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United States Senate
WASHINGTON, D. C. 20510-3204

January 5, 2009

VIA HAND DELIVERY

Mr. James H. Thessin
Deputy Legal Adviser and
Designated Agency Ethics Official
U.S. Department of State
Washington, D.C. 20520-6310

Subject: Ethics Undertakings

Dear Mr. Thessin:

I am committed to the highest standards of ethical conduct for government officials. If confirmed as Secretary of State, as required by 18 U.S.C. §208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to section 208(b)(1), or qualify for a regulatory exemption, pursuant to section 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I currently hold and will continue to hold my position with The Clinton Family Foundation, which maintains all of its assets in cash. If confirmed as Secretary of State, I will not participate personally and substantially in any particular matter that has a direct and predictable effect upon this foundation, unless I first obtain a written waiver or qualify for a regulatory exemption.

My husband founded The William J. Clinton Foundation. Although neither my husband nor I has any financial interest in or fiduciary role with The William J. Clinton Foundation (or The Clinton Global Initiative), my husband has been active with this not-for-profit organization since its inception. For the duration of my appointment as Secretary if I am confirmed, I will not participate personally and substantially in any particular matter involving specific parties in which The William J. Clinton Foundation (or The Clinton Global Initiative) is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

My spouse speaks, writes and consults for compensation. If confirmed, as required by 18 U.S.C. §208(a), I will not participate personally and substantially in any particular matter that has a

direct and predictable effect upon his compensation from persons or entities that pay him, unless I first obtain a written waiver pursuant to 18 U.S.C. §208(b)(1) or qualify for a regulatory exemption pursuant to 18 U.S.C. §208(b)(2). In addition, I will not participate personally and substantially in any particular matter involving specific parties in which a client of my spouse is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that, for purposes of this commitment, a "client" of my spouse is anyone with whom he has a contract for his consulting services and anyone with whom he is negotiating for such a contract.


To avoid even the appearance of a conflict, my husband and I are also voluntarily taking steps that go above and beyond the requirements of the law and ethics regulations with respect to his personal income earned from speeches and consulting as well as with respect to the William J. Clinton Foundation and its initiatives. As these steps go beyond the requirements of the law and the ethics regulations, these additional steps are outlined separately in the attached MOU between the Clinton Foundation and the Office of the President-Elect and the attached letter from my husband's counsel, David Kendall. I understand that you intend to assess the facts and circumstances of the additional voluntary measures outlined in the MOU and attached letter – specifically reviewing potential speech hosts, business entities, and certain country contributions to the Foundation – in the context of the standards and the procedures of 5 C.F.R. § 2635.502 to determine whether an appearance of a conflict may exist, in consultation with the White House as appropriate. I ask that you advise me on applicable steps that may avoid any actual or apparent conflicts of interest.

I currently am associated with three federal political organizations, HillPAC, a multicandidate political committee of which I am Honorary Chair, and my two principal campaign committees, Friends of Hillary, which was formed to support my Senate elections, and Hillary Clinton for President, which was formed to support my presidential campaign. All three committees are registered with and report to the Federal Election Commission ("FEC"). If confirmed as Secretary of State, HillPAC will cease to be active and will terminate, by filing the appropriate termination report with the FEC, as soon as practicable. If confirmed, Friends of Hillary will become dormant; any funds associated with this entity will only be used during my service as Secretary of State for expenditures related to maintaining it and meeting any FEC requirements, including ongoing reporting requirements. Hillary Clinton for President will continue to exist to engage in activities necessary to retire any outstanding debt. To the extent required for these purposes, it will make expenditures to maintain it, retire its debt, and meet any FEC requirements, including ongoing reporting requirements. During my tenure as Secretary of State, I will not participate in the operation or decision-making of these three entities, and I personally will not solicit, accept or receive funds for them and will not use their funds to engage in any partisan political activities.

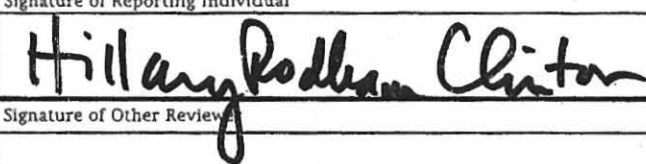
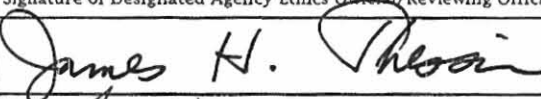
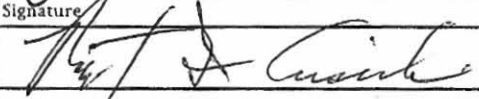
Finally, I will recuse myself from participation on a case by case basis in any particular matter in which, in my judgment, I determine that a reasonable person with knowledge of the relevant facts would question my impartiality, unless I have been authorized to participate under 5 CFR,

Part 2635, Subpart E. I ask that you also bring to my attention any matters that in your judgment could give rise to a conflict of interest or an appearance of a conflict of interest and that you advise me on applicable steps that may be appropriate to avoid such conflicts.

