:  
 Immediate  
 Priority  
 Routine

CLASSIFICATION:  
 TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 5/20/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR SSA [redacted] FUGITIVE/GOVERNMENT RESERVATION  
CRIMES UNIT; and SSA [redacted] *Economic Crimes Unit.*

b6  
b7C

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);  
FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:  
NY.

ON MAY 20, 1992, [redacted] PINCUS GREEN, WAS

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①-NEW YORK

1-SUPV. C-12

JCM:dx006w.141

(2)

~~SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_ FILED \_\_\_\_\_  
MAY 26 1992  
FBI - NEW YORK~~

[redacted]

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5/27/92  
C

Approved: JWF/L Original filename: DYB006 W. 141

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Time Received: \_\_\_\_\_ Telprep filename: DYB006.50.141

MRI/JULIAN DATE: 2055/143 ISN: 050

FOX DATE & TIME OF ACCEPTANCE: 2347

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 9-20-01 BY 01-081

~~SECRET~~

^PAGE 2 NY 196A-NY-1774 UNCLAS

INTERVIEWED AT THE OFFICE OF MILGRIM, THOMAJAN AND LEE, 53  
WALL STREET, NEW YORK (NY), NY, IN THE PRESENCE OF [REDACTED]

b6  
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[REDACTED] SHE FURNISHED THE FOLLOWING:

[REDACTED] PINCUS, RESIDE IN WALCHWIL,  
SWITZERLAND, ABOUT 12 MINUTES FROM ZUG.

SHE IS TEMPORARILY IN [REDACTED]

b6  
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[REDACTED]  
WHICH WILL TAKE PLACE IN [REDACTED]

SHE STATED PINCUS IS FULLY RETIRED AND IS BASICALLY A  
PHILANTHROPIST INVOLVED IN CHARITABLE CAUSES. HE HAS NO  
INTENTION OF RETURNING TO THE MARC RICH COMPANIES.

SHE STATED [REDACTED] PINCUS MAINTAIN AN APARTMENT IN ZURICH  
AND ONE IN ISRAEL. SHE DID NOT VOLUNTEER HAVING A RESIDENCE  
IN LONDON.

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SHE NOTED PINCUS HOLDS ISRAELI CITIZENSHIP BUT HAD NO  
COMMENT CONCERNING WHETHER HE STILL HAS BOLIVIAN CITIZENSHIP.  
SHE SAID SHE HAS NO IDEA WHETHER PINCUS WILL TRY TO OBTAIN  
SWISS CITIZENSHIP AFTER HIS TENTH YEAR OF RESIDENCY.

SHE STATED [REDACTED] WITH THE  
EXCEPTION OF [REDACTED] OUTSIDE THE UNITED STATES (US).

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^PAGE 3 NY 196A-NY-1774 UNCLAS

SHE DECLINED TO FURNISH A CURRENT PHOTO OF PINCUS NOR WHERE THE NYO COULD FIND ONE.

SHE SAID PINCUS AND MARC RICH ARE NOT CLOSE TODAY AND NEVER WERE SOCIALLY. SHE NOTED, HOWEVER, THERE IS NO ANIMOSITY. SHE EXPLAINED THAT [REDACTED] IS DIFFERENCE FROM RICH AND DESCRIBED PINCUS AS A PRIVATE PERSON, A FAMILY MAN AND DEEPLY RELIGIOUS. HE IS HAPPY TODAY BEING RETIRED AND ONLY WORKED AS A HOBBY BECAUSE HE ENJOYED IT.

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SHE SAID SHE KNOWS LITTLE ABOUT RICH AND COULD NOT OR WOULD NOT COMMENT ABOUT HIS TRAVELS OUTSIDE OF SWITZERLAND. SHE COULD NOT COMMENT ON THE NUMBER OF PASSPORTS RICH HAS OR ANY TRAVEL NAMES HE MIGHT USE. SHE DOES NOT KNOW WHETHER RICH EMPLOYS ARMED BODYGUARDS NOR WHETHER [REDACTED] DOES.

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SHE STATED SHE WOULD LIKE TO HAVE THE CRIMINAL MATTER AGAINST [REDACTED] RESOLVED BUT SHE HAS NO IMMEDIATE DESIRE TO RETURN TO THE US. SHE COULD NOT COMMENT ON HOW [REDACTED] FEELS.

[REDACTED] ADVISED HE WOULD STAY IN TOUCH WITH THE US ATTORNEY'S OFFICE AND THE FEDERAL BUREAU OF INVESTIGATION (FBI).

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^PAGE 4 NY 196A-NY-1774 UNCLAS

BT

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RE FBIN #0050 143284

ZNR UULIUI

R 222345Z MAY 92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

LEGAT BERN/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR SSA [REDACTED] FUGITIVE/GOVERNMENT RESERVATION

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CRIMES UNIT; AND SSA [REDACTED] ECONOMIC CRIMES UNIT.

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);

FBW; MF; RICO; INCOME TAX EVASION, TRADING WITH THE ENEMY; OO:

NY.

ON MAY 20, 1992, [REDACTED] PINCUS GREEN, WAS

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1-NEW YORK

1-SUPV. C-12

JCM: cxb006w.141

196A-1774-591

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 28 1992	
FBI - NEW YORK	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [REDACTED]

b6  
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01-081



(2)

INTERVIEWED AT THE OFFICE OF MILGRIM, THOMAJAN AND LEE, 53  
WALL STREET, NEW YORK (NY), NY, IN THE PRESENCE OF [REDACTED]

b6  
b7C

[REDACTED] SHE FURNISHED THE FOLLOWING:

[REDACTED] PINCUS, RESIDE IN WALCHWIL,  
SWITZERLAND, ABOUT 12 MINUTES FROM ZIG.

SHE IS TEMPORARILY IN [REDACTED]

b6  
b7C

[REDACTED]  
WHICH WILL TAKE PLACE IN [REDACTED]

SHE STATED PINCUS IS FULLY RETIRED AND IS BASICALLY A  
PHILANTHROPIST INVOLVED IN CHARITABLE CAUSES. HE HAS NO  
INTENTION OF RETURNING TO THE MARG RICH COMPANIES.

SHE STATED [REDACTED] PINCUS MAINTAIN AN APARTMENT IN ZURICH  
AND ONE IN ISRAEL. SHE DID NOT VOLUNTEER HAVING A RESIDENCE  
IN LONDON.

b6  
b7C

SHE NOTED PINCUS HOLDS ISRAELI CITIZENSHIP BUT HAD NO  
COMMENT CONCERNING WHETHER HE STILL HAS BOLIVIAN CITIZENSHIP.  
SHE SAID SHE HAS NO IDEA WHETHER PINCUS WILL TRY TO OBTAIN  
SWISS CITIZENSHIP AFTER HIS TENTH YEAR OF RESIDENCY.

SHE STATED [REDACTED] WITH THE  
EXCEPTION OF [REDACTED] OUTSIDE THE UNITED STATES (US).

b6  
b7C

SHE DECLINED TO FURNISH A CURRENT PHOTO OF PINCUS NOR WHERE THE NYO COULD FIND ONE.

SHE SAID PINCUS AND MARC RICH ARE NOT CLOSE TODAY AND NEVER WERE SOCIALLY. SHE NOTED, HOWEVER, THERE IS NO ANIMOSITY. SHE EXPLAINED THAT [REDACTED] IS DIFFERENT FROM

b6  
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RICH AND DESCRIBED PINCUS AS A PRIVATE PERSON, A FAMILY MAN AND DEEPLY RELIGIOUS. HE IS HAPPY TODAY BEING RETIRED AND ONLY WORKED AS A HOBBY BECAUSE HE ENJOYED IT.

SHE SAID SHE KNOWS LITTLE ABOUT RICH AND COULD NOT OR WOULD NOT COMMENT ABOUT HIS TRAVELS OUTSIDE OF SWITZERLAND.

SHE COULD NOT COMMENT ON THE NUMBER OF PASSPORTS RICH HAS OR ANY TRAVEL NAMES HE MIGHT USE. SHE DOES NOT KNOW WHETHER RICH EMPLOYS ARMED BODYGUARDS NOR WHETHER [REDACTED] DOES.

SHE STATED SHE WOULD LIKE TO HAVE THE CRIMINAL MATTER AGAINST [REDACTED] RESOLVED BUT SHE HAS NO IMMEDIATE DESIRE

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TO RETURN TO THE US. SHE COULD NOT COMMENT ON HOW [REDACTED] FEELS.

[REDACTED] ADVISED HE WOULD STAY IN TOUCH WITH THE US ATTORNEY'S OFFICE AND THE FEDERAL BUREAU OF INVESTIGATION (FBI).

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PAGE FOUR DE FBINY 0050 UNCLAS

BT

#0050

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# FBI FACSIMILE COVERSHEET

## CLASSIFICATION

### PRECEDENCE

- Immediate
- Priority
- Routine

- Top Secret
- Secret
- Confidential
- Sensitive
- Unclassified

Time Transmitted: \_\_\_\_\_

Sender's Initials: \_\_\_\_\_

Number of Pages: 3

To: SSA   
(Name of Office)

Date: 5/21/92

Facsimile number: 202-324-8072

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b7C

Attn: Economic Crimes Unit  
(Name Room Telephone No.)

From: SSA   
(Name of Office)

Subject: Marc Rich

Special Handling Instructions: \_\_\_\_\_

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b7C

Originator's Name: SSA

Telephone: 212-335-2780  
-593

Originator's Facsimile Number: 335-2999

Approved: \_\_\_\_\_

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b7C

191A-1774

SEARCHED	INDEXED
SERIALIZED <u>SM</u>	FILED <u>SM</u>
MAY 21 1992	
FBI - NEW YORK	

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY

NY NEWS

PLANS NEW TRIAL OF TOXIC SHOCK DRUG

Sales Gain

U.S. Vehicle Sales

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# Aide to Marc Rich Quits Trading-Firm Job

ZURICH, June 3 (Reuters) — The top aide to the fugitive commodities trader Marc Rich, who faces tax evasion, fraud and racketeering charges in the United States, plans to quit his job as a manager of Rich's Swiss-based commodities trading group, the company said today.

A spokesman for Marc Rich & Company said Willy Strothotte, president of Clarendon A.G., which is 49 percent by the group, would resign at the end of this month because of different views on how the company should be managed.

"Some people prefer to work as a team, others prefer to work alone, some want to delegate and some do not," the spokesman said, without giving further details.

Mr. Strothotte, in a telephone interview, declined to elaborate on the spokesman's comments but said his departure was amicable.

"I am parting with the Marc Rich group and not with Marc Rich himself," he said. "There's nothing sinister about this."

Mr. Rich, who founded the group in 1973, is also accused of illegal trade with Iran during the American hostage crisis.

## The founder and the manager clash at a tainted Swiss brokerage.

The Belgian native fled the United States in 1984 for the central Swiss town of Zug, where the group has its headquarters. Forbes magazine recently estimated his net worth at \$900 million.

Marc Rich & Company is a private limited company with more than 100 stockholders and 1,300 staff worldwide. It never publishes profit figures.

People close to the group said there was speculation in the company that Mr. Strothotte had lost a battle against the 58-year-old Mr. Rich for control of Clarendon, which is one of the world's largest commodity traders, with interests in oil, coal, base metals and grain.

Mr. Strothotte was Mr. Rich's

right-hand man and was being groomed as his successor, these people added.

In February, Clarendon said it would stop supplying metals to the United States Mint for coin production after Congress criticized the Federal agency for doing business with a company associated with Mr. Rich.

### No Successor Named Yet

The company spokesman said a successor for Mr. Strothotte, a 47-year-old German who has been with the group for 15 years, had not been appointed.

"A team of top managers who have all been with the group for over eight years will take over his duties," he said.

Mr. Strothotte owns the Dutch-based Rinoman Investment B.V., which in turn holds 48 percent of the Ravenswood Aluminum Corporation.

The United Steelworkers Union of America, which is in dispute with Ravenswood over the dismissal of 1,700 union workers, contends that Mr. Rich secretly owns the aluminum company through his associates.

Settlement



196A-17747-595

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SEARCHED	INDEXED
SERIALIZED	FILED
JUN 09 1992	
FBI-NEW YORK	

ALL INFORMATION  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY



01-081



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

26 Federal Plaza  
New York, New York 10278

TO WHOM IT MAY CONCERN:

The FBI is attempting to apprehend Marc Rich, a Federal fugitive, wanted by the United States Government for Fraud By Wire, Mail Fraud, Racketeer Influenced and Corrupt Organizations (RICO), Income Tax Evasion and Trading With The Enemy. The arrest warrant was issued on September 19, 1983, in the United States District Court for the Southern District of New York.

Rich fled the United States after his indictment in 1983 and continued operating his companies, Marc Rich and Company, A.G. and affiliates from Zug, Switzerland where Rich resides today. Some of his companies and affiliates are as follows: Marc Rich and Company, London; Marc Rich and Company, Madrid; Richco, Amsterdam; Clarendon Ltd., Stamford, Connecticut; Berkely Aluminum, Incorporated, Stamford, Connecticut; Ormet Corporation, Hannibal, Ohio; Alumex, Incorporated, Mt. Holly, South Carolina and Ravenswood Aluminum Corporation, Ravenswood, West Virginia.

The above Marc Rich companies and affiliates are involved worldwide in the trading of oil, grain and base metals.

Rich is a close associate and former partner of Pincus Green, another Federal fugitive wanted in the same indictment. Rich is still very active in his companies while Green has recently retired. Both reside at different residences in the Zug, Switzerland area.

Rich is described as follows:

white male  
born December 18, 1934 in Antwerp, Belgium  
5' 10"  
medium build

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01 - [redacted]

196A-1774-596

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 11 1992	
FBI - NEW YORK	

black and gray hair which is thin on top  
brown eyes.

It is requested your records be examined to determine whether Marc Rich or his companies are known to your business and whether you are able to report on the possible travels of Rich.

If you have any information concerning this fugitive immediately contact your nearest FBI office, the telephone number of which is found on the first page of most telephone directories. No action should be taken which might endanger anyone's safety.

Your cooperation is appreciated.

Sincerely Yours,

WILLIAM S. SESSIONS  
DIRECTOR

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 6/10/92

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TO: DIRECTOR, FBI  
 (ATTENTION: SSA [redacted] FUGITIVE/  
 GOVERNMENT RESERVATION CRIMES UNIT AND  
 SSA [redacted] ECONOMIC CRIMES UNIT)

b6  
b7C

FROM: ADIC, NEW YORK (196A-NY-1774) P (C-12)

SUBJECT: MARC RICH-FUGITIVE (B);  
 PINCUS GREEN-FUGITIVE (B);  
 FBW, MF, RICO, INCOME TAX  
 EVASION, TRADING WITH THE  
 ENEMY  
 (OO:NY)

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ReButel to NY dated 4/6/92 and Butelcall from  
 SSA [redacted] to SA [redacted] NYO, on 6/3/92.

Enclosed for the Bureau are the following:

- (1). Proposed circular letter for MARC RICH with photograph and negative.
- (2). Proposed circular letter for PINCUS GREEN with photograph and negative.

The NYO requests that circular letters be prepared and disseminated for MARC RICH and PINCUS GREEN based on the fact both have been fugitives for almost nine years, both reportedly travel outside of Switzerland and RICH is active worldwide in the business of his companies.

4 - Bureau (encls. 10)

1 - New York

JCM: jcm

196A-1774-597

Searched	_____
Serialized	_____
Indexed	_____
Filed	_____

6/11/92 ✓

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ Per \_\_\_\_\_  
 (Number) (Time)

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ALL INFORMATION  
 HEREIN IS UNCLAS  
 DATE 2-20-01 BY [redacted]  
 01-081



It is requested the circular letters be disseminated nationwide to the following:

- (1). Top 50 U.S. banks to include foreign banks with U.S. branches.
- (2). All major U.S. airlines to include all foreign airlines doing business in the U.S.
- (3). All commodities/futures exchanges located in New York and Chicago.
- (4). All major stock brokerage firms in the U.S.
- (5). All major U.S. oil companies.
- (6). All major metals companies engaged in the trading of aluminum bauxite, steel, tin, copper, zinc and nickel.
- (7). All major companies involved in the purchase and sale of grain in the U.S.

The following exceptions are noted since they are affiliates of MARC RICH companies and should not receive copies of the circular letters: CLARENDON, LTD., Stamford, Connecticut; BERKELY ALUMINUM, INC., Stamford, Connecticut; ORMET CORPORATION, Hannibal, Ohio; ALUMEX, INC. Mt. Holly, South Carolina and RAVENSWOOD ALUMINUM CORPORATION, Ravenswood, West Virginia.

MARC RICH and PINCUS GREEN were indicted 9/19/83 in the SDNY in a 51 count indictment charging both with FBW, MF, RICO, Income Tax Evasion and Trading With The Enemy.

--- Both while operating as MARC RICH AND COMPANY, A.G. and MARC RICH AND COMPANY, INTERNATIONAL, LTD. were involved in the illegal re-certification of barrels of crude oil which resulted in the sale of "controlled" domestic crude at "uncontrolled" prices. Prices for the crude had been set by the Department of Energy. This created huge profits subject to Federal income tax but the profits were offset or greatly reduced by illegally shifting part of those profits overseas in a series of complex transactions. As a result over \$48 million in Federal corporate income taxes were evaded, thus, making this case the largest tax evasion case prosecuted in U.S. history.

RICH and GREEN have been Federal fugitives since September, 1983 and have maintained residences in Zug, Switzerland while operating the MARC RICH companies.

196A-NY-1774

Although both remain fugitives they reportedly travel outside Switzerland and, perhaps, to countries amenable to expelling both or permitting extradition pursuant to a treaty with the U.S. RICH is still active in his companies and continues residence in Zug while GREEN has retired but reportedly travels and is active in philanthropic causes worldwide.

It is requested the dissemination be through R.L. POLK AND COMPANY or other comparable service and it be nationwide. A search in the NYO has failed to locate any catalogs published by R.L. POLK which would provide an estimate of costs as requested in MIOG, Part II.

0507 MRI 00-28

PF RUCNFB FBINY

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ZNR UUUUU

P 201239Z JUL 92

FM LEGAT BERN (196A-NY-1774)

TO DIRECTOR FBI/PRIORITY/

FBI NEW YORK/PRIORITY/

BT

UNCLAS

CITE: //5550:BR03W.202.//

PASS: FBIHQ: CID, WCC SECT., ECU.

SUBJECT: MARC RICH - FUGITIVE (S); PINCUS GREEN - FUGITIVE (S); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

RE: NY TEL. 7/9/92 AND LEGAT BERN TELCALL TO NEW YORK

[Redacted]

7/17/92.

ON FRIDAY AFTERNOON, 7/17/92, LEGAT RECEIVED FROM [Redacted]

[Redacted]

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b7C  
b7D  
b7E

196A-1774-605

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [Redacted]  
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SEARCHED	INDEXED
SERIALIZED <i>3</i>	FILED <i>2</i>
JUL 20 1992	
FBI - NEW YORK	

PAGE TWO DE BER 0001 UNCLAS

[Redacted]

b6  
b7C  
b7D  
b7E

SUBJECT:

[Redacted]

[Redacted]

[Redacted]

[Redacted]

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b7C  
b7D  
b7E

[Redacted]

[Redacted]

b6  
b7C  
b7D  
b7E

[Redacted]

116A-1774-605

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PAGE THREE DE BER 0001 UNCLAS

[REDACTED]

b7D  
b7E

[REDACTED]

LEGAT DISCUSSED THE ABOVE WITH [REDACTED]

EMBASSY BERN, [REDACTED]

[REDACTED]

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b7D  
b7E

116H-174-005

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PAGE FOUR DE BER 0001 UNCLAS

b7D

b7E

[REDACTED]

WITH REFERENCE TO EMBASSY LONDON CABLE NO. 012953 DATED 7/15/92 CONCERNING A SUPPOSED ARTICLE IN THE SWISS 'CASH' MAGAZINE TO BE PUBLISHED 7/16/92, LEGAT ADVISES THAT NO SUCH ARTICLE APPEARED. 'CASH' IS A WEEKLY ECONOMICS NEWSPAPER WHICH APPEARS ON FRIDAYS. THERE IS NO 7/16/92 EDITION, THE 7/17/92 EDITION CONTAINED NO ARTICLES OR OTHER MENTION OF MARC RICH.

IT IS NOTED THAT LEGAT SENT COPIES OF THE ABOVE [REDACTED]

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[REDACTED] BY TELEFAX ON FRIDAY 7/17/92 TO FBI NEW YORK AND TO OFFICE OF INTERNATIONAL AFFAIRS, CRIMINAL DIVISION, U.S. DEPT. OF JUSTICE, ATTN. OF [REDACTED]

BT

#0001

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U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

New York, New York 10278

JUL 16 1992

MARC RICH - FUGITIVE (B);  
PINCUS GREEN - FUGITIVE (B);  
FRAUD BY WIRE; MAIL FRAUD; RICO;  
INCOME TAX EVASION; TRADING WITH THE ENEMY;

It is requested that the red notice of PINCUS GREEN,  
number [redacted] be updated with the following  
information:

b6  
b7C

GREEN reportedly [redacted] in  
approximately 1989 and has a [redacted] from the  
[redacted]

2 - Bureau  
1 - New York (196A-NY-1774)

JCM:njs  
(4)

196A-NY-1774-606

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 23 1992	
FBI - NEW YORK	

(X)

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

b6  
b7C

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 2-20-01

01-081



FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
  - SECRET
  - CONFIDENTIAL
  - UNCLAS E F T O
  - UNCLAS 7/20/92
- Date 7/20/92

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TO: DIRECTOR, FBI  
(ATTENTION: [redacted] FOREIGN LIAISON UNIT 2)

FROM: ADIC, NEW YORK (196A-NY-1774) P (C-12)

SUBJECT: MARC RICH- FUGITIVE (B); b6  
 PINCUS GREEN- FUGITIVE (B); b7C  
 FEW, MF, RICO, INCOME TAX EVASION,  
 TRADING WITH THE ENEMY;  
 (OO:NY)

ReButelcall from [redacted] to NYO on 7/1/92.

Enclosed for the Bureau, Foreign Liaison Unit 2 are the original and one copy each of two LHMs for the purpose of updating the red notices of MARC RICH and PINCUS GREEN with two photos of RICH.

Enclosed for the Bureau for information, Fugitive/ Government Reservation Crimes Unit and Economic Crimes Unit is one copy each of the above mentioned LHMs.

- 4- Bureau
- 2- Foreign Liaison Unit 2 with 6 enclosures
- 1- Economic Crimes Unit with 2 enclosures (Attn: SSA [redacted])
- 1- Fugitives/ Government Reservation Crimes Unit with 2 enclosures (Attn: SSA [redacted])
- 1- New York b6
- JCM:jcm b7C

(X)

196A-NY-1774-607

Searched \_\_\_\_\_  
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Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ (Number) \_\_\_\_\_ (Time) Per \_\_\_\_\_

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*[Handwritten Signature]*  
7/22/92



196A-NY-1774-6011

SEARCHED	INDEXED
SERIALIZED <i>Set</i>	FILED <i>80</i>
JUL 27 1992	
FBI-NEW YORK	

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-30-99 BY 6

MONDAY, JULY 20, 1992

NEW YORK POST, MONDAY, JULY 20, 1992

NEW YORK POST, MONDAY, JULY 20, 1992

# That would be Rich, that would

## Page Six

TM

**PANK DIGIACOMO and  
JOANNA MOLLOY**  
With Florence Anthony

## No Dem sex

SEX is not part of Democrats' domestic spending. That's the impression we got after polling city escort services, to see how much of the \$474 million the Dems blew in town last week went for the world's oldest service. One woman, who claimed to be a top call girl, lamented: "We had no business from [Dems] at all." After we promised anonymity, other prostitutes tended to agree that sales did not meet projections. "I'm in the middle of doing something very important and I've only got a few

DID fugitive financier Marc Rich sneak in and out of New York to attend a wedding?

Rumors are flying that two weeks ago, Rich managed to slip through the clutches of U.S. marshals who, for a decade, have been aching to arrest the 57-year-old near-billionaire on charges of tax evasion, fraud, racketeering, and trading with the enemy.

"He nipped into town for a just few hours," one source assures PAGE SIX's George Rush. "Then he was on a plane out."

Rich's songwriter wife, Denise, who's divorcing him, swears to us that on the Saturday in question he was in Zurich, attending the wedding of the daughter of his longtime partner Pincus "Pinky" Green, who like Rich is accused of hiding illegal oil profits in Switzerland.

It turns out, too, that on the same Saturday, a Long Island couple named Rich also hosted a wedding in Manhattan.

According to one federal law enforcer, Rich himself may have floated the rumor that he'd be at the city nuptials "to keep us away from

the Zurich wedding. In fact, we know he was in Zurich. There's no way he'd snub Pinky's daughter, Sarah."

Switzerland in 1984 refused to extradite Rich. But last month the Supreme Court ruled that U.S. officials can kidnap criminal suspects in foreign countries for trial here.

That ruling still doesn't make nabbing Rich any easier, according to one U.S. marshal. He confirmed that Rich, who lives more extravagantly than ever in Zug, Switzerland and Marbella, Spain, guards himself with mercenaries armed with submachine guns.

International bounty hunter Robert Burton noted that foreign kidnappings have "been done many times already. We did it with Manuel Noriega."

Assistant U.S. Attorney James Comey tells us Rich's arrest is still "a priority matter." But Burton says he thinks Justice officials "have been wearing kid gloves because of relations with the Swiss." However, "There are Swiss officials who'd gladly participate in a snatch."

All told, the U.S. government is offering some \$800,000 as bounty for Rich's capture. But "the real money," Burton says, "is in the book and screen rights."

Burton gauges that if a group of Rich's creditors could provide about \$200,000 in expenses, he could put together a kidnap plan. "Rich sometimes travels in disguise. But he's easily recognizable. I once had a waiter take a whole roll of film of him."

"We'd need to find the Judas in his system. It would require a paramilitary operation. I could see three guys swooping down on him on one of his solo skiing holidays. Then we could take him across the border to Italy."

"The hard part is getting the guy to turn over his assets. But it's a slow boat journey from the point of capture to the U.S. A bounty hunter is not an officer of the law. We could make a deal: 'Write us a check and we turn you loose.'"

"Then again, truth, justice, and the American Way being what they are, we might turn him in anyway."



196A-1774-613

SEARCHED	INDEXED
SERIALIZED <i>Sw</i>	FILED <i>Sw</i>
JUL 31 1992	
FBI-NEW YORK	

reduce this gap, Crandall last year persuaded the FAA to allow the conversion of up to 25% of a commuter carrier's slots to cover jets with up to 110 seats. Having spent \$100 million to buy a couple of commuter lines in the late 1980s, American could now convert some of its slots to jets, gaining 70 jet slots. To exploit the new slots, American is switching from 46-seat turboprops to 95-seat Fokker 100 jets, and putting them on routes to places like Sioux Falls, S.D. and Fargo, N.D., which never before had jet access to O'Hare.

Meanwhile, in 1990 United's Wolf won the Chicago-Tokyo route authority, over Crandall's strenuous objections. Now United is running neck-and-neck with Northwest for the number one position among airlines flying the Pacific.

Bob Crandall has his fingers crossed that the FAA will eventually permit commuter airline slots to be fully converted into general-purpose slots. If that happens, the slot score will be United 923, American 871 (not counting TWA's 40 slots). With this kind of improved arithmetic, he would hope to close that gaping O'Hare gap. But, of course, United's Wolf won't be sitting still. ■

Marc Rich, the fugitive commodities trader, has found a new way to make big money: shortchange the desperate Russians.

# How Rich got rich

By Paul Klebnikov

MARC RICH, the 57-year-old commodities trader, near-billionaire and fugitive from U.S. justice, lives in luxury in Zug, Switzerland and thrives on the misfortunes of others. "When there was an embargo on South Africa, Rich provided them with oil, and they paid handsomely for that," says Dieter Boettcher, director of a London-based metals-trading subsidiary of Metallgesellschaft A.G.: "Now that the embargo is being lifted, the margins are slimmer."