Sincerely,


Hillary Rodham Clinton

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year)	Reporting Status (Check Appropriate Boxes)	Incumbent <input type="checkbox"/>	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate <input checked="" type="checkbox"/>	Termination Filer <input type="checkbox"/>	Termination Date (If Applicable) (Month, Day, Year)	<p style="text-align: center;">Fee for Late Filing</p> <p>Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.</p> <p style="text-align: center;">Reporting Periods</p> <p>Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.</p> <p>Nominees, New Entrants and Candidates for President and Vice President:</p> <p>Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.</p> <p>Schedule B—Not applicable.</p> <p>Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.</p> <p>Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.</p> <p>Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p>
Reporting Individual's Name	Last Name		First Name and Middle Initial				
	CLINTON		HILLARY R.				
Position for Which Filing	Title of Position		Department or Agency (If Applicable)				
	SECRETARY OF STATE		Department of State				
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code)			Telephone No. (Include Area Code)			
	476 RUSSELL OFFICE BLDG., WASHINGTON, D.C. 20510			(202) 224-4451			
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held U.S. SENATOR JANUARY 2001 TO PRESENT						
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination			Do You Intend to Create a Qualified Diversified Trust?			
	Committee on Foreign Relations			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Certification	Signature of Reporting Individual				Date (Month, Day, Year)		
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.					01/05/09		
Other Review (If desired by agency)	Signature of Other Review				Date (Month, Day, Year)		
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official				Date (Month, Day, Year)		
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).					01/05/09		
Office of Government Ethics Use Only	Signature				Date (Month, Day, Year)		
					01/05/09		
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)							
(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>							
(Check box if comments are continued on the reverse side) <input type="checkbox"/>							
						Agency Use Only	
						OGE Use Only	

Reporting Individual's Name CLINTON, HILLARY	SCHEDULE A	Page Number 2 of 11
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Assets and Income		Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																				
BLOCK A		BLOCK B											BLOCK C																				
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse). None <input type="checkbox"/>		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount						Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria					
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000			\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000
Examples													x							Law Partnership Income \$130,000													
Central Airlines Common		x															x																
Doe Jones & Smith, Hometown, State		x																															
Kempstone Equity Fund						x														x													
IRA: Heartland 500 Index Fund						x														x													
1	Simon&Schuster Inc. - Living History (value unascertainable)													x							x												
2	Simon&Schuster Inc. - It Takes a Village (Senator Clinton donates the royalties to charity) (value unascertainable)																																
3	Random House (Spouse) - Giving (value unascertainable)																																
4	Random House (Spouse) - My Life (value unascertainable)													x							x												
5																																	
6																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE A continued (Use only if needed)	Page Number 3 of 11
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Assets and Income	Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																															
BLOCK A	BLOCK B										BLOCK C																															
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount								Date (Mo., Day, Yr.) Only if Honoraria														
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000		Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)										
1	CITIBANK (DEPOSIT ACCOUNTS)																																									
2	NORTHWESTERN MUTUAL LIFE INSURANCE (CASH VALUE - WHOLE LIFE POLICY) (SPOUSE)															X																										
3	NORTHWESTERN MUTUAL LIFE INSURANCE (CASH VALUE - WHOLE LIFE POLICY)															X																										
4	ARKANSAS PUBLIC EMPLOYEES RETIREMENT SYSTEM DEFINED BENEFIT PLAN (SPOUSE)															X																										
5	NATIONAL LIFE INSURANCE COMPANY (CASH VALUE - UNIVERSAL LIFE POLICY) (SPOUSE)																X																									
6	RESERVE FDIC INSURED DEPOSIT-ROLLOVER IRA (Spouse)													X																												
7																																										
8																																										
9																																										

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Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE A continued (Use only if needed)	Page Number 4 of 11
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																														
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount								Date (Mo., Day, Yr.) Only if Honoraria													
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000		Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)									
1	India Today Group (Spouse) New Delhi, India																															Honorarium \$150,000	03/13/2008								
2	Economic Club of Toronto (Spouse) Toronto, CN																																		Honorarium \$175,000	04/25/2008					
3	Green Living Enterprises (Spouse) Toronto, CN																																					Honorarium \$175,000	04/25/2008		
4	ING North America Insurance Corporation (Spouse) New York, NY																																							Honorarium \$175,000	04/28/2008
5	United Nations Association-Brazil (Spouse) New York, NY																																							Honorarium \$200,000	05/02/2008
6	MSG Entertainment (Spouse) New York, NY																																							Honorarium \$250,000	06/17/2008
7	The Power Within, Inc. (Spouse) Edmonton, CN																																							Honorarium \$525,000	06/20/2008
8	Schwartzkopf TV Productions Gmbh & Co KG (Spouse) Leipzig, Germany																																							Honorarium \$200,000	06/24/2008
9	Cunha Vaz & Associados (Spouse) Lisbon, Portugal																																							Honorarium \$325,000	06/25/2008