Latin America? "Twenty years ago Latin American countries like Chile and Venezuela were very much traders' country," says Jonathan Platts-Mills, a director of British conglomerate Lonrho, Plc. "But now they've become more sophisticated, dealing directly with clients and suppliers."

The Middle East? "The days of wild commodity trading, of the incredible deals when you could use your friendship with some prince in the Middle East to get oil at \$4 a barrel, are long gone," says Sveinung Medaas, head of the Moscow office of Salomon Inc.'s oil trading subsidiary, Phibro Energy Inc.

But where there is turmoil, there is opportunity for slimy characters like Rich. His Swiss-based firm, with an estimated \$15 billion in trading volume worldwide, is doing a roaring trade in the former Soviet Union. According to people at Phibro Energy and Metallgesellschaft, the profit margins on both oil and aluminum trading—Rich's specialties—in the former Soviet Union are at least two or three times average trading margins in more stable world markets.

Rich has been established in Moscow since the early 1980s and began moving in big in late 1990, when regulations were liberalized and central authority was beginning to disintegrate. Raiding Phibro Energy for talent, Rich has built up one of the largest Western businesses in Russia. His Moscow headquarters boasts some 50 employees and excellent satellite communications. He has dozens of employees in other cities. Last year Rich did an estimated \$1.2 billion worth of trade with the former Soviet Union; more than half in aluminum trading, the rest in oil.

It works like this: Rich's minions bring grain, sugar, alumina (a bauxite extract that is the main component of aluminum) and machinery to Russia, and take in payment oil and refined aluminum ingot. By trading Cuban sugar, say, for Russian oil, Rich has in effect taken the job of the old communist trading organizations.

True to form, Rich is shortchanging the Russians. Jonathan Platts-Mills of Lonrho says that the Russians often get ripped off on barter deals with Western traders: "We've identified a number of deals where a Soviet plant that swapped a commodity and asked for machinery in return was losing 30% on the trade."

The Russians probably know that Rich is skinning them, but what choice do they have? "The major oil companies are being very cautious," says Platts-Mills. "But Rich is going in and saying: 'Do you want some money? I'll buy half a million tons, now.' He's in an area where the big boys have not yet gone, so he's get-



ALL INFORMATION  
HEREIN IS UNCLASSIFIED  
DATE 9-20-01 BY

01-081



Fugitive commodities trader Marc Rich  
In chaos, opportunity.

ting a much more open field than he would elsewhere in the world.”

Says Sveinung Medaas, Phibro Energy's man in Moscow, and a Rich competitor: “[Rich's traders] saw an opportunity in the breakdown of central authority in the Soviet Union, and you get more opportunities when you strike deals with local authorities, because [you can play] the local authorities off the center. Of course, you need a lot of personnel to do that, but hiring competent people is very cheap in Russia right now.”

Has Rich bribed influential pols and bureaucrats in the former Soviet Union? Probably. Piotr Aven, Russia's young minister of foreign economic relations, does not mention Rich by name but says: “There have been several cases where long-term contacts have led to informal, often corrupt relationships. Firms that have long experience here know how much to pay to whom, what kind of presents to give, and then can purchase goods at cheaper prices than it costs to pro-

duce them. We have evidence against several such companies.”

Western metals-trading experts say that last year Rich purchased some 500,000 tons of Russia's aluminum, about half of the former Soviet Union's total exports. With Rich acting as middleman, Russian producers quadrupled their exports of refined aluminum to the West; exports reached an estimated 1.1 million tons last year. Largely as a result of all this new supply, aluminum prices on the London Metal Exchange fell to 48 cents a pound last December, half their 1990 high of \$1 a pound.

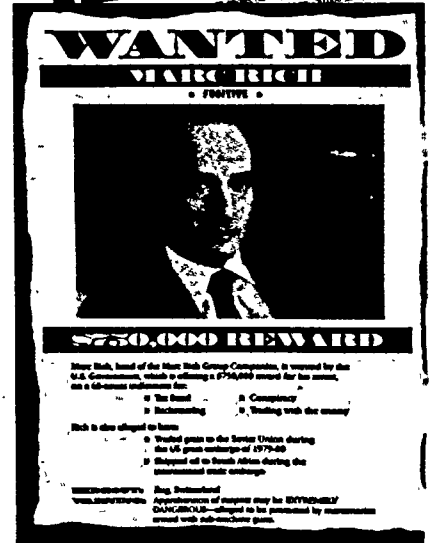
All in all, Rich trades an estimated 2 million tons of ingot annually and controls more than one-third the world spot market in aluminum.

The resulting market power came in handy earlier this year. Rich had been expecting a mammoth supply of Russian aluminum, for which he had prepaid with sugar. Then, in December, he received word from his agents that Russian aluminum producers

RIGHT:  
Aluminum smelter  
in Tajikistan  
Last year Rich  
accounted for half  
of the former  
Soviet Union's  
aluminum exports.

David S. Reardon

BELOW:  
Posters  
distributed by  
United  
Steelworkers  
The union's  
publicity  
campaign  
blocked Rich's  
expansion plans.



were facing bottlenecks in production and transportation. More than \$100 million worth of aluminum would be delivered late.

Sharp trader that he is, Rich put this inside information to profitable use. He went long on aluminum futures

Big real estate developers aren't the only ones searching for new equity in a falling market. Now GPA Group hopes to tap public investors for \$1 billion.

# See-through airplanes

By Rita Koselka

GPA Group has been one of the highest fliers of the aviation business, but it's now running low on money. To refuel its balance sheet, the company hopes to sell some 80 million shares, around 30% of GPA's equity, in the company's first public stock offering. Asking price: around \$1 billion. The offering is set for mid-June.

GPA Group? Based in Shannon, Ireland, GPA is the world's largest operating lessor of commercial airliners. It buys jetliners in large quantities from Boeing, McDonnell Douglas, Airbus Industrie and other manufacturers, and then leases the planes to airlines. It buys almost entirely on spec, without the comfort of prearranged leases for the planes.

When GPA leases a plane to an airline, it also often sells the plane to institutional investors (many in Japan) that want to own airplanes and enjoy special tax breaks. Thus GPA makes money two ways: by leasing planes it owns, and by selling planes it has arranged leases for.

Apparently 56-year-old Tony Ryan, who started the firm in 1975, is pretty good at his complex job. According to the stock offering prospectus just out, in its fiscal year ending Mar. 31, 1992, GPA earned \$224 million—96 cents a share—on \$2 billion in revenues (the figures are based on U.S. accounting rules). This, in one of the most vicious downturns in aviation history.

The underwriters, led by Goldman, Sachs and Merrill Lynch, hope to get between \$10 and \$12.50 for each of GPA's shares. But look closely at the prospectus, and only a congenial op-

timist could find this deal attractive.

Like a real estate developer whose buildings are slowly emptying, GPA has a growing number of unleased airplanes on its books. As of Mar. 31, GPA had at least 74 planes that are non-revenue-producing or on which lease payments have been deferred, out of a fleet of 409 aircraft. In other words, at least 18% of GPA's fleet is currently consuming capital yet bringing in no revenue.

GPA is bending over backward to help another troubled customer, America West. When the airline went into Chapter 11, threatening to default on lease payments on 16 of GPA's aircraft, GPA agreed to provide a \$35 million debtor-in-possession loan to allow America West to keep current on its lease payments. GPA accounts for these planes as if the payments were not at risk. Meanwhile, the company's reserve for bad debts is just \$11 million.

In the coming nine months, GPA has another 38 planes coming off lease and will take delivery of 78 new planes. The offering prospectus says some of these planes are covered by contracts, but others are covered by nonbinding letters of intent to lease. The prospectus doesn't specify any firm contracts.

The pressure doesn't let up next year, when GPA must lease another 133 planes.

With many of the world's airlines doing badly and a glut of airplanes in the making—especially for the short-haul Boeing 737s and Airbus 320s that make up a large part of GPA's fleet—GPA's leasing margins have

on the London Metal Exchange. Aluminum's cash price on the exchange quickly rose from 48 cents a pound to a recent 60 cents a pound. Rich had himself an easy return of at least 25% on his contracts in just a few months.

Elsewhere, too, Rich is fishing in troubled Eastern European waters. Over the past year Rich has bought a luxury hotel, the Athenee, in Bucharest, and signed major trading agreements with Romania and Bulgaria.

If Russia is Rich's most profitable client at the moment, he apparently has not neglected other troubled areas. U.S. government officials are looking into charges that Rich has been lending money to Saddam Hussein's Iraq, in return for future deliveries of cheap oil.

Rich has some problems closer to home. A damaging confrontation with the United Steelworkers during the 19-month lockout at Rich's Ravenswood (W. Va.) aluminum plant is only now being resolved. A number of his closest longtime associates are said to have retired or been dropped from the firm. Among them: Pincus Green, R. Alec Hackel and Willy Strothotte. And last year Rich's wife, Denise, started divorce proceedings.

Rich declined to talk to FORBES, saying we had "consistently written . . . hurtfully" in the past about the fugitive tax evader and sharp dealer. He didn't intend that as a compliment, but we take it as one. ■

# Ravenswood robber in Russian ripoff

By Jim West

He is the billionaire who locked out the Ravenswood workers for more than a year and a half trying to bust their union and force their living standards down so he could make more profits.

He jumps at every chance to make megabucks coming to the aid of reactionary, anti-people governments, as when he helped South Africa get around the embargo by supplying it with oil.

He is the supreme example of capitalist-opportunist money-grubbing for whom corruption, bribery and lawlessness are tools for amassing billions.

He is a fugitive living in luxury in Switzerland evading prosecution under a 65-count indictment for tax fraud, racketeering and conspiracy — among other charges.

His name is Marc Rich and he does his slimy business through a Swiss-based firm which has a worldwide trading volume estimated at \$15 billion.

He established a toe-hold in Moscow in the early 1980s but quickly expanded it to thieving operations in 1990 when the doors were opened wide to capitalist inroads. Today, his Moscow office has some 50 employees, with dozens more in other Soviet cities.

Last year he arranged about \$1.2 billion in trade with the former Soviet Union, all of it in aluminum and oil. His profit margins come to two and three times above the average trade margins in world markets.

Forbes magazine writes, "True to form, Rich is shortchanging the Russians." (June 22, 1992). In receiving machinery and other commodities in exchange for their aluminum and oil, Soviet plants are known to lose 30 percent and more on the trade.

The breakdown in central planning and authority in

the former USSR was used by Rich and his ilk to play off and bribe local leaders and central authorities against each other.

Piotr Aven, Russian minister of foreign economic

relations, is reported as saying: "There have been several cases where long-term contacts have led to informal, often corrupt relationships. Firms that have long experience here know how much to pay to whom, what kind of presents to give, and then can purchase goods at cheaper prices than it costs to produce them. We have evidence against several such companies." (Ibid.)

All this corruption, bribery and ripoffs are at the expense of the Soviet people, whose living standards worsen from day to day.

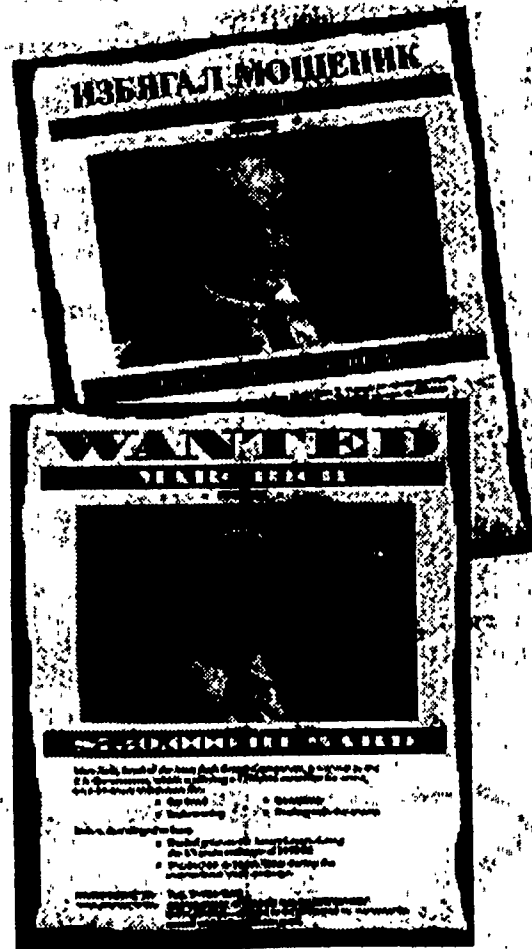
Rich has also moved into Romania and Bulgaria.

The Yeltsins and Gorbachevs are painting pictures of capitalism as a blessing. "Learn from America," they say. For Marc Rich and his corporate class cronies it is indeed a blessing. For the laboring masses it is a daily fight to stay alive.

Capitalism's reality as a system of exploitation and oppression, of corporate thievery and corruption is being brought home to the Soviet peoples by the likes of Marc Rich. The realization is dawning that the class struggle does, indeed, exist in their country as well.

Still, there is something to learn from the United States of America; from that other America represented by the workers of Marc Rich's Ravenswood Aluminum Company. It is the lesson of class unity across national and racial lines, of solidarity and unbending determination to win against all odds, against starvation and the threat of loss of homes and all the gains made through years of struggle. Soviet workers had won far more in the 70 years of existence of Socialism in the land of Lenin. They have more to lose.

Jim West is chairperson of the education commission of the CPUSA.



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THE PEOPLE'S WEEKLY WORLD

6/27/92

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 03-01-81

01-081

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

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TO: SAC, MINNEAPOLIS

FROM: ADIC, NEW YORK (196A-NY-1774) P. (C-12)

SUBJECT: MARC RICH- FUGITIVE (B);  
PINCUS GREEN- FUGITIVE (B);  
FBW, MF, RICO, INCOME TAX  
EVASION, TRADING WITH THE  
ENEMY;  
(OO:NY)

Enclosed for Minneapolis and Springfield are copies of two articles each about MARC RICH.

MARC RICH and PINCUS GREEN were indicted in the SDNY on 9/19/83 in a 51 count indictment charging them with the above violations. In 1974 both formed MARC RICH and COMPANY, A.G., a Swiss corporation and MARC RICH and COMPANY, INTERNAIONAL, a New York based subsidiary for the purpose of trading commodities.

During 1980-81 while operating from New York both violated U.S. ENERGY DEPARTMENT laws concerning the pricing of crude oil. They caused the re-certification of price regulated crude illegally to sell at world market price thus creating huge profits and then by a series of paper transactions offset those profits. By doing this they evaded paying tax on an estimated \$100 million making this case the largest tax case in U.S. history to be prosecuted. Wire and tax fraud exist from fraudulent telexes and correspondence to the DEPARTMENT of ENERGY and the trading with the enemy charge relates to RICH and GREEN trading with Iran during the hostage crisis.

Both fled the U.S. in 1983 after the indictment and began operating from offices in Zug, Switzerland where their commodities trading has flourished. They have expanded throughout the world and

2- Minneapolis (encls. 2)  
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196A-NY-1774-614

Searched \_\_\_\_\_  
Serialized Saw  
Indexed \_\_\_\_\_  
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DATE 2-20-01 BY \_\_\_\_\_

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trade in most countries.

Efforts were unsuccessful in late 1983 and 1984 to extradite both from Switzerland because the bulk of the charges are tax related. As a result both continue to reside in Switzerland today. GREEN has recently retired and reportedly has nothing to do with the RICH companies.

With a lack of cooperation from Switzerland the NYO has been forced to try to develop sources with information about RICH'S travels outside of Switzerland. There is a constant flow of rumors that he travels to Russia and other eastern European countries. This has never been substantiated but RICH allegedly has false passports under which he can travel.

The NYO is aware that two U.S. giants in commodities trade heavily throughout the world and are competitors of RICH. They are ARCHER DANIELS MIDLAND and CARGILL, INC. The NYO understands that [redacted] ARCHER DANIELS MIDLAND is [redacted] is reportedly of [redacted] and his company trades in eastern Europe particularly Russia.

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It will be beneficial to the NYO for these companies to be contacted for whatever information they have about RICH particularly his alleged travels outside of Switzerland.

RICH is described as a w/m born in Antwerp, Belgium on 12/18/34 and GREEN is a w/m born in Brooklyn, New York on 3/11/34.

LEADS: MINNEAPOLIS

AT MINNEAPOLIS, MINNESOTA

Contact the most appropriate official at CARGILL, INC. in Minneapolis possibly in the international division concerning CARGILL'S knowledge of MARC RICH and any information about his alleged travels outside of Switzerland.

Determine the names of RICH'S other competitors nationally and internationally who can perhaps furnish information about the travels of RICH.

Determine whether anyone at CARGILL or their international affiliates might be willing to assist the FBI in the apprehension of RICH.

SPRINGFIELD

[redacted] ILLINOIS

Will interview [redacted] ARCHER DANIELS MIDLAND COMPANY

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196A-NY-1774

in Decatur, Illinois as the NYO understands he travels frequently to [redacted] [redacted] on trade missions, etc. concerning his knowledge of MARC RICH and whether he is in a position to know directly or through others the travels of RICH.

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Determine whether he is aware of any contacts nationally or internationally who who might be willing to assist the FBI.

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CITE: //3920//

PASS: FBIHQ CID SSA [redacted] FUGITIVE UNIT; SSA [redacted]  
[redacted] INTD, CI-1B AND CI-3A; NEW YORK SA [redacted]  
SQUAD I-6 AND NEW YORK SA [redacted] SQUAD C-12.

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SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B) FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;  
OO: NEW YORK.

RE WMFO TELETYPES, 5/29/92 AND 7/28/92; TELCALLS OF WMFO  
SA [redacted] WITH FBIHQ SSA [redacted] 7/28/92; NEW  
YORK SAS [redacted] 7/31/92; AND SSA

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CLASSIFIED BY [redacted]  
REASON: 1.5 (C, d)  
DECLASSIFY ON: X1, 6  
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196A-1774-615

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FBI - NEW YORK	

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[REDACTED] ECONOMIC CRIMES UNIT, FBIHQ 8/3/92.

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WARNING: FOREIGN INTELLIGENCE INFORMATION; THIS COMMUNICATION IS CLASSIFIED "~~SECRET~~" IN ITS ENTIRETY.

WARNING: INFORMATION FROM [REDACTED] IS HIGHLY SINGULAR ~~(S)~~ (U) IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY.

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SOURCE IS A HIGH LEVEL INFORMANT [REDACTED]

[REDACTED] WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY. ~~(S)~~

WARNING: [REDACTED]

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WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

WARNING: [REDACTED]

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WARNING: FOR PROTECTION OF SOURCE, THE FOLLOWING HIGHLY SINGULAR INFORMATION IS NOT TO BE DISSEMINATED OUTSIDE THE FBI

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WITHOUT CONSULTING NEW YORK AND WMFO.

[DURING THE PERIOD OF [REDACTED] PROVIDED THE  
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CONCERNING [REDACTED] (X)  
SOURCE [REDACTED]

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IN DISCUSSIONS WITH SOURCE, [Redacted]

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[Redacted]

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FROM OBSERVATION, SOURCE NOTED

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[REDACTED]

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ADMINISTRATIVE:

FOR INFORMATION OF FBIHQ AND NEW YORK, PER REFERENCED  
TELCALL FROM THE ECONOMIC CRIMES UNIT, THE LEADS SET OUT FOR  
FBIHQ IN REFERENCED WMFO 7/28/92 TELETYPE AT FBIHQ'S REQUEST  
WILL NOT BE HANDLED BY WMFO. IN VIEW OF THE SINGULAR NATURE  
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NO ACTION WILL BE TAKEN AT THIS

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TIME BY WMFO BUT IS BEING PROVIDED TO NEW YORK AND FBIHQ FOR INTELLIGENCE. ~~(S)~~

IN REGARD TO THE

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FBIHQ AND NEW YORK WILL BE KEPT APPRISED OF INFORMATION DEVELOPED BY SOURCE [REDACTED] IN VIEW OF THE EXTREME SENSITIVITY OF SOURCE, DISSEMINATION OF THE ABOVE INFORMATION OUTSIDE THE FBI COULD RESULT IN DISCLOSURE OF SOURCE'S IDENTITY. ~~(S)~~ U

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TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK (C-12)/ROUTINE/

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CITE: //5540:MD128.224//

PASS: HQ FOR ATTN: CID, VCMOS-F, GRCU.

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);

FBW;MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY;

OO:NY.

ON JULY 17, 1992, THE CHIEF OF STATION, U.S. EMBASSY,  
MADRID, INTRODUCED LEGAT TO AN ACQUAINTANCE, [redacted] (X)

[redacted]

[redacted] WHO INDICATED HE WAS [redacted]

[redacted]

MARC RICH (S) (U)

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REASON: 1.5  
DECLASSIFICATION: X1

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AFTER CONTACTING THE NEW YORK CASE AGENT, LEGAT WAS

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ON JULY 29 1992,

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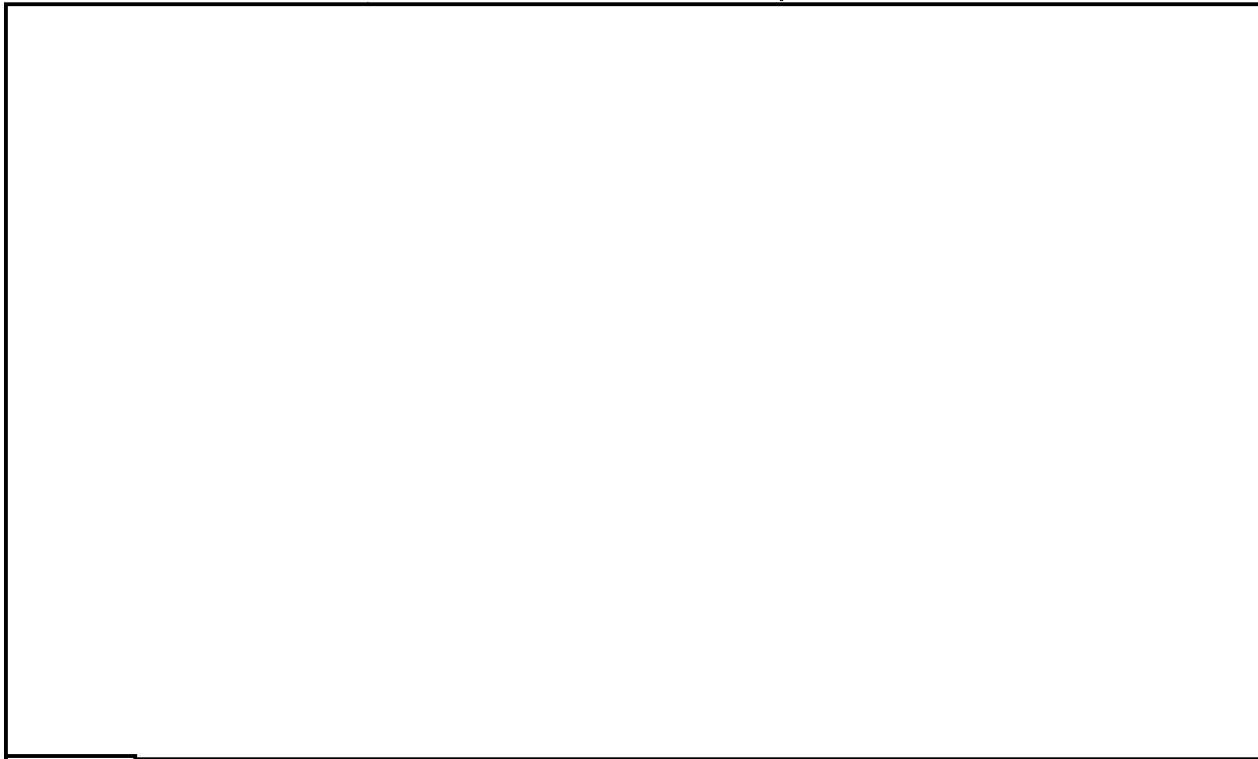
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[REDACTED] IS FURTHER DESCRIBED AS [REDACTED]

TELEPHONE NUMBER: [REDACTED]

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RECIPIENTS ARE REQUESTED TO ADVISE WHETHER LEGAT SHOULD

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TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

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SECTION ONE OF TWO SECTIONS

CITE: //5550:BR341W.232//

PASS: FBIHQ: CID, FUGITIVE UNIT, SSA [REDACTED]

ECONOMIC

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CRIMES UNIT, SSA [REDACTED]

SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; FBW;  
MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

FOR INFORMATION RECIPIENTS, MARC RICH WAS SUBJECT OF A  
FEATURE STORY IN THE SUNDAY, 8/16/92 EDITION OF THE SWISS  
WEEKLY NEWSPAPER "SONNTAGSZEITUNG". THE ARTICLE FEATURED A  
FULL PAGE PHOTO OF RICH AND THE HEADLINE, TRANSLATED FROM

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196A-1774-617

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SERIALIZED <input checked="" type="checkbox"/>	FILED <input checked="" type="checkbox"/>
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FBI - NEW YORK	

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HEREIN IS UNCLAS  
DATE 2-20-01 BY [REDACTED]

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GERMAN : "OF ALL PEOPLE, RICH'S OWN WIFE COULD NOW LAND HIM IN JAIL" A SUMMARY OF THE ARTICLE, TRANSLATED FROM GERMAN IS AS FOLLOWS: RICH'S WIFE OF 26 YEARS, A 48-YEAR OLD SELF-STYLED POP SINGER, HAS MOVED OUT OF THE COUNTRY VILLA IN BAAR AND IS NOW LIVING IN NEW YORK. SHE IS DEMANDING FROM MARC RICH NOT ONLY A HALF A BILLION DOLLARS IN DIVORCE SETTLEMENT, SHE HAS ALSO CHARGED HIM WITH FRAUD IN THE MILLIONS OF DOLLARS. THIS COULD PUT THE U. S. TAX FUGITIVE LIVING IN EXILE IN SWITZERLAND IN A MOST SERIOUS SITUATION, AS THE 'SUNDAY TIMES' SPECULATED LAST WEEK. ACCORDING TO A NEW AGREEMENT WITH THE USA, 'FISCAL DELINQUENTS' CAN BE EXTRADITED IF THE POSSIBLE PENALTY IS AT LEAST ONE YEAR. ALTHOUGH, AS JOERG KISTLER, PRESS SPOKESMAN FOR THE FEDERAL DEPARTMENT OF JUSTICE AND POLICE ADVISED, TAX OFFENSES ARE EXCLUDED FROM THE EXTRADITION AGREEMENT, COMMON FRAUD FALLS UNDER THE TERMS OF THE AGREEMENT.

AND IT IS EXACTLY THIS THAT DENISE IS ACCUSING HER HUSBAND FOR: HE ALLEGEDLY SWINDLED HER IN THE FOUNDING OF A COMMON BENEFICIAL FOUNDATION. SHE HAD TO PUT UP THE CAPITAL IN THE AMOUNT OF 50 MILLION DOLLARS HERSELF SO SAYS THE COMPLAINT FILED BY HER ATTORNEYS IN ZUG. THIS COMPLAINT IS BUT THE

PAGE THREE DE BER 0001 UNCLAS

NEWEST EPISODE IN THE DEPLORABLE, ALMOST TEN-YEAR OLD SAGA OF THE CONTROVERSIAL COMMODITIES DEALER. HE IS A FUGITIVE FROM U.S. JUSTICE, LOOKING AT UP TO 325 YEARS IN PRISON. HE IS CONSIDERED AS ONE OF THE GREATEST TAX EVADERS OF ALL TIMES, WAS A "BOYCOTT BREAKER" IN FAVOR OF THE SOUTH AFRICAN APARTHEID GOVERNMENT, SUPPORTED CHILE'S PINOCHET. UNDER THE TITLE "WANTED" 'TIME' MAGAZINE NAMED HIM AS THE NUMBER ONE FUGITIVE WHO COULD BE KIDNAPPED FROM ABROAD IN ACCORDANCE WITH A RECENT U.S. SUPREME COURT DECISION ALLOWING KIDNAPPING OF U.S. FUGITIVES ABROAD.

MARC RICH, ACTIVE IN ZUG, NOT ONLY CLOUDS THE IMAGE OF SWITZERLAND IN THE UNITED STATES, HE IS A PROVOCATION.

HIS BUSINESS IS INDEED BOOMING, SO WELL IN FACT THAT THE MARC RICH HOLDING AG, ONE OF THE WORLD'S MOST IMPORTANT COMMODITIES DEALERS LOCATED IN THE INNER-SWISS TAX PARADISE, HAS BECOME THE SECOND LARGEST SWISS BUSINESS - JUST BEHIND THE NESTLE CORPORATION. THE BUSINESS, WITH 1300 EMPLOYEES, AMOUNTS TO SOME 30 BILLION DOLLARS A YEAR, WITH PROFITS OF ABOUT 300 MILLION ANNUALLY. A FLOURISHING BUSINESS, A RESPECTED BUSINESSMAN WHO ALSO SPONSORS THE FINE ARTS, MUSIC



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AND SPORTS.

NOW, HOWEVER, IT SEEMS THAT THE AIR IS GETTING THINNER AROUND THE TYCOON AFTER ALMOST TEN YEARS OF UNDISTURBED SWISS PRESENCE. IN ADDITION TO HIS PRIVATE PROBLEMS, SEVERAL STORM CLOUDS ARE GATHERING ON THE HORIZON:

- THE NEW BOSS OF SALOMON BROTHERS BROKERS BROKE OF HIS BUSINESS RELATIONSHIP WITH RICH. WARREN BUFFETT DECIDED, " WE DO NOT DO BUSINESS WITH FUGITIVES FROM JUSTICE."

- A PROJECT TO FINANCE THE RENOVATION OF THE CZECHOSLOVAKIAN ALUMINUM INDUSTRY RICH FAILED BECAUSE THE CZECHOSLOVAK UNIONS PUT PRESSURE ON THE GOVERNMENT.

- IN BUCHAREST, 20,000 PEOPLE TOOK TO THE STREETS TO DEMONSTRATE AGAINST MARC RICH TAKING OVER THE FAMOUS HOTEL PALACE ATHENEE. THE NEW OPENING OF THE HOTEL FEATURED A GALA DINNER PREPARED BY FAMOUS CHEFS FLOWN IN FROM SWITZERLAND WHO ALLEGEDLY USED A HALF TON OF SUCCULENT DELICACIES ALSO FLOWN INTO BUCHAREST.

- IN BULGARIA THE UNIONS PROTESTED THE INTENTIONS OF MARC RICH AG TO MOVE THE STATE ALUMINUM INDUSTRY TO GREECE.

- THE LOCK-OUT OF 1700 UNION EMPLOYEES OF THE RICH-CONTROLLED RAVENSWOOD ALUMINUM CORPORATION IN WEST VIRGINIA

PAGE FIVE DE BER 0001 UNCLAS

BOOMERANGED ON RICH. AFTER TWENTY MONTHS OF A STRIKE, THE COMPANY HAD TO SETTLE WITH THE UNION AFTER A WORLD-WIDE SOLIDARITY CAMPAIGN. NEW WAGE CONTRACT FOR THE EMPLOYEES, REEMPLOYMENT OF THE LOCKED OUT EMPLOYEES AND REMOVAL OF THE HATED PRESIDENT OF THE FIRM, EMMET BOYLE.

- WITHIN TWO MONTHS, RICH HAS HAD TO SAY GOODBYE TO THREE MEMBERS OF HIS UPPER MANAGEMENT. WILLY STROTHOTTE, 48, CHIEF OF ALUMINUM OPERATIONS AND RICH'S RIGHT HAND MAN LEFT TWO MONTHS AGO AND AT THE END OF JULY TWO OTHER LONG-TIME RICH ASSOCIATES LEFT THE FIRM. THE "TAGES-ANZEIGER" RAN THE HEADLINE "MARC RICH IS GETTING MORE AND MORE LONELY".

THE ARTICLE GOES ON TO ADMIT THAT THE ABOVE FACTORS MAY NOT REALLY MEAN MUCH, BUT THEY ARE PROBLEM AREAS FOR RICH. ON THE OTHER HAND, HE HAS THE BEST ATTORNEYS AND ENJOYS POLITICAL PROTECTION, WHICH WAS SHOWN IN 1983 WHEN HE VERY QUIETLY AND SIMPLY WAS ABLE TO TAKE UP RESIDENCE IN ZUG, IN A CANTON WHOSE PROSECUTING ATTORNEY IS AT THE SAME TIME ON RICH'S BOARD OF DIRECTORS. HIS LEGAL STATUS IS AN "OFFICIAL SECRET" WAS THE LACONIC REPLY OF A SPOKESMAN OF THE ZUG CANTONAL POLICE.

RICH HIMSELF SAYS HE DOES NOT BELIEVE HE HAS COMMITTED A

PAGE SIX DE BER 0001 UNCLAS

CRIME, ALTHOUGH HE DID ACCEPT A FINE IN THE AMOUNT OF 150 MILLION DOLLARS - AS A CORPORATE PERSON. THE ARTICLE DESCRIBES HOW HE DID NOT FIND MUCH SYMPATHY IN THE UNITED STATES WHEN HE VIOLATED THE BOYCOTT AGAINST SOUTH AFRICA BETWEEN 1979 AND 1986 BY DELIVERING SIX PERCENT OF THAT RACIST NATIONS OIL NEEDS EARNING FOR HIMSELF IN THE PROCESS, ACCORDING TO OIL EXPERTS, A BILLION DOLLARS.

THE MAGNATE'S FREEDOM OF MOVEMENT HAS BEEN GREATLY REDUCED SINCE HE WAS PLACED ON THE INTERPOL WANTED LIST. AT LEAST TWICE HE IS REPORTED TO HAVE NARROWLY ESCAPED HIS HUNTERS, SAYS JOSEF LANG, LEADER OF THE ZUG ALTERNATIVE LEFT PARTY, WHO IS ALWAYS POLITICALLY AT ODDS WITH RICH. ON ONE OCCASSION RICH WAS ABOUT TO LAND IN FINLAND IN HIS FIRM'S PRIVATE JET WHEN HE LEARNED THAT AN ARREST WARRANT WAS WAITING FOR HIM CAUSING HIM TO ABORT THE LANDING AND LEAVE. BECAUSE HE TRAVELS WITH FALSE DOCUMENTS, HE HAS HAD TO TEAR UP CHECKS HE HAD SIGNED AND HAD WITH HIM AND WHICH WERE IN HIS TRUE NAME. CONCERNING THE RESTRICTIONS ON HIS TRAVEL, RICH TOLD THE

BT

#0001

NNNN

0024 MRI 00579

RR RUCNFB FBINY

DE BER #0002 2331327

ZNR UUUUU

R 201255Z AUG 92

FM LEGAT BERN (196A-NY-1774) (P)

TO DIRECTOR FBI/ROUTINE/

FBI NEW YORK/ROUTINE/

BT

UNCLAS

SECTION TWO OF TWO SECTIONS

CITE: //5550:BR341W.232//

PASS: FBIHQ: CID, FUGITIVE UNIT, SSA [REDACTED] ECONOMIC

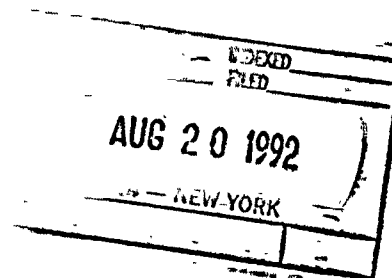
b6  
b7C

CRIMES UNIT, SSA [REDACTED]

SUBJECT: MARC RICH - FUGITIVE; PINCUS GREEN - FUGITIVE; FBW;  
MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:NY.

TEXT CONTINUES:

SONNTAGSZEITUNG THAT IT WOULD OF COURSE BE NICER TO BE ABLE TO  
TRAVEL FREELY, "BUT OUR FIRM HAS BRANCHES IN OVER FORTY  
COUNTRIES AND IT IS NOT NECESSARY THAT I PERSONALLY APPEAR."



PAGE TWO DE BER 0002 UNCLAS

THE NEWSPAPER COMMENTED THAT THIS SOUNDS A BIT LIKE FORCED OPTIMISM.

LEGAT'S COMMENT: IN SPITE OF THE HEADLINE THAT [REDACTED] [REDACTED] COULD PUT HIM BEHIND BARS, LEGAT SERIOUSLY DOUBTS THAT THE CRIMINAL COMPLAINT FILED [REDACTED] WILL CAUSE RICH MUCH OF A LEGAL PROBLEM. ZUG IS A SMALL CANTON WHERE THE PROSECUTING ATTORNEYS ARE PART-TIMERS, SHARING THEIR PUBLIC PROSECUTOR DUTIES WITH THEIR PRIVATE LAW OFFICES. THE SWISS "LEGAL FRATERNITY" IS A RATHER SMALL AND CLOSE CIRCLE AND RICH HAS SOME OF THE MOST IMPORTANT AND INFLUENTIAL LAWYERS AND LAW FIRMS WORKING ON HIS BEHALF. THE COMPLAINT FILED [REDACTED] SOUNDS MUCH MORE LIKE A SITUATION WHERE RICH RENEGED ON AN AGREEMENT TO DONATE MONEY TO A TRUST RATHER THAN FRAUD AS WOULD BE THOUGHT OF UNDER U.S. LAW. IN ANY EVENT, LEGAT WILL CONTINUE TO MONITOR THE SITUATION AND REPORT ANY AVAILABLE INFORMATION. IT IS LEGAT'S HOPE THAT ARTICLES SUCH AS THE ABOVE WILL MOTIVATE SOME WELL-INTENTIONED SWISS CITIZEN WITH ACCESS TO "DROP THE DIME" CONCERNING ONE OF RICH'S TRIPS ABROAD.

b6  
b7c

BT

#0002

NNNN

SI 196A-NY-1774  
BDS:cms

The following investigation was conducted by Special Agent (SA) [redacted] at Decatur, Illinois:

b6  
b7C

On August 11, 1992, [redacted] ARCHER, DANIELS, MIDLAND COMPANY (ADM), 4666 Faries Parkway, Decatur, telephone number (217) 424-5200, advised he had met MARC RICH approximately [redacted] ago at RICH's office in Zug, Switzerland. [redacted] advised the meeting was conducted because RICH had asked for [redacted]

b6  
b7C

[redacted] advised he has never met RICH at any other location, other than Zug, Switzerland nor have any of the other ADM employees met with RICH, other than his Zug, Switzerland office.

[redacted] advised RICH is widely known as a dealer in very large commodities of grain, and he also trades in aluminum and other products. [redacted] stated RICH is able to make deals which ADM can not enter, because RICH does not have to operate under United States laws. [redacted] advised RICH operates in Germany, Russia and South America.

b6  
b7C

[redacted] recalled a deal that ADM had made with the German Government in the sale of four million tons of grain. [redacted] advised the German Government recommended that ADM pay RICH ten percent of the deal, which ADM ultimately paid to RICH.

b6  
b7C

[redacted] advised he is not aware of any location where RICH has been seen, other than in his office in Zug, Switzerland. [redacted] advised that everyone in the grain industry is aware RICH is wanted by law enforcement officers, and [redacted] believed RICH is cognizant of this and will not leave Switzerland.

b6  
b7C

[redacted] advised RICH has an office in Rotterdam, which is [redacted] one of RICH's employees, [redacted] furnished a photocopy of [redacted] business card.

b6  
b7C

[redacted] advised he would contact the Decatur Resident Agency of the FEDERAL BUREAU OF INVESTIGATION if he was aware of any location for RICH other than Zug, Switzerland.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

b6  
b7C

196A-NY-1774-618

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 04 1992	
FBI-NEW YORK	

1  
4

FBI

TRANSMIT VIA:  
 Teletype  
 Facsimile  
 AIRTEL

PRECEDENCE:  
 Immediate  
 Priority  
 Routine

CLASSIFICATION:  
 TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 8/24/92

TO : ADIC, NEW YORK (196A-NY-1774)  
(SQUAD C-12)  
FROM : SAC, SPRINGFIELD (196A-NY-1774) (RUC)  
SUBJECT : MARC RICH - FUGITIVE (B);  
PINCUS GREEN - FUGITIVE (B);  
FBW; MF; RICO; INCOME TAX EVASION;  
TRADING WITH THE ENEMY  
OO: NEW YORK

RE: New York airtel to Springfield, dated 7/31/92.

Enclosed for the New York Division are the following:

- 1) Two copies of an investigative insert reflecting information furnished by [redacted] on 8/11/92.
- 2) An FD-340 containing the original interview notes regarding the interview of [redacted] and a photocopy of a business card provided by [redacted].

Since no further investigation remains outstanding in the Springfield Division, this matter is considered RUC.

DP ② - New York (Encls. 3) PK  
1 - Springfield (SI 196A-NY-1774)  
BDS:cms  
(3)

1964-NY-1774-6A

SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_  
SERIALIZED *sa* FILED *sa*

AUG 31 1992

NEW YORK  
[redacted]

See 1A exhibit + 1<sup>1\*</sup> 36

b6  
b7C

b6  
b7C

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ (Number) \_\_\_\_\_ (Time) Per \_\_\_\_\_

01-081

ALL INFORMATION CONTAINED  
HEREIN IS UNCLAS  
DATE 2-20-01 BY [redacted]

ADIC \_\_\_\_\_  
 DADIC \_\_\_\_\_  
 SAC ADM \_\_\_\_\_  
 ASAC I \_\_\_\_\_  
 ASAC TE \_\_\_\_\_  
 SAC I \_\_\_\_\_  
 ASAC I \_\_\_\_\_  
 ASAC B \_\_\_\_\_  
 ASAC C \_\_\_\_\_  
 ASAC \_\_\_\_\_  
 DATE: 8/25/92  
 SAC I \_\_\_\_\_  
 ASAC WCC \_\_\_\_\_  
 ASAC C \_\_\_\_\_  
 ASAC I \_\_\_\_\_  
 ASAC V \_\_\_\_\_  
 SSA \_\_\_\_\_  
 OSM \_\_\_\_\_  
 SUPV \_\_\_\_\_

TRANSMIT VIA: Airtel

CLASSIFICATION: \_\_\_\_\_

FROM: Director FBI

TO: SAC, New York (196A-NY-1774)

MARC RICH - FUGITIVE (B);  
 PINCUS GREEN - FUGITIVE (B);  
 FBW; MF; RICO; TAX EVASION;  
 TRADING WITH THE ENEMY;  
 OO: NEW YORK

Reference New York teletype to the Bureau dated 7/22/92.

Reference teletype requested FBIHO consider the [redacted] a source developed by [redacted]

b7D  
b7E

This request was not considered on the strengths of it's merit because [redacted]

b7D  
b7E

In the event the New York Office (NYO) wishes to pursue this further in [redacted] involved in this matter have [redacted] NYO should resubmit the request detailing the [redacted] and the involvement of the FBI in the [redacted]

b7D  
b7E

ALL INFORMATION CONTAINED  
 HEREIN IS UNCLASSIFIED  
 DATE 2-20-01 BY [redacted]  
 01-08

b7D  
b7E

196A-NY-1774-620  
 SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_  
 SERIALIZED \_\_\_\_\_ FILED \_\_\_\_\_  
 AUG 28 1992  
 FBI NEW YORK



b6  
b7C

~~SECRET~~

0050 MRI 01015  
PP RUCNFB FBINY  
DE FBIWMFO #0028 2401602  
ZNY EEEEE  
P 271552Z AUG 92  
FM FBI WMFO (196A-NY-1774) (P) (C-9)  
TO DIRECTOR FBI/PRIORITY/  
FBI NEW YORK/PRIORITY/  
BT

~~UNCLAS E F T O~~  
CITE: //3920//

PASS: FBIHQ CID SSA [redacted] FUGITIVE UNIT; SSA [redacted]  
[redacted] INTD, CI-1B AND CI-3A; NEW YORK SA [redacted]  
SQUAD I-6 AND NEW YORK SA [redacted] SQUAD C-12.

b6  
b7C

*Handwritten mark*

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE  
ENEMY; OO: NEW YORK.

RE WMFO TELETYPES TO FBIHQ AND NEW YORK, 7/28/92 AND  
8/4/92, AND TELCALLS BETWEEN WMFO SA [redacted] AND NEW  
YORK SA [redacted] 8/25 AND 8/26/92.

b6  
b7C

*Handwritten:* 1001002  
8/27/92

~~SECRET~~  
CLASSIFIED BY [redacted]  
REASON: 1.5  
DECLASSIFY ON: X16  
01-08/ 2-20-01

ALL INFORMATION CONTAINED  
HERE IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

*Handwritten:* I-  
C-12

b6  
b7C

196A-NY-1774-621  
SEARCHED [initials] INDEXED [initials]  
SERIALIZED [initials] FILED [initials]  
AUG 27 1992  
FBI - NEW YORK

~~CONFIDENTIAL~~

PAGE TWO DE FZIWMO 0028 UNCLAS E F T O

FOR INFORMATION OF FBIHQ, ON 8/25 AND 26/92, A VERY  
RELIABLE AND SENSITIVE [REDACTED] SOURCE ADVISED WMFO CASE AGENT

[REDACTED]

b7D  
b7F

[REDACTED] (~~S~~) X (~~S~~) (U)

ADMINISTRATIVE:

SOURCE PROVIDING ABOVE INFORMATION IS [REDACTED] (~~S~~) (U)

b7D  
b7F

FOR INFORMATION OF FBIHQ AND NEW YORK ONLY, SOURCE

REVEALED ABOVE INFORMATION FROM [REDACTED]

[REDACTED]

[REDACTED] (~~S~~) (U)

[REDACTED]

[REDACTED] SOURCE CAUTIONED [REDACTED] THAT [REDACTED] (~~S~~) (U)

b7D  
b7F

[REDACTED]

(~~S~~) X (~~S~~)

~~CONFIDENTIAL~~

[REDACTED]

b7D  
b7F

[REDACTED]

~~(S)~~ (U)

THE ABOVE IS FURNISHED FOR WHATEVER ACTION DEEMED APPROPRIATE BY NEW YORK AND FBIHQ.

IN VIEW OF THE PRESENCE OF ARMED BODYGUARDS, SUBJECT SHOULD BE CONSIDERED ARMED AND DANGEROUS.

WARNING: INFORMATION FROM [REDACTED] ~~(S)~~ (U) IS HIGHLY SINGULAR IN NATURE AND DISCLOSURES COULD REVEAL SOURCE'S IDENTITY.

b7D  
b7F  
b7E

SOURCE IS [REDACTED]

[REDACTED]

WHOSE IDENTITY, IF REVEALED, WILL POSITIVELY LEAD TO PHYSICAL DANGER TO SOURCE AND SOURCE'S FAMILY. ~~(S)~~

WARNING: [REDACTED]

b6  
b7C  
b7D  
b7F  
b7E

[REDACTED]

[REDACTED]

~~(S)~~ (U)

WARNING: STATEMENT TO BE ADDED TO ANY DISSEMINATION: INFORMATION ON THIS DOCUMENT SHOULD NOT BE PROVIDED TO ANY

~~(S)~~

~~SECRET~~

PAGE FOUR DE FBIWMFO 0028 ~~UNCLAS E F T O~~  
OTHER AGENCY WITHOUT PRIOR BUREAU APPROVAL.

WARNING:

[Redacted]

b7E

[Redacted]

BT

#0028

MNNN

~~SECRET~~

FD-36 (Rev. 8-29-85) b6  
b7C

~~SECRET~~ FBI

TRANSMIT VIA:  
 Teletype  
 Facsimile  
 AIRTEL

PRECEDENCE:  
 Immediate  
 Priority  
 Routine

CLASSIFICATION:  
 TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 8/27/92

*Concussions P. 1, 4*  
*corrections made dfl*

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)  
TO DIRECTOR FBI/PRIORITY/  
BT

~~UNCLAS~~

CITE: //3540//

PASS: HQ FOR SSA [redacted] FUGITIVE/GOVERNMENT RESERVATION  
CRIMES UNIT; SSA [redacted] ECONOMIC CRIMES UNIT; OFFICE of  
LIAISON INTERNATIONAL AFFAIRS (OLIA); DEPUTY DIRECTOR REX  
YOUNG, OFFICE OF INTERNATIONAL AFFAIRS (OIA), US DEPARTMENT OF  
JUSTICE.

b6  
b7C

*Subject Rich should be considered armed and dangerous.*

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);

1-NEW YORK

1-SUPV. C-12

JCM:dx001w.240

(2)

b6  
b7C

196A-NY-1774-600  
Searched \_\_\_\_\_  
Serialized See  
Indexed \_\_\_\_\_  
Filed See

Approved: JHE/hyp Original filename: DXB001W.240

Time Received: \_\_\_\_\_ Telprep filename: DXB001SO.240

MRI/JULIAN DATE: 1174/244 ISN: 014

FOX DATE & TIME OF ACCEPTANCE: 2007

~~SECRET~~

*196A-NY-1774-600*  
*196A-NY-1774-600*  
*196A-NY-1774-600*

[redacted]

196A-NY-1774-600  
DECLASSIFICATION: 01-089-2-20-01

~~SECRET~~

^PAGE 2 NY 196A-NY-1774

~~UNCLAS~~

FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:  
NY.

REFERENCE NEW YORK TELEPHONE CALL FROM SA [REDACTED]

[REDACTED] NEW YORK OFFICE (NYO), TO SSA [REDACTED]

INTERPOL REPRESENTATIVE, AUGUST 27, 1992.

IT IS REQUESTED THAT OLIA TRANSMIT THIS TELETYPE TO  
INTERPOL REPRESENTATIVE IN WASHINGTON, DC FOR TRANSMITTAL TO  
INTERPOL, MOSCOW.

ASSISTANT UNITED STATES ATTORNEY (AUSA) [REDACTED]  
SOUTHERN DISTRICT OF NEW YORK (SDNY), WHO IS ASSIGNED THE MARC  
RICH CASE, AND [REDACTED] OF THE OFFICE OF  
INTERNATIONAL AFFAIRS (OIA) HAVE BEEN ADVISED OF THE SUBSTANCE  
OF THIS TELETYPE.

FOR INFORMATION, MARC RICH IS CURRENTLY A FUGITIVE WHO  
WAS INDICTED IN THE SDNY IN A MULTI-COUNT INDICTMENT ON  
SEPTEMBER 19, 1983. HE RESIDES IN ZUG, SWITZERLAND, WHERE HE  
OPERATES NUMEROUS COMPANIES WORLDWIDE THAT ARE ENGAGED IN  
TRADING VARIOUS COMMODITIES.

MARC RICH IS DESCRIBED AS FOLLOWS:

b6  
b7C

b6  
b7C

~~SECRET~~

^PAGE 3 NY 196A-NY-1774

~~UNCLAS~~

WHITE MALE, BORN DECEMBER 18, 1934, IN ANTWERP, BELGIUM, ABOUT 5'9" OR 5'10", MEDIUM BUILD, BROWN EYES, NO FACIAL HAIR, BLACK AND GRAY HAIR WHICH IS THIN ON TOP. RICH MAY OR NOT TRAVEL UNDER HIS TRUE NAME.

THE FBI IN NEW YORK (NY) HAS RECEIVED RELIABLE INFORMATION THAT

[REDACTED]

[REDACTED]

b7D  
b7F

[REDACTED]

~~(S)~~ (U)

IT IS REQUESTED THAT FBIHQ CONTACT

[REDACTED]

b6  
b7C  
b7E

[REDACTED]

[REDACTED]

~~(S)~~ (U)

IT IS REQUESTED THAT

[REDACTED]

[REDACTED]

b7E

[REDACTED]

~~(S)~~ (U)

IT IS NOTED

[REDACTED]

[REDACTED]

b7D  
b7E  
b7F

[REDACTED]

WILL BE ESSENTIAL

~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

^PAGE 4 NY 196A-NY-1774

UNCLAS

*Rich should be considered Armed and Dangerous because he*  
~~IT IS ALSO NOTED RICH ALLEGEDLY~~ 



b7D  
b7F  
b7E

BT

~~SECRET~~



~~SECRET~~

0054 MRI 01174

PP FBINY

DE FBINY #0014 2442009

ZNR UUUUU

P 312005Z AUG 92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR SSA [redacted] FUGITIVE/GOVERNMENT RESERVATION  
CRIMES UNIT; SSA [redacted] ECONOMIC CRIMES UNIT; OFFICE OF  
LIAISON AND INTERNATIONAL AFFAIRS (OLIA); DEPUTY DIRECTOR REX  
YOUNG, OFFICE OF INTERNATIONAL AFFAIRS (OIA), US DEPARTMENT OF  
JUSTICE.

b6  
b7C

SUBJECT: MARC RICH-FUGITIVE (B); PINCUS GREEN-FUGITIVE (B);  
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE ENEMY; OO:  
NY.

SUBJECT RICH SHOULD BE CONSIDERED ARMED AND DANGEROUS.

REFERENCE NEW YORK TELEPHONE CALL FROM SA [redacted]

b6  
b7C

196A-NY-1774-628

[redacted]

b6  
b7C

Searched \_\_\_\_\_  
Serialized Saw  
Indexed Sen

[redacted]

~~SECRET~~

~~SECRET~~

196-34001-081



PAGE TWO DE FBINY 0014 UNCLAS

b6  
b7C

[REDACTED] NEW YORK OFFICE (NYO), TO SSA [REDACTED]

INTERPOL REPRESENTATIVE, AUGUST 27, 1992.

IT IS REQUESTED THAT OLIA TRANSMIT THIS TELETYPE TO INTERPOL REPRESENTATIVE IN WASHINGTON, DC FOR TRANSMITTAL TO INTERPOL, MOSCOW.

ASSISTANT UNITED STATES ATTORNEY (AUSA) [REDACTED] SOUTHERN DISTRICT OF NEW YORK (SDNY), WHO IS ASSIGNED THE MARC RICH CASE, AND [REDACTED] OF THE OFFICE OF INTERNATIONAL AFFAIRS (OIA) HAVE BEEN ADVISED OF THE SUBSTANCE OF THIS TELETYPE.

b6  
b7C

FOR INFORMATION, MARC RICH IS CURRENTLY A FUGITIVE WHO WAS INDICTED IN THE SDNY IN A MULTI-COUNT INDICTMENT ON SEPTEMBER 19, 1983. HE RESIDES IN ZUG, SWITZERLAND, WHERE HE OPERATES NUMEROUS COMPANIES WORLDWIDE THAT ARE ENGAGED IN TRADING VARIOUS COMMODITIES.

MARC RICH IS DESCRIBED AS FOLLOWS:

WHITE MALE, BORN DECEMBER 18, 1934, IN ANTWERP, BELGIUM, ABOUT 5'9" OR 5'10", MEDIUM BUILD, BROWN EYES, NO FACIAL HAIR, BLACK AND GRAY HAIR WHICH IS THIN ON TOP. RICH MAY OR NOT TRAVEL UNDER HIS TRUE NAME.

THE FBI IN NEW YORK (NY) HAS RECEIVED RELIABLE ~~(S)~~ (U)

INFORMATION THAT

[REDACTED]

[REDACTED]

b7D  
b7F

[REDACTED]

~~(S)~~ (U)

IT IS REQUESTED THAT FBIHQ CONTACT

[REDACTED]

[REDACTED]

b6  
b7C  
b7E

IT IS REQUESTED THAT

[REDACTED]

[REDACTED]

b7E

[REDACTED]

~~(S)~~ (U)

IT IS NOTED

[REDACTED]

[REDACTED]

b7D  
b7E  
b7F

~~(S)~~ (U)

RICH SHOULD BE CONSIDERED ARMED AND DANGEROUS BECAUSE HE

ALLEGEDLY

[REDACTED]

[REDACTED]

b7D  
b7F  
b7E

PAGE FOUR DE FBINY 0014 ~~UNCLAS~~

BT

#0014

NNNN

JMC:dxh

On August 27, 1992, [redacted] furnished the following information to Special Agent (SA) [redacted]

b6  
b7C  
b7D

Cooperating Witness (CW) advised [redacted]

[redacted]

b7D

CW said [redacted]

[redacted]

b7D

CW added [redacted]

[redacted]

(X)

9

ALL INFORMATION CONTAINED  
HEREIN IS UNCLAS  
DATE 2-20-01 BY [redacted]

01-081

b6  
b7C

196A 1774 -624

SEARCHED	INDEXED
SERIALIZED <i>sw</i>	FILED <i>sw</i>
SEP 11 1992	
FBI - NEW YORK	

SI 196A-NY-1774  
BDS:cms

The following investigation was conducted by Special Agent (SA) [redacted] at Decatur, Illinois:

b6  
b7C

On August 29, 1992, photocopies of telefax messages were received, via the United States Mail, from [redacted]

[redacted]

196A-NY-1774-625

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]  
01-08

b6  
b7C

SEARCHED	INDEXED
SERIALIZED <i>sw</i>	FILED <i>sw</i>
SEP 16 1992	
FBI - NEW YORK	

*FT/BC*

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 9/8/92

TO : ADIC, NEW YORK (196A-NY-1774)  
(SQUAD C-12)

FROM *DES/MAK* SAC, SPRINGFIELD (196A-NY-1774) (RUC)

SUBJECT : MARC RICH - FUGITIVE (B);  
PINCUS GREEN - FUGITIVE (B);  
FBW; MF; RICO; INCOME TAX EVASION;  
TRADING WITH THE ENEMY  
OO: NEW YORK

RE: Springfield airtel to New York, dated 8/24/92.