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE A continued (Use only if needed)	Page Number 5 of 11
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Assets and Income	Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																							
BLOCK A	BLOCK B											BLOCK C																							
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Date (Mo., Day, Yr.) Only if Honoraria								
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)			
1																												Honorarium \$200,000	08/04/2008						
2																																Honorarium \$225,000	10/13/2008		
3																																	Honorarium \$200,000	10/16/2008	
4																																	Honorarium \$100,000	10/18/2008	
5																																	Honorarium \$125,000	11/10/2008	
6																																	Honorarium \$250,000	11/12/2008	
7																																	Honorarium \$250,000	11/12/2008	
8																																		Honorarium \$100,000	11/12/2008
9																																		Honorarium \$450,000	11/15/2008

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE A continued (Use only if needed)	Page Number 6 of 11
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																						
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Date (Mo., Day, Yr.) Only if Honoraria						
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
1																																Honorarium \$250,000	11/15/2008
2																																Honorarium \$350,000	11/16/2008
3																																Honorarium \$175,000	11/25/2008
4																																Honorarium \$175,000	11/25/2008
5																																Honorarium \$175,000	11/26/2008
6																																Honorarium \$300,000	12/04/2008
7																																	
8																																Honorarium \$200,000	12/05/2008
9																																	

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Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE A continued (Use only if needed)	Page Number 7 of 11
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Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																							
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type		Amount						Date (Mo., Day, Yr.) Only if Honoraria											
1	SINCE 1983 ALL THE ASSETS LISTED BELOW ON PAGES 7 - 9 HAVE BEEN HELD IN A BLIND TRUST MANAGED BY A TRUSTEE. PURSUANT TO A 26 APRIL 2007 DIRECTIVE FROM OGE, THESE ASSETS WERE UNBLINDED ON 27 APRIL 2007 TO COMPLY WITH DISCLOSURE REQUIREMENTS FOR PRESIDENTIAL CANDIDATES. ALL OF THE ASSETS FORMERLY HELD IN THE BLIND TRUST WERE SOLD ON MAY 11, 2007, WITH THE EXCEPTION OF US TREASURY NOTES AND FEDERAL BONDS, WHICH WERE RETAINED, AND TWO LIMITED PARTNERSHIPS, FOR WHICH THE TRUSTEES ALREADY BEGAN THE WITHDRAWAL PROCESS IN 2006. THE PROCEEDS OF THE SALES HAVE BEEN PLACED IN A CASH ACCOUNT.																																		
2																																			
3																																			
4																																			
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6																																			
7																																			
8																																			
9																																			

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE A continued (Use only if needed)															Page Number 8 of 11																		
BLOCK A	BLOCK B											BLOCK C																						
BLOCK A	BLOCK B											BLOCK C																						
Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																																		
BLOCK A	BLOCK B	Type			Amount																													
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria		
1									X								X									X								
2			X														X																	
3	X																X																	
4	X																X																	
5	X																X																	
6		X															X																	
7			X														X																	
8		X															X																	
9			X														X																	
* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.																																		

Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE A continued (Use only if needed)	Page Number 9 of 11
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	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C																						
													Type			Amount								Date (Mo., Day, Yr.) Only if Honoraria											
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500		\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
1	US TREASURY NOTE 5.5% DUE 5/15/2009 BOND		X															X			X														
2	US TREASURY NOTE 5.75% DUE 8/15/2010 BOND		X															X			X														
3	US TREASURY NOTE 6% DUE 8/15/2009 BOND		X															X			X														
4	QUELLOS ALPHA ENGINE LP (CASH RECEIVABLE)	X																X			X														
5																																			
6																																			
7																																			
8																																			
9																																			

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE C	Page Number 10 of 11
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Part I: Liabilities None

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)													
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
Examples	First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand			x											
1																			
2																			
3																			
4																			
5																			

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits. None

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Publishing agreement regarding the literary work "It Takes a Village" (will receive royalty payments pursuant to usual and customary terms of the trade) (original agreement 01/96)	Simon & Schuster, Inc.	12/06
2	Publishing agreement regarding the literary work "Living History" approved by Select Committee on Ethics (will receive royalty payments pursuant to usual and customary terms of the trade)	Simon & Schuster, Inc.	1/01
3	Publishing agreement regarding the literary work "Dear Socks, Dear Buddy" (royalty payments assigned to the National Parks Foundation)	Simon & Schuster, Inc.	9/98
4	Charitable gift assignment regarding the literary work "Dear Socks, Dear Buddy" (royalty payments assigned to National Parks Foundation and paid directly by publisher)	National Parks Foundation	9/98
5	Publishing agreement regarding the literary work "Invitation to the White House" (royalty payments assigned to the White House Historical Society)	Simon & Schuster, Inc.	12/99
6	Charitable gift assignment regarding the literary work "Invitation to the White House" (royalty payments assigned to the White House Historical Society and paid directly by publisher)	White House Historical Society	12/99

Reporting Individual's Name Hillary Rodham Clinton	SCHEDULE D	Page Number 11 of 11
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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	The Clinton Family Foundation, Chappaqua, NY	Non-profit Charity	Secretary/Treasurer	12/2001	Present
2	William Jefferson Clinton Irrevocable Life Insurance Trust	Life Insurance Trust	Trustee	07/1996	Present
3					
4					
5					
6					

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legalservices
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		