Enclosed for the New York Division are the following:

1) An FD-340 containing photocopies of telefax messages received by [redacted] which were sent to the Decatur, Illinois RA.

2) The original and one copy of an insert which reflects the receipt of the above-mentioned telefax messages.

The enclosed photocopies are being furnished to the New York Office for information purposes.

As no further investigation is outstanding in the Springfield Division, this matter is being considered RUC.

*IA to C/A for review*

*[Signature]* New York (Encls. 3) *JK*

1 - Springfield (SI 196A-NY-1774)

BDS:cms

(3)

96A-NY-1774-626

SEARCHED	INDEXED
SERIALIZED <i>See</i>	FILED <i>See</i>
SEP 25 1992 FBI - NEW YORK	

*[Signature]*

1\* b6 b7C

b6 b7C

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ Per \_\_\_\_\_  
(Number) (Time)

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY  
01-081

JCM:dxh

On September 2, 1992, [redacted] furnished the following information to Special Agent (SA) [redacted]

b6  
b7C  
b7D

Source advised the [redacted]

[redacted]

Source said [redacted]

[redacted]

b7D

Source will furnish information concerning any possible

[redacted]

①  
y

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]  
01-081

b6  
b7C

196A-NY-1774-627  
SEARCHED INDEXED  
SERIALIZED *SA* FILED *SA*  
SEP 11 1992  
FBI-NEW YORK



JCM:dxh

On September 1, 1992, [redacted] furnished the following information to Special Agent (SA) [redacted]

b6  
b7C  
b7D

Source advised [redacted]

[redacted]

Source is also in the process of learning [redacted]

[redacted]

(X)  
d

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 02-26-01 BY [redacted]

b6  
b7C

01-081

196A-NY-1774-628

SEARCHED	INDEXED
SERIALIZED <i>SAW</i>	FILED <i>SAW</i>
SEP 11 1992	
FBI - NEW YORK	

JCM:dxh

~~SECRET~~

On August 28, 1992, [redacted] furnished the following information to Special Agent (SA) [redacted]

Source advised [redacted]

[redacted]

(S) U

b6  
b7C  
b7D

Source stated [redacted]

[redacted]

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE~~

~~CLASSIFIED BY [redacted]  
REASON: 1.5  
DECLASSIFICATION: 1.6  
01-08-01 2-20-01~~

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
DATE 2-20-01 BY [redacted]~~

DECLASSIFIED BY [redacted]

REF 2-20-01 01-08-01

~~SECRET~~

b6  
b7C

196A - NY - 1774 - 629

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 11 1992	
FBI - NEW YORK	

(X)



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

New York, New York 10278

September 11, 1992

MARC RICH - FUGITIVE (B);  
PINCUS GREEN - FUGITIVE (B);  
FRAUD BY WIRE, MAIL FRAUD  
RACKETEER INFLUENCED AND CORRUPT ORGANIZATIONS  
INCOME TAX EVASION,  
TRADING WITH THE ENEMY

It is requested the red notice of PINCUS GREEN, Number  
[redacted] be updated with the following information:

GREEN has a [redacted]  
[redacted]

b6  
b7C

196A-NY-1774-630

SEARCHED	INDEXED
SERIALIZED <i>sa</i>	FILED <i>sa</i>
SEP 16 1992	
FBI - NEW YORK	

⑨

- 4 - Bureau (196A-NY-1774, Foreign Liaison Unit 2)
  - (1 - Economic Crimes Unit)
  - (1 - Fugitive/Government Reservation Crimes Unit)
- 1 - New York (196A-NY-1774)

JCM:cg  
(6)

b6  
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLAS.  
DATE 2-20-91 BY [redacted]

01-081

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date SEP 15 1992

TO : DIRECTOR, FBI  
 (ATTN:  FOREIGN LIAISON UNIT 2)

FROM : ADIC, NEW YORK (196A-NY-1774) (P) b6  
b7C

SUBJECT : MARC RICH - FUG. (B);  
 PINCUS GREEN - FUG. (B);  
 FBW, MF, RICO,  
 INCOME TAX EVASION,  
 TRADING WITH THE ENEMY;  
 OO:NY

ReNYat with LHMs, dated 7/20/92.

Enclosed for FBIHQ, Foreign Liaison Unit 2, are the original and one copy of an LHM for the purpose of updating the red notice of PINCUS GREEN.

Enclosed for FBIHQ for information, Economic Crimes Unit and Fugitive/Government Reservation Crimes Unit is one copy each of the above mentioned LHM.

- 4 - Bureau (Encl. 2)
- (1 - Economic Crimes Unit) (Encl. 1)
- (1 - Fugitive/Government Reservation Crimes Unit) (Encl. 1)
- ① - New York (196A-NY-1774)

JCM:cg  
(6)

1\*

①  
9

196A-NY-1774-631

Approved: \_\_\_\_\_

Transmitted \_\_\_\_\_

(Number) (Time)

Searched \_\_\_\_\_  
 Serialized Saw  
 Indexed \_\_\_\_\_  
 Filed Saw

ALL INFORMATION CONTAINED  
 HEREIN IS UNCLASSIFIED  
 DATE 01-08-1

b6  
b7C

9/16/92 TBC

EXEMPTED FROM AUTOMATIC  
DECLASSIFICATION  
AUTHORITY DERIVED FROM:  
FBI AUTOMATIC DECLASSIFICATION GUIDE  
EXEMPTION CODE: 25X(1,6)  
DATE 07-16-2019 BY: [redacted]

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

b6  
b7C

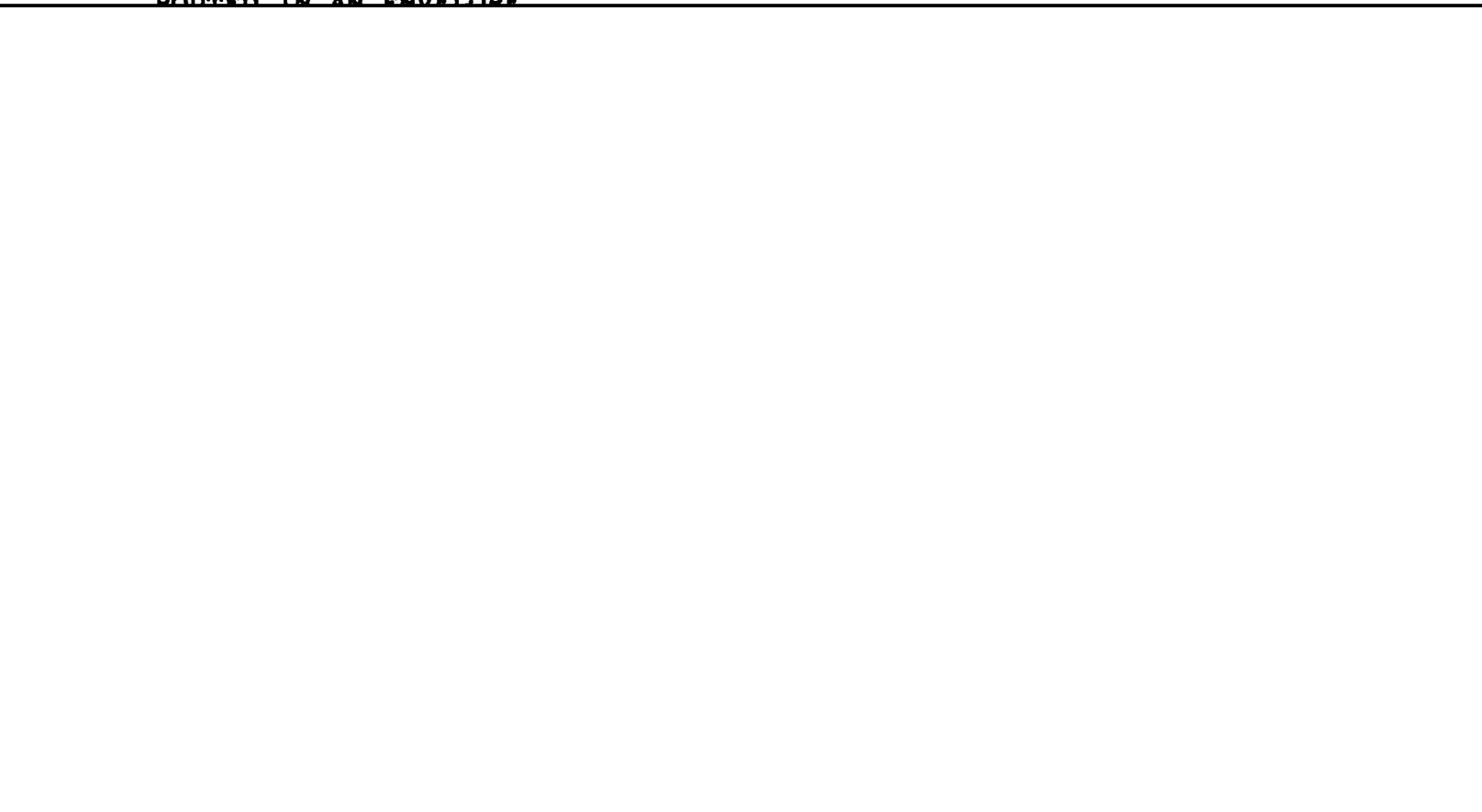


~~SECRET~~

TO: SAC, DIVISION I (U) ) Date: [9/16-17/92] (S) (U)  
FROM: SUPERVISOR [(I-41)] (S) [DOH: 10/3/92]  
RE: [redacted] (S) [DOT: 10/7/92] (S) (U)

b1  
b3

THIS COMMUNICATION REQUIRES SPECIAL HANDLING AND MUST BE  
ROUTED IN AN ENVELOPE



b1  
b3

~~WARNING NOTICE: SENSITIVE INTELLIGENCE  
SOURCES AND METHODS INVOLVED (WNINTEL)  
NOT RELEASABLE TO FOREIGN NATIONALS (NOFORN)  
CAUTION: PROPRIETARY INFORMATION INVOLVED  
(PROPIN)~~

1 - New York (ATTN: RM. 2600)

~~SECRET~~

Classified by: G-3  
Declassify on: OADR

DATE/DAY: 10/7/92

CLASSIFIED BY [redacted]  
REASON: 1.5  
DECLASSIFY ON: XI, 6 01-081

b6  
b7C

(C-12)

196A-1774-632

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 19 1992	
FBI NEW YORK	

2-20-01

196A-NY-1774  
JCM:jcm

On September 30, 1992, [redacted] furnished  
the following information to Special Agent [redacted]

b6  
b7C  
b7D

Cooperating Witness (CW) advised [redacted]

[redacted]

CW said [redacted]

[redacted]

b7D

CW said after [redacted]

[redacted]

(X)  
of

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

b6  
b7C

196A-NY-1774-633

SEARCHED _____	INDEXED _____
SERIALIZED <i>So</i>	FILED <i>So</i>
OCT 02 1992	
FBI-NEW YORK	

~~SECRET~~

b6  
b7C

0042 MRI 01052  
RR RUCNFB FBINY  
DE FBIMM #0018 2932015  
ZNR UUUUU  
R 192013Z OCT 92

FM FBI MIAMI (196A-NY-1774) [redacted] (P) b3  
b7E

TO DIRECTOR FBI/ROUTINE/  
FBI NEW YORK (196A-NY-1774)/ROUTINE/  
BT

*Needs  
to go  
to  
file.*

~~UNCLAS~~

CITE: //3460//

PASS: HQ FOR SSA [redacted] INTD, CI-1B; NY FOR SQUAD b6  
b7C

C-12, SA [redacted]

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE  
ENEMY; OO:NY.

RE MIAMI TELCALL TO NEW YORK DATED 10/13/92; MIAMI  
TELCALL TO BUREAU DATED 10/14/92.

(S) THE INFORMATION SET OUT BELOW IS TO BE USED FOR LEAD b1  
PURPOSES ONLY, AS [redacted] IS CURRENTLY FURNISHING VALUABLE (S) b3

~~CLASSIFIED BY [redacted]  
REASON: 1.5 (C, D)  
DECLASSIFY ON: X 1, 6  
01-081 2-20-01~~

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE~~

~~SECRET~~ b6  
b7C

6A-1774-634  
SEARCHED [initials] INDEXED [initials]  
SERIALIZED [initials] FILED [initials]  
OCT 19 1992  
FBI - NEW YORK  
C-12 [initials]

~~SECRET~~

PAGE TWO DE FBIMM 0018 UNCLAS

[REDACTED] INFORMATION AND ASSET'S IDENTITY CANNOT BE EXPOSED THROUGH [REDACTED] *DU*

b7D  
b7E

(S) [REDACTED] RELIABLE) RECENTLY [REDACTED]

b1  
b3  
b7D

~~(S)~~ (U)

(S)

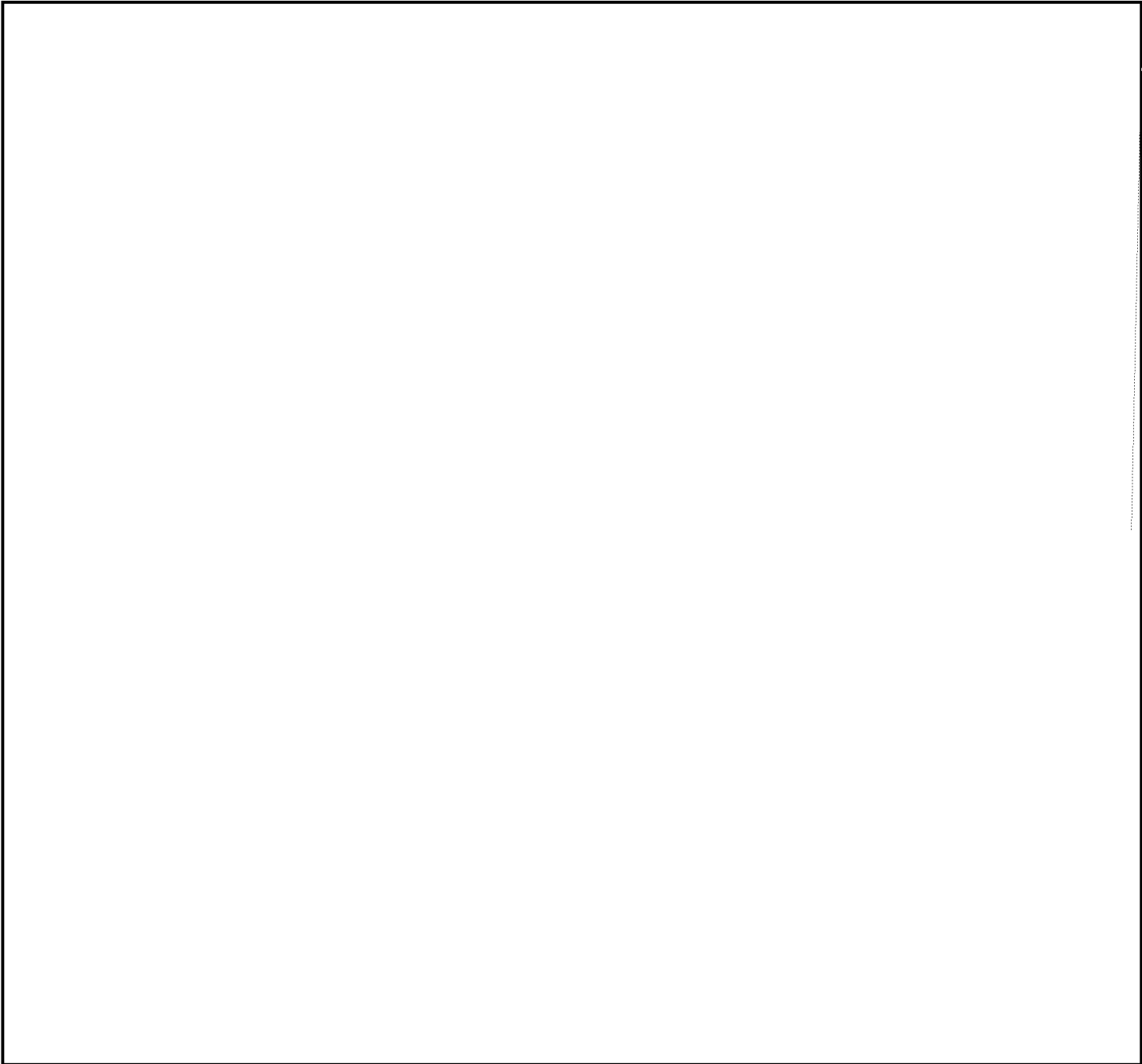
b1  
b3

~~SECRET~~



~~SECRET~~

PAGE THREE DE FFIMM 0012 ~~SECRET~~



(S)

b1  
b3

~~SECRET~~

~~SECRET~~

PAGE FOUR DE FBIMM 0018 UNCLAS

ASSET ADVISED THAT

[REDACTED]

[REDACTED]

b6  
b7C  
b7D  
b7E

ON 10/14/92, ASSET'S

[REDACTED]

[REDACTED]

b6  
b7C  
b7D  
b7E

IT WAS DETERMINED FROM THIS CONVERSATION WITH

[REDACTED]

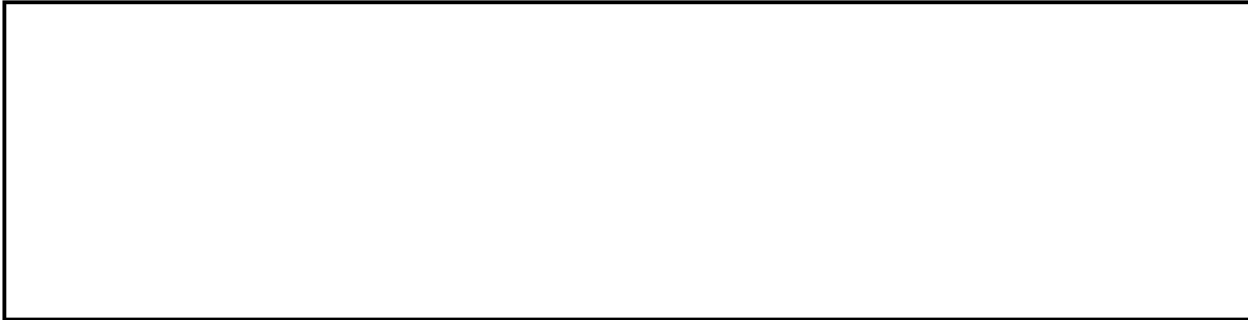
[REDACTED]

b6  
b7C  
b7D  
b7E

~~SECRET~~

~~SECRET~~

PAGE FIVE DE FBIMM 0018 UNCLAS

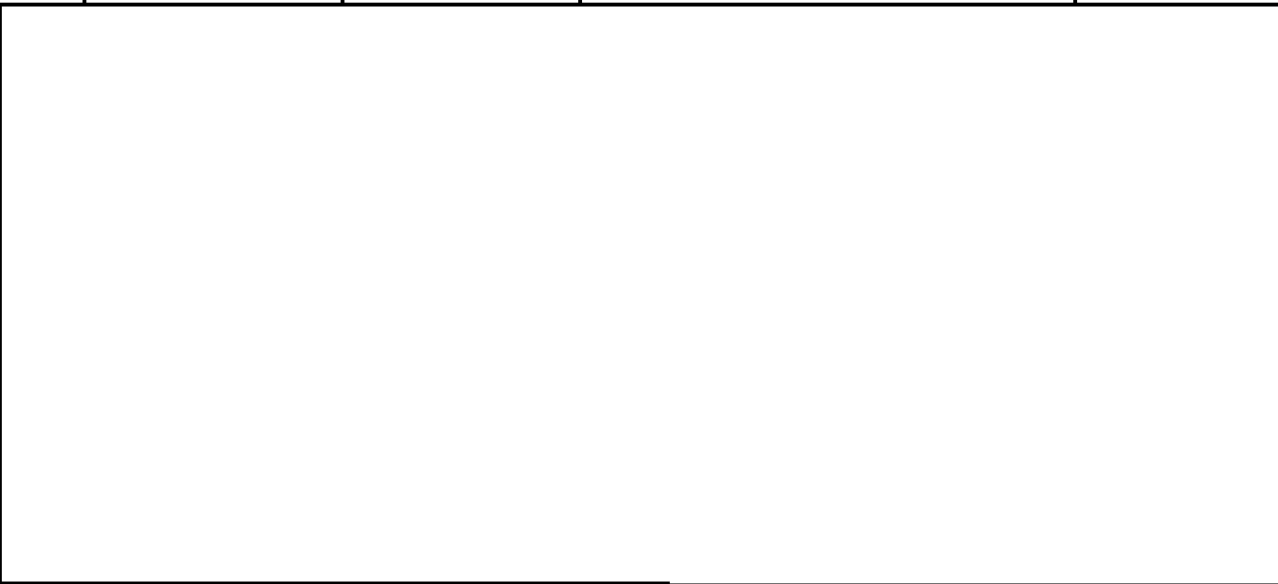


b6  
b7C  
b7D  
b7E

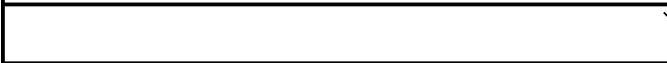
(S)



ADVISED THAT



b6  
b7C  
b7D  
b7E



~~(S)~~ (U)

LEAD:

NEW YORK

AT NEW YORK CITY

1. IN VIEW OF THE FACT THAT THE FBI, NEW YORK HAS WORKED

~~SECRET~~

~~SECRET~~

PAGE SIX DE FBIMM 0018 ~~ENCLOS~~



b7D  
b7E

(S) 2. [REDACTED] IS SCHEDULED TO [REDACTED]



b1  
b3  
b7D



IN VIEW OF THIS

FACT, NEW YORK MAY WISH TO FURNISH MIAMI WITH ANY QUESTIONS SO THAT ASSET MAY BE DEBRIEFED IN THESE AREAS AND THE RESULTS FURNISHED TO NEW YORK. (~~S~~) (U)

ET  
#0018

NANN

~~SECRET~~

1.\*

196A-NY-1774  
JCM:pan

On October 14, 1992, [redacted] provided the following information to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation:

b6  
b7C  
b7D

Source stated [redacted]

[redacted]

Source believes it is feasible for [redacted]

[redacted]

b7D

Source added [redacted]

[redacted]

(X)  
9

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 01-00-91 BY [redacted]

01-081

b6  
b7C

196A - NY - 1774 - 6357

SEARCHED	INDEXED
SERIALIZED <i>de</i>	FILED <i>de</i>
OCT 28 1992	
FBI - NEW YORK	

b6  
b7C

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:  
 Teletype  
 Facsimile  
 AIRTEL

PRECEDENCE:  
 Immediate  
 Priority  
 Routine

CLASSIFICATION:  
 TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 11/2/92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)  
TO DIRECTOR FBI/PRIORITY/

*WP, change Alpha character to A in File number.  
Connections P. 1 made gmg*

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR SSA [redacted] FUGITIVE/GOVERNMENT RESERVATION

CRIMES UNIT; ECONOMIC CRIMES UNIT; OFFICE OF LIAISON AND  
INTERNATIONAL AFFAIRS (OLIA); DEPUTY DIRECTOR REX YOUNG,  
OFFICE OF INTERNATIONAL AFFAIRS (OLIA), U.S. DEPARTMENT OF  
JUSTICE.

b6  
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE  
ENEMY; OO: NY.

1 - New York  
1 - Supervisor C-12

JCM:pg  
(2)

b6  
b7C

196A-NY-1774-637

Searched \_\_\_\_\_  
Serialized Se  
Indexed \_\_\_\_\_  
Filed Se

Approved: JAS/k Original filename: PXG005W.307

Time Received: \_\_\_\_\_ Telprep filename: PXG00550.307

MRI/JULIAN DATE: 11/03/92 ISN: 019

FOX DATE & TIME OF ACCEPTANCE: 2137

11/5/92 TBS

10-02-82  
180-19  
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED  
DATE 08-08-01 BY [redacted]

(X)  
8

~~UNCLAS~~

~~SECRET~~

SUBJECT MARC RICH SHOULD BE CONSIDERED ARMED AND DANGEROUS.

IT IS REQUESTED THAT OLIA TRANSMIT THIS TELETYPE TO INTERPOL REPRESENTATIVE IN WASHINGTON, D.C., FOR TRANSMITTAL TO INTERPOL, MOSCOW.

THE NEW YORK OFFICE OF THE FBI HAS RECENTLY RECEIVED INFORMATION THAT [REDACTED]

b7D  
b7F

[REDACTED]

~~(S)~~(U)

NEW YORK WILL FOLLOW WITH MORE SPECIFIC DETAILS AS TO THE PERIOD OF TIME [REDACTED]

[REDACTED]

b7D  
b7F

RICH SHOULD BE CONSIDERED ARMED AND DANGEROUS BECAUSE HE ALLEGEDLY [REDACTED]

[REDACTED]

b7D  
b7F

BT

~~SECRET~~

b6  
b7C

0106 MRI 01643

PP FBINY

DE FBINY #0049 3092146

ZNR UUUUU

P 042137Z NOV 92

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR SSA [redacted], FUGITIVE/GOVERNMENT RESERVATION

CRIMES UNIT; ECONOMIC CRIMES UNIT; OFFICE OF LIAISON AND  
INTERNATIONAL AFFAIRS (OLIA); DEPUTY DIRECTOR REX YOUNG,  
OFFICE OF INTERNATIONAL AFFAIRS (OLIA), U.S. DEPARTMENT OF  
JUSTICE.

b6  
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE  
ENEMY; OO: NY.

SUBJECT MARC RIC SHOULD BE CONSIDERED ARMED AND  
DANGEROUS.

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 01-08-01 BY [redacted]~~

~~CLASSIFIED BY [redacted]  
REASON FOR  
DECLASSIFICATION  
01-08-01 2-20-01~~

b6  
b7C

196A-NY-1774-637

~~ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 01-08-01 BY [redacted]~~

Searched \_\_\_\_\_  
C. \_\_\_\_\_  
In. \_\_\_\_\_  
Filed \_\_\_\_\_

11/5/92 TMC



PAGE TWO DE FBINY 0049 UNCLAS

IT IS REQUESTED THAT OLIA TRANSMIT THIS TELETYPE TO  
INTERPOL REPRESENTATIVE IN WASHINGTON, D.C., FOR TRANSMITTAL  
TO INTERPOL, MOSCOW.

THE NEW YORK OFFICE OF THE FBI HAS RECENTLY RECEIVED  
INFORMATION THAT [REDACTED]

[REDACTED] (~~S~~) (U)

b7D  
b7F

NEW YORK WILL FOLLOW WITH MORE SPECIFIC DETAILS AS TO THE  
PERIOD OF TIME [REDACTED]

[REDACTED]

b7D  
b7F

RICH SHOULD BE CONSIDERED ARMED AND DANGEROUS BECAUSE HE  
ALLEGEDLY [REDACTED]

[REDACTED]

b7D  
b7F

BT

#0049

NNNN

~~S~~

b6  
b7C

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- Airtel

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 11/3/92

~~SECRET~~

1  
2  
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20  
21

TO: SAC, MIAMI (196A-NY-1774)  
(ATTENTION SA [redacted])

b6  
b7C  
b7E

FROM: ADIC, NEW YORK (196A-NY-1774) P (C-12)

SUBJECT: MARC RICH-FUGITIVE (B);  
PINCUS GREEN-FUGITIVE (B);  
FBW, MF, RICO, INCOME TAX  
EVASION, TRADING WITH THE  
ENEMY  
(OO:NY)

ReNYtelcall to SA [redacted] Miami  
Division, on 10/30/92.

b6  
b7C

The NYO has no objection to [redacted] asset,  
[redacted] furnishing information concerning [redacted] (U)

[Large redacted block]

b6  
b7C  
b7D

2- Miami  
New York  
JCM:jcm

196A-NY-1774-638 (X)

General  
Searched \_\_\_\_\_  
Serialized Same  
Indexed \_\_\_\_\_  
Filed Same

11/3/92

Approved: \_\_\_\_\_ Transmitted \_\_\_\_\_ Per \_\_\_\_\_

b6  
b7C

(Number) (Time)

CLASSIFIED BY [redacted]  
REASON: 1.5 (C)  
DECLASSIFY ON: X1  
01-081 2-20-01

~~SECRET~~

ALL INFORMATION CONTAINED  
HERE IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

b6  
b7C

~~SECRET~~

0004 MRI 01992  
RR RUCNFB FBINY  
DE FBIWMFO #0059 3160029  
ZNY EEEEE  
R 102345Z NOV 92  
FM FBI WMFO (196A-NY-1774) (P) (C-9)  
TO DIRECTOR FBI/ROUTINE/  
FBI NEW YORK/ROUTINE/  
BT

~~UNCLAS E.F. 1.0~~

CITE: //3920//

PASS: FBIHQ CID SSA [redacted] FUGITIVE UNIT, CID; ECONOMIC  
CRIMES UNIT, CID; SSA [redacted] INTD, CI-1B AND CI-  
3A; NEW YORK SA [redacted] SQUAD I-6 AND NEW YORK SA  
[redacted] SQUAD C-12.

b6  
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B); FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE  
ENEMY; OO:NEW YORK.

FOR INFORMATION OF FBIHQ AND NEW YORK, ON 11/10/92 A  
SENSITIVE AND RELIABLE SOURCE PROVIDED THE FOLLOWING

*to do so*  
11/10/92

*I-6*  
*C-12*

196A-NY-1774-639

SEARCHED <i>10/10</i>	INDEXED
SERIALIZED <i>5</i>	FILED <i>5</i>
1 NOV 10 1992	
FBI - NEW YORK	

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED EXCEPT  
WHERE SHOWN OTHERWISE

CLASSIFIED BY [redacted]  
REASON: 1.5 (c,d)  
DECLASSIFY ON: X1,6  
01-081 2-20-01

192A-NY-1774-640

SEARCHED	INDEXED
SERIALIZED	FILED
NOV 10 1992	
FBI - NEW YORK	

FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 10/27/92

TO : DIRECTOR, FBI  
ATTN: CID, WCC SECTION, ECU AND FUGITIVE UNIT

FROM : LEGAT, BERN (196A-NY-1774) (RUC)

SUBJECT : MARC RICH-FUGITIVE; PINCUS GREEN-FUGITIVE;  
FBW; MF; RICO; INCOME TAX EVASION; TRADING WITH THE  
ENEMY;  
OO:NY

Reference: Legat Bern, Teletype 8/20/92.

Enclosed for FBIHQ and New York is one copy for each of a letter from the

[Redacted]

As noted in re Bern Teletype,

[Redacted]

- 4 - Bureau
  - (1 - Fugitive Unit)
  - (1 - Economic Crimes Unit) (Enc.1)
  - (1 - OLIA, FLU-1)
- ② - New York (Enc.1) ✓
- 1 - Bern

RMF/rmf  
(7)

196A - ny - 1774 - 641

SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_ FILED \_\_\_\_\_

NOV 18 1992  
Per \_\_\_\_\_  
FBI NEW YORK

Approved: \_\_\_\_\_ Transmitted

(Number)

(Time)

ALL INFORMATION  
HEREIN IS UNCLASSIFIED  
DATE 2-20-98 BY 81

b6  
b7C

b7D

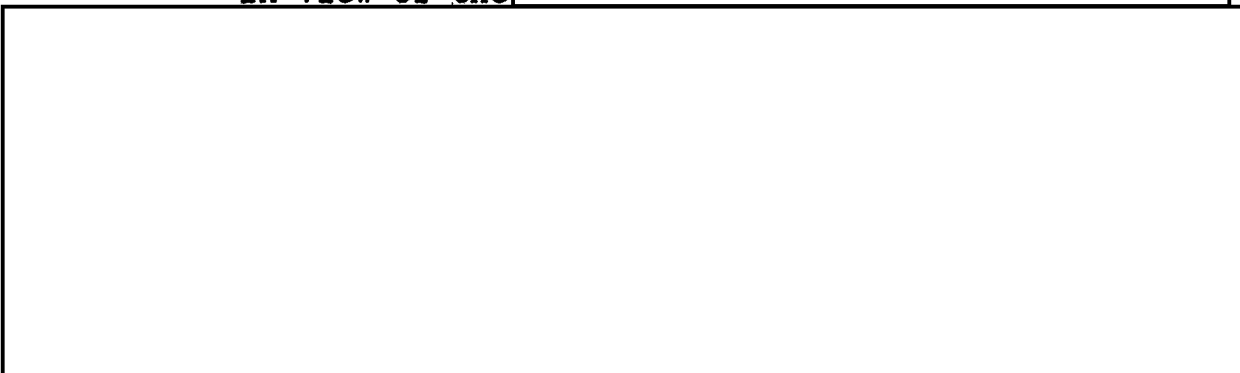
b7D

②

Legat does not expect that this



In view of the



b6  
b7C  
b7D

Memorandum



To : SAC, NEW YORK (196A-NY-1774) p Date 11/19/92

From : SA [redacted] (C-12) b6 b7C

Subject : MARC RICH - FUG. (B);  
PINCUS GREEN - FUG. (B);  
FBW, MF, RICO, INCOME  
TAX EVASION, TRADING  
WITH THE ENEMY  
OO: NY

On 11/13/92, SA Mathews + SA [redacted]  
[redacted] WMFO, currently assigned to the [redacted]  
case, proceeded to the [redacted]

[redacted], in order to interview  
[redacted] who was to be at the [redacted] ①  
[redacted] until 11/15/92 before returning to [redacted] of

SA [redacted] had flown from Washington, DC.

b3  
b6  
b7C

ALL INFORMATION  
HEREIN IS UNCLASSIFIED  
DATE 2-20-91 BY [redacted]

01-081

b6  
b7C

196A-NY-1774-642

SEARCHED	INDEXED	Saw
SERIALIZED	FILED	Saw
NOV 25 1992		
FBI - NEW YORK		

That morning to interview [redacted]  
[redacted] and serve him with  
a FGT subpoena to appear  
in [redacted]

b3  
b6  
b7C

The interview began with  
questions about [redacted] by SA [redacted]

[Large redacted area]



196A-1774-643

SEARCHED	INDEXED
SERIALIZED <i>So</i>	FILED <i>So</i>
NOV 24 1992	
FBI - NEW YORK	
	<i>✓</i>



196A-NY-1774

ADMINISTRATIVE

The following information is to be protected and not be disclosed outside the FBI:



b6  
b7C  
b7D

b6  
b7C

~~SECRET~~

0088 MRI 01133  
RR RUCNFB FBINY  
DE FBIMM #0015 3422135  
ZNR UUUUU

R 072119Z DEC 92  
FM FBI MIAMI (196A-NY-1774) [redacted] (RUC)

b7E

TO DIRECTOR FBI/ROUTINE/  
FBI NEW YORK/ROUTINE/  
BT

~~UNCLAS~~

CITE: //3460//

PASS: FBIHQ FOR SSA [redacted] INTD, CI-1B; NYO FOR SA  
[redacted] C-12.

b6  
b7C

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE  
ENEMY; OO: NEW YORK.

RE MIAMI TELETYPE TO THE BUREAU DATED 10/16/92; NEW YORK  
AIRTEL TO MIAMI DATED 11/3/92.

RE NEW YORK AIRTEL ADVISED THAT THE NEW YORK OFFICE  
INTERPOSED NO OBJECTION TO [redacted]

b6  
b7C  
b7D  
b7E

[redacted] 196A-NY-1774-646  
[redacted] C-12  
[redacted] C12

b6  
b7C

Wodok  
12/17/92

~~CONTAINED~~

CLASSIFIED BY [redacted]  
EXEMPTION: X 1,6 -  
01-081 2-20-01

~~SECRET~~

~~(S)~~ (U)

[REDACTED] FLORIDA, MEETING WITH [REDACTED]

b7D  
b7E

TO DETERMINE IF ASSET HAS ANY INFORMATION OF VALUE REGARDING CAPTIONED MATTER.

ON 11/18/92 [REDACTED] WAS MADE AVAILABLE TO [REDACTED]

[REDACTED] PRIOR TO ANY DISCUSSION, [REDACTED] WAS ADVISED THAT ASSET

b6  
b7C  
b7D  
b7E

[REDACTED] BUT WOULD FURNISH INFORMATION OF

LEAD VALUE. ~~(S)~~ (U)

THE ABOVE [REDACTED]

b7D  
b7E

[REDACTED] ~~(S)~~ (U) STATED THAT THERE ARE CURRENTLY [REDACTED]

b6  
b7C  
b7D  
b7E

[REDACTED] ~~(S)~~ (U) ASSET FURTHER ADVISED THAT [REDACTED] ~~(S)~~ (U)

~~SECRET~~

~~SECRET~~

[REDACTED]

b6  
b7C  
b7D  
b7E

[REDACTED]

~~(S)~~ (U)

AS MENTIONED IN REFERENCED MIAMI TELETYPE, [REDACTED]

[REDACTED]

b7D  
b7E

[REDACTED]

~~(S)~~ (U)

[REDACTED] STATED [REDACTED]

[REDACTED]

[REDACTED]

~~(S)~~ (U)

ASSET FURTHER ADVISED THAT IF [REDACTED]

[REDACTED]

b7D  
b7E

[REDACTED]

~~(S)~~ (U)

[REDACTED] ADVISED ASSET THAT [REDACTED]

[REDACTED]

b6  
b7C  
b7D  
b7E

[REDACTED] STATED THAT HE WOULD MAINTAIN CONTACT WITH NYO CASE

AGENT [REDACTED] REGARDING THE ABOVE INFORMATION AS WELL AS

INFORMATION AS IT DEVELOPS.

~~SECRET~~

PAGE FOUR DE FBIMM 0015 ~~UNCLAS~~

~~SECRET~~

IN VIEW OF THE ABOVE, MIAMI IS PLACING THIS MATTER IN AN  
RUC STATUS. HOWEVER, INFORMATION WILL BE FURNISHED NEW YORK  
AS IT BECOMES AVAILABLE.

BT

#0015

NNNN

~~SECRET~~

TRANSMIT VIA: AIRTEL  
CLASSIFICATION: \_\_\_\_\_

FROM: FBI Headquarters

TO: ✓ ADIC, New York (196A-NY-1774) (C-12)

MARC RICH - FUGITIVE (B);  
PINCUS GREEN - FUGITIVE (B);  
FBW, MF, RICO, INCOME TAX EVASION,  
TRADING WITH THE ENEMY;  
OO: NEW YORK

ADIC	_____
DADIC	_____
SAC ADM	_____
ASAC ADM	_____
ASAC TERR	_____
SAC I	_____
DATEAC	<u>12/17/92</u>
ASAC B	_____
ASAC C	_____
ASAC D	_____
SAC II	_____
✓ ASAC WCC	_____
ASAC OC	_____
ASAC D	_____
ASAC VCMO	_____
SSA	_____
OSM	_____
✓ SUPV	_____

Re NY airtel to FBIHQ, dated 6/10/92.

FBIHQ has carefully reviewed referenced airtel from New York regarding captioned fugitives. Due to the volume of requests received and the expense involved in preparing and issuing circular letters, it is necessary to evaluate each case.

FBIHQ is of the opinion that the cost to circulate on a national level is excessive and therefore the circular letter is being denied at this time.

The declination of the circular letter by the Bureau should not be interpreted as lessening the importance of this investigation and should infer from the declination to issue Circular Letters that the Bureau does not consider this an important fugitive matter.

Any questions regarding this matter should be referred to the Fugitive/Government Reservation Crimes Unit, Criminal Case Analyst [redacted]

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLAS  
DATE 2-20-01 BY [redacted]  
01-084

196A - NY - 1774 - 647

SEARCHED _____	INDEXED _____
SERIALIZED <u>2</u>	FILED <u>2</u>
JAN 25 1993	
FBI NEW YORK	



FBI

TRANSMIT VIA:

- Teletype
- Facsimile
- AIRTEL

PRECEDENCE:

- Immediate
- Priority
- Routine

CLASSIFICATION:

- TOP SECRET
- SECRET
- CONFIDENTIAL
- UNCLAS E F T O
- UNCLAS

Date 1/25/93

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)  
TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT, SSA

b6  
b7c

FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE  
(B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE  
ENEMY; OO: NY.

*BUREAU Airtel to NY dated 11/17/92.*  
ON JANUARY 8, 1993, PURSUANT TO A REQUEST FOR ASSISTANCE

1-NEW YORK

1-SUPV. C-12

JCM:dxb003w.021

(2)

b6  
b7c

196A-NY-1774-648

searched \_\_\_\_\_  
Serialized 2e  
indexed \_\_\_\_\_  
Filed 2

1/27/93

Approved: [Signature] Original filename: DXB003W.021

Time Received: \_\_\_\_\_ Telprep filename: DXB003S0.021

MRI/JULIAN DATE: 208/27 ISN: 018

FOX DATE & TIME OF ACCEPTANCE: 213

*ADDITION ON PAGE 1*  
*Correction made gdf*

*[Handwritten mark]*

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY 01-3

01-087  
1180-10

IN THE MATTER OF MARC RICH, THE FOLLOWING PERSONS MET AT THE OFFICES OF [REDACTED]

b6  
b7C

(NY), NY:

[REDACTED] MARC RICH; [REDACTED]  
[REDACTED] ATTORNEY; [REDACTED] ATTORNEY FOR

THE LAW FIRM OF ORANS, ELSER AND LUPERT, ONE ROCKEFELLER

b6  
b7C

PLAZA, NY, NY, [REDACTED] MARC RICH;

[REDACTED] DEPUTY UNITED STATES MARSHAL; SPECIAL AGENT [REDACTED]

[REDACTED] OF THE NEW YORK OFFICE OF THE FBI; AND [REDACTED]

[REDACTED] MANHATTAN REPORTING, INC., 132 NASSAU STREET, NY, NY.

THE [REDACTED]  
[REDACTED]

b6  
b7C  
b7D  
b7E

[REDACTED] OBTAINED A [REDACTED]

[REDACTED] WHO MADE THE PROCEEDING A MATTER OF RECORD AND PROVIDED A TRANSCRIPT OF THE PROCEEDING TO EACH ATTORNEY AND A COPY TO AUSA [REDACTED] SDNY. AUSA [REDACTED] WILL FORWARD THE COPY TO THE [REDACTED]

b6  
b7C  
b7D

^PAGE 3 NEW YORK 196A-NY-1774

UNCLAS

BT

0034 MRT 00208

RR FBINY

DE FBINY #0018 0270235

ZNR UUUUU

R 270212Z JAN 93

FM FBI NEW YORK (196A-NY-1774) (P) (C-12)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT, SSA [REDACTED]

b6  
b7C

FUGITIVE/GOVERNMENT RESERVATION CRIMES UNIT.

SUBJECT: MARC RICH - FUGITIVE (B); PINCUS GREEN - FUGITIVE (B); FBW, MF, RICO, INCOME TAX EVASION, TRADING WITH THE ENEMY; OO: NY.

REFERENCE BUREAU AIRTEL TO NEW YORK, DATED NOVEMBER 17, 1992.

ON JANUARY 8, 1993, PURSUANT TO A REQUEST FOR ASSISTANCE IN THE MATTER OF MARC RICH, THE FOLLOWING PERSONS MET AT THE

[REDACTED]

b6  
b7C

196A-NY-1774-649  
Searched \_\_\_\_\_  
Serialized SA  
Indexed \_\_\_\_\_  
Filed SA

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [REDACTED]

01-081

PAGE TWO DE FBINY 0018 UNCLAS

(NY), NY:

[REDACTED] MARC RICH; [REDACTED]

[REDACTED] ATTORNEY; [REDACTED] ATTORNEY FOR

THE LAW FIRM OF DRANS, ELSEN AND LUPERT, ONE ROCKEFELLER

PLAZA, NY, NY, [REDACTED] MARC RICH;

[REDACTED] DEPUTY UNITED STATES MARSHAL; SPECIAL AGENT [REDACTED]

[REDACTED] OF THE NEW YORK OFFICE OF THE FBI; AND [REDACTED]

[REDACTED] MANHATTAN REPORTING, INC., 132 NASSAU  
STREET, NY, NY.

THE [REDACTED]

[REDACTED]

[REDACTED] OBTAINED A [REDACTED]

[REDACTED] WHO MADE THE PROCEEDING A MATTER OF RECORD AND

PROVIDED A TRANSCRIPT OF THE PROCEEDING TO EACH ATTORNEY AND A

COPY TO AUSA [REDACTED] SDNY. AUSA [REDACTED] WILL FORWARD

THE COPY TO THE [REDACTED]

BT

#0018

NNNN

b6  
b7C

b6  
b7C  
b7D  
b7E

b6  
b7C  
b7D

1.\*

196A-NY-1774  
JCM:dxa

On February 2, 1993, [redacted] furnished the following information to Special Agent [redacted]

b6  
b7C  
b7D

Source advised he/she is in the process of [redacted]

[redacted]

(X)  
10

ALL INFORMATION  
HEREIN IS UNCLAS  
DATE 2-20-01 BY [redacted]

[redacted]

01-081

b6  
b7C

196A-NY-1774-650

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 16 1993	
FBI-NEW YORK	

1.\*

196I-NY-1774  
JCM:dxa

On January 8, 1993, [redacted] furnished the following information to Special Agent [redacted]

Source advised [redacted] he/she has been unable to fully concentrate on the MARC RICH matter. He/She plans to do so in the near future.

b6  
b7C  
b7D

Source furnished a [redacted]  
[redacted]

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

b6  
b7C

196A - NY - 1774 - 651

SEARCHED	INDEXED
SERIALIZED	FILED
FEB 16 1993	
FBI - NEW YORK	

(X)  
9

JCM/vgl

1

On March 10, 1993, [redacted] furnished the following information to Special Agent [redacted] of the New York Office of the FBI:

Source advised [redacted]

[redacted]

Source said [redacted]

[redacted]

b6  
b7C  
b7D

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-081

b6  
b7C

196A-1774-653

SEARCHED	INDEXED
SERIALIZED	FILED
MAR 25 1993	
FBI - NEW YORK	

(X)  
2



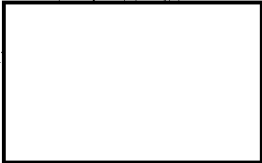
④  
4

196A-NY-1774-654

SEARCHED	INDEXED
SERIALIZED	FILED
APR 14 1993	
FBI - NEW YORK	



FBI WTST



4490

C-2

b6  
b7C

KFBC WTST

ORI/.\*

.NAM/GREEN, PINCUS

.SEX/M. RAC/U. DOB



INQ/\*, MIS/ICA



OPC

*replaced*



N 1644-1644 04/02/93 02344472 KFBC39042 PART 001 OF 001

NYSP WTST KFBC 1644

NYFBINYO

.NAM/GREEN, PINCUS

.SEX/M. RAC/U. DOB



CONFIRM RECORD WITH ORIGINATOR

b6  
b7C

MKE/WANTED PERSON

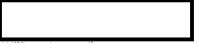
ORI/NYFBINYO - US FBI NEW YORK CITY

212-335-2700

.NAM/GREEN, PINCUS .SEX/M. RAC/W. DOB



SID/. CCH/. SOC



POB/NY

HGT/510 WGT/180 EYE/. HAI/GRY SKN/.

LCD/ LCT/F OFF/2699 FRAUD-

WST/1 - ACTIVE

WTP/1 - ARREST WARRANT

EXT/Z- WITHIN AND OUTSIDE NEW YORK STATE

RCV/O PFL/O VIC/O RSP/.

OCA/KFBC06671 WAR/. DKT/. DOW/091983

MIS/MAIL WIRE FRAUD TAX EVASION RICO WILL EXTR 196B-1774



C12

FBI/. MNU/. SMT/. FPC/.

DLN/. OLS/. OLY/.

LIC/. LIS/. LIY/. LIT/.

VIN/. VYR/. VMA/. VMD/. VST/. VCO/.

ARI/. ACA/. DOA/. RES/.

WPR/0307502

*4/15/93*

*196B-1774*

b6  
b7C



N 1644-1644 04/02/93 02344518 KFBC39043 PART 001 OF 001  
NCIC WTST KFBC 1644  
NYFBINYO0

MKE/WANTED PERSON

ORI/NYUSC4900 NAM/GREEN, PINCUS SEX/M RAC/W POB/NY DOB/[REDACTED] HGT/510  
WGT/180 EYE/GRN HAI/GRY

SOC/[REDACTED] DLN/G181867877233211334 OLS/NY OLY/84 OFF/FRAUD

DOW/091983 OCA/92I2NY032

MIS/WIRE & MAIL FRAUD/RACKETEERING CONSP/ARMS TRADER/SA [REDACTED]

EXTR 24 HRS [REDACTED] CN-0371520003/WNBSCR579

ORI IS US CUSTOMS SERV OFFICE OF ENF NEW YORK NY

NIC/W318619755

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

b6  
b7C

MKE/WANTED PERSON

ORI/NYFBINYO0 NAM/GREEN, PINCUS SEX/M RAC/W POB/NY DOB/[REDACTED] HGT/510

WGT/180 EYE/XXX HAI/GRY

SOC/[REDACTED] OFF/FRAUD DOW/091983 OCA/KFBC06671

MIS/MAIL WIRE FRAUD TAX EVASION-RICO WILL EXTR 196B-1774 [REDACTED] C12

ORI IS FBI NEW YORK NY

NIC/W324077114

b6  
b7C

MKE/WANTED PERSON

ORI/DCIRS9600 NAM/GREEN, PINCUS SEX/M RAC/W DOB/[REDACTED] [REDACTED]

EYE/GRN HAI/BRD

MNU/PP-24229195 SOC/[REDACTED] OFF/INCOME TAX DOW/030584 OCA/3830168P

MIS/SUBI RESIDES IN SWITZERLAND, CONT S/AA [REDACTED] S/A [REDACTED]

[REDACTED] 212-264-0794 OR TREAS 24-HR NUM 202-622-1260/ASST

ORI IS IRS CRIMINAL INVESTIGATION DIV HQ WASHINGTON DC

NIC/W369018253

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

b6  
b7C

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 09-01-01 BY [REDACTED]

1.\*

196A-NY-1774  
JCM:cxk

On April 26, 1993, [redacted] furnished the following information to Special Agent [redacted] of the New York Office of the Federal Bureau of Investigation:

[redacted]

b6  
b7C  
b7D  
b7E

Source said once the [redacted]

[redacted]

(X)

8

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 2-20-01 BY [redacted]

01-001

b6  
b7C

196A-1774-655

SEARCHED	INDEXED
SERIALIZED	FILED
MAY 10 1993	
FBI - NEW YORK	

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1256617-0

Total Deleted Page(s) = 82  
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Page 2 ~ Referral/Direct;  
Page 3 ~ Referral/Direct;  
Page 17 ~ Referral/Direct;  
Page 18 ~ Referral/Direct;  
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Page 210 ~ b6; b7C;  
Page 211 ~ b6; b7C;

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X For this Page X  
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INDEXED  
WRITTEN ✓  
WITHOUT EXT.  
BY UG  
DATE 3/29/62

58A-NY-27847-283

SEARCHED	INDEXED
SERIALIZED <u>UG</u>	FILED <u>UG</u>

myr  
mp

b6  
b7C



# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/26/2002

To: [redacted]

Attn: [redacted]  
SA [redacted]

b6  
b7C  
b7D

From: New York  
White Collar Crime/Squad C-14 - Public Corruption  
Contact: SA [redacted]

Approved By: [redacted]

b6  
b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

b6  
b7C  
b7D

Synopsis: To request interview of [redacted]

Administrative: Reference 03/26/02 tel. message for SA [redacted] by SA [redacted]

b6  
b7C

Details: New York is conducting a sensitive public corruption investigation concerning controversial Presidential pardons granted by former U.S. President WILLIAM CLINTON on 01/20/2001. [redacted] is a major Democratic party contributor and [redacted]

b6  
b7C

New York is seeking to interview close associates of [redacted] in an effort to determine if others had prior knowledge of any effort to seek a pardon, or any additional information that may be helpful to the investigation. Information from several sources has revealed that [redacted]

b6  
b7C  
b7D

[redacted]

To: [redacted] From: New York  
Re: 58A-NY-278417, 03/26/2002

b6  
b7C  
b7D

The following information has been obtained from

[redacted]

b6  
b7C  
b7E  
b7D

[redacted] is requested to utilize the previously submitted proposed list of questions to assist in the interview. Service of a grand jury subpoena will not be requested unless [redacted] provides significant information relating to the investigation at which time [redacted] may be subpoenaed.

b6  
b7C  
b7D

To: [redacted] From: New York  
Re: 58A-NY-278417, 03/26/2002

b6  
b7C  
b7D

LEAD (s):

Set Lead 1:

[redacted]  
[redacted]  
[redacted] is requested to conduct logical  
investigation to locate and interview [redacted]  
[redacted] for  
information relating to the above referenced investigation.

b6  
b7C  
b7D

[redacted] lead3.ec  
↓

INDEXED  
SEARCHED \_\_\_\_\_  
SERIALIZED \_\_\_\_\_  
BY UP  
DATE 9/1/02

58A-NY-278417-284  
SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_  
SERIALIZED UP FILED UP  
i ... 1  
FBI - NEW YORK  
mp

**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 03/21/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

b6  
b7C

New York

Attn: A/ASAC R. [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.**

**Synopsis:** To provide weekly status report of captioned investigation.

**Details:** In an effort to provide the Public Corruption Unit (PCU) and NYO management with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]

[redacted] records scanned and uploaded.

[redacted] interviewed on [redacted]  
[redacted] interviewed on [redacted]

- Preparation of master investigative time line.
- [redacted] documents prepared for submission to grand jury.
- Attempts to contact [redacted] to schedule interview.

b3  
b6  
b7C  
b7D  
OTHER Sealed Pursuant to Court Order

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 03/21/2002

PROPOSED FUTURE ACTION:

- [redacted] possibly to testify in the grand jury on [redacted]
- Case status meeting with SDNY scheduled for 03/29/2002.
- [redacted] documents received under subpoena to be submitted to grand jury possibly on [redacted]
- [redacted] to be interviewed.
- [redacted]

Investigation is ongoing in New York.

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58A-NY-278417-285 (X)

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FBI - NEW YORK	

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/29/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: A/ASAC [redacted]

b6  
b7C

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

:mjm

Case ID #: 58A-NY-278417 (Pending)

b6  
b7C

Title:

UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

[redacted]  
[redacted] interview conducted on

•Lead set to [redacted] Division, [redacted] RA, regarding  
interviews to be conducted [redacted] Contact made with [redacted]  
[redacted] RA.

[redacted] to have been interviewed [redacted]  
[redacted] Contact made with [redacted]

•Lead set to [redacted] to interview [redacted]

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To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 03/29/2002

- [redacted] records scanned and uploaded.
- Preparation for interview of [redacted]
- Contact made with [redacted] to schedule interview.
- Preparation of master investigative time line. Review of documents and grand jury testimony.
  - Contact made with additional associates of [redacted]
  - Receipt and review of [redacted]
- [redacted]
- Status meeting with NYO investigators and SDNY held on 03/29/2002.

PROPOSED FUTURE ACTION:

- [redacted] documents received under subpoena to be submitted to grand jury.
- Contact to be made with [redacted]
- [redacted] to be interviewed [redacted] to be interviewed.
- Interview to be scheduled with [redacted]
- [redacted]

Investigation is ongoing in New York.

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58A-NY-278417-286

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	NEW YORK

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**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 03/29/2002

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b7D

To: [redacted]

Attn: SSA [redacted]  
[redacted]

From: New York  
White Collar Crime/Squad C-14 - Public Corruption  
Contact: SA [redacted]

b6  
b7C

Approved By: [redacted] *ds*

Drafted By: [redacted] mjm

Case ID #: *✓* 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

**Synopsis:** To request assistance of one Special Agent for interview of [redacted]  
[redacted]

b6  
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**Administrative:** Reference 03/29/02 tel. conversation between SA [redacted] and SSA [redacted]

b6  
b7C

**Details:** New York is conducting a sensitive public corruption investigation surrounding controversial, last minute pardons granted by former U.S. President WILLIAM J. CLINTON, specifically pardons granted to MARC RICH and PINCUS GREEN. Investigation has revealed that [redacted] may have information related to this investigation. An interview has been scheduled with [redacted]  
[redacted]  
[redacted]

b6  
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New York requests that [redacted] provide one Special Agent to assist SA [redacted] during this interview on [redacted] Arrangements for the interview can be made with SA [redacted]  
[redacted]  
[redacted]

b6  
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b7D

To: [redacted] From: New York  
Re: 58A-NY-278417, 03/29/2002

b6  
b7C  
b7D

LEAD (s):

Set Lead 1:

[redacted]

[redacted]

[redacted]

[redacted] is requested to provide one Special Agent to assist New York on [redacted] during an interview of [redacted]

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[redacted]

[redacted] bc

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/26/2002

To: [redacted]

Attn: [redacted] RA,  
SA [redacted]

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b6  
b7C  
b7D

From: New York  
White Collar Crime/Squad C-14 - Public Corruption  
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6  
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

b3  
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b7D

Synopsis: To request interviews be conducted in [redacted]

Administrative: Reference 03/26/02 tel. conversation between SA [redacted] and SA [redacted]

b6  
b7C

Details: New York is conducting a sensitive public corruption investigation concerning controversial Presidential pardons granted by former U.S. President WILLIAM CLINTON on 01/20/2001, specifically MARC RICH and PINCUS GREEN. [redacted]

[redacted] is a major Democratic party contributor and [redacted]  
[redacted]  
[redacted]

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New York is seeking to interview close associates of [redacted] to determine if others had prior knowledge of the effort to seek a pardon, or additional information that may be helpful to the investigation. Investigation has revealed that between approximately [redacted] through [redacted] and [redacted] traveled to [redacted] Also present in [redacted] during a portion of this time period was [redacted]  
[redacted]

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BY g \_\_\_\_\_  
DATE 3/27/02

58A-NY-278417-281 2,3

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FBI NEW YORK	
	<u>mp</u>

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To: [redacted] From: New York  
Re: 58A-NY-278417, 03/26/2002

b3  
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b7D

This time period, [redacted] through [redacted] was critical to the secretive, efforts of MARC RICH's attorneys in seeking his pardon. Investigation has revealed significant

[redacted]

b6  
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b7D

[redacted] were utilized in promoting the RICH pardon to the CLINTON administration without proper notification to the Department of Justice.

While in [redacted]

[redacted]

[redacted] New York is seeking to interview people who were listed in [redacted] may have spoken and/or met during this time period in an effort to determine if the RICH pardon was discussed. In addition, [redacted]

b3  
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[redacted] without noting a specific person or contact. In those cases, New York requests that [redacted] visit the location (ex. [redacted] and attempt to speak with an employee/manager who was employed [redacted] and determine whether they knew [redacted] and have information about the pardon. The following information is provided from [redacted] while in [redacted]

1.

[redacted]

• [redacted]

2.

[redacted]

b3  
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b7C  
b7D

• [redacted]

3.

[redacted]

• [redacted]

To: [redacted] From: New York  
Re: 58A-NY-278417, 03/26/2002

b3  
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b7C  
b7D

4.

[redacted]

• [redacted]

5.

[redacted]

• [redacted]

6.

[redacted]

• [redacted]

7.

[redacted]

• [redacted]

b3  
b6  
b7C  
b7D

[redacted]

who has already been interviewed.

8.

[redacted]

• [redacted]

9.

[redacted]

• [redacted]

[redacted]

In addition, [redacted] records obtained for [redacted] revealed that [redacted] [redacted] Internet searches for [redacted] met with negative results. The following is a list of [redacted]

b3  
b6  
b7C

[redacted]

[redacted]

b3



To: [redacted] From: New York  
Re: 58A-NY-278417, 03/26/2002

b3  
b6  
b7C  
b7D

[redacted] is requested to conduct logical investigation to ascertain [redacted] and conduct appropriate interview relating to the above.

To: [redacted] From: New York  
Re: 58A-NY-278417, 03/26/2002

b3  
b6 .  
b7C  
b7D

LEAD (s):

Set Lead 1:

[redacted]

[redacted]

[redacted] Division, [redacted] RA, is requested to conduct logical investigation to locate and interview the above individuals for information relating to the MARC RICH/PINCUS GREEN pardons. [redacted] is also requested to visit the above locations and attempt to determine if any employee knows [redacted] and, if so, conduct logical interview.

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[redacted] is requested to ascertain [redacted] and conduct appropriate interview regarding the above investigation.

[redacted]d.ec

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BY 49  
DATE 4/11/02

58A-NY-278417-mp  
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288  
APR  
mp



b6  
b7C

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/05/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA Michael [redacted]

New York

Attn: A/ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

b6  
b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

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AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned investigation.

Details: In an effort to provide the Public Corruption Unit (PCU) and NYO management with an updated status report on the captioned investigation, New York provides the following current information:

[redacted]  
[redacted] interviewed in [redacted]  
Telephone contact with [redacted] regarding meeting minutes.  
●Contact with [redacted] Division, [redacted] RA, regarding interview of [redacted] not located in [redacted]  
●Review of [redacted]  
[redacted] records scanned and uploaded.

b3  
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To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 04/05/2002

•Preparation of master investigative time line. Review of documents and grand jury testimony.

•Receipt and review of [redacted]

•Attempts to locate [redacted] for service of subpoena.

PROPOSED FUTURE ACTION:

• [redacted] documents received under subpoena to be submitted to grand jury.

• [redacted] scheduled to testify in grand jury on [redacted]

•Continue review of [redacted]

• [redacted] to be interviewed.

•Interview to be scheduled with [redacted]

[redacted] scanning and analysis.

Investigation is ongoing in New York.

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BY yg \_\_\_\_\_  
DATE 9/12/02 \_\_\_\_\_

58A-NY-278417-289

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8 2002	
FBI NEW YORK	
[Redacted]	<u>mf</u>

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mf

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 04/12/2002

To: New York

Attn: WCC C-14  
SA [redacted]

b6  
b7C

From: [redacted]

[redacted] RA

Contact: SA [redacted]

b6  
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b7D

Approved By: [redacted]

Drafted By: [redacted]

b6  
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON-FORMER U.S. PRESIDENT

[redacted]

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b7C

MARC RICH - FUGITIVE (B); ETAL;  
CFPO - EXCEUTIVE BRANCH  
OO:NY

Synopsis: Completion of lead regarding [redacted]

Reference: 58A-NY-278417 Serial 280

Details: Referenced lead requested interview of [redacted]  
[redacted] following NY telephonic contact with [redacted]  
[redacted] could not be located in [redacted] However, the  
following information was determined:

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[redacted]

[redacted] has the following  
contact information for [redacted]

Address: [redacted]

58A-NY-278417

APR 24 2002

[redacted]

b6  
b7C

To: New York From: [redacted]  
Re: 58A-NY-278417, 04/12/2002

b6  
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b7D

Emergency Contact:

[redacted]

Known friend:

[redacted]  
[redacted]

Combined [redacted] records (for [redacted] and [redacted] PDs) were checked with no contacts for [redacted] born [redacted] cell [redacted] was identified. [redacted] was contacted telephonically on the chance he was related to [redacted] A man who identified himself as [redacted] advised that he does not know a [redacted]

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On 4/2/2002, a 50 state check was completed at [redacted] for [redacted] born [redacted] (results faxed separately to NY). A [redacted] [redacted] white, male, 6' 0", corrective lenses, born [redacted] was issued a [redacted] which expires [redacted] number [redacted] A check with telephone information was returned no one by that name in [redacted]

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While [redacted] may have been or be in [redacted] there are no direct leads for locating him. Per telephonic consultation with NY, this lead is considered completed.



To: New York From:   
Re: 58A-NY-278417, 04/12/2002

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LEAD (s):

Set Lead 1: (Adm)

ALL RECEIVING OFFICES

Read and clear.

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BY 49  
DATE 4/23/02

58A-NY-27847-291

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/19/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: A/ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

b6  
b7C

Approved By: [redacted]

Drafted By: [redacted]

*njm*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
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MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

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AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

- SA [redacted] testified in grand jury on [redacted]
- [redacted] interviewed on [redacted]
- [redacted] interviewed on [redacted]
- [redacted] served with grand jury subpoena.
- Review of [redacted]
- Preparation of complete [redacted] to be submitted to grand jury.
- [redacted] records scanned and uploaded.

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To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 04/19/2002

- Subpoenas served for [redacted] records for [redacted]
- Preparation of master investigative time line. Review of documents and grand jury testimony. [redacted] formerly of [redacted] testified in grand jury on [redacted]
- Review of [redacted]
- Grand Jury Subpoena served upon [redacted] for documents.

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PROPOSED FUTURE ACTION:

- Prepare to contact [redacted] to set up interview. [redacted] documents received under subpoena to be submitted to grand jury. [redacted] former [redacted] to be interviewed.
- Continue review of [redacted] [redacted] to be interviewed.
- Interview to be scheduled with [redacted] [redacted] scanning and analysis.

Investigation is ongoing in New York.

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WITHOUT TEXT  
BY UG  
DATE 4/30/02

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FBI - NY	
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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/26/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: A/ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

b6  
b7C

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

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MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

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Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

- SA [redacted] testified in grand jury on [redacted]
- [redacted] former [redacted] interviewed  
on [redacted]
- Review of [redacted]
- Preparation of complete [redacted] submitted to grand jury on  
[redacted]
- [redacted] records scanned and uploaded.
- Contact made with [redacted] to schedule interview.

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To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 04/26/2002

- Preparation of master investigative time line. Review of documents and grand jury testimony.
- Lead prepared to [redacted] to conduct interview of [redacted] former [redacted]
- Contact with [redacted] to schedule grand jury appearance.
- Preparation of questions to be submitted to former [redacted]  
[redacted]

PROPOSED FUTURE ACTION:

- [redacted] scheduled for interview on [redacted]  
[redacted]
- [redacted] to be scheduled for grand jury during week of [redacted]  
[redacted] documents received under subpoena to be submitted to grand jury on [redacted]
- Continue review of [redacted]  
[redacted]
- Interview to be scheduled with [redacted]  
[redacted] scanning and analysis.

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Investigation is ongoing in New York.

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# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 05/03/2002

**To:** New York

**ATTN:** [Redacted]

**From:** New York

Squad C-14 Public Corruption

**Contact:** [Redacted]

b6  
b7C

**Approved By:** [Redacted]

**Drafted By:** [Redacted] nm

**Case ID #:** 58A-NY-278417 (Pending)

**Title:** WILLIAM J. CLINTON, ET AL;  
CFPO-EXECUTIVE BRANCH

**Synopsis:** Request to provide FA [Redacted] access to captioned matter on ACS.

**Details:** As of February, 2002, FA [Redacted] has been assigned to work on captioned investigation. Access to this investigation is required in order to process [Redacted]

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WITH/TEXT

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BY yg

DATE 5/7/02

58A-NY-278417 - 293

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MAY 2002	
FBI - NEW YORK	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/29/2002

To: Berlin  
New York

Attn: Frankfurt Sub-Office  
ALAT [redacted]  
SA [redacted]

From: Sacramento  
South Lake Tahoe RA  
Contact: SA [redacted]

b6  
b7C

Approved By: [redacted] *CR/W*

Drafted By: [redacted] cc

Case ID #: 196C-SC-19906-~~8~~<sup>93</sup> (Closed)  
58A-NY-278417 (Pending)

Title: Glenn William Box, aka, - Fugitive;  
dba Pro Sports International;  
FBW

Synopsis: Advise of positive identification of subject as deceased individual in Darmstadt, Germany. Request case closure.

Details: In serial 94 of captioned case ALAT [redacted] advised of subject's apparent death by suicide on 2/24/2002. Fingerprints and photographs of the deceased were provided. Preliminary comparison with a DMV photo and fingerprint classification indicated that the deceased was fugitive Box.

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On 4/29/2002, CJIS fingerprint examiners compared a high resolution fax of the print card provided by German police with subject's Interstate Identification Index (III) fingerprints, FBI# 365328V8. A positive match was made.

Box was of interest to New York as a possible witness in their investigation. He had since been deemed unreliable.

The writer has arranged for removal of subject from NCIC and [redacted] AUSA [redacted] is currently on leave, but will be apprised upon his return to dispose of their case.

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Due to the death of subject on 2/24/2002 in Darmstadt, Germany, it is requested that this matter be closed.

*C14*

*58A-NY-278417-294*

SEARCHED	INDEXED
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MAY 16 2002	
FBI - NEW YORK	

*AM*

To: Berlin From: Sacramento  
Re: 196C-SC-19960, 04/29/2002

LEAD(s) :

Set Lead 1:

BERLIN

AT FRANKFURT, GERMANY

Read and clear.

Set Lead 2:

NEW YORK

AT NEW YORK, NY

Read and clear.

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WIRECOMM  
BY ug  
DATE 5/23/02

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**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 05/23/2002

To: [redacted]

Attn: SSA [redacted]  
[redacted]

b3  
b6  
b7C  
b7D

From: New York  
White Collar Crime/Squad C-14 - Public Corruption  
Contact: SA [redacted]

Approved By: [redacted] *[Signature]*

b6  
b7C

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE  
AND IS TO BE AFFORDED APPROPRIATE SECURITY.

GRAND JURY MATERIAL - DISSEMINATE ONLY PURSUANT TO RULE 6(e)

Synopsis: To request interview be conducted of [redacted]  
former [redacted]  
[redacted]

b3  
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Enclosure(s): For [redacted] one list of proposed interview questions.

Details: New York is conducting a sensitive public corruption investigation into controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON. Investigation has revealed that [redacted]

[redacted]

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b7D

To: [redacted] From: New York  
Re: 58A-NY-278417, 05/23/2002

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[redacted] to CLINTON'S 1992  
Presidential campaign and served in the Clinton White House as a  
[redacted] Currently, [redacted]

b6  
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previously served as [redacted]  
[redacted]

[redacted]  
[redacted]  
[redacted] has been produced to the New York grand jury. [redacted]  
[redacted]  
[redacted]

b3  
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[redacted]

b3  
b6  
b7C

Approximately [redacted]  
[redacted]  
[redacted]  
[redacted]  
[redacted]

b3  
b6  
b7C

To: [redacted] From: New York  
Re: 58A-NY-278417, 05/23/2002

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b7D

b3  
b6  
b7C

[redacted]

Investigation has also revealed that [redacted]

[redacted]

New York is seeking to interview other potential witnesses who may have had knowledge of the RICH pardon prior to it having been granted. From the above information, New York speculates that

b3  
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[redacted]

[redacted] As such, it is requested that [redacted] be interviewed concerning any knowledge of the RICH pardon [redacted] A proposed list of questions is enclosed to assist the interviewer.

The following information was obtained from background searches of [redacted]

NAME:  
GENDER:  
SS#:  
DOB:  
  
ADDRESSES:

[redacted]

b6  
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[redacted] has been located for [redacted]  
[redacted] In the event, [redacted] determines that [redacted]  
[redacted] please contact SA [redacted] who will make appropriate modification to this lead.

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To: [redacted] From: New York  
Re: 58A-NY-278417, 05/23/2002

LEAD(s) :

Set Lead 1:

b3  
b6  
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[redacted]  
[redacted]  
[redacted] is requested to conduct logical investigation to  
locate and interview [redacted]  
[redacted]

[redacted]ld  
▼▼

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WITH/TEXT   
WITH/OUT TEXT \_\_\_\_\_  
BY 49  
DATE 5/29/02

58A-NY-278417-<sup>296</sup>  
SERIALIZED 49 INDEXED 49 FILED mp  
mp  
b6  
b7c



**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 05/24/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit.  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: A/ASAC [redacted]

b6  
b7C

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm hmp

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

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AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

•SA [redacted] testified in grand jury on [redacted]

[redacted] testified in grand jury on [redacted]

•Review of [redacted]

[redacted]

b3  
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b7D  
b7E

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 05/24/2002

[redacted]  
[redacted] and submitted to grand jury.  
[redacted] records scanned and uploaded. Subpoenas served for  
[redacted] interviewed on [redacted] Efforts made to schedule  
interview with former [redacted]  
•Preparation of master investigative time line. Review of  
documents and grand jury testimony.  
•Lead sent to [redacted] to conduct interview of [redacted] former  
[redacted]  
•Review of [redacted] grand jury testimony.

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[redacted]  
[redacted]  
•Subpoena served upon [redacted]  
[redacted] Documents reviewed. Interview scheduled with [redacted]  
concerning [redacted]

PROPOSED FUTURE ACTION:

• [redacted] scheduled for interview  
and grand jury appearance on [redacted]  
[redacted]  
scheduled for interview and grand jury appearance during week of  
[redacted]  
• [redacted]  
[redacted] to be scheduled for grand jury.  
•Continue [redacted]  
[redacted]  
•Interview to be scheduled with [redacted]  
[redacted]  
•Subpoenas to be served for [redacted]

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Investigation is ongoing in New York.

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/24/2002

To: New York

From: New York

Squad C-14

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

*njm my*

Case ID #: 58A-NY-278417 (Pending)

b6  
b7C

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

Synopsis: To provide additional names to be added to the 6(e) list in captioned investigation.

Administrative: This list may be amended in the future to provide additional names.

Details: The following individuals are being added to the Federal Rules of Criminal Procedure, Rule 6(e) list, and will be required to maintain confidentiality of grand jury information:

SA [redacted] C-14  
FA [redacted] C-14

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BY *CP* \_\_\_\_\_  
DATE *5/29/02* \_\_\_\_\_

58A-NY-278417-297 *njm*

SEARCHED	INDEXED
SERIALIZED	FILED
5/24 2002	
FBI - NEW YORK	
[redacted]	<i>njm</i>

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WITH/OUT TEXT ✓ \_\_\_\_\_  
BY ug \_\_\_\_\_  
DATE 0 5/21/04 \_\_\_\_\_

58A-NY-27847-298

SEARCHED	INDEXED
SERIALIZED <u>ug</u>	FILED <u>ug</u>
[Redacted Box]	
[Redacted Box]	

PPH  
[Signature]

①  
[Signature]

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WITH/OUT TEXT \_\_\_\_\_  
BY UP  
DATE 10 6/3/02

58A-NY-278417-299

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FBI - NEW YORK	
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*mp*

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# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 05/31/2002

**To:** Criminal Investigative

**Attn:** Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

New York

**Attn:** A/ASAC [redacted]

b6  
b7C

**From:** New York

White Collar Crime/Squad C-14 - Public Corruption

**Contact:** SA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted] *mjm*

**Case ID #:** 58A-NY-278417 (Pending)

b6  
b7C

**Title:** UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

**THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.**

**Synopsis:** To provide weekly status report of captioned investigation.

**Details:** In an effort to provide the Public Corruption Unit (PCU) and NYO management with an updated status report on the captioned investigation, New York provides the following current information:

[redacted] interview conducted  
on [redacted]  
[redacted] interview conducted  
on [redacted]  
[redacted] interview conducted on  
[redacted]  
●Review of [redacted]  
[redacted]

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To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 05/31/2002

[redacted]  
[redacted]  
[redacted] subpoenas served for [redacted]  
●Efforts made to schedule interview with former [redacted]  
[redacted]  
●Preparation of master investigative time line. Review of documents and grand jury testimony.  
●Review of [redacted] grand jury testimony.  
[redacted]

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PROPOSED FUTURE ACTION:

[redacted] scheduled for interview and grand jury appearance on [redacted]  
[redacted]  
[redacted] to be scheduled for grand jury.  
●Continue [redacted]  
[redacted]  
●Interview to be scheduled with [redacted]  
[redacted]  
●Subpoenas to be served for [redacted]

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Investigation is ongoing in New York.

053102.ec

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Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 04/30/03 Time: 08:16

Case ID: 58A-NY-278417 Serial: 300

Description of Document:

Type : EC  
Date : 06/14/02  
To : CRIMINAL INVESTIGATIVE, et al.  
From : NEW YORK  
Topic: TO PROVIDE WEEKLY STATUS REPORT OF CAPTIONED

Reason for Permanent Charge-Out:

entered in error

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 291

Employee:

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04/30/2003

Permanent Charge-Out of Serial

ICMLPE20

08:04:49

Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 300 to be copied

**UPLOADED**  
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BY ug  
DATE 11/21/02

58A-NY-278417-301 (X)  
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2002	
FBI NEW YORK	
	<u>mp</u>

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**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 06/21/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: ASAC [redacted]

b6  
b7C

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6  
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

Week of 06/17/2002:

- Contact made with attorneys for [redacted] for scheduling of  
upcoming grand jury appearances.
- Contact made with [redacted] Division regarding status of  
interviews to be conducted.
- Request to make arrangements to have former [redacted]  
interviewed.

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b7C  
b7D

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 06/21/2002

- Approval obtained to subpoena [redacted]  
[redacted] Subpoena likely to be issued  
[redacted] Scanning of [redacted]  
[redacted] records.
- Contact made with [redacted] to schedule interviews with [redacted]
- Preparation of master investigative time line.
- Subpoenas served on various [redacted] records.
- Review and analysis of [redacted] grand jury testimony.
- Update master interview list.

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PROPOSED FUTURE ACTION:

- [redacted] scheduled to testify in grand jury on [redacted]
- [redacted] scheduled to testify in grand jury on [redacted]
- [redacted] to testify in grand jury after [redacted]
- [redacted] to testify in grand jury late [redacted]
- Contact [redacted]
- Submit [redacted]
- Interview to be scheduled with [redacted]
- Subpoenas to be served for [redacted]
- Continue review of pertinent grand jury testimony and complete master time line.

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Investigation is ongoing in New York.

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**UPLOADED**

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BY LG  
DATE 7/3/02

58A NY-278417-302

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NEW YORK	

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/28/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit.  
Unit Chief [redacted]  
SSA [redacted]

b6  
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New York

Attn: ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

Week of 06/24/2002:

- Review of records received from [redacted]
- Contact Office of General Counsel to re-request information from intelligence community (CIA, NSA) on MARC RICH and [redacted] EC re-sent to OGC.
- ACS checks conducted of major witnesses and review of new serials.

b3  
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b7C

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 06/28/2002

•Review of 302s prepared by [redacted] Division regarding interviews conducted of [redacted]

•Request to make arrangements to have former [redacted] interviewed.

[redacted] Scanning of [redacted] records.

•Contact made with [redacted] to schedule interviews.

•Review and analysis of [redacted] grand jury testimony.

PROPOSED FUTURE ACTION:

[redacted] scheduled to testify in grand jury on [redacted]

[redacted] to be scheduled to testify in grand jury for week of [redacted]

• [redacted] to testify in grand jury late

[redacted]  
•Contact [redacted]

[redacted]  
•Submit [redacted]

[redacted]  
•Subpoenas to be served for [redacted]

•Continue review of pertinent grand jury testimony and complete master time line.

Investigation is ongoing in New York.

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/11/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

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Approved By: [redacted]

Drafted By: [redacted]

mjm *[signature]*

Case ID #: 58A-NY-278417 (Pending)

b6  
b7C

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

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AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

Week of [redacted]

- [redacted] testified in grand jury on [redacted]
- Former [redacted] to be interviewed on [redacted] in [redacted] by case agents.
- Review of [redacted] grand jury testimony. [redacted] contacted regarding proposed questions.

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To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 07/11/2002

●Research and investigation conducted regarding MARC RICH ties to [redacted]

[redacted] Contact with [redacted] supervisor and NYO C-24 supervisor.

[redacted] Scanning of [redacted] records.

●Contact with [redacted] for scheduling of interview.

●It should be noted that the grand jury in this investigation expires during the week of [redacted]

PROPOSED FUTURE ACTION:

●U.S. Attorney JAMES COMEY to be extensively briefed on 07/19/2002 by AUSA and SDNY Chief Counsel.

●[redacted] to be scheduled in grand jury during week of [redacted]

[redacted] to be scheduled to testify in grand jury after [redacted]

●[redacted] to testify in grand jury in [redacted]

●Subpoena [redacted]

●Contact [redacted]

●Submit [redacted]

●Subpoenas to be served for [redacted]

Investigation is ongoing in New York.

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BY 49 \_\_\_\_\_  
DATE 7/22/02 \_\_\_\_\_

58A-NY-278417-305

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FBI - NEW YORK	
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**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 07/19/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

b6  
b7C

New York

Attn: ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

*mjm*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

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b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

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AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

Week of [redacted]

●Former [redacted] interviewed on [redacted] in  
[redacted] by case agents.

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[redacted] testified in grand  
jury on [redacted]

●SA [redacted] testified in grand jury on [redacted] concerning  
[redacted] records and interview of [redacted]

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 07/19/2002

●Subpoena served on [redacted]

[redacted] U.S. Attorney JAMES COMEY concurred with service of subpoena.

[redacted] contacted regarding proposed questions.  
● [redacted] Scanning of [redacted] records.

●Subpoenas served on [redacted]  
●Subpoenas served on [redacted]

●Review of [redacted] Lead prepared for [redacted] for interviews and service of subpoenas for [redacted]

●U.S. Attorney COMEY to be extensively briefed on 07/19/2002 or 07/22/2002 by AUSA and SDNY Chief Counsel.

PROPOSED FUTURE ACTION:

● [redacted] scheduled in grand jury during on [redacted] Likely to be postponed.

[redacted] to testify in grand jury on [redacted] to be interviewed in [redacted] during week or [redacted]

[redacted] to be interviewed in [redacted] to be interviewed on [redacted] Request for appearance letter prepared and faxed.

●Subpoenas to be served for [redacted]

Investigation is ongoing in New York.

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BY 10 \_\_\_\_\_  
DATE 10 7/22/02 \_\_\_\_\_

58A-NY-278417-306

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SERIALIZED	FILED
JUL 19 2002	
FBI - NEW YORK	
	by <u>hjj</u>

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Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 07/30/02 Time: 10:42

Case ID: 58A-NY-278417 Serial: 307

Description of Document:

Type : FD302

Date :

To :

From :

Topic:

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Reason for Permanent Charge-Out:

serialized to the main should be in subfile

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 261

Employee:

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/05/2002

To: New York

Attn: SSA [redacted]  
Squad C-14

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b7C

From: [redacted]

Contact: SA [redacted]

b3  
b6  
b7C  
b7D

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 58A-NY-278417 (Pending) - 308

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

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b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NEW YORK

b3  
b6  
b7C  
b7D

Synopsis: To return FD-302 of interview of [redacted] to OO.

Reference: 58A-NY-278417 Serial 295

Enclosure(s): Enclosed for New York are the original and two copies of FD-302 of interview of [redacted] and a 1A Envelope containing original interview notes.

Details: [redacted] was interviewed on [redacted] considers this lead covered.

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(X)

58A-NY-278417 - 308

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 29 2002	
FBI - NEW YORK	

[redacted] [redacted]

b6  
b7C



UPLOADED  
WITH/TEXT   
WITH/OUT TEXT \_\_\_\_\_  
BY ug  
DATE 8/1/02

58A-NY-278417-309

SEARCHED	INDEXED
SERIALIZED <u>ug</u>	FILED <u>ug</u>
JUL 29 2002	
FBI - NEW YORK	
	<u>mrf</u>



b6  
b7c

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/26/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

b6  
b7C

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6  
b7C

[redacted]  
MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

Week of [redacted]

• SA [redacted] testified in grand jury on [redacted]

b3  
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[redacted] testified in grand jury  
on [redacted]

• Documents received under subpoena from [redacted]

[redacted]

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 07/26/2002

[redacted] Scanning of [redacted]  
[redacted] records.

• U.S. Attorney COMEY briefed on 07/22/2002 by AUSA and SDNY Chief Counsel.

• Review of documents received from [redacted]  
[redacted]

• Contact made with [redacted]  
[redacted] for grand jury appearances.

[redacted] contacted and scheduled for interview on [redacted]

PROPOSED FUTURE ACTION:

• [redacted] to be interviewed/testify in grand jury. Tentative dates are [redacted]

• [redacted] scheduled in grand jury during on [redacted]  
[redacted] to be interviewed in [redacted] during week or

[redacted] to testify in grand jury on either [redacted]

[redacted] to be interviewed in [redacted]

• [redacted] to be interviewed on [redacted]

• Subpoenas to be served for [redacted]

b3  
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b7E

b3  
b6  
b7C  
b7D  
b7E

Investigation is ongoing in New York.

072602.ec

◆◆

58A-NY-278427-311

SEARCHED	INDEXED
SERIALIZED	FILED
AUG 14 2002	
FBI - NEW YORK	

[Redacted] [Signature]

(X)  
[Handwritten mark]

b6  
b7C

2

FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 08/06/2002

To: New York

Attn: A/SSA [redacted]  
SA [redacted]

b6  
b7C

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted] *SLG/AM*

b3  
b6  
b7C  
b7D

Drafted By: [redacted] *DA*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

Synopsis: Three priority interviews have been completed along with the service of subpoenas.

Enclosure(s): Three interview 302s with corresponding FD-340s; one service of subpoena 302; copies of subpoenas.

Details: [redacted] was requested to conduct four interviews in relation to captioned investigation, and serve subpoenas at the end of three of the interviews. The priority interviews [redacted]

[redacted] have been conducted, and all subpoenas were served in connection with the interviews. The fourth interview is still pending, and the paperwork related to that interview will be sent under a separate EC.

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b7D

To: New York Fr. [REDACTED]  
Re: 58A-NY-278417, 08/06/2002

b3  
b6  
b7C  
b7D

LEAD(s) :

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK

Read and Clear

◆◆

Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 09/05/02 Time: 08:39

Case ID: 58A-NY-278417 Serial: 312

Description of Document:

Type : FD302  
Date :   
To : NEW YORK  
From :   
Topic:

b3  
b6  
b7C  
b7D

Reason for Permanent Charge-Out:

case agent wants it in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 275

Employee:

b6  
b7C

09/05/2002

Permanent Charge-Out of Serial

ICMLPE20

08:09:59

Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 312 to be copied



Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 09/05/02 Time: 08:40

Case ID: 58A-NY-278417 Serial: 313

Description of Document:

Type : FD302

Date :

To : NEW YORK

From :

Topic:

b3  
b6  
b7C  
b7D

Reason for Permanent Charge-Out:

case agent wants in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 276

Employee:

b6  
b7C

09/05/2002  
08:09:33

Permanent Charge-Out of Serial

ICMLPE20  
Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 313 to be copied

Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 09/05/02 Time: 08:41

Case ID: 58A-NY-278417 Serial: 314

Description of Document:

Type : FD302

Date :

To : NEW YORK

From :

Topic:

b3  
b6  
b7C  
b7D

Reason for Permanent Charge-Out:

case agent wants in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 277

Employee:

b6  
b7C

9/05/2002

Permanent Charge-Out of Serial

ICMLPE20

8:09:14

Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

there are no leads for old Case ID 58A-NY-278417 with Serial 314 to be copied

Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 09/05/02 Time: 08:41

Case ID: 58A-NY-278417 Serial: 315

Description of Document:

Type : FD302  
Date : 07/26/02  
To : NEW YORK  
From :   
Topic: GRAND JURY SUBPOENAS

b3  
b6  
b7C  
b7D

Reason for Permanent Charge-Out:

case agent wants in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 278

Employee:

b6  
b7C

9/05/2002  
08:09:51

Permanent Charge-Out of Serial

ICMLPE20  
Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 315 to be copied



# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/12/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit.  
Unit Chief [redacted]  
SSA [redacted]

New York

Attn: ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

b6  
b7C

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

*mjm*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6  
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

Week of [redacted]

[redacted] testified in grand jury on [redacted]  
• (SENSITIVE) [redacted] interviewed on

b3  
b6  
b7C  
b7D

[redacted]

[redacted]

[redacted] New subpoena requested for [redacted]  
[redacted]



To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 08/12/2002

[redacted] interviewed on [redacted]  
[redacted] interviewed on [redacted]  
[redacted]  
[redacted]  
•Review of documents received from [redacted]  
•Contact made with [redacted] for grand jury appearances.  
•Preparation [redacted]  
[redacted]

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b7C  
b7D  
b7E

PROPOSED FUTURE ACTION:

•Preparation for new grand jury.  
•Debrief new source claiming to have information on illicit Democratic party contribution practices.  
•Interview [redacted]  
[redacted] and [redacted] to be interviewed in [redacted]  
[redacted] to testify in grand jury on [redacted]  
•Subpoenas to be served for [redacted]

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Investigation is ongoing in New York.

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58A-NY-27847-317

SEARCHED	INDEXED
SERIALIZED	FILED
JUN 26 2002	
FBI NEW YORK	

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BY UCJ

DATE 8/20/02

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b7c

**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 08/26/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

b6  
b7C

New York

Attn: ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6  
b7C

[redacted]  
MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

Week of [redacted]

●Current grand jury expired [redacted] A new special grand jury  
was empaneled on [redacted] and preparations are being made to  
introduce evidence.

●Subpoena served upon [redacted]

●Contact made with [redacted] Division to interview new source.

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b7D  
b7E

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 08/26/2002

[redacted] has invoked her 5th Amendment right against self incrimination as of [redacted] and will not provide additional testimony to the grand jury.

- Subpoenas served to [redacted] for [redacted] information.
- Contact made with [redacted]
- Subpoena served to [redacted] for documents.

PROPOSED FUTURE ACTION:

- Preparation of evidence for new grand jury.
- Debrief new source claiming to have information on illicit Democratic party contribution practices.
- Interview [redacted]
- [redacted] to be interviewed in [redacted]
- [redacted] to testify in grand jury in [redacted]
- [redacted] to testify in grand jury on [redacted]
- Subpoenas to be served for [redacted]

Investigation is ongoing in New York.

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BY JO  
DATE 10 9/9/02

58A-NY-278417-38

SEARCHED	INDEXED
SERIALIZED <u>48</u>	FILED <u>48</u>
AUG 29 2002	
FBI - NEW YORK	
	<u>mgk</u>

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mgk

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Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 10/15/02 Time: 08:15

Case ID: 58A-NY-278417 Serial: 320

Description of Document:

Type : FD302  
Date :   
To : NEW YORK  
From :   
Topic: INTERVIEW OF

b3  
b6  
b7C  
b7D

Reason for Permanent Charge-Out:

la put in wrong file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 279

Employee:

b6  
b7C

10/15/2002  
08:10:57

Permanent Charge-Out of Serial

ICMLPE20  
Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 320 to be copied

58A-NY-278417 - 321

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 10 2002	
FBI - NEW YORK	
mp	

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/30/2002

To: New York

Attn: SA [redacted]

b6  
b7C

From: [redacted]

Contact: SA [redacted]

Approved By: [redacted] *[Signature]*

Drafted By: [redacted] *[Signature]*

b3  
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b7C  
b7D

Case ID #: 58A-NY-278417 *[Signature]* (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

Synopsis: Covered priority lead to conduct interviews with [redacted]

[redacted]

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Enclosure(s): FD-302 of the [redacted] interview.

Details: Pursuant to Serial 304, [redacted]

[redacted] were interviewed. The FD-302's from the interviews with [redacted] were previously sent on [redacted]. Enclosed is the FD-302 of the interview with [redacted].

b3  
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[redacted] considers this lead covered.

To: New York From:   
Re: 58A-NY-278417, 09/30/2002

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b7D

LEAD(s) :

Set Lead 1: (Adm)

NEW YORK

AT NEW YORK

Read and clear.

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Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 10/22/02 Time: 08:07

Case ID: 58A-NY-278417 Serial: 322

Description of Document:

Type : FD302  
Date :   
To : NEW YORK  
From :   
Topic: SOURCE MET WITH

b6  
b7C  
b7D

Reason for Permanent Charge-Out:

new orleans entered in error

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 280

Employee:

b6  
b7C

10/22/2002  
08:10:18

Permanent Charge-Out of Serial

ICMLPE20  
Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 322 to be copied



1

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WITH/OUT TEXT \_\_\_\_\_  
BY 49  
DATE 10/19/02

58A NY-278417-323

SEARCHED	INDEXED
SERIALIZED <u>49</u>	FILED <u>49</u>
OCT 6 2002	
[Redacted] <u>mye</u>	

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**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 10/08/2002

To: New York

Attn: C-14 Rotor

From: New York

Squad C-14

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm hyn

b6  
b7C

Case ID #: ~~58A~~ SA-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

*1/25/02 10/10/02*

Synopsis: To request restriction of ACS access to captioned investigation.

Details: It is requested that due to the sensitivity of this investigation, ACS access to this case be restricted to the following bureau personnel:

SAC Frederick Snellings, Criminal Division

ASAC [redacted] WCC

A/SSA [redacted] Squad C-14

SA [redacted] C-14

b6  
b7C

[redacted] C-14 Rotor  
NYO

A/Unit Chief [redacted] FBI HQ, Public Corruption Unit

SSA [redacted] FBI HQ, Public Corruption Unit



58A-NY-278417-324

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 24 2002	
FBI NEW YORK	

*[Handwritten initials and a circled 'X' are present to the right of the stamp.]*

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FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/22/2002

To: Criminal Investigative

Attn: Public Corruption Unit  
Attn: SSA [redacted]

Investigative Services

IOU #1  
UC [redacted]

b6  
b7C

New York

Squad C-14, Public Corruption  
SA [redacted]

From: [redacted]

Contact: [redacted]

Approved By: [redacted]

Drafted By: [redacted] bgm

b6  
b7C  
b7D

Case ID #: 58A-NY-278417 (Pending); *restricted*  
80-LO-C114 Sub A; //

Title: UNSUB(S);  
WILLIAM J. CLINTON-Former US President;

[redacted]

b6  
b7C

MARC RICH - Fugitive (B);  
ET AL;  
CFPO-Executive Branch  
OO: New York

Synopsis: Provide information related to MARC RICH INVESTMENTS provided by [redacted]

Administrative: Restricted information from [redacted]

[redacted] The information provided below has been approved for dissemination within the Federal Bureau of Investigation and the United States Attorneys Office, however, it is for intelligence purposes only. If any of this information is required for evidence, court related documents, or filings, an official letter of request will be required.

b7D

Details: [redacted]

provided to [redacted] which they believe may represent possible criminal activity.



To: Criminal Investigative From:   
Re: 58A-NY-278417, 08/22/2002

b7D

b7D

b6  
b7C  
b7D

Additionally,  advised as follows:  
(Begin verbatim text)

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To: Criminal Investigative From:   
Re: 58A-NY-278417, 08/22/2002

b7D

[Redacted]

b6  
b7C  
b7D

[Redacted]

[Redacted]

b7D

(End verbatim text)

The following  were also provided:

(Begin verbatim text)

[Redacted]

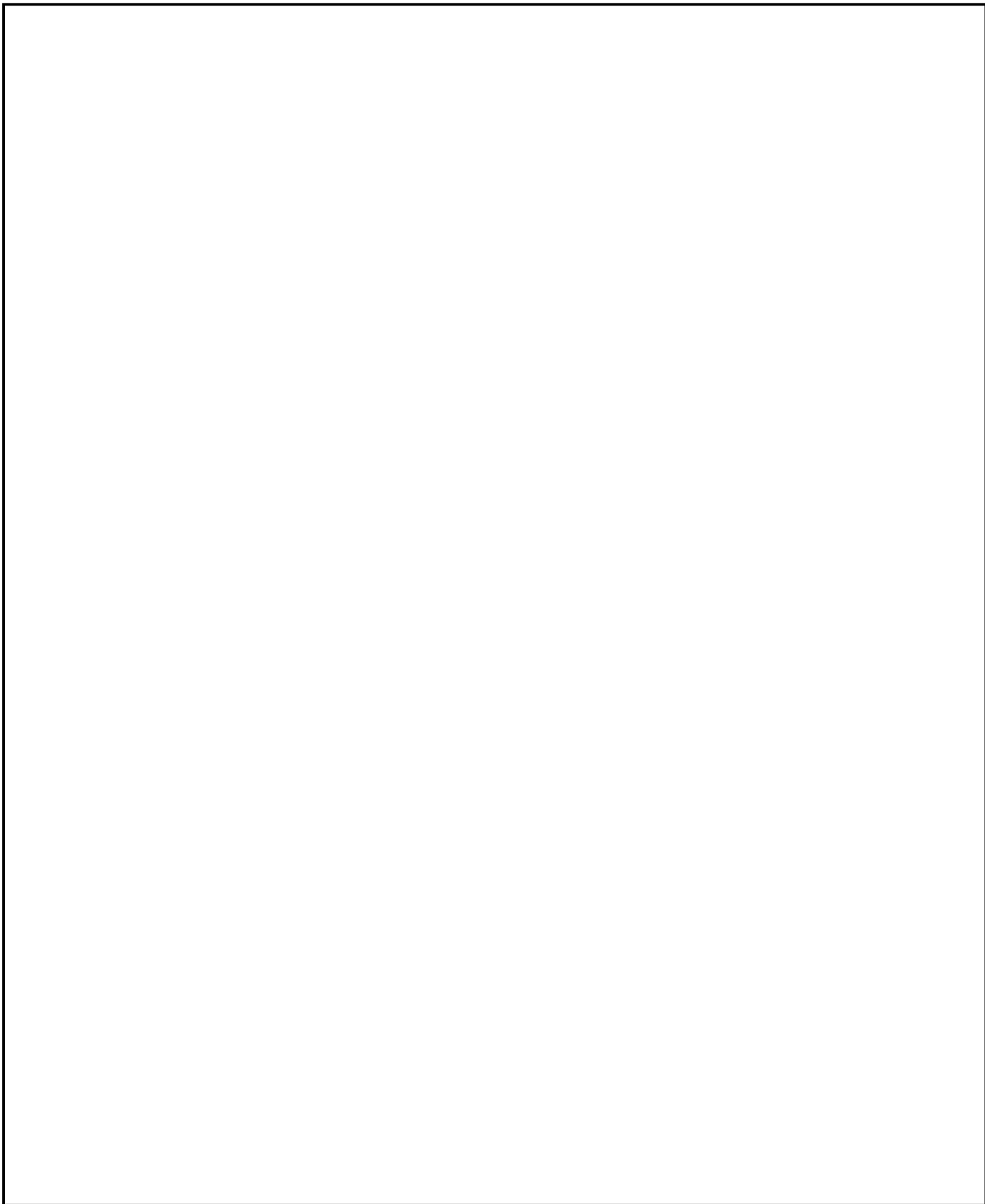
b7D

To: Criminal Investigative From:

Re: 58A-NY-278417, 08/22/2002

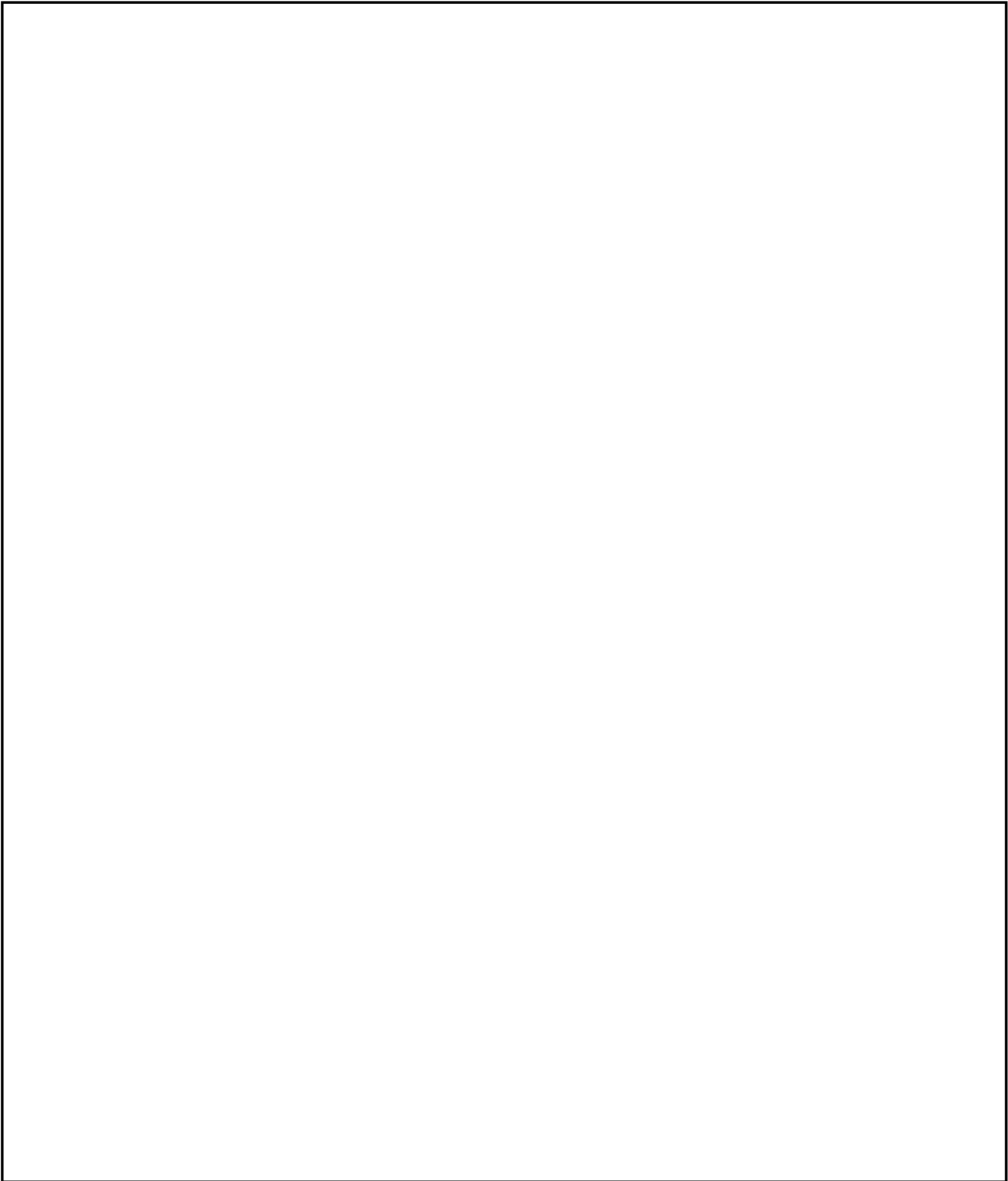
b7D

b6  
b7C  
b7D



To: Criminal Investigative From:   
Re: 58A-NY-278417, 08/22/2002

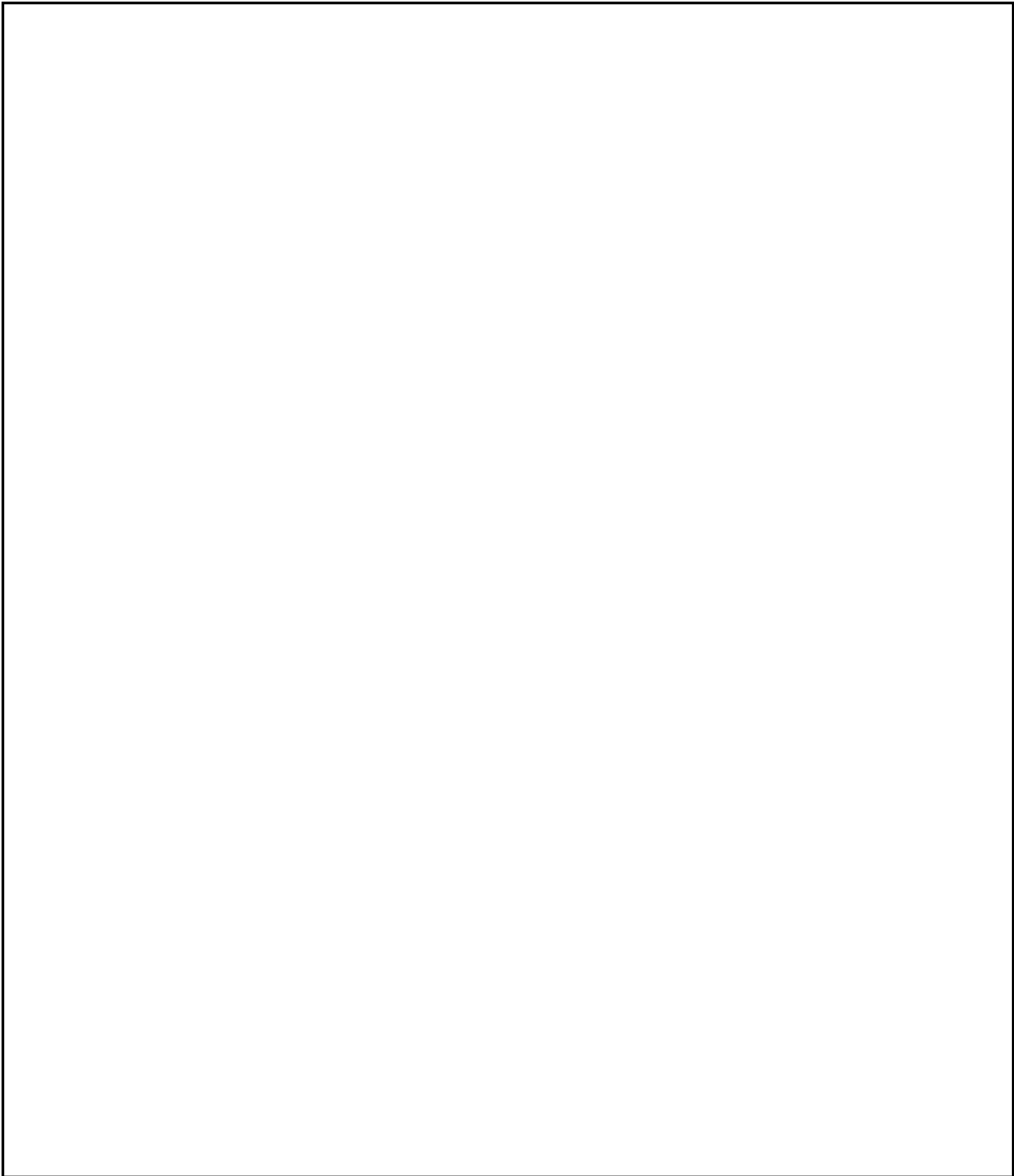
b7D



To: Criminal Investigative From:   
Re: 58A-NY-278417, 08/22/2002

b7D

b6  
b7C  
b7D

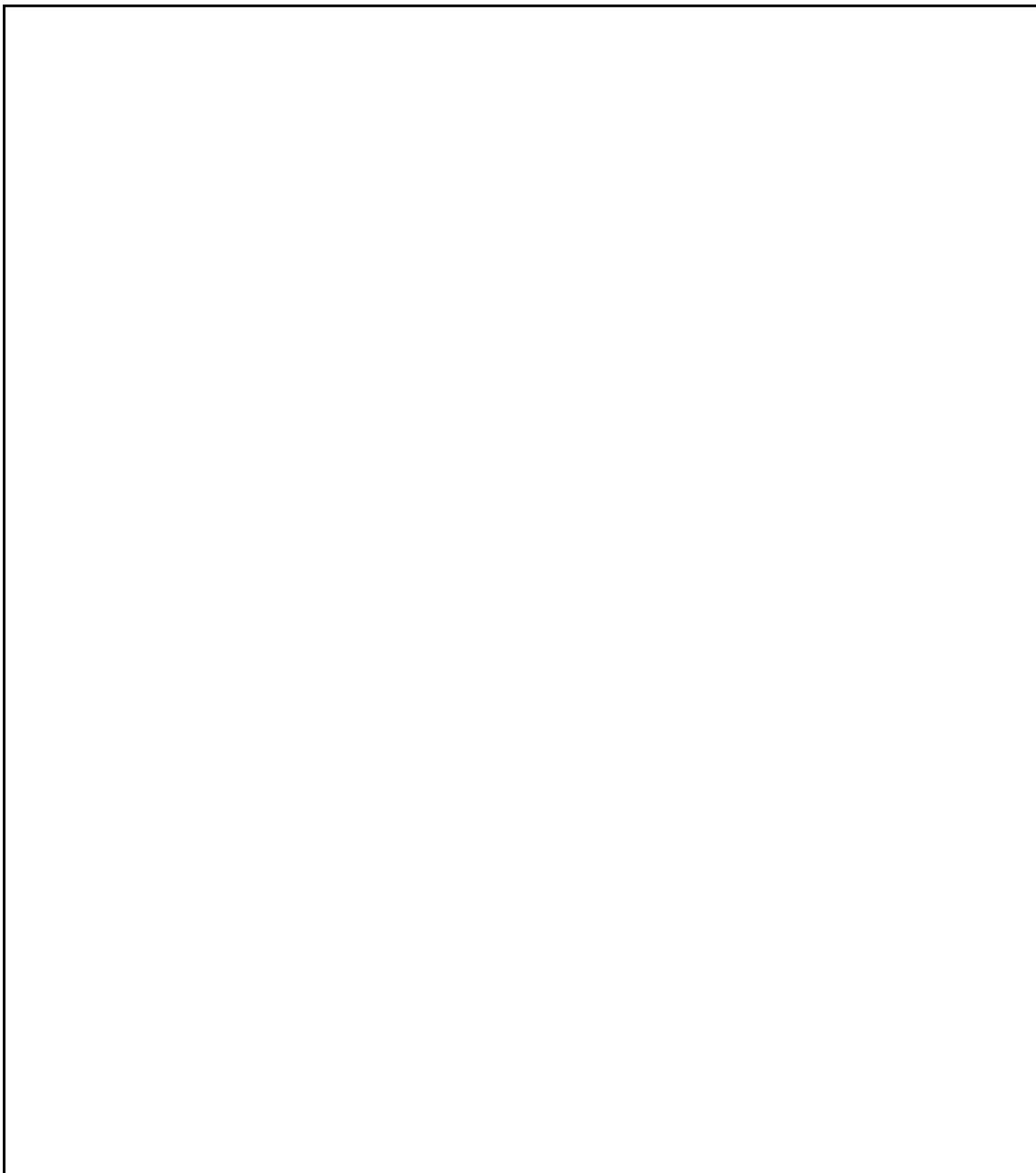


To: Criminal Investigative From:   
Re: 58A-NY-278417, 08/22/2002

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To: Criminal Investigative From:   
Re: 58A-NY-278417, 08/22/2002

b7D



To: Criminal Investigative From: [redacted]  
Re: 58A-NY-278417, 08/22/2002

b7D

[redacted]

(End verbatim text)

For information of New York Division, [redacted] periodically receives reports from [redacted]

b6  
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b7D

[redacted]

Unless additional information is requested by New York Division, [redacted] will conduct no further inquiries regarding this matter.



To: Criminal Investigative From:

Re: 58A-NY-278417, 08/22/2002

b7D

LEAD(s):

Set Lead 1: (Adm)

ALL RECEIVING OFFICES

For information. Read and clear.

◆◆

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 10/09/2002

**To:** New York

**Attn:** SA [redacted]  
Security Unit

b6  
b7C

**From:** New York  
Squad C-14 Public Corruption  
**Contact:** A/SSA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted] nm

**Case ID #:** 58A-NY-278417 (Pending)

**Title:** WILLIAM J. CLINTON, FORMER  
U.S. PRESIDENT, ET AL;  
CFPO-EXECUTIVE BRANCH  
OO:NY

**Synopsis:** Justification of continuation of SCI clearance for A/SSA [redacted] and SA [redacted] as it pertains to captioned investigation.

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**Details:** For information of the New York Security Unit, SAs [redacted] and [redacted] were initially granted SCI clearance in approximately May 2000, as case agents on a Public Corruption investigation involving Foreign Counter Intelligence material.

The SCI clearance for SA's [redacted] and [redacted] was extended in 2001 due to their assignment as case agents on the above captioned investigation. SCI clearance was granted due to the agents need to review documents relative to U.S. National Security matters.

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In as much as this investigation is ongoing, and it is anticipated that the aforementioned documents as well as additional information regarding those documents will be reviewed and discussed, it is necessary for SAs [redacted] and [redacted] to retain their SCI clearance for the duration of this investigation.

♦♦

**UPLOADED**  
WITH/TEXT \_\_\_\_\_  
WITHOUT TEXT \_\_\_\_\_  
BY UP  
DATE 10/16/02

58A-NY-278417-325  
SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_  
SERIALIZED UP FILED UP  
OCT 1 2002  
FBI - NEW YORK  
[initials]

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 11/14/2002

**To:** New York

**From:** New York

Squad C-14

**Contact:** [Redacted]

**Approved By:** [Redacted]

b6  
b7C

**Drafted By:** [Redacted] nm

**Case ID #:** 58A-NY-278417 (Pending)

**Title:** WILLIAM J. CLINTON, ET AL;  
CFPO-EXECUTIVE BRANCH  
OO:NY

**Synopsis:** To remove the designation of SA [Redacted] as Co-Case Agent on captioned investigation.

**Details:** Due to the transfer of SA [Redacted] to Squad A-4, SA [Redacted] will no longer be co-case agent on the above captioned matter. b6  
b7C

♦♦

UPLOADED  
WITH TEXT \_\_\_\_\_  
WITH COMMENTS \_\_\_\_\_  
BY g  
DATE 11/14/02

58A-NY-278417-326 (4)  
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FBI - NEW YORK  
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WITH/TEXT   
WITHOUT TEXT \_\_\_\_\_  
BY UP  
DATE 11/15/02

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NOV 15 2002	
FBI - NEW YORK	

*[Signature]*

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327

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 11/14/2002

To: New York

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] mjm

Case ID #: 58A-NY-278417 (Pending)

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Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

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Synopsis: To document contact with [redacted] and [redacted]

Details: On [redacted] SA [redacted] and AUSA [redacted]  
SDNY, telephonically interviewed [redacted] telephone number  
[redacted] regarding MARC RICH. [redacted] previously contacted  
[redacted] to  
contact AUSA [redacted] at the SDNY. [redacted]

[redacted]

later provided SA [redacted] telephone number [redacted]  
[redacted]  
faxed AUSA [redacted] documents relating to [redacted]

[redacted]

On [redacted] SA [redacted] and AUSA [redacted]  
telephonically interviewed [redacted]

[redacted]

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To: New York From: New York  
Re: 58A-NY-278417, 11/14/2002

[Redacted]

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[Redacted]

SA [Redacted] and AUSA [Redacted] inquired of [Redacted]

[Redacted] and contact SA [Redacted]

Numerous articles were reviewed on the internet regarding [Redacted]

[Redacted] These internet references appear to detail "conspiracy theories" concerning RICH pardon and connections with the Clinton White House. Numerous references were made to [Redacted] in ACS, most recently, regarding [Redacted]

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SA [Redacted] telephonically contacted SA [Redacted] RA, who advised that he recently spoke with [Redacted] investigation. SA [Redacted] advised that [Redacted] RA and could be interviewed if requested.

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[Redacted] ec  
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WFO [initials]  
BY [initials] 11/21/02

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NEW YORK	

[Redacted Box] [initials]

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FEDERAL BUREAU OF INVESTIGATION  
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Handwritten notes on a set of four horizontal lines. The notes are partially obscured and difficult to read, but appear to include some numbers and possibly a date.

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Handwritten notes and numbers in the top right corner, including a date range and a large number.

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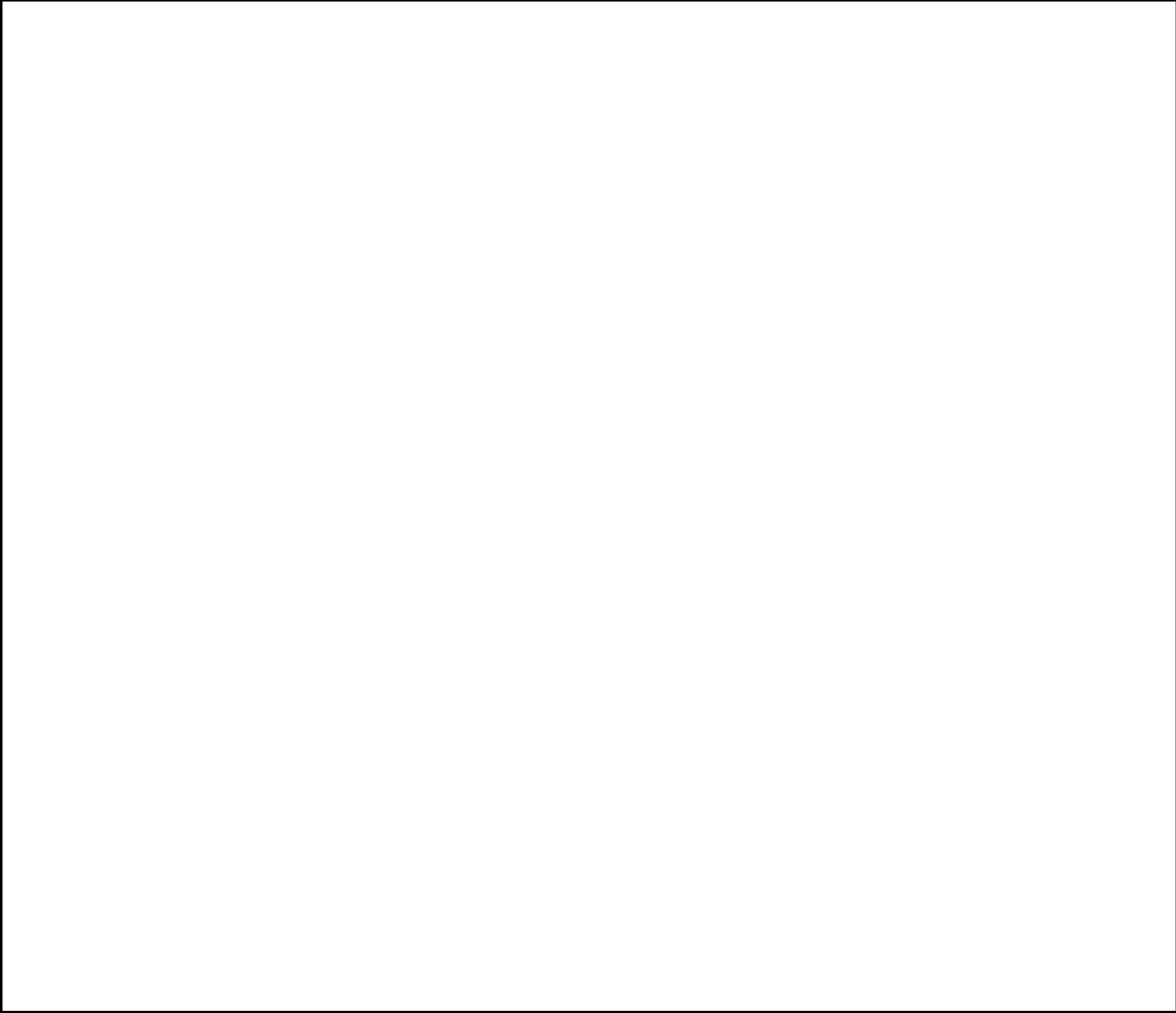
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58A-NY-278417-342

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/05/2003

To: New York

Attn: SSA [redacted]

b6  
b7C

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

Synopsis: To request assistance of C-14 analyst for [redacted]  
[redacted] uploading.

b3

Details: FA [redacted] C-14, has been conducting [redacted]  
[redacted] on the above captioned case since approximately [redacted]  
[redacted] At the present time, [redacted]

[redacted]

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[redacted] Subpoenas for unknown [redacted] have been  
issued [redacted] some records have been  
obtained. The assistance of FA [redacted] is required to [redacted]

[redacted]

To: New York Field: New York  
Re: 58A-NY-278417, 03/05/2003

LEAD(s):

Set Lead 1:

NEW YORK

AT NEW YORK, NEW YORK

FA [REDACTED]

[REDACTED] in case file 58A-  
NY-278417.

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58A-NY-278417-343

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/05/2003

To: [Redacted]

Attn: SSA [Redacted]  
SA [Redacted]

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From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [Redacted]

Approved By: [Redacted] *MAC*

Drafted By: [Redacted] *mjm*

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Case ID #: 58A-NY-278417 (Pending)  
[Redacted] (Pending)

b7D

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[Redacted]

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MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

b7D

Synopsis: To request approval for inter-divisional travel to [Redacted] Division for debriefing of Bureau Cooperating Witness (CW).

Administrative: Reference telephone calls on 03/05/2003 between SA [Redacted] and SA [Redacted] (New York).

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Details: New York is conducting a sensitive public corruption investigation concerning several controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON, specifically pardons granted to long time international fugitives MARC RICH and PINCUS GREEN. [Redacted] Division is currently operating a highly sensitive [Redacted]

[Redacted] The CW has reported information regarding [Redacted]

The Southern District of New York has requested case agent [Redacted]

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[Redacted]

To: [redacted] From: New York  
Re: 58A-NY-27841, 03/05/2003

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[redacted]  
[redacted]

SA [redacted] has telephonically spoken with SA [redacted]

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[redacted]  
Anticipated costs at this time include air fare, hotel, rental car, and per diem expenses.

ADIC, New York, and SAC, [redacted] concur in the need for SA [redacted] to travel to [redacted] Division to conduct the debriefing of the [redacted] CW.

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/17/2003

To: New York

From: New York

Squad C-14 - Public Corruption

Contact: SA [redacted]

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b7C

Approved By: [redacted]

*MAC/mj*

Drafted By: [redacted]

*mjm mj*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

Synopsis: To request opening of subfile.

Details: Squad C-14 Rotor is requested to open the following subfile which will serve as a repository for information related to the above investigation:

SUB [redacted]

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subfiles2.ec



*Handwritten notes and signatures:*  
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58A-NY-278417-344

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FBI - NEW YORK	

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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 04/01/2003

A Source who is a position to testify provided the following information telephonically to SA [redacted]

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[redacted]

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Investigation on 03/31/03 at [redacted] (telephonically)  
File # [redacted] 58A-NY-278417-346 Date dictated 04/01/03  
by SA [redacted]

*gmu*

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FBI - NEW YORK  
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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 03/31/2003

To: [Redacted]

Attn: SSA [Redacted]  
SA [Redacted]

b6  
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From: New York  
White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [Redacted]

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Approved By: [Redacted] *hac*

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Drafted By: [Redacted] *mjm hys*

Case ID #: 58A-NY-278417 (Pending)  
[Redacted] (Pending)

b7D

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[Redacted]

b6  
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MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

**Synopsis:** To request approval for inter-divisional travel to [Redacted] Division for investigative strategy meeting with [Redacted] Division, and [Redacted]

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**Administrative:** Reference telephone calls between SA [Redacted] and SA [Redacted] (New York).

b6  
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b7D

**Details:** New York is conducting a sensitive public corruption investigation concerning several controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON, specifically pardons granted to long time international fugitives MARC RICH and PINCUS GREEN. [Redacted] Division is currently operating a highly sensitive [Redacted]

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[Redacted] A significant number of witnesses in New York's investigation overlap with ongoing investigations conducted by [Redacted] SA [Redacted]

has coordinated with these divisions in the past as their

To: [redacted] From: New York  
Re: 58A-NY-278417, 03/31/2003

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investigations involve people affiliated with the Democratic Party. This source has tremendous operational potential for all involved investigations.

SA [redacted]  
[redacted]

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[redacted] As such, SA [redacted] requests approval for travel to [redacted]

[redacted] Anticipated travel costs at this time include, air fare, hotel expenses, parking, cab fare, per diem costs, and other travel related expenses. SA [redacted] has tentatively arranged for [redacted]

[redacted] AUSA [redacted] Southern District of New York (SDNY), is aware of this trip and concurs in the need for coordination to be made with the involved districts.

ADIC, New York, and SAC, [redacted] concur in the need for SA [redacted] to travel to [redacted] Division for the [redacted]

b6  
b7C  
b7D

◆◆

58A-NY-278417 -

348 <sup>(X)</sup> mpr

SEARCHED	INDEXED
SERIALIZED <u>ug</u>	FILED <u>ug</u>
MAR 2003	2003
[Redacted]	LINK <u>mpr</u>

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 \_\_\_\_\_ 4/8/03 \_\_\_\_\_

b6  
b7C

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/07/2003

To: Washington Field

Attn: [redacted]

b3  
b7E

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

*MAC*

b6  
b7C

Drafted By: [redacted]

*mjm*

Case ID #: [redacted]

(Pending) -279

b3  
b7E

✓ 58A-NY-278417 (Pending)

Title: UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;

CFPO - EXECUTIVE BRANCH;

OO: NY

Synopsis: To request copy of [redacted]

located in [redacted]

b3  
b7E

Reference: [redacted]

Details: New York is conducting ACS searches in connection with an ongoing sensitive public corruption investigation. A recent search for [redacted]

[redacted]

[redacted] is requested to copy this [redacted]

[redacted] and provide same to SA [redacted]

[redacted] Squad C-14, New York.

b3  
b6  
b7C  
b7E

To: Washington Field From: New York  
Re: [redacted] 04/07/2003

b3  
b7E

LEAD(s):

Set Lead 1: (Action)

WASHINGTON FIELD

AT WASHINGTON D.C.

[redacted] is requested to copy [redacted] making  
reference to [redacted] in case file [redacted] (pending),  
and provide same to New York.

b3  
b6  
b7C  
b7E

wfo [redacted] ec  
◆◆

SEARCHED ✓  
SERIALIZED 49  
APR 10 2003

58A-NY-278417 -

SEARCHED	INDEXED
SERIALIZED 49	FILED 49
APR 10 2003	
[Redacted Box]	
[Signature]	

①  
②  
349  
mp

b6  
b7C

**FEDERAL BUREAU OF INVESTIGATION**

**Precedence:** ROUTINE

**Date:** 04/04/2003

**To:** New York

**From:** New York

White Collar Crime, Squad C-14 - Public Corruption

**Contact:** SA [redacted]

b6  
b7C

**Approved By:** [redacted]

**Drafted By:** [redacted] *mjm hyn*

**Case ID #:** 58A-NY-278417 (Pending)

**Title:** UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

b6  
b7C

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

**Synopsis:** To advise of formal written submission made by attorneys for MARC RICH.

**Details:** On 03/28/2003, [redacted] attorney for MARC RICH, formally submitted a brief "with respect to the events leading to his (RICH's) pardon by President CLINTON in January 2001." [redacted] had prior meetings with the SDNY to orally discuss the merits of the case. The brief sets forth reasons why charges should not be brought by the SDNY for the events preceding RICH's pardon. A copy of the brief has been placed in a 1A envelope and will be maintained in the case file.

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b7C

[redacted] ec  
♦♦



Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 05/02/03 Time: 10:36

Case ID: 58A-NY-278417 Serial: 350

Description of Document:

Type : FD302

Date :

To :

From : SA

Topic: SOURCE CONTACT

b6  
b7C  
b7D

Reason for Permanent Charge-Out:

entered in error

Employee:

b6  
b7C



# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 04/15/2003

**To:** [redacted]

**Attn:** SSA [redacted]  
SA [redacted]

b6  
b7C  
b7D

**From:** New York

White Collar Crime/Squad C-14 - Public Corruption

**Contact:** SSA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

mac

b6  
b7C

**Case ID #:** 58A-NY-278417 (Pending)

[redacted] (Pending) b7D

**Title:** UNSUB(S);

WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

**Synopsis:** To request approval for inter-divisional travel to [redacted]

[redacted] Division for investigative strategy meeting with [redacted]

[redacted] Division, and [redacted]

b7D  
b7E

**Administrative:** Reference telephone calls between SA [redacted]  
[redacted] and SA [redacted] (New York).

**Details:** New York is conducting a sensitive public corruption investigation concerning several controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON, specifically pardons granted to long time international fugitives MARC RICH and PINCUS GREEN. [redacted] Division is currently operating a highly sensitive [redacted]

b6  
b7C  
b7D

[redacted] A significant number of witnesses in New York's investigation overlap with ongoing investigations conducted by [redacted] SA [redacted] has coordinated with these divisions in the past as their investigations involve people

To: [redacted] From: New York  
Re: 58A-NY-278417, 04/15/2003

b6  
b7C  
b7D

affiliated with the Democratic Party. This source has tremendous operational potential for all involved investigations.

SA [redacted]

[redacted]

b6  
b7C  
b7D  
b7E

[redacted] As such, SSA [redacted] requests approval for travel to [redacted]. Anticipated travel costs at this time include, air fare, hotel expenses, parking, cab fare, per diem costs, and other travel related expenses. SA [redacted] has tentatively arranged for [redacted]

[redacted] AUSA [redacted] Southern District of New York (SDNY), is aware of this trip and concurs in the need for coordination to be made with the involved districts.

[redacted] ADIC, New York, and SAC [redacted] concur in the need for SSA [redacted] and SSA [redacted] to travel to [redacted] Division for the [redacted]

b6  
b7C  
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♦♦

Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 05/08/03 Time: 08:11

Case ID: 58A-NY-278417 Serial: 352

Description of Document:

Type : FD302

Date :

b6

To :

b7C

From : SAS

b7D

Topic: SOURCE CONTACT

Reason for Permanent Charge-Out:

belongs in sub file

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 293

Employee:

b6

b7C

05/08/2003  
08:05:07

Permanent Charge-Out of Serial

ICMLPE20  
Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 352 to be copied

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 04/14/2003

To: New York

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted] *MAC*

b6  
b7C

Drafted By: [redacted] *mjm*

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

Synopsis: To request approval for rental car during official business travel to [redacted] Division.

b6  
b7C  
b7D  
b7E

Details: SA [redacted] received authority to travel to [redacted]  
[redacted]

SA [redacted] inadvertently omitted to request approval for a rental car during this trip. A rental car will be necessary for travel to the field office and elsewhere as necessary. Arrangements for a rental car have been made at the cost of approximately \$46 per day.

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58A-NY-278417

SEARCHED	INDEXED
SERIALIZED	FILED
<i>MAC</i>	<i>49</i>
<i>APD</i>	<i>0003</i>

*353*

*49* 4/24/03

[redacted]

*mjm*

b6  
b7C

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BY UP \_\_\_\_\_  
DATE 5/1/03 \_\_\_\_\_

58A-NY-278417-35<sup>th</sup>

SEARCHED	INDEXED
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MAY 2 2002	
FBI - NEW YORK	
[Redacted]	[Signature]

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b6  
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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/14/2002

To: Criminal Investigative

Attn: Integrity in Government/  
Civil Rights Section, Public  
Corruption Unit,  
Unit Chief [redacted]  
SSA [redacted]

b6  
b7C

New York

Attn: A/ASAC [redacted]

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

*PFG/KCQ*

Drafted By: [redacted]

mjm

Case ID #: 58A-NY-278417 (Pending)

Title:

UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THIS DOCUMENT IS SENSITIVE IN NATURE AND SHOULD BE  
AFFORDED APPROPRIATE SECURITY. IT SHOULD ONLY BE VIEWED  
BY ONLY THOSE FOR WHOM IT IS INTENDED.

Synopsis: To provide weekly status report of captioned  
investigation.

Details: In an effort to provide the Public Corruption Unit (PCU)  
and NYO management with an updated status report on the captioned  
investigation, New York provides the following current information:

[redacted] interview conducted on

[redacted]

●Review of [redacted]

[redacted]

●Meeting held with SDNY as to status of investigation.

●Preparation of master investigative time line.

b3  
b6  
b7C  
b7D  
b7E

To: Criminal Investigative From: New York  
Re: 58A-NY-278417, 06/14/2002

- Review and analysis of [redacted] grand jury testimony.
- Review of [redacted] grand jury testimony.
- Update master interview list.

b3  
b6  
b7C  
b7D

PROPOSED FUTURE ACTION:

[redacted] to be scheduled for grand jury.

•Contact [redacted]

•Submit [redacted]

•Interview to be scheduled with [redacted]

•Subpoenas to be served for [redacted] and analysis.

•Continue review of pertinent grand jury testimony and complete master time line.

b3  
b6  
b7C  
b7D  
b7E

Investigation is ongoing in New York.

061402.ec

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58A-NY-278417-355

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SERIALIZED	FILED
MAY	2003
NEW YORK	
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 BY: UP  
 DATE: 5/13/03

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WRITTEN BY \_\_\_\_\_  
BY UJ  
DATE 5/20/03

58A-NY-278417-  
INDEXED  
FILED  
2003  
[Redacted Box] [Signature]

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356

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b7C

(Rev. 04-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: IMMEDIATE

Date: 05/09/2003

To: [Redacted]  
New York  
New York  
[Redacted]

Attn: [Redacted]  
Attn: SSA [Redacted] C-15  
Attn: [Redacted] RA  
Attn: [Redacted] RA

b6  
b7C  
b7D  
b7E

From: [Redacted]

Contact: SA [Redacted] (office)  
[Redacted] (cellular), [Redacted] (pager)

b6  
b7C  
b7D

Approved By: [Redacted]

Drafted By: [Redacted]

Case ID #: [Redacted] (Pending)

Title: [Redacted] b7E

Synopsis: The purpose of this EC is to set an immediate lead for [Redacted] and New York.

b7D  
b7E

Details: Captioned investigation is a highly sensitive matter involving the solicitation of illegal foreign campaign contributions by senior representatives of the Democratic National Committee (DNC)

b6  
b7C  
b7D

[Redacted]

[Redacted]

b6  
b7C  
b7D  
b7E

[Redacted]

b6  
b7C  
b7D  
b7E

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FILED \_\_\_\_\_  
BY cg  
DATE 5/21/03

58A-NY-278417-357  
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1300 . 2003  
[Redacted] hpa

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b7C

Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 06/04/03 Time: 10:39

Case ID: 58A-NY-278417 Serial: 358

Description of Document:

Type : FD302

Date : [REDACTED]

To : [REDACTED]

From : SA [REDACTED]

Topic: SOURCE CONTACT

b6

b7C

b7D

Reason for Permanent Charge-Out:

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Transferred to:

Case ID: 58A-NY-278417-302 Serial: 296

Employee: [REDACTED]

b6

b7C

06/04/2003  
10:06:02

Permanent Charge-Out of Serial

ICMLPE20  
Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 358 to be copied



Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 06/04/03 Time: 10:41

Case ID: 58A-NY-278417 Serial: 359

Description of Document:

Type : FD302

Date :

To :

From : SA

Topic: SOURCE CONTACT

b6  
b7C  
b7D

Reason for Permanent Charge-Out:

entered in error

Transferred to:

Case ID: 58A-NY-278417-302 Serial: 297

Employee:

b6  
b7C

06/04/2003  
10:06:19

Permanent Charge-Out of Serial

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Page 1

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\*\*\*\*\* Permanent Charge-Out of Serials Summary \*\*\*\*\*

There are no leads for old Case ID 58A-NY-278417 with Serial 359 to be copied

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58A-NY-278417 - 360

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58A-NY-278417

360



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NEW YORK

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BY UP  
DATE 6/12/03

58A-NY-278417 -

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<u>me</u>	<u>362</u>
JUN	2003
FBI - NEW YORK	
[Redacted]	<u>mp</u>

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BY YJ \_\_\_\_\_  
DATE 6/13/03 \_\_\_\_\_

58A-NY-278417 -363 (X)  
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FBI - NEW YORK	
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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 05/05/2003

To: [Redacted]

Attn: SA [Redacted]

b6  
b7C  
b7D

From: New York  
White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [Redacted]

Approved By: [Redacted] *WAC*

Drafted By: [Redacted] *mjm*

b6  
b7C

Case ID #: 58A-NY-278417 (Pending)

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[Redacted]

b6  
b7C

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

**Synopsis:** To request approval for inter-divisional travel to [Redacted] Division for [Redacted] in furtherance of captioned investigation.

b6  
b7C  
b7D

**Details:** New York is conducting a sensitive public corruption investigation concerning several controversial presidential pardons granted by former U.S. President WILLIAM J. CLINTON, specifically pardons granted to long time international fugitives MARC RICH and PINCUS GREEN. New York has worked closely with [Redacted] Division [Redacted] to conduct interviews and serve subpoenas in this investigation. New York has primarily worked with SA [Redacted] who has conducted most of the New York interviews and is partially responsible for the handling of a witness which has ties to New York's investigation.

b6  
b7C  
b7D

[Redacted] is currently conducting a public corruption investigation and several witnesses have been identified in both New York and [Redacted] investigations. Over the course of the last few months, [Redacted]

b6  
b7C  
b7D

[Redacted] and agreed to cooperate. This witness [Redacted]

To: [redacted] From: New York b6  
Re: 58A-NY-278417, 05/05/2003 b7C  
b7D

[redacted]

b6  
b7C  
b7D

[redacted] New York is in possession of several documents and [redacted] records which involve this witness. In an effort to determine the potential usage of this witness in New York's investigation, it is requested that SA [redacted] be permitted to travel to [redacted]

[redacted] Anticipated travel costs include, air fare, hotel expenses, parking, rental vehicle (if necessary), per diem costs, and other travel related expenses. This travel has tentatively been arranged for the week of [redacted] AUSA [redacted] Southern District of New York (SDNY), is aware of this proposed trip and concurs [redacted]

b6  
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[redacted] ADIC, New York, and ADIC, [redacted] concur in the need for SA [redacted] to travel to [redacted] Division for [redacted]

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BY UJ \_\_\_\_\_  
DATE 10 6/10/03 \_\_\_\_\_

58A-NY-278417 -

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MAY JUN 2003	
FBI - NEW YORK	
[Redacted]	<u>mjr</u>

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364

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WITH CONTENT \_\_\_\_\_  
BY YJ  
DATE 6/30/03

58A-NY-278417 - *mpn*

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	NEW YORK
	<i>mpn</i>

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*mpn*  
365

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b7C

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/27/2003

To: New York

From: New York

White Collar Crime/Squad C-14 - Public Corruption

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted] njm

Case ID #: 58A-NY-278417 (Pending)

b6  
b7C

Title: UNSUB(S);  
WILLIAM J. CLINTON - FORMER U.S. PRESIDENT;

[redacted]

MARC RICH - FUGITIVE (B); ET AL;  
CFPO - EXECUTIVE BRANCH;  
OO: NY

THE INFORMATION CONTAINED HEREIN IS SENSITIVE IN NATURE AND SHOULD BE AFFORDED APPROPRIATE SECURITY

GRAND JURY MATERIAL/SEALED COURT ORDER - SENSITIVE

Synopsis: To report [redacted]

[redacted]

Details: [redacted]

[redacted]

[redacted]

[redacted]

[redacted]

b3  
b6  
b7C  
b7D

OTHER Sealed Pursuant to Court Order

To: New York From: New York  
Re: 58A-NY-278417, 06/27/2003

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

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b3  
b6  
b7C  
b7D  
OTHER Sealed Pursuant to Court Order

UPLOADED

WITH/TEXT \_\_\_\_\_  
WITH/OUT TEXT ✓ \_\_\_\_\_  
BY UP \_\_\_\_\_  
DATE 7/8/03 \_\_\_\_\_

58A-NY-278417 - 360

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JUL 2003	
FBI - NEW YORK	
[Redacted]	<u>mp</u>



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BY JP \_\_\_\_\_  
DATE 7/18/03 \_\_\_\_\_

58A-NY-278417-

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JUL 15 2003	
FBI - NEW YORK	
[Redacted]	<u>mp</u>

368 (X) mp

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BY 49  
DATE 7/23/03

58A-NY-278417-370

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SERIALIZED	<u>49</u>	FILED	<u>49</u>
JUL 16 2003			
FBI - NEW YORK			
			<u>mja</u>

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58A-NY-278417 -

(X)  
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SERIALIZED	FILED
AUG 1 2003	
FBI - NEW YORK	

313  
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 BY UP \_\_\_\_\_  
 DATE 8/19/03 \_\_\_\_\_

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b7c



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b7C

